



# **FLORIDA INTERNATIONAL UNIVERSITY**

## **BOARD OF TRUSTEES**

### **FULL BOARD MEETING**

Thursday, June 14, 2012

9:00 am

Florida International University  
Modesto A. Maidique Campus  
MARC International Pavilion

### **AGENDA**

- |   |                              |
|---|------------------------------|
| <b>1. Call to Order and Chair's Remarks</b> | <b>Chairman Albert Maury</b> |
| <b>2. Foundation Report</b>                 | <b>Justo L. Pozo</b>         |
| <b>3. Public Appearances</b>                | <b>Albert Maury</b>          |
| <b>4. President's Report</b>                | <b>Mark B. Rosenberg</b>     |
| <b>5. Action Items – Consent Agenda</b>     | <b>Albert Maury</b>          |
- 
- BT1. Minutes, March 14, 2012
  - FA2. Proposed Regulation, FIU-115 Skateboarding, Skates, Scooters, Ripsticks, and Other Similar Devices and High Risk Activities on University Property
  - FA3. Proposed 2012-13 Fixed Capital Outlay Budget
  - FA4. Request for Approval of Florida International University's 2013-14 Fixed Capital Outlay Legislative Budget Request, Consisting of the five-year Capital Improvement Plan
  - FA5. Mixed-Use Auxiliary Building – Amendment to Prior Approval
  - AP1. Tenure as a Condition of Employment Nomination
  - AP2. Tenure Nominations
  - AP4. Student Code of Conduct, FIU Regulation 2501
  - AP5. Master of Laws New Program Proposal
  - P1. University Equity Report  
*2011-12 Enrollment, Gender Equity in Athletics, and Employment*

## 6. Action Items

### **FA1. Proposed 2012-13 Operating Budget** *(Tab FA)*

**Sukrit Agrawal**

- A. University and DSO Operating Budgets
- B. University Tuition Fee
- C. Activity and Service Fee
- D. Athletic Fee
- E. Amendment of University Traffic and Parking Regulation
- F. Capital Improvement Trust Fund Fee
- G. Tuition for Market Rate Programs, 2013-14 Academic Year

### **AP3. 2012-13 University Work Plan** *(Tab AP)*

**Cesar L. Alvarez**

## 7. Status Reports, Board Committees

- Finance and Audit Committee Report
- Academic Policy and Student Affairs Committee Report
- Personnel Committee Report
- Athletics Committee Report

**Sukrit Agrawal**

**Cesar L. Alvarez**

**Michael M. Adler**

**Jorge L. Arrizurieta**

## 8. New Business *(If any)*

**Albert Maury**

## 9. Concluding Remarks and Adjournment

**Albert Maury**

*The next FIU Board of Trustees Full Board Meeting is scheduled for September 11, 2012.*

**THE FLORIDA INTERNATIONAL UNIVERSITY  
BOARD OF TRUSTEES**

June 14, 2012

**Subject: Call to Order and Chair's Remarks**

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**Proposed Board Action:**

None. Information only.

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**Background Information:**

Albert Maury, FIU Board of Trustees Chair, will convene the meeting with opening remarks.

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**Supporting Documentation:** N/A

**Facilitator/Presenter:** Albert Maury, *Chair, FIU Board of Trustees*



**THE FLORIDA INTERNATIONAL UNIVERSITY  
BOARD OF TRUSTEES**

June 14, 2012

**Subject: Foundation Report**

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**Proposed Board Action:**

None. Information only.

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**Background Information:**

Justo L. Pozo, FIU Foundation, Inc. Board of Directors Chair, will report on the activities of the Foundation Board since the last meeting of the Board of Trustees.

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**Supporting Documentation:** N/A

**Facilitator/Presenter:** Justo L. Pozo, *Chair, FIU Foundation, Inc. Board of Directors*



**THE FLORIDA INTERNATIONAL UNIVERSITY  
BOARD OF TRUSTEES**

June 14, 2012

**Subject: Public Appearances**

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**Proposed Board Action:**

None. Information only.

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**Background Information:**

Public Comment Period (if timely requested and approved)

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**Supporting Documentation:** N/A

**Facilitator/Presenter:** Albert Maury



**THE FLORIDA INTERNATIONAL UNIVERSITY  
BOARD OF TRUSTEES**

June 14, 2012

**Subject: President's Report**

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**Proposed Board Action:**

None. Information only.

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**Background Information:**

Mark B. Rosenberg, President, will provide the University report.

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**Supporting Documentation:** N/A

**Facilitator/Presenter:** Mark B. Rosenberg, *President, Florida International University*



**THE FLORIDA INTERNATIONAL UNIVERSITY  
BOARD OF TRUSTEES**

June 14, 2012

**Subject: Approval of Minutes of Meeting held March 14, 2012**

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**Proposed Board Action:**

Approval of Minutes of the Florida International University Board of Trustees, Full Board meeting held on Wednesday, March 14, 2012 at the FIU Modesto A. Maidique Campus, Graham Center Ballrooms.

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**Background Information:**

Board members will review and approve the Minutes of the Florida International University Board of Trustees, Full Board Meeting held on Wednesday, March 14, 2012 at the FIU Modesto A. Maidique Campus, Graham Center Ballrooms.

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**Supporting Documentation:** Florida International University Board of Trustees Full Board Meeting Minutes: March 14, 2012

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**FLORIDA INTERNATIONAL UNIVERSITY  
BOARD OF TRUSTEES  
FULL BOARD MEETING  
MARCH 14, 2012  
MINUTES**

**1. Call to Order and Chair's Remarks**

Chairman Albert Maury convened the meeting of the Florida International University Board of Trustees at 2:07 p.m., on Wednesday, March 14, 2012, at the Modesto A. Maidique Campus, Graham Center Ballrooms, Miami, Florida.

The following attendance was recorded:

**Present:**

Albert Maury, *Chair*  
Michael M. Adler, *Vice Chair*  
Sukrit Agrawal  
Cesar L. Alvarez  
Jose J. Armas  
Jorge L. Arrizurieta  
Thomas A. Breslin  
Gerald C. Grant, Jr.  
Patrick O'Keefe

**Excused:**

Marcelo Claure  
Mayi de la Vega  
Claudia Puig

Chairman Maury welcomed all Trustees, University faculty and staff. Chairman Maury noted that Mr. Joseph L. Caruncho's service with the FIU Board of Trustees concluded with his appointment to the Florida Board of Governors. On behalf of the Board of Trustees, Chairman Maury extended his gratitude to Mr. Caruncho for his dedicated service and commitment to the Board of Trustees and the University. Chairman Maury noted that Student Trustee and Student Government President for the Modesto A. Maidique Campus, Patrick O'Keefe, was approaching the end of his one year term and would not be seeking reelection. On behalf of the FIU Board of Trustees, Chairman Maury extended his gratitude to Trustee O'Keefe for his leadership and dedication to the Board, to the University and to the students. Trustee O'Keefe stated that it was a great honor for him to have the privilege to serve the students of the University as well as an honor to serve as a member of the Board.

Chairman Maury noted that with Mr. Caruncho's appointment to the Board of Governors, a vacancy resulted on the FIU Board of Trustees. He further noted that the Board of Governors was expected to appoint a Trustee to fill the vacancy during their March 21-22, 2012 Board meetings. Chairman Maury stated that while President Rosenberg would later report on recent news and events, he wished to congratulate the University on Diversity Week 2012 and the 11<sup>th</sup> annual Torch Awards where the FIU Community gathered to celebrate the achievements of outstanding alumni.

## **2. Foundation Report**

University President Mark B. Rosenberg stated that FIU Foundation, Inc. Chairman Justo L. Pozo sent his regrets as he was not able to attend. On behalf of the FIU Foundation, Inc., President Rosenberg provided the Foundation Report, noting that in January, the Foundation Board welcomed new members and held its first national format meeting. He provided a fundraising update, noting that \$19.6 million has been raised towards the \$37 million goal.

## **3. Public Appearances**

*There were no public appearances.*

## **4. President's Report**

President Rosenberg provided a budget update, reporting that a delegation of students, administrators, faculty, and members of the FIU Board of Trustees traveled to Tallahassee to advocate for the University's legislative priorities. He noted that the State of Florida faced challenging economic times, stating that the legislative conference process has recommended a \$300 million reduction to the State University System's operating budget. He reported that this would result in a \$24.3 million non-recurring reduction to FIU's budget. He added that the University would continue to closely monitor budget and other substantive recommendations that will have an impact on FIU as well as the entire State University System.

President Rosenberg reported on recent University news and events, noting that the Patricia & Phillip Frost Art Museum achieved accreditation by the American Association of Museums once again. He stated that FIU welcomed U.S. Supreme Court Justice Samuel Alito who sat as chief justice for FIU's 9th annual Moot Court Competition and Thomas Friedman, *New York Times* foreign affairs columnist who headlined the 2012 Geopolitical Summit. He further noted that acclaimed Latin American author Isabel Allende visited FIU to receive a literary award from the Creative Writing Program and participated in a public talk. He stated that FIU also welcomed Faculty-artists from the School of the Arts at Tianjin University of Commerce who shared the art of Chinese brush painting. He reported that the 11<sup>th</sup> Food Network South Beach Wine & Food Festival raised over two million in net income for FIU, adding that the Festival continues to provide scholarship funding and valuable hands-on experience for hundreds of FIU students.

President Rosenberg reported on research news, noting that FIU public health researcher, Dr. Juan Pablo Sarmiento, co-authored a new study for the World Health Organization that examined the first three months immediately following the January 2010 earthquake in Haiti. President Rosenberg also reported that President of the United States of America Barack Obama named Associate Professor Mary Jo Trepka as one of the recipients of the Presidential Early Career Awards for Scientists and Engineers, noting that this is the highest honor bestowed by the United States government on scientists in the early stages of their careers. President Rosenberg announced that Adult Education and Human Resource Development Professor Thomas G. Reio received two of the most prestigious awards at the Academy of Human Resource Development annual conference.

President Rosenberg reported on faculty news, noting that English professor Lynne Barrett won the Gold for General Fiction at the Florida Book Awards and that English and Creative Writing professor Campbell McGrath was named a United States Artists Fellow. He also reported that

College of Law professor Elizabeth Price Foley's new book, *The Law of Life and Death*, examines the laws that govern life and death.

President Rosenberg reported on student achievement, noting that Timur Kuzibaev, a senior majoring in political science, has received a 2012-13 Congress-Bundestag Youth Exchange Program fellowship and that third year Honors College student Susan Tapia was recently accepted into the prestigious 2012 Clinton Global Initiative University program. He reported on student-led events in benefit of the community, adding that the 2011 FIU Relay for Life raised \$90,000 for the American Cancer Society. He also reported that the Annual Dance Marathon, benefiting the Miami Children's Hospital Foundation through the Children's Miracle Network, raised \$60,828.

President Rosenberg concluded the University report by introducing a video on the Faculty and Staff Ignite Campaign, noting that each philanthropic gift will strengthen the financial foundation needed for FIU to be *Worlds Ahead* and ascend to the next level of excellence.

## **5. General Counsel Presentation**

General Counsel Kristina Raattama provided an overview of the State of Florida's Code of Ethics for public officers, delineating the primary objective and key provisions that are set forth for university trustees.

## **6. Action Items – Consent Agenda**

Chairman Maury noted that the Finance and Audit Committee and Academic Policy and Student Affairs Committee each recommended approval by the Board of the respective action items contained in the Consent Agenda.

Chairman Maury asked for comments on any of the items included in the Consent Agenda. Hearing none, a motion was made and passed to accept the Consent Agenda, and members of the Board concurred with the following actions:

- **BT1. Minutes, November 22, 2011** - Approve the Minutes of the Florida International University Board of Trustees, Full Board meeting held on Tuesday, November 22, 2011 at the FIU Modesto A. Maidique Campus, Graham Center Ballrooms.
- **FA1. FIU Research Foundation, Inc. Financial Audit, FY 2010-11** – Approve the Florida International University Research Foundation, Inc. Financial Audit for the 2010-11 Fiscal Year and authorize the Executive Director of the Florida International University Research Foundation, Inc. to take all actions necessary pertaining to this Financial Audit, including filing the report with the Auditor General.
- **FA2. Investment Policy Amendment** - Adopt the revisions to the University's Investment Policy.
- **AP1. Tenure as a Condition of Employment Nominations** - Approve three (3) candidates for Tenure as a Condition of Employment as specified in the Board materials.

## **7. Status Reports, Board Committees**

Chairman Maury requested that the Committee Reports be presented out of order. There were no objections.

### **External Relations Committee Meeting Report**

Trustee Jorge L. Arrizurieta, Vice Chair of the External Relations Committee, presented highlights from the Committee's meeting earlier in the day. He reported that the Committee engaged in a substantive discussion on the University's expansion efforts. He noted that as part of the FIU *Worlds Ahead* Strategic Plan, the University will increase enrollment to ensure access for academically qualified students. He noted that FIU's land and facilities are not consistent with the current student population, adding that expansion would ensure that the University meets student demand and the needs of the community. He reported that FIU is working with Miami-Dade County and Miami-Dade County Fair & Expo, Inc. officials to explore the possibility of expanding the University onto the 86 acres of fairgrounds adjacent to the Modesto A. Maidique Campus. He stated that while discussions are ongoing, the relocation project is currently in the discovery and conceptual phase. Finally, he noted that Board members will receive a fact sheet on the Fair relocation project, adding that the information will be updated as developments occur.

### **Finance and Audit Committee Meeting Report**

Trustee Sukrit Agrawal, Chair of the Finance and Audit Committee, presented highlights from the Committee's meeting earlier in the day. He reported that as is stipulated in the Committee's Charter, the Office of Internal Audit was excused from the conversation with senior management. He noted that Mr. Andrew S. Fierman, Audit Manager, with Marcum LLP provided an overview on the similarities and differences in the financial statements prepared in accordance with the Governmental Accounting Standards Board requirements and the Financial Accounting Standards Board requirements. He added that the Committee received an update on the recommendations resulting from the legislative conference process and the impacts to the University's budget. He also noted that the Committee reviewed the results of the State of Florida Auditor General's Financial and Operational Audits of the University for the fiscal year ended June 30, 2011.

### **Academic Policy and Student Affairs Committee Meeting Report**

Trustee Cesar L. Alvarez, Chair of the Academic Policy and Student Affairs Committee, presented highlights from the Committee's meeting earlier in the day. He reported that Vice President for Engagement Divina Grossman introduced the Committee to five students who discussed their respective experiences with service learning courses and student internships at the Florida Power & Light Customer Care Center at FIU. Finally, he noted that Patricia L. Price, Associate Professor of Geography and 2011-13 Faculty Fellow discussed teaching in the 21<sup>st</sup> century from the faculty perspective, adding that the Committee was able to experience first-hand a demonstration on how technology assists with pedagogy in the classroom.

Chairman Maury thanked the Committees for their work noting that their careful review of University issues was of invaluable service to the entire Board.

## **8. New Business**

*No new business was raised.*

## **9. Concluding Remarks and Adjournment**

With no other business, Chairman Maury adjourned the meeting of the Florida International University Board of Trustees on Wednesday, March 14, 2012, at 3:17 p.m.

