



**FLORIDA INTERNATIONAL UNIVERSITY
BOARD OF TRUSTEES
FULL BOARD MEETING
SEPTEMBER 11, 2012
MINUTES**

1. Call to Order and Chair's Remarks

Chair Albert Maury convened the meeting of the Florida International University Board of Trustees at 9:08 a.m., on Tuesday, September 11, 2012, at the Modesto A. Maidique Campus, Graham Center Ballrooms, Miami, Florida.

The following attendance was recorded:

Present:

Albert Maury, *Chair*
Michael M. Adler, *Vice Chair*
Sukrit Agrawal
Cesar L. Alvarez
Jose J. Armas
Jorge L. Arrizurieta
Robert T. Barlick, Jr.
Laura Fariñas
Gerald C. Grant, Jr.
C. Delano Gray

Excused:

Marcelo Claure
Mayi de la Vega
Claudia Puig

Chair Maury welcomed all Trustees, University faculty and staff. He introduced and welcomed State University System of Florida Board of Governors Chair Dean Colson. Chair Maury noted that the FIU Board of Trustees looks forward to a continued collaboration with the Board of Governors, particularly in the development of strategic decisions to overcome the State's financial challenges.

Chair Maury welcomed Vice Chair of the FIU Foundation, Inc. Board of Directors Dr. Nelson L. Adams, noting that Dr. Adams has been a member of the Foundation Board since 2004 and began his first term as Vice Chair in June 2012. Chair Maury also welcomed newly-elected Faculty Senate Chair C. Delano Gray, noting that he is an instructor in the College of Business Administration School of Accounting.

Chair Maury asked for a moment of silence in remembrance of September 11, 2001.

On behalf of the Board, Chair Maury congratulated the University's student athletes on their remarkable performances during the start of the 2012-13 season and provided brief highlights on the planned Homecoming events.

2. Comments from State University System of Florida Board of Governors Chair

State University System of Florida Board of Governors Chair Dean Colson noted that the State University System of Florida has developed three tools that aid in guiding the System's future: Strategic Plan 2012-2025; Annual Accountability Report; and the University Work Plans. He added that the State University System will use these documents to help advocate for all System institutions and to create an opportunity for increased coordination among the institutions and their boards of trustees. Based on the Board of Governors review of the University Work Plans, he noted that multiple institutions were affected by a common set of issues: improving retention and graduation rates; increasing STEM degree production; and reducing student debt. He presented data that illustrates how these issues compare among System institutions. He then led a discussion on performance based funding and maintaining quality in years of declining State resources.

Trustees voiced a concern that the State's greater emphasis on performance based accountability and the reliance on traditional metrics may not appropriately capture FIU's unique mission and strengths. Trustees discussed the importance of FIU's commitment to access and the community, noting challenges such as serving a large percentage of economically disadvantaged students where many must hold full- or part-time employment.

3. Foundation Report

Foundation Board of Directors Vice Chair Dr. Nelson L. Adams noted that Foundation Chair Justo L. Pozo sent his regrets as he was not able to attend. On behalf of the FIU Foundation, Inc., Board of Directors Vice Chair Adams provided the Foundation Report, noting that fiscal year-to-date (*through August 31, 2012*) gains in the Foundation's investment portfolio total approximately \$5.2 million. He also noted that the Foundation's Investment Committee was in the process of developing a Request for Proposals for investment consulting services. Sr. Vice President, University Advancement/President and CEO of the FIU Foundation, Inc. Howard R. Lipman provided a brief overview on the 2011-12 fiscal year fundraising achievements.

4. Public Appearances

There were no public appearances.

5. President's Report

University President Mark B. Rosenberg introduced Professor Bruce McCord. He reported that with funding from the National Institute of Justice and, as recently published in the Journal of Forensic Sciences, Professor McCord and doctoral candidate Jennifer Greaux discovered a new technique that identifies the chemical signature of the powder inside a bullet. President Rosenberg introduced a video which depicts this unique process that potentially can link a suspect to the ammunition fired even if the weapon is not found. Professor McCord discussed his research work in the areas of explosives residue detection, rapid DNA analysis and toxicology.

President Rosenberg reported on research news, noting that Dr. Naphtali Rishe has a new National Science Foundation Grant: "FIU Accelerating Innovation Research (AIR) Industry Ecosystem Pipeline Research." He stated that AIR will create an innovation ecosystem engaged in the development and technology transfer of breakthroughs in Data Intensive Applications. He further

noted that the public-private partnerships within the AIR ecosystem will establish a network between academia and industry. He added that AIR is also funded with an industry matching \$1.2M commitment from companies such as IBM, Sanofi Pasteur, Corelogic Spatial Solutions, Neuroscience Centers of Florida, Vcom 3D, Inc., Soliton Enterprises, Inc., and others.

President Rosenberg highlighted four examples of outstanding new faculty joining FIU with National Institute of Health (NIH) funding and expertise in the strategic area of health. He noted that Dr. Raul Gonzalez recently received two R01 grants from the NIH (\$5M) titled "The Impact of Cannabis Use on the Neuropsychological Functioning of Individuals with HIV/AIDS," and "Cognitive Neuropsychology of HIV and Drug Abuse." He added that Dr. Yuk-Ching Tse-Dinh's research has been continuously funded by the NIH since 1990, totaling \$7M and noted that she joins FIU with a new five-year R01 grant funded by the NIH (\$1.8M), titled "Bacterial Cell Killing by Topoisomerase I." He stated that Dr. Angela Laird joins FIU with a new five-year R01 grant funded by the NIH (\$2.5M), titled "Meta-Analysis in Human Brain Mapping." He added that Dr. Shuliang Jiao's research has been funded by the NIH, the DOD and industry and reported that he joins FIU with a new five-year R01 grant funded by the NIH (\$4M), titled "Multi-modal Functional Retinal Imaging for Diabetic Retinopathy."

President Rosenberg reported that on the 20th anniversary of Hurricane Andrew, FIU unveiled the new Wall of Wind, which he noted is the nation's first university research facility capable of simulating Category 5 hurricane winds. He added that the Wall of Wind is a collaborative project between the International Hurricane Research Center and the College of Engineering and Computing and has already had a significant impact in mitigating hurricane damage by enhancing building codes, validating innovative mitigation technologies, and developing new materials. President Rosenberg concluded the University Report with a video containing multiple clips of the event's media coverage.

6. Action Items – Consent Agenda

Chair Maury noted that the Finance and Audit Committee and Academic Policy and Student Affairs Committee each recommended approval by the Board of the respective action items contained in the Consent Agenda.

Chair Maury asked for comments on any of the items included in the Consent Agenda. Hearing none, a motion was made and passed to accept the Consent Agenda, and members of the Board concurred with the following actions:

- **BT1. Minutes, June 14, 2012** – Approve the Minutes of the Florida International University Board of Trustees, Full Board meeting held on Thursday, June 14, 2012 at the FIU Modesto A. Maidique Campus, MARC International Pavilion.
- **FA1. Tuition for Market Rate Programs, 2013-14 Academic Year** – Approve market tuition rates for the Professional Masters of Science in Counseling Psychology and the Master of Science in International Real Estate offered through continuing education beginning academic year 2013-14.

- **AP1. Tenure as a Condition of Employment Nominations** - Approve six (6) candidates for Tenure as a Condition of Employment as specified in the Board materials.
- **AP2. Proposed Amendment to the Bylaws of the Florida International University Academic Health Center Health Care Network Faculty Group Practice, Inc.** - Approve the Bylaws of the Florida International University Academic Health Center Health Care Network Faculty Group Practice, Inc. (FIU-HCN), as amended and restated on July 26, 2012, and authorize FIU-HCN Management to take all actions necessary pertaining to these amended bylaws.
- **AP3. Honorary Degree Nomination** - Endorse His Majesty Don Juan Carlos I de Borbon of Spain as a recipient of a doctoral degree *honoris causa* from Florida International University.

Chair Maury stated that the Board of Trustees is required to conduct an annual evaluation of the University's President and added that for this year, the Board has elected to evaluate and review the President's performance and the University Goals as a Full Board in lieu of having the Personnel Committee conduct the evaluation and review the goals. He noted that President Rosenberg agreed to this modification in the process. Chair Maury added that as per the Board's Operating Procedures, no agenda item may come before the Board unless it has been previously considered and recommended by a Committee of the Board with the exception of agenda items determined to be an emergency item.