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Albert Maury  
*Chairman*  
*FIU Board of Trustees*

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Mark B. Rosenberg  
*Corporate Secretary*  
*FIU Board of Trustees*

*MB*  
*3.28.12*

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**THE FLORIDA INTERNATIONAL UNIVERSITY  
BOARD OF TRUSTEES**

June 14, 2012

**Subject: Proposed Regulation, FIU-115 Skateboarding, Skates, Scooters, Ripsticks, and Other Similar Devices and High Risk Activities on University Property**

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**Proposed Board Action:**

Approve the proposed Regulation of the Skateboarding, Skates, Scooters, Ripsticks, and Other Similar Devices and High Risk Activities on University Property FIU-115 and delegate authority to the University President to approve any subsequent amendments that are based on comments to the Regulation received from the Florida Board of Governors and as a result of the regulation-making process.

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**Background Information:**

The Skateboarding, Skates, Scooters, Ripsticks, and Other Similar Devices and High Risk Activities on University Property Regulation FIU-115 is being proposed to promote the safety of persons and property on the University's Property. The primary scope of this regulation is to designate the areas and purpose for which skateboards, longboards, skates of any type, scooters, ripsticks, and other similar devices may be used and/or operated by person(s) on University Property.

This regulation is being created pursuant to the authority granted by the Board of Governors to the Florida International University Board of Trustees under regulation 1.001 (3)(j) University Board of Trustees Powers and Duties, which states that each board of trustees is authorized to promulgate university regulations in accordance with the Regulation Development Procedure adopted by the Board of Governors.

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**Supporting Documentation:**

Proposed Regulation, FIU-115: Skateboarding, Skates, Scooters, Ripsticks, and Other Similar Devices and High Risk Activities on University Property

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**THE FLORIDA INTERNATIONAL UNIVERSITY BOARD OF TRUSTEES  
FLORIDA BOARD OF GOVERNORS**

**NOTICE OF PROPOSED REGULATION**

**REGULATION NO.:** FIU-115

**REGULATION TITLE:** Skateboarding, Skates, Scooters, Ripsticks, and Other Similar Devices and High Risk Activities on University Property.

**SUMMARY:** The purpose of this Regulation is to promote the safety of persons and property on the University's Property. The primary scope of this regulation is to designate the areas and purpose for which skateboards, longboards, skates of any type, scooters, ripsticks, and other similar devices may be used and/or operated by person(s) on University Property.

**TEXT OF REGULATION:** The full text of the Proposed Regulation can be viewed below and on the website of The Florida International University Board of Trustees, <http://regulations.fiu.edu>. If you would like a copy of the Proposed Regulation, please contact Eli Deville, Chief of Staff, Office of the General Counsel, (305) 348-2103.

**AUTHORITY:** BOG Regulation 1.001

**NAME OF PERSON INITIATING PROPOSED REGULATION:** Dr. Kenneth Jessell, Chief Financial Officer & Senior Vice President.

ANY PERSON SEEKING TO COMMENT ON THE PROPOSED REGULATION MUST SUBMIT COMMENTS IN WRITING TO THE CONTACT PERSON LISTED BELOW. ALL WRITTEN COMMENTS MUST BE RECEIVED BY THE CONTACT PERSON WITHIN 14 CALENDAR DAYS OF THE DATE OF PUBLICATION OF THIS NOTICE.

**CONTACT PERSON REGARDING THE PROPOSED REGULATION:** Eli Deville, Chief of Staff, Office of the General Counsel, Florida International University, 11200 SW 8<sup>th</sup> Street, PC 511, Miami, FL 33199. Email: [devillee@fiu.edu](mailto:devillee@fiu.edu) Fax: (305) 348-3272. Phone: 305-348-2103.

**DATE OF PUBLICATION:** May 10, 2012

**THE FULL TEXT OF THE REGULATION IS PROVIDED BELOW:**

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## **FIU-115 Skateboarding, Skating, Scooters, Ripsticks and Other Similar Devices and High Risk Activities on University Property.**

### **A. General**

Skateboards, longboards, skates of any type, scooters, ripsticks, or other similar devices may only be used on University sidewalks and while crossing the street at crosswalks. The aforementioned devices must be operated at speeds reasonable and prudent, having due regard to traffic, pedestrians, property of others, surface of the side walk or pavement, the hazard at intersections, and other conditions.

### **B. Definitions:**

(1) "Crosswalk" means a specifically paved or marked path where pedestrians have the right of way to cross.

(2) "Service Animals" as defined by the Americans with Disabilities Act, is any guide dog, signal dog, or other animal individually trained to provide assistance to an individual with a disability.

(3) "Sidewalks" mean that portion of a street between the curb-line, and the lateral line, of a roadway and the adjacent property lines or the walkway between buildings intended for use by pedestrians.

(4) "University Property" means any real or personal property owned, leased or otherwise controlled by Florida International University including, but not limited to any vehicles, equipment, fixtures, materials or other items that are located on, within or around, any one of the above areas.

### **C. Rules:**

(1) No person shall use skateboards, longboards, skates of any type, scooters, ripsticks, or other similar devices on University Property except as a means of transportation on sidewalks and while crossing the street at crosswalks.

(2) The use of skateboards, longboards, skates of any type, scooters, and other similar devices is prohibited at all times, in/on curbs; benches; stairs, stairwells; railings, ramps, parking lots; parking garages; wooden walkways; library breezeways; athletic facilities; landscaped areas; the interior or exterior of any building, including inside and outside patios. The use of skateboards, longboards, skates of any type, scooters, and other similar devices is also prohibited at all times in any area(s) where the University has posted a sign(s) prohibiting the use of skateboards, longboards, skates of any type, scooters, and other similar devices.

(3) Pedestrians have the right of way on all sidewalks, crosswalks, walkways, and paths commonly used for pedestrian traffic. Persons operating skateboards, longboards, skates of any type, scooters, ripsticks, and other similar devices shall yield to pedestrians and service animals.

(4) The following high risk activities are also prohibited in, on or about the University's Property:

(a) acrobatics (e.g., jumping on or over steps, benches, walls, ramps, rails, bike racks, "free running," "Parkour," etc.);

(b) excessive speed; blocking pedestrian paths (e.g., sidewalks, ramps, doors, entryways, etc.);

(c) entering buildings while wearing skates of any type;

(d) slack lining; and

(e) rappelling; climbing in any areas, buildings, facilities, and/or exterior and interior locations that are not designated for climbing.

**D. Sanctions:**

(1) Any person who violates this regulation is subject to an order to leave University Property by the University Police Department or any University representative having responsibility for the area(s) in, on, or about the University Property. Persons failing to comply with the order to leave or remain off the University Property are subject to arrest.

(2) Any University student who violates this regulation may also be subject to discipline under the Student Code of Conduct.

(3) Any University employee (faculty, staff, or otherwise) who violates this regulation may also be subject to disciplinary action by the University.

(4) The University may seek restitution for damage to University Property due to a violation of this Regulation.

**E. Exceptions:**

Activities otherwise prohibited by this Regulation may be allowed as part of a University approved/sponsored program, activity or event or a program, activity, and/or event of a registered student organization provided the program, activity, and/or event has been pre-approved in writing by the President or designee.

Authority: BOG Regulation 1.001. History- New \_\_\_\_\_, 2012.

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**THE FLORIDA INTERNATIONAL UNIVERSITY  
BOARD OF TRUSTEES**

June 14, 2012

**Subject: Proposed 2012-13 - Fixed Capital Outlay Budget**

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**Proposed Board Action:**

Approve Florida International University's 2012-13 Fixed Capital Outlay Budget and authorize the University President to amend the budget as necessary, consistent with Florida Board of Governors and Florida International University Board of Trustees (the BOT) directives and guidelines.

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**Background Information:**

Section 1013.61, Florida Statutes, requires that the BOT adopt a capital outlay budget for the ensuing year in order that the capital outlay needs of the Board for the entire year may be well understood by the public.

Florida Board of Governors Regulation 1.001 (6)(a) University Board of Trustees Powers and Duties, provides, in relevant part, that each board of trustees shall submit an institutional budget request, including a request for fixed capital outlay to the Board of Governors for approval in accordance with the guidelines established by the Board of Governors.

The capital outlay budget is part of the annual budget and shall be based upon and in harmony with the Board's capital outlay plan. The budget shall designate the proposed capital outlay expenditures by project for 2012-13 from all fund sources, as amended. The Capital Outlay Budget governs the University's capital expenditures during the year.

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**Supporting Documentation:** 2012-13 Capital Outlay Budget Request for Florida International University

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**FLORIDA INTERNATIONAL UNIVERSITY  
BOARD OF TRUSTEES**

**PUBLIC EDUCATION CAPITAL OUTLAY PROJECTS (PECO)**

Utilities / Infrastructure / Capital Renewal / Roofs (P, C, E)	\$0 <sup>1</sup>
Fixed Capital Projects	\$0 <sup>2</sup>
Minor Building Repair and Maintenance	\$563,500 <sup>3</sup>
<b>TOTAL 2012-2013 CAPITAL OUTLAY BUDGET</b>	<b>\$563,500</b>

P = Planning

C = Construction

E= Equipment

1. State University System (SUS) Total was \$0
2. SUS Total was \$24M funded from new lottery funds
3. SUS Total was \$7M funded from PECO cash

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**THE FLORIDA INTERNATIONAL UNIVERSITY  
BOARD OF TRUSTEES**

June 14, 2012

**Subject: Request for Approval of Florida International University's 2013-14 Fixed Capital Outlay Legislative Budget Request, consisting of the five-year Capital Improvement Plan**

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**Proposed Board Action:**

Approve FIU's 2013-14 Fixed Capital Outlay Legislative Budget Request, consisting of the five-year Capital Improvement Plan and authorize the University President to amend the Legislative Budget Request as necessary, consistent with Florida Board of Governors and Florida International University Board of Trustees (the BOT) directives and guidelines.

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**Background Information:**

Section 1013.64(4)(a), Florida Statutes, requires the BOT to update annually its fixed capital outlay budget request. In addition to Public Education Capital Outlay projects, the capital request will include Capital Improvement Trust Fund projects approved by the Student Government, projects from other state sources and projects from non-state sources including debt. It is anticipated that Florida International University will have the opportunity to submit a revised Fixed Capital Outlay Budget Request to the Florida Board of Governors in December 2012.

The Fixed Capital Outlay Budget Request governs the University's proposed capital expenditures during the next five years. The Fixed Capital Outlay Budget Request must be approved annually by the BOT.

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**Supporting Documentation:**

Five-year Capital Improvement Plan and Legislative Budget Request, *Fiscal Years 2013-14 through 2017-18*

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**STATE UNIVERSITY SYSTEM**  
**Five-Year Capital Improvement Plan (CIP-2) and Legislative Budget Request**  
 Fiscal Years 2013-14 through 2017-18

**Florida International University**

BOT Meeting  
6/14/2012

**PECO-ELIGIBLE PROJECT REQUESTS**

Priority No.	Project Title	2013-14	2014-15	2015-16	2016-17	2017-18
1	FACILITIES INFRASTRUCTURE /CAPITAL RENEWAL - UW (P,C,E)	\$10,500,000	\$10,500,000	\$10,500,000	\$10,500,000	\$10,500,000
2	STUDENT ACADEMIC SUPPORT CENTER - MMC, BT-882 (C,E)	\$7,853,025				
3	STRATEGIC LAND ACQUISITION - UW (A)	\$2,000,000	\$2,000,000	\$2,000,000	\$2,000,000	\$2,000,000
4	SATELLITE CHILLER PLANT EXPANSION - MMC (P,C,E)	\$7,000,000				
5	HUMANITIES CTR., (ARTS & SCIENCES) - MMC (P,C)(C,E)	\$23,370,000	\$6,000,000			
6	REMODEL/RENOV. OF EXIST. EDUC. SPACE - MMC (P,C,E)(P,C,E)		\$20,000,000	\$8,000,000		
7	GREEN LIBRARY ADDITION - MMC (P)(C)(E)		\$13,500,000	\$26,000,000	\$6,250,000	
8	CLASSROOM/OFFICE, (ACADEMIC III) - BBC (P,C)(C,E)		\$3,385,000	\$15,400,000	\$6,215,000	
9	GRADUATE SCHOOL OF BUSINESS, Phase II - MMC (P,C)(C,E)(C,E)		\$2,710,000	\$14,000,000	\$10,000,000	\$5,290,000
10	SCIENCE LABORATORY COMPLEX - MMC (P,C)(C)(C,E)				\$29,750,000	\$21,250,000
11	REMODEL/RENOV. OF STUDENT ACADEMIC SUPPORT - BBC (P,C,E)(P,C,E)				\$20,500,000	\$2,500,000
12	REMODEL/RENOV. OF ACADEMIC DATA CENTER - MMC (P,C,E)(P,C,E)				\$12,775,000	\$7,557,500
13	ENGINEERING BUILDING - EC (P,C)(C,E)				\$1,000,000	\$11,500,000
14	TRAINING COMPLEX - MMC (P,C)(P,C,E)				\$1,200,000	\$13,200,000
15	HONORS COLLEGE - MMC (P,C)(C,E)				\$2,000,000	\$25,000,000
16	SOCIAL SCIENCE, Phase II - MMC (P,C)(C,E)				\$10,500,000	\$12,000,000
<b>TOTAL</b>		<b>\$50,723,025</b>	<b>\$58,095,000</b>	<b>\$75,900,000</b>	<b>\$112,690,000</b>	<b>\$110,797,500</b>

## CITF PROJECT REQUESTS

Priority No.	Project Title	2013-14	2014-15	2015-16	2016-17	2017-18
1	GRAHAM UNIVERSITY CENTER - MMC (P,C,E)	\$7,500,000				
2	RECREATION CENTER EXPANSION - MMC (P,C,E)	\$7,500,000				
3	WOLF UNIVERSITY CENTER RENOVATIONS - BBC (P,C,E)	\$2,900,000				
<b>TOTAL</b>		<b>\$17,900,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

## REQUESTS FROM OTHER STATE SOURCES

Priority No.	Project Title	2013-14	2014-15	2015-16	2016-17	2017-18
1	PARKVIEW HOUSING II - MMC (P,C,E)					
2	PG-6 - MMC, (P,C,E)					
3	RESEARCH 1 - MMC, (P,C,E)					
<b>TOTAL</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

## REQUESTS FROM NON-STATE SOURCES, INCLUDING DEBT

Priority No.	Project Title	2013-14	2014-15	2015-16	2016-17	2017-18
1	MEDICAL ARTS PAVILION - MMC, (P,C,E)					
2	CONFERENCE CENTER - MMC (P,C,E)					
<b>TOTAL</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**THE FLORIDA INTERNATIONAL UNIVERSITY  
BOARD OF TRUSTEES**

June 14, 2012

**Subject: Mixed-Use Auxiliary Building—Amendment to Prior Approval**

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**Proposed Board Action:**

Approve the request to expand the size and budget of the mixed-use “Management and New Growth Opportunities (MANGO)” building on the west side of the FIU Modesto A. Maidique Campus (MMC).

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**Background Information**

On March 30, 2011, the Florida International University Board of Trustees (the BOT) approved the construction of the mixed-use building on the west side of the MMC campus, between the Ryder Business Building and the College of Law. This building, formally named MANGO, was to contain approximately 84,000 square feet of space to accommodate academic advising space, classrooms and administrative offices to support professional education and market rate programs in the College of Business and to accommodate space for food venues and dining for the FIU Business Services Division. The projected cost was approximately \$22,280,000, funded from auxiliary fund balances of the units that will occupy the building. Legislative authorization was included in the 2011 General Appropriations Act, Senate Bill 2000, Section 11 “Mixed Use Auxiliary Building.”