A motion was made and passed that for the current meeting only, the Board will suspend the Operating Procedures as it pertains to the requirement that agenda items be considered and recommended by a Committee prior to review by the Full Board to accomplish the following:

- President's Management Review, 2011-12 Academic Year; and
- University Goals, 2012-13 Academic Year

7. Action Items

BT2. President's Management Review, 2011-12

President Rosenberg presented a self-appraisal report of the 2011-12 academic year's performance, delineating the achievements relative to each of the Board-approved goals.

Trustees discussed the President's performance during the 2011-12 academic year based on his achievement of the mutually agreed upon goals. Trustees stated that it was particularly noteworthy that the fundraising target for the 2011-12 academic year was surpassed given the current economic climate in the region that has negatively impacted philanthropic giving. Members commended President Rosenberg for his outstanding leadership and remarkable outreach efforts. Trustees also commented that some of the goals are long-term objectives where results cannot be measured immediately and praised the achievements during these years of declining State revenues.

Chairman Maury noted the importance of each Board member's efforts in meeting the University's fundraising goals. He urged President Rosenberg to analyze opportunities for an inclusive approach for Board members to assist in fundraising efforts.

A motion was made and passed by the FIU Board of Trustees granting a "Superior" performance rating to President Mark B. Rosenberg for his leadership of Florida International University during the 2011-12 academic year.

BT3. University Goals, 2012-13

President Rosenberg presented the proposed University Goals report for the 2012-13 academic year, noting that during the next academic year, the University will pursue the specific initiatives outlined in the Work Plan to achieve FIU's mission and goals. He added that the Work Plan identifies a path to implement the mission and achieve the vision of FIU. He stated that efforts will be focused on achieving initiatives, which are organized following the State University System of Florida Board of Governors format: Academic Quality; Operational Efficiency; and Return on Investment.

Trustees discussed the importance of traditional metrics as trends demonstrate the State's greater emphasis on performance based funding but also noted the importance of non-traditional metrics as they serve to capture FIU's unique mission, strengths and challenges. Chair Maury requested that the University develop a broader data set that extends beyond the traditional metrics in order to consider key factors that influence retention and graduation rates, such as test scores, high school grade point averages, student employment obligations, on-campus housing, and various other metrics. President Rosenberg stated that ongoing discussions relating to higher education benchmarks place a greater importance on non-traditional metrics and student learning. He added that as an essential component in ensuring student preparedness and success, FIU will continue to develop the partnership with Miami-Dade County Public Schools (M-DCPS) in order to improve the quality of instruction and school leadership.

A motion was made and passed by the FIU Board of Trustees adopting the University Goals for the 2012-13 Academic Year

8. Status Reports, Board Committees

External Relations Committee Report

Trustee Jorge L. Arrizurieta, Vice Chair of the External Relations Committee, presented highlights from the Committee's meeting on Wednesday, September 5, 2012. He reported that the Committee engaged in a substantive discussion led by President Rosenberg on six vital questions to help shape the university's agenda for the community it serves. Trustee Arrizurieta noted that Committee members expressed the importance of the relocation of the Miami-Dade County Fair & Exposition, Inc. (the Fair) and the expansion of FIU onto the current Fair site in order to better serve the current and anticipated student growth, adding that this was a critical initiative. He stated that Trustees and liaisons praised FIU's partnership with M-DCPS as a mutually beneficial relationship, stating that it is critical to prepare students for academic success at the collegiate level. He added that given FIU's location, the University's administration should develop a strategic plan to aggressively pursue opportunities that will become available once Cuba transitions to a democracy.

Finance and Audit Committee Report

Trustee Sukrit Agrawal, Chair of the Finance and Audit Committee, presented highlights from the Committee's meeting on Wednesday, September 5, 2012. He reported that the Committee recognized two employees from the University's Financial Aid office, Jorge Leniv and Gregory Mackey, and thanked them for their hard work and dedicated service to providing critical support to FIU students throughout the year. Trustee Agrawal noted that the University and direct support organizations' operating revenues were above estimates by \$38M (or five percent), which can be primarily attributed to greater than anticipated demand for Market Rate continuing education programs. He also reported that the University and direct support organizations' operating expenses were below estimates by \$2.4M (or zero percent). He stated that Educational and General expenses were below estimates by \$20.4M mainly due to delays in spending on base operations and critical investments and vacant positions from the University and Herbert Wertheim College of Medicine. He added that Auxiliary Enterprises ended above estimates by \$16M primarily due to higher programmatic and administrative expenses in academic programs offset by vacant positions, and these were covered by additional revenues. He further noted that the Committee engaged in a substantive discussion on student debt.