As a result of an expanded program, including space for additional College of Business departments, faculty offices, the Pino Entrepreneurship Center and space for the Center for Leadership, BOT approval is requested to expand the building from 84,000 square feet to 107,000 square feet. The total cost is projected to increase from \$22,280,000 to \$35,703,000. This includes \$7,323,000 for additional construction costs and \$6,100,000 for kitchen, catering and service equipment and for furnishings.

The projected completion date is late 2013. The MANGO building will meet the expansion needs of the College of Business, FIU Online, the Center for Leadership and the FIU Business Services Division.

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**Supporting Documentation:** N/A

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**THE FLORIDA INTERNATIONAL UNIVERSITY  
BOARD OF TRUSTEES**

June 14, 2012

**Subject: Tenure as a Condition of Employment Nomination**

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**Proposed Board Action:**

Approve one (1) candidate for Tenure as a Condition of Employment (TACOE).

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**Background Information:**

Pursuant to Florida Board of Governors Regulation 1.001(5)(a) University Board of Trustees Powers and Duties, each board of trustees shall provide for the establishment of the personnel program for all of the employees of the university, including but not limited to tenure.

The TACOE nominee was under tenure review at his previous institution, and Florida International University was able to obtain material accumulated for that review in order to conduct its own full review. As a result of the review conducted by FIU, the candidate has been selected to receive TACOE based on the caliber of his work.

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**Supporting Documentation:**

Tenure as a Condition of Employment Nominee

Attachment 1 – Tenure as a Condition of Employment  
Nominee’s Bio

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**Florida International University**  
**2011 - 2012 Tenure (as a Condition of Employment) Nomination**

<b>NAME</b>	<b>DEPARTMENT</b>	<b>PROPOSED RANK</b>
<b>College of Arts and Sciences</b>		
Mark B. Padilla	Global and Sociocultural Studies	Associate Professor

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**Mark B. Padilla**  
Department of Global and Sociocultural Studies  
College of Arts and Sciences

Dr. Mark B. Padilla received his PhD in Anthropology at Emory University, where he was a National Science Foundation pre-doctoral fellow and a fellow at the Center for Health, Culture and Society (CHCS). CHCS funded Dr. Padilla's MPH training in International Health between 1996 and 1998, as a means of creating academic and applied linkages between doctoral students in the social sciences and the Rollins School of Public Health. Dr. Padilla was in the first cohort of fellows to participate in this training program, which has had great success at Emory. At the post-doctoral level, Dr. Padilla was a fellow at the Center for AIDS Prevention Studies (CAPS), where he participated for three years in the "HIV/AIDS Prevention in Minority Communities" visiting professor program. Dr. Padilla is a medical anthropologist with cross-training and programmatic experience in global health in Latin America and the Caribbean. Dr. Padilla has been an Assistant Professor in the University of Michigan School of Public Health. Since 1996 he has conducted mixed-method ethnographic research in the Dominican Republic, focusing on the social effects of demographic and political-economic changes on sexuality among young men. His award-winning book, *Caribbean Pleasure Industry: Tourism, Sexuality, and AIDS in the Dominican Republic* (University of Chicago Press, 2007) examines the influence of the tourist industry on Dominican men's sexuality, their sexual practices/identities, and the HIV/AIDS epidemic in the Caribbean. More recently, he has conducted projects on gay and bisexual long-term survivors of HIV/AIDS in New York City; transgendered sex workers in the eastern Dominican Republic and Puerto Rico; and the structural context of homelessness and youth sexual vulnerability in Detroit, Michigan. He teaches courses on medical anthropology, global health, and qualitative methods in Public Health.

Dr. Padilla has several ongoing funded research projects. He is PI on the Ford Foundation-funded study, "Economic Crisis, Residential Instability, and Changing Geographies of Detroit Youth." Detroit has become the epicenter of the state's HIV epidemic; the fastest growing HIV incidence for four consecutive years has been among ethnic minority youth. Using a human rights framework and close community partnerships with three organizations serving marginalized youth in Detroit, the overall purpose is to critically examine and positively transform the structural conditions that contribute to sexual vulnerability among disadvantaged youth. He is PI for the study, "High-Use Alcohol Venues: Tourism, Sex Work and HIV in the Dominican Republic," funded by the National Institute on Alcohol Abuse and Alcoholism. This is an ethnographic and survey study for intervention development focusing on alcohol and HIV risk synergies in Dominican tourism areas, Sosúa, Dominican Republic. He is also PI on the study, "Injection Practices and HIV Risk Behavior among Transgender Persons in Puerto Rico," funded by the National Institute on Drug Abuse. This mixed-methods ethnographic study represents the first systematic documentation of the drug, hormone, and silicone injection practices among members of the transgendered community in Puerto Rico. The study examines the HIV and drug use risk related to these practices. The results from the proposed study will serve to inform the development of multi-level interventions to reduce HIV and other health risks in this vulnerable and understudied community.

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**THE FLORIDA INTERNATIONAL UNIVERSITY  
BOARD OF TRUSTEES**

June 14, 2012

**Subject: Tenure Nominations**

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**Proposed Board Action:**

Approve the Tenure Nominations as specified in the Board materials.

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**Background Information:**

Pursuant to the Florida Board of Governors Regulation 1.001(5)(a) University Board of Trustees Powers and Duties, each board of trustees shall provide for the establishment of the personnel program for all the employees of the university, including but not limited to tenure.

The University President is recommending the granting of Tenure for twenty-three (23) nominees as specified in the Board materials.

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**Supporting Documentation:**

Tenure Nominations

Attachment 2 - Tenure Process

Attachment 3 - Tenure Nominees' Bios

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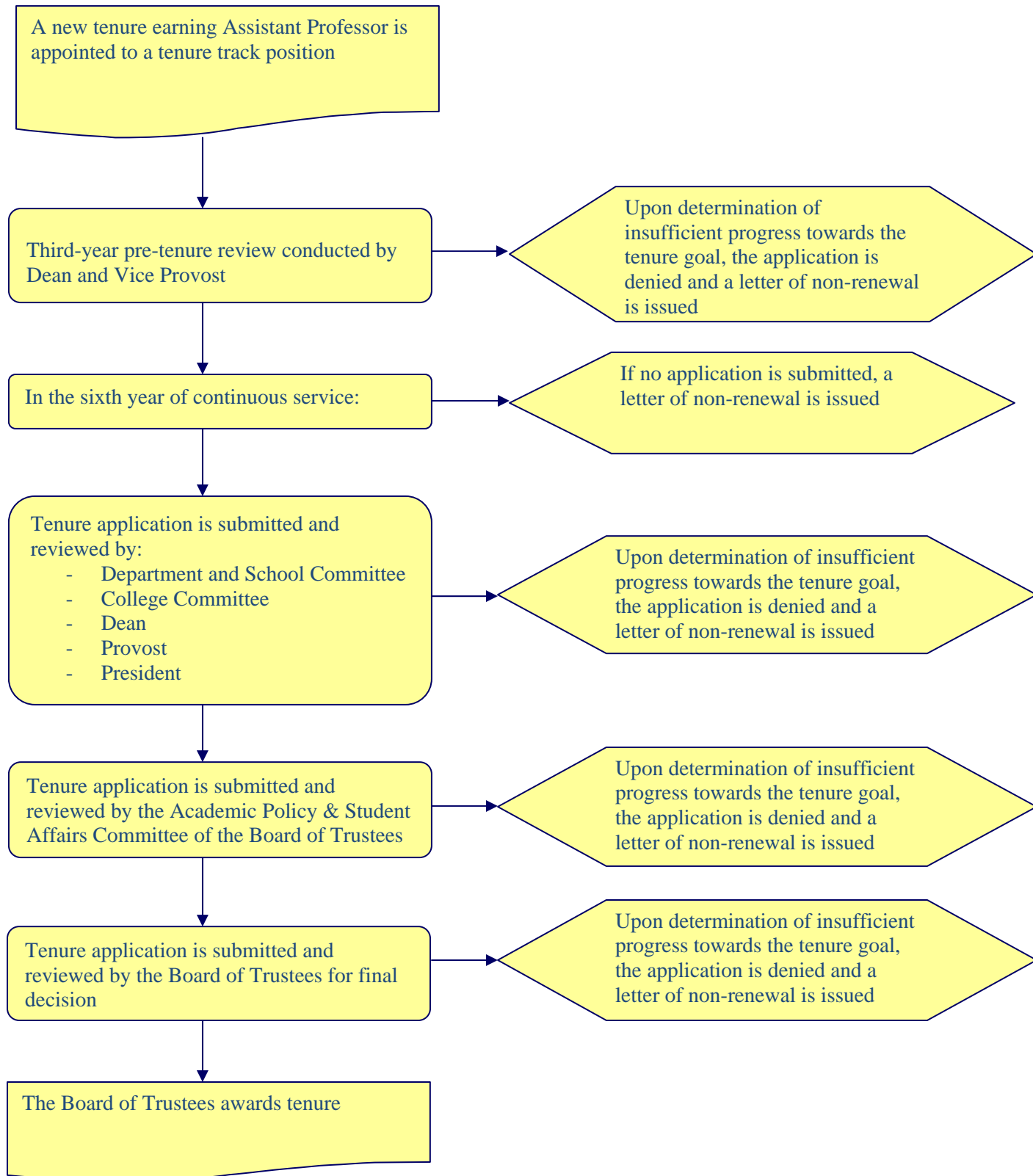
**Florida International University  
2011 - 2012 Tenure Nominations**

<b>Name</b>	<b>Department</b>	<b>Proposed Rank</b>
<b>College of Architecture and the Arts</b>		
Eric M. Goldemberg	Architecture	Associate Professor
<b>College of Arts and Sciences</b>		
John P. Berry	Chemistry and Biochemistry	Associate Professor
Prem P. Chapagain	Physics	Associate Professor
Stephen Charman	Psychology	Associate Professor
Craig Layman	Biological Sciences	Associate Professor
Anna M. Luszczynska	English	Associate Professor
Jaroslava Miksovska	Chemistry and Biochemistry	Associate Professor
Nadja Schreiber Compo	Psychology	Associate Professor
Chantalle Francesca Verna	History	Associate Professor
Ping Zhu	Earth and Environment	Associate Professor
<b>College of Business Administration</b>		
Abhijit Barua	Accounting	Associate Professor
Nathan J. Hiller	Management and International Business	Associate Professor
Xiaoquan Jiang	Finance and Real Estate	Associate Professor
Edward R. Lawrence	Finance and Real Estate	Associate Professor
Antoinette L. Smith	Accounting	Associate Professor
Debra VanderMeer	Decision Sciences and Information Systems	Associate Professor
Zhonghua Wu	Finance and Real Estate	Associate Professor
<b>College of Education</b>		
Maria L. Fernandez	Teaching and Learning	Associate Professor
<b>College of Engineering and Computing</b>		
Mehmet E. Bayraktar	OHL School of Construction	Associate Professor
Arindam Gan Chowdhury	Civil and Environmental Engineering	Associate Professor
Chenzhong Li	Biomedical Engineering	Associate Professor

**Florida International University  
2011 - 2012 Tenure Nominations**

<b>College of Law</b>		
Kerri L. Stone	n/a	Associate Professor
<b>Robert Stempel College of Public Health and Social Work</b>		
Richard C. Palmer	Health Promotion and Disease Prevention	Associate Professor

## Tenure Process



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**Eric M. Goldemberg**  
Department of Architecture  
College of Architecture and the Arts

Eric M. Goldemberg holds a Master of Science in Advanced Architectural Design from Columbia University and a 6-year Professional Degree from the University of Buenos Aires, Argentina. He began as an Assistant Professor FIU in 2006 and is the Digital Design Coordinator of the Architecture Department. He has taught as Associate Professor at Columbia University, as Visiting Assistant Professor at Pratt Institute, and as Adjunct Professor at New York Institute of Technology and New Jersey Institute of Technology. Professor Goldemberg worked in New York for Peter Eisenman as senior designer for the City of Culture of Galicia, as well as heading several international competitions. He was also project architect for Asymptote Architecture – Hani Rashid and Lise Anne Couture, developing the design for the Guggenheim Museum in Guadalajara, a Crematorium in Schiedam, Holland, and the Penang Master Plan in Malaysia. Professor Goldemberg is a licensed architect in Argentina; founding his own design research practice in 1997, MONAD Studio. MONAD Studio's practice gained national and international recognition in 2008, when it was nominated by Terence Riley and chosen as one of the 5 finalists of the prestigious PS1-MoMA competition, fostered by the Young Architects Program; the project was exhibited at the Museum of Modern Art (MoMA) in New York. MONAD Studio's entry was also exhibited in New York at the PS-1 Contemporary Art Center – YAP 10th Anniversary Review show. MONAD Studio received a bronze medal award at the 2007 Miami Beach Biennial for the Performing Arts Center project in Norway.

During his tenure at FIU, Professor Goldemberg has taught design studios and advanced digital classes focusing on digital fabrication, at both the undergraduate and graduate levels. He received funding from Spine 3D to support a series of design studio projects and digital courses focusing on waterfront urban developments in the Malecon of La Havana and Watson Island (in conjunction with graduate studio from Pennsylvania School of Design taught by David Ruy), in Miami. Professor Goldemberg received a Mellon Foundation grant awarded by The Wolfsonian-FIU to do continuous research in the museum's collections and Art Nouveau holdings, creating new courses that foster research on that period with the goal of organizing an exhibition with the title "Digital Nouveau" in 2013. He received the Paul L. Cejas Faculty Development Award in two consecutive years, the funding of which produced the organization of a very successful conference at FIU with the title "Digital Pulse in Architecture"; this two-day symposium brought to Miami seven renown contemporary designers and critics from New York and Los Angeles (Jeffrey Kipnis, Ali Rahim, Hernan Diaz Alonso, David Ruy, Ferda Kolatan, Marcelo Spina, and artist Perry Hall), contributing to build up a culture of criticality and unprecedented digital design sophistication in South Florida.

**John P. Berry**  
Department of Chemistry and Biochemistry  
College of Arts and Sciences

Dr. John Berry received a BS (1993) from University of Michigan and his Ph.D. (1998) in phytochemistry, under Dr. Eloy Rodriguez, at Cornell University. Through two separate postdoctoral National Research Service Award fellowships from the NIH (i.e. NIH-National Cancer Institute and NIH-National Institute of Environmental Health Sciences, respectively). Dr. Berry conducted research at Cornell University (1999-2000) under Dr. Rodriguez, and at University of Miami's Department of Chemistry (2000-2002) under Dr. Robert Gawley. As the Associate Director for the NIH Minority Health International Research Training (MIRT) program in the Dominican Republic, Professor Berry established an NIH-MIRT summer program in the DR which continues today. He also currently serves as Mentor and member of Training Advisory Committee for the program. Prior to joining the faculty at FIU, he was an Assistant Scientist in the Division of Marine Biology and Fisheries at the University of Miami's Rosenstiel School of Marine and Atmospheric Sciences from 2002-2006, as well as an Adjunct Professor in the Department of Physical Sciences at Barry University. In 2006, he joined FIU's Department of Chemistry and Biochemistry, and the Marine Science Program.

During his time at FIU, Professor Berry has established a research program focused on the chemical characterization of toxic or otherwise biologically active metabolites from marine and freshwater algae, and particularly toxins from the ubiquitous cyanobacteria (or "blue-green algae"), in relation to human and environmental health. His laboratory focuses on several interrelated aspects, including (1) identification, isolation and characterization of potentially novel toxins; (2) toxicological and pharmacological characterization of known algal toxins; (3) chemical characterization of the apparent bioaccumulation of cyanobacterial toxins in freshwater food-webs with respect to human and ecosystem health; and, more recently, (4) development of novel sensors for algal toxins, including transgenic zebrafish as inducible "sentinels," and deployable micro total analytical systems ( $\mu$ TAS) for remote *in situ* detection of toxins. This work has been consistently funded (since 2002) through grants, and other support, from the NIH-NIEHS, National Oceanic and Atmospheric Administration, the Great Lakes Fisheries Commission, Rio Arronte Foundation (Mexico) and FIU. To-date, Dr. Berry's laboratory has provided research opportunities for sixteen (16) undergraduate students in Chemistry and Biology; several, of whom, have pursued careers in graduate or professional schools ranging from FIU to Purdue University. Professor Berry has graduated a total three M.Sc. students in Chemistry, and currently has two students –Chemistry and Biology– conducting doctoral research in his laboratory. Additionally, for the past three years, Professor Berry has served as Mentor for the American Chemical Society's SEED Program providing summer research opportunities for exceptional high school students interested in pursuing careers in Chemistry.

**Prem P. Chapagain**  
Department of Physics  
College of Arts and Sciences

Dr. Prem P. Chapagain received his Master's degree from Tribhuvan University, Nepal in 1998 and his Ph.D. in Physics from FIU in 2005. After the completion of his Ph.D., he joined the department of Population Medicine and Diagnostic Sciences at Cornell University as a postdoctoral research associate. He joined the department of Physics at FIU as an Assistant Professor in 2006. Dr. Chapagain's research in biological physics focuses on the protein dynamics, folding, and protein aggregation which involve applying computational and statistical mechanical techniques to understand how a protein folds to its biologically functional configuration as well to understand how misfolding of proteins leads to protein aggregation and fibril formation, a process that is implicated in many serious diseases such as Alzheimer's and Parkinson's. His current research topics include detailed molecular-level understanding of the formation process of amyloid fibrils. This is crucial for developing methods to slow down or prevent these diseases. More recently, Professor Chapagain has also been investigating the structure and dynamics of Fluorescent Proteins, for which he has received National Institute of Health funding through National Institute of General Medical Sciences. The goal of this research is to use computational investigations to design monomeric red fluorescent proteins with enhanced photostability and fluorescent properties. Fluorescent proteins are extremely valuable biochemical markers in molecular and cell biology that allow monitoring of cellular biology on a molecular level. Fluorescent proteins are used to elucidate the biochemical pathways of healthy cells, and uncover the molecular problems that cause diseases. For these projects, Professor Chapagain is actively collaborating with other researchers both experimentalists and theoreticians from FIU and other institutions such as University of Colorado at Boulder and University of Southern California.

Professor Chapagain has taught introductory physics courses and the corresponding physics problem solving classes. He has also taught a graduate level molecular biophysics course, which attracts students from various other departments such as biomedical engineering. Professor Chapagain has consistently received excellent teaching evaluations and remarks from students. He has advised and co-advised both graduate and undergraduate students in research. Currently, one graduate student is working towards his Ph.D. under his supervision. Professor Chapagain has served on several departmental and college level committees at FIU. He has reviewed journal articles and grant proposals. He has also served as a judge for Scholarly Forum, a research competition at FIU and as a science fair judge for Miami-Dade County public schools.

**Stephen Charman**  
Department of Psychology  
College of Arts and Sciences

Dr. Stephen Charman received his Bachelor of Science degree in psychology from Queen's University (in 2001), and his Ph.D. in social psychology from Iowa State University (in 2006) under the advisement of Gary Wells, a leading expert in eyewitness psychology research. He joined the psychology department at FIU in the fall semester of 2006. He has published 11 empirical articles in high quality journals, 1 book chapter, and 2 encyclopedia entries. He has received numerous small internal grants, has recently received external funding in the form of an American Psychology-Law Society Early Career Psychologist Grant, and has applied for further external funding through the National Science Foundation. He is a member of multiple professional societies (including the American Psychology-Law Society, the Society for Research in Memory and Cognition, among others), and has presented his research in over a dozen talks. He has directly advised, and continues to advise, multiple doctoral students (his first doctoral student graduated in 2010), has served on 15 additional graduate-level committees (both thesis and dissertation) and 7 undergraduate Honor's committees (of which he has directly supervised four), and continues to conduct research with numerous graduate and undergraduate students. He maintains an active research lab, advising approximately 10 undergraduate students per semester.

Professor Charman is a legal psychologist specializing in various ways in which psychological issues interface with the legal system. His research focuses primarily on eyewitness memory, and in particular the investigation of lineup techniques that aid or hinder eyewitness identification accuracy, but he has also published psycholegal research investigating suspects' abilities to generate alibis, biasing influences on criminal investigators' and jurors' beliefs, and novel age-progression techniques to help recover missing children. His research has emphasized theory development in a field that has often lacked theoretically-driven approaches.

**Craig Layman**

Department of Biological Sciences  
College of Arts and Sciences

Dr. Craig Layman received his Ph.D. in 2004 from Texas A&M University, and then spent two years as post-doctoral fellow at Yale University. In 2006 he began as an assistant professor at FIU with a research program focused on the fundamental principles that underpin the structure and function of food webs. His research explores how organisms mediate energy flow in ecosystems through both top-down (e.g., predation) and bottom-up (e.g., nutrient cycling) processes. He employs two of the most pervasive human impacts in coastal ecosystems -over-exploitation of top predators and ecosystem fragmentation -as core hypothesis testing frameworks. His research encompasses community ecology, addressing population, ecosystem and evolutionary questions. This integrated, interdisciplinary, research program provides for a multi-faceted understanding of how humans are driving ecological change across multiple ecosystem types. Professor Layman's research has awarded ~\$1.2 million to FIU, including an NSF CAREER AWARD and a second NSF grant. As of last year, Professor Layman had published (or had in press) 63 papers, all in refereed journals, and an additional 4 book chapters. His papers have been cited over 909 times. He has at least 15 papers that have been cited 15 times and an additional 7 papers in review. He has reviewed a total of 82 manuscripts for refereed journals since his employment at FIU, as well as proposals for multiple funding agencies. He also served on a National Science Foundation Biological Oceanography Panel in 2010.

Professor Layman has served as advisor or co-advisor for 8 Ph.D. students and 2 M.S. students. He has graduated one M.S. student at FIU, and one M.S. and one Ph.D. for which he co-advised with a faculty member at another university. He has served on a total of 31 graduate committees during his tenure. This service includes 6 students from other universities, suggesting an appreciation of his role as a mentor outside of FIU. Since 2006, he has had 52 graduate or undergraduate co-authors. In addition to teaching undergraduate courses, he developed Coastal Marine Conservation for the curriculum—which utilizes a unique dual campus format. That is, he teaches one day at each FIU campus, using a polycom system to broadcast both ways. He works closely with The Nature Conservancy, The Bahamas National Trust and many local NGOs in an effort to transform the way science is being conducted in the Bahamas. His core goal is to provide a paradigmatic example of how scientists, while maintaining the quality and scope of their on-going research, can better integrate their research programs with local communities to substantially improve the nature of environmental education.

**Anna M. Luszczynska**  
Department of English  
College of Arts and Sciences

In 1991, Dr. Anna M. Luszczynska received her BA in Philosophy from the University of Maine Summa Cum Laude. In 1993 she entered the State University of New York at Buffalo's doctoral program in Comparative Literature with a full Schomberg Minority Fellowship for Graduate Study. In December 2003 she received her Ph.D. and in 2004 began as an Assistant Professor in FIU's English Department. During her time at SUNY Buffalo Luszczynska focused on contemporary French philosophy, and 20<sup>th</sup> century U.S. Latino and African-American literatures, a philosophical and literary combination rarely engaged. Additionally, in 2001, while still enrolled as a graduate student, Luszczynska relocated to Maine as advanced lecturer at the University of Maine. Since joining FIU's faculty, Professor Luszczynska completed a 200-page monograph entitled *The Ethics of Community* which received two positive readers' reports, unanimous board approval, and is in press from Continuum Press. She has also published two lengthy scholarly articles in leading tier 1 journals of Comparative Literature and Continental Philosophy, *The New Centennial Review* and *Philosophy and Social Criticism*, respectively. These pieces garnered significant attention, referenced in both books and journal articles from diverse fields such as Geography, Philosophy, and Literature. Professor Luszczynska has also presented her scholarship at numerous national and international conferences and has been invited to participate in panels devoted to the intersections between philosophy, cultural studies, and literature. The success of these various research endeavors prompted sufficient scholarly recognition that Luszczynska was solicited to contribute to *Jean-Luc Nancy: Justice, Legality, World* edited by Dr. Ben Hutchens, forthcoming from Continuum Press in 2012. This volume comprises cutting edge work from leaders in Nancy studies as well as a keynote article from Nancy himself.

Creating innovative courses that reflect her unique scholarly vision, Professor Luszczynska's classes have consistently been both eagerly sought after and highly evaluated by her students. In response to student requests, she instituted bi-weekly Saturday meetings for interested students to further their coursework and engagement with the materials presented in class. Saturday sessions also provided an opportunity for students to discuss graduate school possibilities and ramp-up their preparation. Professor Luszczynska's commitment to the mentorship of graduate students is clearly evident in her extensive participation on thesis committees—serving on twenty-eight MA thesis committees. Her research agenda in community and ethics is instrumental to her volunteer efforts at George W. Carver, a Title 1 Elementary School in Miami-Dade County. At Carver, she has been an active member of the PTA, a volunteer for the Drama club, and most importantly, the Title 1 Liaison for the school. As liaison, Professor Luszczynska advocates for the 69% of the student body that receives free and reduced lunch. Her role as liaison is arguably one of the most crucial manifestations of her scholarly work and one that continues to inform its evolution.

**Jaroslava Miksovská**  
Department of Chemistry and Biochemistry  
College of Arts and Sciences

Dr. Jaroslava Miksovská received a MS degree in biochemistry from Charles University in Prague in 1994 and was then accepted to the Ph.D. program in enzymology at University Paris XI, Orsay, France. She worked on her dissertation thesis under supervision of Professor Pierre Sebban. The thesis was focused on determination of the molecular mechanism of electron transfer coupled proton transport in photosynthetic bacterial reaction centers. Professor Miksovská defended her thesis in June 1998 and subsequently accepted a postdoctoral fellow position in the laboratory of Prof. Randy W. Larsen in the Department of Chemistry at University of Hawaii in Manoa (2000-2001) and later in the Department of Chemistry at University of South Florida (2002-2004). During her post-doctoral training, Professor Miksovská has employed photothermal techniques to study the mechanism of energy transduction in cytochrome c oxidases as well as photochemistry of small molecules such as caged compounds and caged peptides. In 2004 Dr. Miksovská accepted a position of an Assistant Professor in the Department of Chemistry at Marshall University, West Virginia. During her three years at Marshall University, Professor Miksovská's research focused on determination the mechanism of ligand migration in peroxidases as application of photothermal techniques to determine time-resolved thermodynamics associated with the ligand binding to  $\text{Ca}^{2+}$  transducers. Her work was supported by the Beginning Grant-in-Aid from American Heart Association (2006-2008). At Marshall University, Professor Miksovská supervised two master degree students and several undergraduate students and her work resulted in publications in peer review journals (Journal of Physical Chemistry B, Biomacromolecules, Journal of Inorganic Biochemistry).

In August 2007, Dr. Miksovská accepted an Assistant Professor position in the Department of Chemistry and Biochemistry at FIU. Here Dr. Miksovská developed a research program that focuses on understanding how conformational dynamics contribute to protein function with focus on two protein families, vertebrate hexacoordinate globins and neuronal calcium sensors. This research program is supported by research grants from the National Science Foundation and the James and Esther Biomedical Research Program. Results from these on-going projects have been published in peer reviewed journals (e.g., Biochemistry, Biophysical Journal) and presented at national meetings (e.g., Biophysical Society, American Chemical Society). During her four years tenure at FIU, Professor Miksovská supervised two MS degree students who graduated in 2010 and she is currently supervising two Ph.D. and two M.S. degree students. In addition, Professor Miksovská has worked intensively with the undergraduate students at FIU as a supervisor for undergraduate research projects (total of 10 students) and the advisor of the Chemistry Club. At the national level, Professor Miksovská has served as a reviewer for grant agencies and as a reviewer for numerous scientific journals.

**Nadja Schreiber Compo**  
Department of Psychology  
College of Arts and Sciences

Dr. Nadja Schreiber Compo received her B.A. in Psychology at the University of Trier, Germany, and her M.S. and Ph.D. from the Westfälische Wilhelms-Universität in Münster, Germany. From 2000-2002, she collaborated with Drs. Janat Parker and Ron Fisher at FIU on her legal psychology research funded via a postdoctoral fellowship award by the prestigious German Academic Exchange Service. She continued her research throughout her years as a Visiting Assistant Professor at FIU (2003), as an Assistant Scientist (2003-2004) and a Research Assistant Professor (2004-2005) at the Center for Family Studies at the University of Miami's Miller School of Medicine. Her career as an Assistant Professor in the Department of Psychology's Legal Psychology Program began in 2005. Within the area of legal psychology, she has been fascinated with the area of investigative interviewing, particularly of potentially vulnerable witnesses. Her research explores under-researched witness and interviewer populations, potentially helpful and detrimental interviewing techniques, and other 'players' in the legal field to define the context in which (interviews of) witnesses are positioned. To explore the field systematically, Nadja Schreiber Compo has developed innovative and labor-intensive designs, and has included multiple methodologies: experimental lab studies, experimental field studies and field surveys. For this purpose, she has created and maintained a large research lab consisting of a tight network of doctoral and undergraduate students, who all share her excitement and work ethic to tackle this field. In addition to her own lines of research, she continues to use her research (lab) as a learning and career opportunity for promising undergraduate and graduate students.

Dr. Nadja Schreiber Compo's career in legal psychology involving many different research collaborations, both in Europe and the United States, has resulted in 20 publications so far, ten of them in top-tier peer-reviewed journals, including one book. Professor Schreiber Compo and her students have received numerous awards. While establishing and publishing her novel line of research on intoxicated witnesses, she has continuously applied for external funding and has received promising reviews (e.g., from NSF). Her research has been featured in *ScienceDaily*, *ScienceNews*, and FIU's Worlds Ahead campaign. She has been invited to train local and federal law enforcement, therapists and counselors, to join a national eyewitness memory expert panel to file a brief as amicus curiae in the U.S. Supreme Court, and has provided expert witness consultations in numerous court cases.

**Chantalle Francesca Verna**

Department of History  
College of Arts and Sciences

Dr. Chantalle Francesca Verna's education includes training in history (Ph.D., Michigan State University 2005), history and urban studies (M.A., Michigan State University 2000), political economy (B.A., Tulane University), and African and African Diaspora Studies (Certificate, Tulane University). Following her graduate and doctoral studies, Verna returned in 2003 to launch a career where she could contribute to intellectual developments in one of the United States' most dynamic and promising urban centers—Miami. In 2005, she joined FIU's faculty as an Assistant Professor of History and International Relations. She is an expert on the histories of Haiti and the United States, with a specialized interest in inter-American relations, 20th century internationalism and the uses of knowledge for development.