Academic Policy and Student Affairs Committee Report

Trustee Cesar L. Alvarez, Chair of the Academic Policy and Student Affairs Committee, presented highlights from the Committee's meeting on Wednesday, September 5, 2012. He reported that in accordance with Board of Governors directives, the Student Code of Conduct Regulation was amended to reflect: more specificity in the definition section of the regulation as to the number of days for advance notification to the student of the charges made against him/her (5 business days) and the student's right to inspect the record (3 business days); notification to a student who is suspended or expelled of his/her right to appeal to the court; and allowing students to bring to the disciplinary hearing an advisor of his/her choosing (previously only members of the University community could serve as advisors). He noted that these changes were noticed on the Board of Trustees regulations webpage. He added that the Committee welcomed FIU alumnus Carlos Gomez who graduated in the summer with a dual Bachelor's degree in International Business and Finance, and with Honors. Trustee Alvarez stated that while Mr. Gomez took six years to complete his undergraduate degrees, he engaged in robust academic and extracurricular activities including study abroad in China where he learned Mandarin, development of Honors College courses with the Associate Dean, serving on the Executive Board of the International Business Honors Society, and engaging the community through collaboration with Sweetwater City officials on neighborhood initiatives. He noted that Mr. Gomez classified the many activities he was involved in at FIU as critical in helping to prepare him professionally and personally for his master's work at Stanford.

9. New Business

Chair Maury noted that following an individual's service as Chair or Trustee of the Board, the Board may designate such former Chair or Trustee as Chairperson Emeritus or Trustee Emeritus, adding that such categories are for honorary purposes to recognize past Chairpersons and Trustees who have provided extraordinary service and contributions to the University and are no longer serving in that capacity. Chair Maury then recognized Trustee Cesar L. Alvarez who presented a Resolution to the Board proposing to name Mr. S. Lawrence Kahn, III as Trustee Emeritus.

A motion was made and passed that the FIU Board of Trustees designate Mr. S. Lawrence Kahn, III as Trustee Emeritus.

WHEREAS, Mr. S. Lawrence Kahn, III began his involvement with Florida International University in 1999 as chairman of the President's Council (formerly known as Council of 100); and

WHEREAS, Mr. Kahn was instrumental in establishing the President's Council Outstanding Faculty Award (now known as the Worlds Ahead Faculty Award) which is a key initiative with a lasting impact on faculty recognition and retention; and

WHEREAS, in 2001, Mr. Kahn joined the FIU Foundation Board of Directors where he served dutifully for ten years in various capacities including as its chairperson from 2006-2008; and

WHEREAS, Mr. Kahn also served as a member of the Board of Trustees of Florida International University (the BOT) from 2009-2011; and

WHEREAS, Mr. Kahn's dedication to higher education was made evident in his leadership and service as the BOT's Chairperson of the Academic Policy and Student Affairs Committee; and

WHEREAS, Mr. Kahn not only gave of his time and service as a member of various institutional volunteer leadership boards, he also made evident his support for the University in his generosity to the programs and research critical to FIU's advancement;

NOW, THEREFORE, BE IT RESOLVED that the BOT hereby designates Mr. S. Lawrence Kahn, III as a Trustee Emeritus of this Board with all the rights, honors and privileges thereunto appertaining; and

BE IT FURTHER RESOLVED that the University Administration take all actions necessary to give effect to this Resolution.

10. Concluding Remarks and Adjournment

With no other business, Chair Albert Maury adjourned the meeting of the Florida International University Board of Trustees on Tuesday, September 11, 2012, at 11:37 a.m.

Albert Maury
Chairman
FIU Board of Trustees

Mark B. Rosenberg
Corporate Secretary
FIU Board of Trustees

MB
9.19.12