Professor Verna is the author of *The Uses of America: Haiti, the United States, and Rapprochement Culturel during the Post-Occupation Years, 1930-1950* (Cornell University Press, 2012. In press), articles in *Diplomatic History* and the *Journal of Haitian Studies*, and a contributor to *Geographies of the Haitian Diaspora* (Routledge, 2011). She has initiated research for two new books: *Blood on Friendly Hands: Haitian Responses to Anti-Haitian Violence in the Dominican Republic 1937-39* and *Back to Africa: New Narratives through the Study of Haitian Expert Migrants in Congo and Beyond, 1958-71* and is co-editing *The Haiti Reader* for Duke University Press. The Social Science Research Council, Florida International University, the University of Miami, the University of Florida, and Rockefeller Archives have funded Verna's research. Scholars recognize her as an innovative, well-informed and engaging peer. They have welcomed her interventions at major academic conferences, invited her to give keynote lectures at prestigious colleges and universities, and asked her to serve as a reviewer for scholarly journals, academic and commercial presses. Professor Verna's courses explore an array of topics including decolonization, migration, transnationalism, foreign interventions and international aid for development. Verna has also supervised and serves on thesis and dissertation committees for FIU's School of International and Public Affairs. Additionally, she is an active member of the Digital Library of the Caribbean academic board. She has consulted the producers of two PBS documentaries, several South Florida history exhibits and oral history projects. She has shared her expertise with print, television, radio, and online journalists.

**Ping Zhu**

Department of Earth and Environment  
College of Arts and Sciences

Dr. Ping Zhu is an assistant professor in Atmospheric Science, Department of Earth and Environment, FIU. He obtained his Ph.D. in Meteorology & Physical Oceanography in 2002 at the Rosenstiel School of Marine and Atmospheric Science (RSMAS), University of Miami. Dr. Zhu's research focuses on the atmospheric phenomena linked to the boundary layer, the lowest part of the atmosphere that is directly affected by diurnal heating, turbulent motion, heat, moisture, and momentum transfer from/or to Earth's surface. He has published more than 20 research articles in refereed scientific journals. Since entering the tenure track in Spring 2006, Dr. Zhu has been awarded research grants as Primary Investigator totaling ~\$1.7 M from National Science Foundation (NSF), National Oceanic and Atmospheric Administration, Department of Energy (DOE), and BP. In 2009, he also received a NSF CAREER award. He supports a total of five graduate students with research assistantships.

Over the past five and half years, Professor Zhu has taught 6 undergraduate courses, and 4 graduate courses, including graduate seminar. He graduated one M.S. student in 2010. Professor Zhu has served on two panel reviews for the Department of Energy: DOE Atmospheric System Research Cloud Life Cycle; and DOE Biological and Environmental Research Climate Modeling Program. From 2006-2011, he reviewed 5 proposals for NSF, 24 research papers for scientific journals: *Journal of Geophysical Research*, *Geophysical Research Letters*, *Monthly Weather Review*, *Journal of Atmospheric Sciences*, *Weather and Forecasting*, *Quarterly Journal of the Royal Meteorological Society*; *Atmospheric Research*; and one book for Pearson Publisher.

**Abhijit Barua**  
School of Accounting  
College of Business Administration

Dr. Abhijit Barua received his Ph.D. degree in Accounting from Louisiana State University (LSU) in 2006. Prior to his Ph.D. program, he worked for Procter & Gamble for two and a half years in different areas of corporate financial and managerial accounting. Professor Barua has three years of academic experience as a full-time faculty member in leading business schools of Bangladesh, where he taught financial and managerial accounting courses at the graduate and undergraduate level. During his time at LSU, he taught financial accounting courses for undergraduate students. Professor Barua's primary area of research interest is financial reporting, where he focuses on earnings management and financial reporting quality. His secondary area of research interest is corporate governance and auditing. Professor Barua's research papers have been published in several premier and high quality journals. Two of his publications are in *The Accounting Review* – widely acclaimed as the top journal in Accounting. Four of his other papers have been published in journals deemed premier by the College of Business Administration, including *Accounting Horizons*, *Journal of Accounting and Public Policy*, and *Journal of Business Finance and Accounting*.

Professor Barua is currently teaching Intermediate Accounting and the Ph.D. seminar in Accounting Research Methods on Capital Markets. Previously, he taught the Principles of Accounting course at FIU. He has received three teaching awards (including two "Best Faculty" awards and one "Most dedicated Faculty" award) over the last five years at FIU. He is committed to teaching innovation, and his efforts have been rewarded with the prestigious "*PricewaterhouseCoopers (PWC) IFRS Ready*" grant to integrate International Financial Accounting Standards in undergraduate and graduate accounting courses. Professor Barua is also an active participant of the Accounting Continuing Professional Education seminars offered at FIU. He has served as a reviewer for several premier and other journals in Accounting, and for conferences organized by the American Accounting Association. He has served on eight dissertation committees at FIU.

**Nathan J. Hiller**

Department of Management and International Business  
College of Business Administration

Dr. Nathan J. Hiller received his Ph.D. from The Pennsylvania State University in 2005 in Industrial/Organizational Psychology. He is an Assistant Professor in the Department of Management and International Business at FIU. Before joining the College of Business, Professor Hiller was an Assistant Professor in the Department of Psychology at FIU (from January 2006 – August 2008). He Professor Hiller's major research interest is in the area of leadership science, with three primary foci within that domain: 1) the strategic implications of CEO personality including executive narcissism, 2) alternative forms of leadership, and 3) taking a macro view of leadership science in order to identify future research needs of the field. He has a total of 8 publications, 7 of which are in journals with 2010 impact factors ranging from 2.90 to 5.25. Five of these publications are within the FIU College of Business' "premier" list, and two are among the UT Dallas list of eminent journals. His work is gaining increasing recognition as indicated by citation count (115 non self-citations according to Thomson ISI's Web of Science), and he gave an Invited Talk on the future of leadership research at a major international scholarly conference. A paper he recently published (July 2011) in the *Journal of Management* was one of the 5 most downloaded articles in the entire journal archive for the months of both June and July, 2011.

Professor Hiller is also a Fellow of the FIU Center for Leadership where he is Faculty Director of *The High Potential Leader* program, a 4-day leadership development program for promising senior managers. He also sits on the Academic Advisory Board of the Center for Leadership. In addition to his work with the Center for Leadership, Professor Hiller also works with Executive and Professional Education programs in the College, and has delivered programs and sessions for Hewlett Packard, Norwegian Cruise Lines, and Beckman Coulter. He serves his profession by reviewing for many of the top journals, and was appointed in January 2012 to the Editorial Board of the *Journal of Applied Psychology* (a "premier" journal in Management, and which is included on the Financial Times list of elite business school journals). Professor Hiller served on the William Owens Scholarly Achievement Award committee in 2010 as one of the 9 individuals voting on the most impactful article in the field of Industrial/Organizational Psychology. Professor Hiller has taught, and continues to teach at the undergraduate, M.S., M.B.A., and Ph.D. levels, with an overall class-weighted average of 4.57 out of 5 across all classes and levels. He actively mentors graduate students and currently sits on the dissertation committees of 10 doctoral candidates.

**Xiaoquan Jiang**

Department of Finance and Real Estate  
College of Business Administration

Dr. Xiaoquan Jiang received his Ph.D. in Finance from the University of Houston (Houston, TX) in 2002, MS in Economics from Texas A&M University in 1998, and a Bachelor's degree in Electronic Engineering from The Electronic University of Science and Technology of China in 1984. Prior to joining in FIU, he taught at Sichuan University (Chengdu Sichuan China), University of Houston, University of Alaska, and University of Northern Iowa.

Professor Jiang's research focus is on empirical asset pricing and valuation, risk-return relation, financial anomalies. He has published papers in top finance journals, including the *Journal of Business*, *Journal of Banking and Finance*, *Financial Management*, *Financial Review*, *Journal of Real Estate Finance and Economics*, *Financial Markets and Portfolio Management*, *Journal of Property Research*. Professor Jiang has served as a referee for the *Financial Management*, *Journal of Banking and Finance*, *Asia-Pacific Journal of Financial Studies*, *European Financial Management*, *Financial Market and Portfolio Management*, *Financial Review*, *International Economics and Finance Journal*, *Pacific-Basin Finance Journal*, *Quantitative Finance*, and *Quarterly Review of Economics and Finance*. He has received several best paper awards and research funds, such as the European Public Real Estate Association 2011 Research Grant Award, the FIU 2011 Kauffman Professors Program Award, Swisscanto Best Paper Award of the year 2010 by Swiss Society for Financial Market Research, FIU CIBER faculty research award 2010, and American Association of Individual Investors Award for the Best Paper in Investments Southern Finance Association Conference 2004. From 2008 to 2010, five of Professor Jiang's working papers are on the "Top ten download list on SSRN" (Social Science Research Network). Professor Jiang also regularly has served as presenter, chair, and discussant in national and international finance conferences.

**Edward R. Lawrence**

Department of Finance and Real Estate  
College of Business Administration

Dr. Edward R. Lawrence received his Master's and Doctoral degrees in Business Administration from University of Nebraska, Lincoln respectively in 2003 and 2005. Prior to his studies at University of Nebraska, Lincoln, he worked for Tata Engineering in India, from 1995 to 2001. Professor Lawrence graduated from the Indian Institute of Technology, Kanpur, India in 1995 with a Bachelor in Technology in Material Science and Metallurgy. Presently, he is a faculty member in the Department of Finance and Real Estate in the College of Business.

Professor Lawrence's research interests are in asset pricing, behavioral finance and banking and financial institutions. His research has been published in the *Applied Financial Economics*, *Financial Analyst Journal*, *Finance India*, *International Journal of Banking Finance*, *International Journal of Finance*, *Journal of Behavioral Finance*, *Journal of Business Finance and Accounting*, *Journal of Emerging Markets*, *Journal of Modern Accounting and Auditing*, *Managerial Finance*, *Quarterly Journal of Business and Economics*, and the *Nanyang Business Review*. He has presented papers in several professional conferences, including *Eastern Finance Association*, *Financial Management Association*, *Global Finance Conference*, *Northern Finance Association*, *South Western Finance Association*, *Southern Finance Association*, *Mid Western Finance Association* and the *Academy of Economics and Finance*. He has reviewed papers for the *Financial Review*, *Applied Financial Economics Quarterly Journal of Business and Economics* and the *International Journal of Banking and Finance*. Professor Lawrence's teaching experience includes such courses as fundamentals of corporate finance, security analysis, portfolio management, financial economics and managerial corporate finance. He has won the Best Professor Award four times in the Master of Science in Finance Program and has twice received undergraduate teaching awards.

**Antoinette L. Smith**  
School of Accounting  
College of Business Administration

Dr. Antoinette L. Smith, CPA, is an Assistant Professor in the School of Accounting where she teaches coursework in Accounting Information Systems and IT Auditing. She holds a Ph.D. from the University of South Florida, where she was the recipient of several scholarships. Most notably, she was awarded a Florida Education Fund's McKnight Doctoral Fellowship, where she was later recognized with the 2003-2004 Russell V. Ewald Award for Academic Excellence. In 2003, she won the Michael J. Barrett Doctoral Dissertation Grant from The Institute of Internal Auditors. Additionally, she received fellowships from both The PhD Project Foundation and the AICPA Scholarship for Minority Accounting Students.

After receiving her Ph.D. in 2004, Dr. Smith became an assistant professor at Miami University in Ohio from 2004 to 2006, where she received a Professor Appreciation award from Sigma Phi Epsilon and Gamma Phi Beta. She mentored a talented team of students who successfully advanced to the national level of competition in the PricewaterhouseCoopers 2004 xAct. In 2006 Professor Smith joined FIU. Most recently, the Dean appointed her as the designated Faculty Associate to lead a new program called the Ph.D. Pipeline Opportunity Program, a program designed to promote and encourage underrepresented minorities to pursue a doctorate in business. She is the 2011 PhD Pipeline Faculty Associate for her visionary leadership and extraordinary service in bringing awareness to diverse students of doctoral degree opportunities. Professor Smith's research focuses on fraud prevention and detection, computer-mediated communication, and information systems impact on business process. Her projects include the development of a Group Support System (GSS) process to support fraud brainstorming sessions, an investigation into programmers' likeliness to release confidential information through virtual communication environments, and the development of a framework for fraudulent behavior within a technology environment. Professor Smith's research has been published in journals such as *The Accounting Review*, *International Journal of Accounting Information Systems*, *Journal of Forensic & Investigative Accounting*, and *The Internal Auditor*. She is notable for being the first research scholar in the field of accounting information systems to have published an experimental study in *The Accounting Review*, which is the American Accounting Association's most notable, premier journal.

**Debra VanderMeer**

Department of Decision Sciences and Information Systems  
College of Business Administration

Dr. Debra VanderMeer received her Ph.D. in Computer Science with a concentration in Management Information Systems from Georgia Institute of Technology, Atlanta, Georgia; a M.S. in Management Information Systems from University of Arizona, Tucson, Arizona; and a B.S. in Languages from Georgetown University, Washington, District of Columbia. She has several years of research and professional experience in organizational information systems. Her primary research goal focuses on improving the efficiency and efficacy of information systems to help managers make the most of their technology budgets and make more informed business decisions. Her work appears in a variety of INFORMS, AIS, ACM, and IEEE journals and conferences.

Professor VanderMeer's primary teaching responsibilities at FIU have been in the Master of Science in Management Information Systems (MS-MIS) program, where she is responsible for the Systems Analysis and Design and Web Management courses. Her teaching efforts were rewarded in 2009, when she was voted "Best MS-MIS Professor" by the students of the Fall 2009 graduating cohort of the MS-MIS program. Professor VanderMeer also has a strong record of service to the professional community and the university. She has served on several conference program committees, and as an ad hoc reviewer for a variety of AIS, ACM, IEEE, and INFORMS journals and conferences. Within the College of Business Administration and the Decision Science and Information Systems department, she has served in a variety of roles to support the college's undergraduate, master's, and doctoral programs, including her recent appointment as Faculty Director for the MS-MIS program. Professor VanderMeer has extensive industry experience as well. She served as the Director of Technical Services for Chutney Technologies, a company venture-funded by KPCB to develop software solutions to improve the scalability, availability, and performance of web applications. Earlier in her career, she served as a Software Engineer for Tandem Computers, and in IS support roles for the Institute of International Education.

**Zhonghua Wu**

Department of Finance and Real Estate  
College of Business Administration

Dr. Zhonghua Wu received his Ph.D. degree in Business Administration from the University of Wisconsin-Madison in 2006. He graduated from Nanchang University in China with a Bachelor's degree in Economics. Since 2006, Professor Wu has been an Assistant Professor of Finance and Real Estate in the College of Business Administration at FIU. Prior to his academic career, Dr. Wu worked as a Research Associate at the National Bureau of Statistics in China.

Professor Wu studies the broad areas of real estate finance and investments with a focus on Real Estate Investment Trusts (REITs) and commercial property markets. His recent research topics include REIT capital structure and dividend policy, REIT liquidity management, and clientele effects in commercial property markets. Additionally, he conducts research on commercial brokerage, corporate finance and empirical asset pricing related to real estate markets. Professor Wu has published articles in top real estate journals including *Real Estate Economics*, *Journal of Real Estate Finance and Economics*, and *Journal of Real Estate Research*. He has served as session chair, program committee member, discussant and presented research papers at academic conferences such as the American Real Estate and Urban Economics Association Conference and the American Real Estate Society Meeting. Professor Wu has served as a referee for *Real Estate Economics*, *Journal of Real Estate Finance and Economics* and *Journal of Real Estate Research*. He teaches Real Estate Finance and Real Estate Market Analysis at the master's level, Corporate Finance Seminar at the doctoral level as well as International Financial Management at the undergraduate level. Professor Wu received two Teaching Excellence Awards from the College of Business Administration. He also received research grants from institutions such as the European Public Real Estate Association.

**Maria L. Fernandez**  
Department of Teaching and Learning  
College of Education

Dr. Maria L. Fernandez began her formal teaching career as a Miami-Dade County Public School teacher after receiving a B.S. in Mathematics Education from FIU. She later attended the University of Georgia (UGA) receiving a Ph.D. in Mathematics Education and developed her research interest on the professional development of mathematics teachers for work with diverse students and developing and exploring approaches for improving the mathematics teaching and learning of diverse learners. She worked at the University of Arizona for several years first as a visiting instructor and then as an Assistant Professor. As the sole secondary mathematics education faculty, she had many administrative responsibilities in her position. At the same time, she garnered external funding and began publishing her work. She was also elected as Chair of the 22<sup>nd</sup> Annual Psychology of Mathematics Education North American Chapter conference to be held in Tucson, Arizona and Editor of the peer-referenced proceedings. After holding the conference, Dr. Fernandez returned to Florida to continue her career as an Assistant Professor at Florida State University (FSU). While at FSU, she was involved in all facets of the Mathematics Education program and provided leadership for the program in her role as Doctoral Program Coordinator.

Professor Fernandez joined FIU in 2007 as an Associate Professor and Mathematics Education Program Leader in the Department of Curriculum and Instruction (currently, the Department of Teaching and Learning). She has published 10 manuscripts in peer-reviewed and highly-respected journals, research proceedings, and books, as well as making over 24 presentations at various international and national research conferences. She also continues seeking external funding (over one million dollars) for an innovative approach she developed for teaching prospective teachers that draws on and integrates features of Japanese lesson study and microteaching. She is currently Associate Chair of the Department of Teaching and Learning and Associate Professor and Program Leader of Mathematics Education. Additionally, she has worked extensively with faculty in the College of Education and university-wide in a variety of endeavors including externally funded projects such as Florida Promise at FIU and SEAMs, engaging in curriculum development such as taking the lead in collaboration with CAS faculty on the development of the BA in Mathematics: Mathematics Education Track, and collaborating on college and university committees including serving as co-Chair of Faculty Assembly in the College of Education. She has worked with Miami-Dade Public Schools to enhance the professional development of teachers of mathematics and has collaborated with colleagues from USF, FSU, and UF and partner districts on the development and implementation of materials for the professional development of K-12 mathematics. Currently, she serves as chair of five dissertation committees, as co-chair of one committee and as a member of five committees. She advises nine doctoral students in C&I with a focus on mathematics education. Since joining FIU, she has advised and supervised approximately 60 M.S. and Ed.S. students, including 23 who have graduated. She has been invited to serve as an Advisory Board Member of the Mathematics Teacher Education Partnership, established through APLU-Science and Mathematics Teacher Imperative, beginning in summer 2011. Furthermore, she has been repeatedly called on within the state of Florida to consult on or make presentations about lesson study, a form of teacher professional development which she investigate through her research.

**Mehmet E. Bayraktar**  
OHL School of Construction  
College of Engineering and Computing

Dr. Mehmet E. Bayraktar received his Ph.D. in Civil Engineering with a specialization in Construction Engineering and Management from Purdue University (West Lafayette, IN) in 2006. He also holds an M.S. in Civil Engineering from Purdue University and a B.S. in Civil Engineering from Bogazici University, Turkey. Dr. Bayraktar has served as an Assistant Professor in the OHL School of Construction at Florida International University since August 2006.

Dr. Bayraktar's research focuses primarily on infrastructure management, green construction and sustainability, and alternative project financing by developing innovative solutions for risk assessment and strategic process evaluation for management decision making. In addition to publishing more than 30 articles in peer-reviewed journals and conference proceedings, Dr. Bayraktar has successfully served as Principal Investigator on various research projects funded by the Florida Department of Transportation, associations for the construction industry, and the private sector. In 2010 he received the Kauffman Professorship award from the Ewing Marion Kauffman Foundation in the Eugenio Pino and Family Global Entrepreneurship Center at Florida International University. Dr. Bayraktar has taught a variety of undergraduate and graduate courses in the areas of construction management including construction cost estimating, economic planning for construction, construction equipment, construction cost analysis and control, decision and risk analysis in construction, and developments in construction technology. He has also taught some of these courses over the Internet (fully online) as well as through streaming video after the on-campus lecture has finished. In addition, Dr. Bayraktar has been active in designing improvements to existing courses, curriculum development and developing distance learning material. Dr. Bayraktar has also chaired three graduate committees and served as a member on eight graduate committees. He has contributed to the re-accreditation of the undergraduate construction management program and served on the Faculty Council of the College and, most recently, the College Awards Committee. Dr. Bayraktar is an Associate Editor of the *ASCE Journal of Management in Engineering*, and serves also on the editorial board of the *Journal of Construction Engineering and Project Management*. In addition, he has helped organize technical sessions for various conferences including, most recently, the 2012 Construction Research Congress. Dr. Bayraktar is also a trained member of the Register of Visitors for the American Council for Construction Education, a nationally recognized accreditation board for construction education programs.

**Arindam Gan Chowdhury**  
Department of Civil and Environmental Engineering  
College of Engineering and Computing

Dr. Arindam Gan Chowdhury received his Ph.D. in May 2004 from Iowa State University (ISU) (Ames, IA). At ISU, he developed a novel three-degree-of-freedom elastic suspension system and new system identification methods to extract aeroelastic parameters for flutter analysis of flexible structures, including bridges. He was the recipient of *Iowa State University Research Excellence Award* in recognition of outstanding research accomplishments. He is the Director of the Laboratory for Wind Engineering Research at FIU's International Hurricane Research Center (IHRC). His research activities have been and are contributing significantly to major advances in fundamental and applied technological developments pertaining to the national transportation infrastructure through advanced methods for the design of long-span bridges, and to hurricane engineering, the discipline concerned with mitigating the massive losses due to hurricanes and achieving resilient coastal communities. Recommendations made as a result of his testing at the Wall of Wind facility have been published in the 2010 Florida Building Code (FBC). The new code provisions are geared toward decreasing the vulnerability of roofs. He has 25 peer reviewed journal publications. As a Principal Investigator, he has received more than \$3.4M in research funding from various agencies and the industry (not including the Center of Excellence funding and the Congressional appropriation of ~\$1M through the Dept. of Energy). Notably, the National Science Foundation (NSF) awarded nearly \$1.2M in grants, and the National Oceanic and Atmospheric Administration (NOAA) allocated approximately \$0.6M through the Sea Grant programs. He is the recipient of the Faculty Early Career Development (CAREER) Program Award which is NSF's most prestigious award in support of junior faculty who exemplify the role of teacher-scholars through outstanding research, excellent education, and their integration.

Professor Chowdhury has taught several graduate and undergraduate courses at FIU. He has served and is serving as major professor and dissertation/thesis advisor for several students and to date has graduated 2 PhD and 9 MS students. He is also serving as member on several committees for PhD and MS students. He is reaching out to K-12 students for fostering the next generation of hurricane/wind engineers. *Wall of Wind* Contests have been organized during summer of 2010 and 2011 to educate a large number of high school students in hurricane damage mitigation activities. He is participating as a Guest Speaker for FIU's *GEAR UP Pre-College Program* funded by the US Department of Education and making presentations and giving Wall of Wind demonstrations to several K-12 students. The education and outreach approaches are expected to play a major role in strengthening the STEM skills of Florida students and underrepresented groups. Dr. Chowdhury has been involved in various services as member in college/departments committees, instructor of PE/FE review courses, editorial board member of peer reviewed international journal, faculty member of Chi Epsilon, reviewer of journal manuscripts, participant in proposal review panel at NSF, session chair and panelist in major international and national conferences or forums, invited speaker and presenter in academic and non-academic meetings, and facilitator of several media events. He is providing service and contributing as a member of the American Society of Civil Engineers (ASCE) Technical Council on Wind Engineering's Structural Wind Engineering Committee (SWEC), ASCE 7 Subcommittee on Wind Loads, Subcommittee of Wind Loads on Solar Collectors (under SWEC), ASCE 7 Subcommittee on Wind- Driven-Rain Effects, and ASCE Committee for Experimental Analysis and Instrumentation (under Engineering Mechanics Institute (EMI)).

**Chenzhong Li**

Department of Biomedical Engineering  
College of Engineering and Computing

Dr. Chenzhong Li earned his M.Sc. in electrochemistry and Ph.D. in bioengineering from Kumamoto University, Japan, in 1996 and 2000. He then completed post-doctoral training at the University of British Columbia in molecular biology as well as a research associateship in biochemistry at the University of Saskatchewan where he led a nanofabrication effort in developing DNA nanowire-based biosensors. Before joining FIU in 2006, he held a position as a Research Officer at the Nanobiotechnology lab in the Canada National Research Council (Montreal) where his research focus was on novel nanomaterials and biosensing systems.

Since joining the faculty at FIU, Dr. Li's research has focused primarily on the clinical point-of-care, biodefense and environment related applications of biosensor technology, in particular sensors for whole cell and disease-related biomarker analysis. His research interfaces bioengineering with cell electronics, bio-nano conjugations, functional nanomaterials, and device fabrication. This work looks ahead to the next generation of biomolecule-based devices for point-of-care testing, clinical diagnosis and therapy, Lab-on-a-Chip, toxicity assay and homeland security. Professor Li's research is funded under a number of grants including private foundations, the National Institutes of Health the Department of Defense and the National Science Foundation where he serves as Principal Investigator or Co-investigator. His research activities to date have resulted in 5 patents, 55 peer-reviewed journal papers and proceedings, 2 books and 4 book chapters, over 100 presentations at conferences including about 60 keynote/invited lectures and seminars worldwide. In addition to his publication activities, he is the associate editor of the journals of Applied Biochemistry and Biotechnology and Biosensors Journal. He is the guest editor and editorial board member of the American Journal of Biomedical Science. He also serves on several editorial boards including the journals Nanomedicine NBM, Chemical Sensors, the Journal of Nanoscience Letters and the International Journal of Nanomedicine, as well as being a reviewer and panelist for NIH, NSF and NSERC (Canada). Professor Li is organizer and chair person of several conferences and symposiums including the 25th Southern Biomedical Engineering Conference, the 4th Florida Nanoscience and Nanotechnology Conference (NanoFlorida 2011), and symposia at multiple annual Pittcon national meetings. In recognition of his work, Professor Li has received several awards and honors including the Japanese Monbusyo Fellowship, the FIU faculty research award (2008), and the Kauffman Professor Award in 2009 and 2011.

**Kerri L. Stone**  
College of Law

Professor Kerri L. Stone graduated from Columbia College, Columbia University in 1997 with a BA *magna cum laude* in English and Comparative Literature. She received her Juris Doctor from New York University School of Law, where she was named a Robert McKay Scholar and served as a teaching assistant to a first year contracts class, as Co-Chairperson of NYU Law Women, and as the Developments Editor of the NYU Journal of International Law and Politics. Subsequently, she served as a law clerk to the Honorable Michael H. Dolinger (2000-2001) in the Southern District of New York, as well as to the Honorable Julio M. Fuentes (2001-2002) and the Honorable Maryanne Trump Barry (2002-2003), both of whom sit on the United States Court of Appeals for the Third Circuit in Newark, New Jersey. She then worked in private practice as an attorney with the law firm of Proskauer Rose in New York, New York from 2003-2005, at which time she was also appointed to the Federal Legislation Committee of the Association of the Bar of the City of New York. In the fall of 2005, Professor Stone became an Honorable Abraham L. Freedman Teaching Fellow and Lecturer in Law at Temple University School of Law, in Philadelphia, Pennsylvania, where she taught Employment Discrimination, Religion in Society and the Workplace (a class that she created), and Legal Research and Writing. In the spring of 2007, Professor Stone received an LL.M. in Legal Education from Temple University, and in the fall of 2007, she began teaching at FIU's College of Law as an Assistant Professor of Law. Professor Stone is admitted to the Bar in the states of New York and New Jersey as well as the Second Circuit Court of Appeals, the Third Circuit Court of Appeals, the Southern and Eastern Districts of New York, and the District of New Jersey. She is also a member of the Phi Alpha Delta Law fraternity.

Professor Stone's research focuses primarily on examining anti-discrimination jurisprudence, and she has articles published in several professional journals including *NYU Journal of Legislation and Public Policy*, *Loyola Law Review*, *NYU Annual Survey of American Law*, *Yale Journal of Law and Feminism*, and *Columbia Journal of Gender & Law*, among others. Professor Stone has been invited to present her scholarship at local and national conferences, symposia, and workshops, including the annual Labor and Employment Law Colloquium, the Southeastern Association of Law Schools ("SEALS") Annual Meeting, and the AALS Annual Meeting. At the College of Law, Professor Stone chairs the Faculty Placement Committee and co-advises the FIU College of Law contingent of Greater Miami's Barkett Appellate Inn of Court, for which she also serves as an officer and a Founding Member. In 2010, she served as the head organizer/co-moderator of the FIU Law Review's first annual live symposium: *Whither the Board? The National Labor Relations Board at 75*, which drew scholars, government officials, and practitioners from around the country. Professor Stone serves as the Faculty Advisor for FIU's Women's Law Society and Jewish Law Students' Association as well as the faculty selection committees for the Alex and Maribety Alvarez Scholarship and for the Florida Supreme Court Internship Program for Distinguished Florida Law Students. Professor Stone has been named a Research Fellow of NYU's Center for Labor and Employment Law. Professor Stone was selected by her peers as a Worklaw Section Contributing Editor of JOTWELL (the online, peer-run law professors' "Journal of Things we Like Lots") in 2009, and she continues to serve in that capacity, writing annual scholarship reviews for the journal. She has been solicited to write articles for the American Bar Association's publication, "Preview," and to blog on the American Constitution Society's website.

**Richard C. Palmer**

Department of Health Promotion and Disease Prevention  
Robert Stempel College of Public Health and Social Work

Dr. Richard C. Palmer is currently an Assistant Professor in the department of Health Promotion and Disease Prevention. He received his Masters of Public Health in 1998 from San Diego State University and his Doctorate in Public Health in 2003 from the University of Texas Health Science Center. After completing his doctorate, Dr. Palmer was awarded Harvard School of Public Health's Alonzo Smythe Yerby Research Fellowship. During this fellowship period, he conducted research on social determinants of health. After completing his fellowship, Professor Palmer joined the faculty of the Uniformed Services University of the Health Sciences as an Assistant Professor in the department of Preventive Medicine in the F. Edward Hebert School of Medicine. In December 2006, he joined the faculty of Florida International University.

Professor Palmer's research is focused on adherence to preventive regimens and lifestyle practices. Since completing his doctorate, he has developed a research program that has primarily focused on cancer health disparities and has received funding from the Susan G. Komen Breast Cancer Foundation and the National Cancer Institute to conduct innovative investigations. He currently holds a Non-Mentored Career Development Research Award (K22) from the National Cancer Institute. Dr. Palmer has conducted research aimed at increasing breast cancer screening rates among underserved women, understanding the role and influence of health care providers in cancer screening and cancer control, and investigating why African Americans have low colorectal cancer screening participation rates. Central to these research areas is a concerted effort to understand the determinants that influence health behavior and developing interventions that are culturally acceptable, practical, and capable of dissemination. Professor Palmer has also developed a secondary research focus on healthy aging since joining Florida International University. He is currently involved in a five-year initiative aimed at increasing the availability of evidence-based health promotion programs for older adults in South Florida. As part of the initiative, Dr. Palmer is conducting a dissemination study investigating how well community-based agencies in South Florida are able to effectively adopt and implement evidence-based health promotion programs as well as a longitudinal study aimed at examining the long term benefits of participating in health promotion programs targeted for older adults. Professor Palmer is actively involved with both community and national organizations. He is a member of the Southeast Florida Cancer Collaborative, on Sylvester Cancer Center's Community Leadership Board, an active member of the United States Military Cancer Center, and is a member of the Centers for Disease Control and Prevention's Healthy Aging Network.

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**THE FLORIDA INTERNATIONAL UNIVERSITY  
BOARD OF TRUSTEES**

June 14, 2012

**Subject: Student Code of Conduct, FIU Regulation 2501**

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**Proposed Board Action:**

Approve the Student Code of Conduct, FIU Regulation 2501.

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**Background Information:**

This proposed Regulation, FIU 2501, Student Code of Conduct, sets forth the FIU student disciplinary system and code of conduct. The regulation contains a written description of the rights and responsibilities of students pertaining to student conduct, the standards of conduct expected of them by the University, a list of violations, possible sanctions, and procedures governing student disciplinary proceedings.

The University's existing student code of conduct is included in its Student Handbook which is online. The existing student conduct policy was approved by the Florida International University Board of Trustees (the BOT) on March 21, 2006.

Once approved by the BOT, this proposed regulation, FIU Regulation 2501, will replace the current policy.

Florida Board of Governors' regulations 1.001(4)(a)(10) University Board of Trustees Powers and Duties and 6.0105 Student Conduct and Discipline, grant university boards of trustees authority to establish a student disciplinary system, including a code of conduct.

Regulation 1.001(4)(a)(10) University Board of Trustees Powers and Duties, states in relevant part that each board of trustees shall adopt university regulations or policies, as appropriate, in areas including, but not limited to uniform student code of conduct and related penalties.

Regulation 6.0105 Student Conduct and Discipline, states in relevant part that in furtherance of the educational mission of the universities, each university board of trustees shall establish a student disciplinary system, including a code of conduct.

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**Supporting Documentation:**

Executive Summary: Revisions to Student Code of  
Conduct, Proposed Regulation FIU 2501

Student Code of Conduct, FIU Regulation 2501

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## Revisions to Student Code of Conduct, Regulation FIU 2501 Executive Summary

The Florida International University Student Conduct Code (the Code) has not undergone a review for about six years. Since the last review, there have been many statutory and regulatory changes (e.g., Board of Governors (BOG) promulgated a regulation regarding student conduct codes; the Department of Education (DOE) provided guidance on Title IX, etc.). The Office of Student Conduct and Conflict Resolution (the SCCR Office), the Office of the General Counsel, and other units within the University, have undertaken an in-depth review and revision of the Code. The reviewers were careful to ensure that the Code continues to meet due process requirements and focused on improving the Conduct process and adopting best practices.

As part of the review process, the proposed revisions have been shared with university constituent groups, including the Faculty Senate, the Deans Advisory Council, the Presidents of the FIU Student Government Associations, students who have been involved in the Student Conduct process, and others.

The chart below reflects the proposed changes:

<b>MODIFICATIONS DUE TO CHANGES IN VARIOUS LAWS AND THE BOARD OF GOVERNORS REGULATION</b>	<b>SECTION(S)</b>
Expanded the definition of sexual harassment to include sex-based cyber harassment and sexual coercion to address the DOE's Dear Colleague Letter (DCL) on sexual violence.	Section (2) Freedom from Sexual Harassment And Section (6)(n) Offenses-Sexual Misconduct
Added to the definition of sexual harassment language describing what constitutes consent or lack thereof to comply with the DCL.	Section (6)(n) Offenses-Sexual Misconduct
Added language to make clear that retaliating against a complainant of sexual violence is a Code violation to address the DCL.	Section (6)(n) Offenses-Sexual Misconduct
Made clear that, when there is sexual misconduct, the University will not pursue disciplinary charges based on alcohol or drugs against the alleged complainant, witnesses, or perpetrator. The provision is designed to encourage the reporting of sexual violence notwithstanding some participation in drugs and/or alcohol during the event. This	Same as above

language was added to address the DCL.	
Included a provision indicating that a report of sexual misconduct will be referred to the Title IX Coordinator. This is to address the DCL letter on sexual violence.	Section (7)(a)(4) Pre-Hearing Procedures-Initiating Charges
Revised the Complainant's Rights section to provide that certain complainants have the same or similar right to participate as the charged student. All of these requirements are to comply with the DCL.	Section (13) Complainant's Rights
Provided a process whereby the Title IX Coordinator reviews the hearing process to determine whether the complainant of sexual violence has additional remedies available under Title IX.	Section (13)(a)(7) Complainant's Rights
Added language making it clear that the SCCR Office can issue a no contact letter at any time during the process. This is to comply with the DCL.	Section (14)(a)(4) Sanctions-Restrictions/No Contact
Added language indicating that a complainant has the same right to participate in the interim suspension process as the charged student. This is to comply with the DCL.	Section (16) Interim Suspension
Revised the introductory sections of the Student Conduct Code to make clear that the Standards of Student Conduct are aspirational and are not intended to violate First Amendment rights.	Section (1) Student Code of Standards And Section (2) Student Code of Conduct-Statement of Philosophy
Deleted the sentence indicating that student organizations are required to register before using campus facilities because this is no longer accurate.	Section (2) Student Code of Conduct-Statement of Philosophy-Freedom of Association
Added language to clarify the definition of sexual harassment to avoid it being considered too broad or vague pursuant to the First Amendment.	Section (2) Freedom from Sexual Harassment And Section (6)(n) Offenses-Sexual Misconduct
Added language to the definition of a "student" to address a change in the Florida Statutes which makes applicant records confidential.	Section (3)(m) Definitions-Student
Included language to address requirements of the Higher Education Opportunity Act (HEOA) regarding peer-to-peer computer file sharing. Added language advising students of the criminal and civil penalties for violating federal copyright laws as required by the HEOA.	Section (6)(b) Offenses-Computer Misuse
Added language to address the BOT smoke-/tobacco-free campus regulation.	Section (6)(o) Offenses-Smoking
Added language making it clear that students cannot have a concealed weapon on campus even with a license to carry such	Section (6)(s) Offenses-Weapons, Firearms, Explosives

a weapon to address a Florida statute and case law.	
Added language throughout the hearing sections indicating that the Student Conduct Office would do fact finding when it is deemed necessary. This allows us to confirm to the DCL and to evaluate better whether to charge a student.	Section (7) Pre-Hearing Procedures; Section (8) Types of Hearing; and Section (12) Hearing Procedures
Added language to make clear that an informal hearing is available only when the potential sanctions are not suspension or expulsion to address requirements of the DCL on Title IX.	Section (8)(a)
Deleted language indicating that the University could compel student witnesses to attend a hearing. Added language making it clear that all parties to the hearing may arrange for witnesses to voluntarily present relevant information as required by the BOG regulation.	Section (12)(f) Hearing Procedures
Changed the decision and sanction process so that the Hearing Officer decides responsibility and determines the sanctions instead of the Student Conduct Committee to align with the BOG regulation.	Section (12)(m) Hearing Procedures
Added a section to make clear that bullying is a Code violation which addresses the DOE October 2010 guidance on bullying.	Section (6)(k) Offenses-Personal Abuse
Added language making it an offense to 1) manufacture, trade, or have the intent to sell alcohol as well as 2) report to class or work under the influence to be consistent with FIU policy and the HEOA.	Section (6)(a)(13), (14) Offenses-Alcohol
Modified the process to allow direct questioning of the witnesses except when the witness feels uncomfortable or is the complainant because the former process creates some awkwardness. This conforms to the DCL on Title IX.	Section (12)(j)(4)
Added language indicating that the Code will be reviewed periodically by a committee which will include student representatives to comply with the BOG regulation.	Section (21) Interpretation and Revision
<b>CHANGES TO IMPROVE PROCESS AND/OR ADOPT BEST PRACTICES</b>	
Used the term “complainant” instead of “victim” to avoid the potential of re-victimization.	
Updated the language at the beginning of the Code advising students that the Code is subject to modifications and that the Code on the website is the most current version.	
Removed portions of the Standards of Student Conduct addressing academic misconduct because the Code does not address academic misconduct. <sup>1</sup>	Section (1) Standards of Student Conduct
Added a section on group responsibilities which set forth the	Section (2) Student Code of

<sup>1</sup> The Student Handbook contains the provisions relating to academic misconduct. See <http://globaldatebooksonline.com/flipbooks/flo2011/#/38/>.

expectations for Greek and student organizations.	Conduct-Principles of Group Responsibility
Added a definition of “Advisor” because students are sometimes confused about who can serve in the advisor role. Also clarified that only a current student or someone employed by the University can serve as an advisor because sometimes students want to have their parents serve in that role.	Section (3)(a) Definitions-Advisor And Section (3)(i) Definitions-Member of the University Community
Included language making it clear that the receipt of an email sent to a University campus email account constitutes the official notice of an action by the SCCR Office to address an area of confusion for students.	Section (3)(n) Definitions-Receipt of Written Notice
Included language giving students who are involved in an alcohol or drug-related incident a waiver of the Student Conduct discipline to encourage them to seek appropriate treatment.	Section 6(a) Offenses-Alcohol And  Section 6(d) Offenses-Drugs
Removed the detailed list of offenses specific to students living in residential or Greek housing (e.g., it is prohibited to have a free-standing antenna). Included language making it clear that a student residing on-campus is still required to comply with the housing requirements which can be found on the web or in hard copy. The change complies with best practices.	Section (6)(t) Offenses-On-Campus Housing (University Housing and Greek Houses) And Section (6)(u) Offenses-Student and Greek Organizations/Clubs-Additional Offenses
Added a section prohibiting bribery.	Section (6)(y) Offenses-Bribery
Added a provision making it clear that cyber-stalking is an offense.	Section 6(p) Offenses-Stalking
Reorganized and streamlined the sections regarding the types of hearings and their processes.	Sections (7) through (12)
Included language to the effect that the SCCR Director may place a hold on the charged student’s record if he or she fails to attend the information session which is a pre-hearing session in which the hearing process is described.	Section (7)(d)(4) Pre-Hearing Procedures
Added language to make clear that only the charged student or the complainant may file an appeal as opposed to his or her advisor or attorney. Many attorneys have tried to submit an appeal on behalf of their clients.	Section (15)(b) Appeals
Revised the Interim Suspension section to reflect the Model Code.	Section (17)
Although the charged student continues to have access to the Conduct records, deleted the sentence indicating that the charged student may have a duplicate of the hearing tape or a transcription of the hearing because it proved to be administratively burdensome and because of concerns about protecting the privacy of the information.	Section (18) Records

**THE FLORIDA INTERNATIONAL UNIVERSITY BOARD OF TRUSTEES  
FLORIDA BOARD OF GOVERNORS**

**NOTICE OF CHANGE TO PROPOSED REGULATION**

**REGULATION NO.:** FIU-2501

**REGULATION TITLE:** Student Code of Conduct

Notice is hereby given that in response to comments, the following changes have been made which are highlighted.

**TEXT OF REGULATION:** The full text of the Proposed Regulation can be viewed below and on the website of The Florida International University Board of Trustees, <http://regulations.fiu.edu>. If you would like a copy of the Proposed Regulation, please contact Eli Deville, Chief of Staff, Office of the General Counsel at (305) 348-2103.

**AUTHORITY:** Florida Board of Governors Regulation 6.0105 Student Conduct and Discipline; Florida Board of Governors Regulation 6.010 Student Affairs Administration; Florida Board of Governors Regulation 1.001(4)(a)(10); and Section 7(d), Article IX, Florida Constitution.

**NAME OF PERSON INITIATING PROPOSED REGULATION:** Dr. Rosa Jones, Vice President for Student Affairs.

ANY PERSON SEEKING TO COMMENT ON THE PROPOSED REGULATION MUST SUBMIT COMMENTS IN WRITING TO THE CONTACT PERSON LISTED BELOW. ALL WRITTEN COMMENTS MUST BE RECEIVED BY THE CONTACT PERSON WITHIN 14 CALENDAR DAYS OF THE DATE OF PUBLICATION OF THIS NOTICE.

**CONTACT PERSON REGARDING THE PROPOSED REGULATION:** Eli Deville, Chief of Staff, Office of the General Counsel, Florida International University, 11200 SW 8<sup>th</sup> Street, PC 511, Miami, FL 33199. Email: [devillee@fiu.edu](mailto:devillee@fiu.edu) Fax: (305) 348-3272. Phone: 305-348-2103.

**DATE OF PUBLICATION:** May 22, 2012

**THE FULL TEXT OF THE REGULATION IS PROVIDED BELOW WITH THE CHANGES HIGHLIGHTED IN YELLOW:**

## **FIU-2501 Student Code of Conduct**

The policies, regulations and requirements contained in this Student Code of Conduct may be revised in order to serve the needs of the University Students, faculty and staff, and to respond to changes in the law. The University or the Florida International University Board of Trustees may make changes in policies, regulations and other requirements. The ultimate responsibility for knowing University requirements and regulations rests with the Student. For the latest Student Code of Conduct, please refer to the website of the Office of Student Conduct & Conflict Resolution (SCCR) at <http://www2.fiu.edu/~sccr/>.

## **STANDARDS OF STUDENT CONDUCT**

### **(1) Student Code of Standards**

A University is a learning community following a tradition more than 1,000 years old. Florida International University (the University) is a community dedicated to generating and imparting knowledge through excellent teaching and research, the rigorous and respectful exchange of ideas, and community service.

The University Student Code of Standards and the Statement of Philosophy were developed to embody the values that we hope our students, faculty, staff, administration and alumni will demonstrate. At the same time, the University is strongly committed to freedom of expression. Consequently, the Student Code of Standards and the Statement of Philosophy **does not** constitute University policy and are not intended to interfere in any way with an individual's academic or personal freedoms. We hope, however, that individuals will **respectvoluntarily endorse** these common principles thereby contributing to the traditions and scholarly heritage left by those who preceded them **makingwhich will make** Florida International University a better place for those who follow.

As a member of the University community, we would hope that you would:

Respect the tradition of academic inquiry, the University's rules of conduct, and its mission.

Respect the opinions and differences of all members of the FIU community.

Practice civility and demonstrate conduct that reflects the values of the institution.

Respect the rights and property of the University and its members.

Be diligent and honest in your personal and academic endeavors.

### **(2) STUDENT CODE OF CONDUCT**

#### **Statement of Philosophy**

As an academic community, Florida International University fosters the intellectual exchange of ideas, knowledge, and experience. It is the responsibility of the University to provide a stimulating environment in which scholarship and personal growth may occur. The desired effect is that Students will take advantage of this environment to develop intellectually as well as participate as responsible, contributing citizens of our community.

### **Statement of Rights and Freedom**

Florida International University recognizes the basic rights and freedoms of Students. They are inherent to the educational process and to the intellectual growth of Students. The following rights and freedoms are recognized as basic to the educational process:

### **Freedom of Expression and Assembly**

Students are guaranteed freedom of expression. Students and Student groups may discuss, pass resolutions, write or distribute literature and leaflets, circulate petitions, sponsor speakers and public forums, and take other orderly actions which do not disrupt the essential function of the University and which are consistent with the University's Regulation 110-Demonstrations and Regulation 114 Commercial Solicitation and Advertising.

### **Freedom of Association**

Students are free to form and join University organizations.

### **Freedom in the Classroom**

Students are responsible for learning the content of courses of study, but have the right to take reasoned exception to the data or views offered in the classroom. Students have the right to expect that course descriptions will reflect actual course content, to receive a written syllabus for each course, to be informed of the standards on which evaluation in each course will be based, and to be fairly and justly evaluated based only on performance in the course. Students also have the right to consult with faculty during announced office hours.

### **Freedom from Improper Disclosure**

In accordance with the federal Family Educational Rights and Privacy Act (FERPA) and the Florida Student Records statute, Students shall have access to records maintained on them and be protected from improper disclosures to third parties without their consent. Academic and Student Conduct records will be maintained separately. The procedures for access will be explicitly stated.

### **Freedom from Discrimination**

Students shall not be discriminated against on the basis of race, color, creed, age, sex, sexual orientation, marital status, disability, religion, national origin, or any other legally protected status. Freedom from discrimination includes eligibility to Student Organizations, University activities, academic programs, employment, use of facilities, and housing.

### **Freedom from Sexual Harassment**

Students are entitled to work and study in an atmosphere free from sexual harassment. Harassment constitutes, in the aggregate, the incidents that are sufficiently pervasive or persistent or severe that a reasonable person would be adversely affected to a degree that interferes with his/her ability to participate in or to realize the intended benefits of a University activity, employment, or resources. Sex-based cyber harassment and sexual violence are forms of sexual harassment. Sexual violence is defined as physical sexual acts perpetrated against a person's will or where a person is incapable of giving consent due to the victim's use of drugs or alcohol or where a victim is unable to give consent due to an intellectual or other disability.

### **Right to Due Process**

Students have the right to due process as appropriate for Student Conduct situations. This includes Written Notice of hearing, information concerning the charges and allegations against them, the opportunity to present information on their own behalf, and written notice of the decision.

### **Statement of Student Rights and Responsibilities**

All Students regardless of institutional or program affiliation are expected to know and adhere to the regulations of the University as well as applicable laws. Those charged with and found responsible for the violations of the Student Code of Standards and/or the Student Code of Conduct (see below) will be subject to Student Conduct action as provided for below.

### **Principles of Group Responsibility**

Any Student Organization can be held responsible for its actions or the actions of a collection of its members acting together. It is recognized that occasional misconduct on the part of individual members will not be attributed to and/or be cause to penalize the organization. However, misconduct on the part of the organization may be addressed when one or more of the following circumstances occur:

- a. Members of the organization act together to violate University Policy.
- b. A violation arises out of an organization-sponsored, financed, or otherwise supported activity.
- c. The organization's leadership has knowledge of the incident, behavior, etc., and fails to take corrective or prohibitive action or fails to stop such incident, behavior, etc., while it is occurring.
- d. A violation occurs on premises and/or transportation owned, operated, or rented exclusively by the organization.
- e. A pattern of individual violations has occurred and/or continues to occur without adequate control, response, or sanction on the part of the organization or its leaders.
- f. The organization or related activities provided the context for the violation.
- g. The organization chooses to protect from official action one or more individual offenders who are members, former members, or guests of the organization.

It is the responsibility of the Student Organization's President or designee to represent the organization through the conduct process.

### **(3) DEFINITIONS**

(a) **Advisor**—Any person who is a Member of the University Community chosen by the Charged Student or the alleged Complainant to assist him/her throughout the Student Conduct process.

(b) **Business Day**—The day when the University is open for regular business operations.

(c) **Complainant**—Any person who submits a charge alleging that a Student violated the Student Code. When a Student believes that s/he has been a victim of another Student's misconduct, that Student will have the same rights under this Student Code as are provided to the Complainant, even if another member of the University Community submitted the charge itself.

(d) **Charged Student**–Any Student who has been formally charged with an alleged violation of the Student Code of Conduct.

(e) **Final Agency Action**–The written decision resulting from the Student Conduct process which finally determines the rights or obligations of the Charged Student.

(f) **Greek Organization**–A social organization recognized by Campus Life/Office of Sorority & Fraternity Life which is affiliated with a regional, national, or international organization. Additionally, each chapter must be recognized by one of the following: the Interfraternity Council (IFC), Multicultural Greek Council (MGC), National Pan-Hellenic Council (NPHC), or the Panhellenic Council (PC).

(g) **Hearing Body**–Any person or persons authorized by the Vice President for Student Affairs, the SCCR Director, the Director of Residential Life or the Director of Campus Life to conduct hearings to determine whether a Charged Student has violated the Student Code and to impose sanctions.

(h) **Hearing Officer**–The SCCR Director, the Director of Residential Life, or the Director of Campus Life or each of their respective designee(s).

(i) **Interim Suspension**–An immediate temporary separation from the University.

(j) **Member of the University Community**–Any person who is a currently enrolled Student, faculty or staff, University Official, any other person currently employed by the University, or working on University Premises.

(k) **On-Campus or University Premises**–Any building or property owned or controlled by an institution within the same reasonably contiguous geographic area and used by the institution in direct support of, or in a manner related to, the institution's educational purposes, including residence halls; and any building or property that is within or reasonably contiguous to that previously described in this paragraph that is owned by the institution but controlled by another person, is frequently used by students, and supports institutional purposes (e.g. a food or other retail vendor).

(l) **Policy**–The written procedures, policies, or regulations of the University as found in, but not limited to, the Student Code of Conduct, the Undergraduate/Graduate Catalogs, the Student Handbook, the University Housing Resident Handbook, and the Campus Life policies.

(m) **Preponderance of the Evidence**–When the information that is presented supports a finding that it is more likely than not that a violation occurred.

(n) **Receipt of Written Notice**–When Written Notice (see below for definition) has been sent electronically to the official University email address.

(o) **SCCR Director** –The Director of the Office of Student Conduct and Conflict Resolution (SCCR) or designee.

(p) **Student**–Any person who participates in any course or program of the University, either full-time or part-time, and whether degree-seeking or non-degree seeking. Persons who withdraw after allegedly violating the Student Code of Conduct, persons who are not officially enrolled at the University for a particular term but who have a continuing relationship with the University, persons who have been notified of being accepted for admission, and persons who are living in the residence halls but are not enrolled at the University are also considered Students. Student Organizations and Greek Organizations are also considered Students.

(q) **Student Organization**–A group of currently enrolled University students who unite to promote a common interest. Any group of Students organized for a specific purpose (e.g., social, professional, honorary) whose membership consists solely of University Students.

Student Organizations includes Student clubs, University recognized Student Organizations, Student Organizations that have not been recognized by the University, and Student sports clubs.

(r) **University**–Florida International University.

(s) **University Official**–Any person employed by the University to perform assigned teaching, research, administrative, professional or other responsibilities (e.g., faculty, staff, administrators, residence hall staff, FIU Police, etc.).

(t) **Vice President**–The Vice President for Student Affairs or designee(s).

(u) **Written Notice**- A notification to the Charged Student sent via email to the Charged Student’s official University email address. A notification to a Greek or Student Organization sent via email to the official University email address of the organization’s respective President. This method of notification will constitute full and adequate notice under the Student Code of Conduct. The SCCR Director retains the discretion to send duplicate notices via letter by other means (e.g., overnight or hand delivery).

#### (4) **JURISDICTION**

(a) Jurisdiction under the Student Code of Conduct applies to the conduct of any Student, Greek Organization, or Student Organization that occurs on University Premises, at University-related activities, on all locations where a University course or program is being conducted, including foreign locations, such as study abroad programs, and/or off-campus conduct that adversely affects the University community and/or the pursuit of its objectives.

(b) Each Student shall be responsible for his/her conduct from the time that he/she has been notified of admission through the actual awarding of a degree even though the conduct may occur before classes begin or after classes end. Each non-degree seeking Student shall be responsible for his/her conduct from the time that he/she has been notified of admission and while enrolled in classes even if the conduct occurs before or after classes. Each Student (including non-degree seeking) is responsible for his/her conduct during the academic year and during periods between terms of actual enrollment. The Student Code shall apply to a Student’s conduct even if the Student withdraws from the school while a Student Conduct matter is pending or if the conduct is not discovered until after a Student has withdrawn or a degree has been is awarded.

(c) ~~With regard to off-campus conduct, the~~ The University reserves the right to impose discipline based on off-campus conduct. ~~For example, discipline may be imposed if:~~ ~~for example:~~

(i) The off-campus conduct is specifically prohibited by law or the Student Code of Conduct;

(ii) The off-campus conduct demonstrates that the continued presence of the Student on campus presents a danger to the health, safety, or welfare of the University community, is disruptive to the orderly conduct, processes and functions of the University, or is intimidating or threatening to the University community or member of the University community; or

(iii) The off-campus conduct is of such a serious nature that it adversely affects the Student’s suitability to remain a part of the University community.

(d) The action of the University with respect to off-campus conduct shall be taken independently of any off-campus authority or proceeding. The University Student Conduct proceeding is not a criminal or judicial proceeding and is designed to address Charged Student behavior; therefore, alleged violations of the University’s Code of Conduct will be addressed

independently of any criminal or judicial proceeding and regardless of whether the criminal charges have been dismissed or reduced.

## **(5) AUTHORITY**

(a) The Board of Trustees of Florida International University has been charged with the responsibility of, and authority for, providing a Student misconduct system. Authority for the Student misconduct system rests with the University President, who has delegated this authority to the Vice President.

(b) Hearing Bodies are authorized by the Vice President to conduct Student Conduct hearings.

(c) All Hearing Bodies have the authority to consult with other appropriate University Officials in order to effectively resolve a Student Conduct matter.

(d) Any specific procedures used by Hearing Bodies will comply with the requirements of this Student Code of Conduct.

(e) Decisions of all Hearing Bodies constitute a Final Agency Action unless there is a timely appeal. If a decision is timely appealed, the decision of the appellate body constitutes the Final Agency Action.

(f) The Hearing Bodies authorized to conduct Student Conduct hearings are as follows:

1. The SCCR Director may conduct both informal and formal hearings.  
2. The University Student Conduct Committee may conduct formal hearings.  
3. The Residential Life Hearing Officer may conduct both informal and formal hearings regarding cases arising in University Housing and Residential Life. If the alleged offense may result in a sanction of deferred suspension or greater, the Charged Student will be referred to the SCCR.

4. The Hearing Officer for the Student Government Association (SGA) and all other governing councils within Campus Life may conduct informal or formal hearings regarding cases of the Campus Life council's alleged violation of the SGA and/or individual council constitutions.

## **(6) OFFENSES**

The following offenses or any attempt to violate these offenses will be used in charging all Students, Student Organizations or Greek Organizations. Nothing in this section shall be interpreted to abridge the right of any member of the University community to freedom of expression protected by the First Amendment of the United States Constitution and any other applicable law.

### **(a) Alcohol**

Students who choose to drink will be held fully responsible for their behavior while under the influence of alcohol. Loss of control due to intoxication does not excuse or justify a violation of the state law, University Policy, or the rights of others.

1. Possession, use or consumption of alcohol when under the legal drinking age as provided by Florida Law.

2. Dispensing, selling or supplying alcoholic beverages to an individual who is under the legal drinking age as provided by Florida Law.

3. Any violations of Florida International University Policies governing alcohol usage. See, e.g., FIU-Regulation 2505 Alcoholic Beverages.
4. Use or possession of beer kegs and party balls or other common sources of alcohol in On-Campus housing facilities.
5. Possession of open containers of alcohol or consumption of alcoholic beverages in public areas, such as balconies, courtyards or hallways.
6. Public intoxication (i.e., appearing in a state of intoxication) and excessive drinking.
7. Violating any other University Policy while under the influence of alcohol.
8. Misrepresenting or misstating one's age; using altered identification in order to obtain alcohol when the Student is under the legal drinking age.
9. Use or possession of devices designed for the rapid or excessive consumption of alcohol, including but not limited to, funnels, and beer bongs.
10. Hosting a gathering where underage individuals are drinking alcohol.
11. Unlawfully manufacture, trade, or intent to sell alcohol.
12. Reporting to classes, work, or related assignments "under the influence" of alcohol.

The University recognizes that Students may need medical assistance due to excessive use of alcohol but may hesitate to seek assistance because they themselves or others who may have participated or witnessed the event may be charged with violations of this Code the code. The University does not want to discourage Students from encourages seeking medical assistance. Therefore, Students involved in the event and, therefore, may not be charged a student with the possession or consumption of alcohol if one of them call they call for assistance for themselves or others who have participated or witnessed the event. Other charges related to the incident (e.g., alcohol distribution, or other non-alcohol charges) may be pursued at the discretion of the SCCR Director of Student Conduct.

#### **(b) Computer Misuse**

1. Unauthorized access, entry or use of a computer, computer system, network, software, password, account or data.
2. Unauthorized alteration or degradation of computer equipment, software, network, data or system performance.
3. Unauthorized copying or distribution of computer software or data.
4. Unauthorized use of University computer resources for commercial purposes or personal financial or other gain. This includes, but is not limited to, advertising a product or service on personal web pages, fund-raising or advertising on behalf of unsanctioned non-University organizations, publicizing of unsanctioned non-University activities, the reselling of University resources to any non-University individuals or organizations, and the unauthorized use of the University's name or logos. Use of the University's network for any of these purposes, even if the user is using his/her own personal computer, constitutes an offense.
5. Allowing another person to use one's FIU username and password.
6. Any other violation of the University computer use and web page policies. The complete policies are available at <http://security.fiu.edu/training/training3.htm>. (The website also contains the civil and criminal penalties for distributing, without authority, copyrighted

materials (including unauthorized peer-to-peer file sharing) and the penalties for violating federal copyright law.

7. Unauthorized distribution of copyrighted materials, including but not limited to, unauthorized peer-to-peer file sharing. Unauthorized distribution is a violation whether the user is using his/her own personal computer or the University's information technology system for the unauthorized distributions.

**(c) Disruptive Conduct**

1. Behavior that substantially and materially disrupts, disturbs, impairs, interferes with or obstructs the orderly conduct, processes, and functions of the University or the rights of other Members of the University Community.

2. Behavior that substantially and materially disrupts, disturbs, impairs, interferes with or obstructs the orderly conduct, processes, and functions of the classroom or laboratory and/or immediate surrounding areas. This includes interfering with the academic mission of the University or individual classroom or interfering with a faculty member or instructor's role to carry out the normal academic or educational functions of his/her classroom laboratory and/or immediate surrounding areas.

3. Behavior that substantially and materially disrupts or interferes with the University Student Conduct process, including, but not limited to, harassment and/or intimidation of any member of the Student Conduct Committee, witness or University personnel before, during or after a proceeding, or attempting to coerce or influence any person(s) in order to discourage their participation in any Student Conduct proceeding.

4. Any behavior that substantially and materially disturbs the peace.

**(d) Drugs**

1. Possession, use, the manufacture, or the cultivation of illegal drugs or prescription drugs without a prescription. Inhaling or ingesting substances (e.g., nitrous oxide, glue, paint, etc.) that will alter a Student's mental state is also prohibited.

2. Distribution, dispensation, delivery, trade, sale, or intent to sell illegal drugs or prescription drugs.

3. Possession or use of drug paraphernalia (including, but not limited, to bongs, pipes, "hookahs," spoofs, rolling papers, blunts, small plastic baggies, etc.).

4. Misuse or abuse of prescription drugs.

The University recognizes that Students may need medical assistance due to excessive use of drugs but may hesitate to seek assistance because they themselves or others who may have participated or witnessed the event may be charged with violations of this Code. The University does not want to discourage Students from encouraging seeking medical assistance. Therefore, Students involved in the event -and, therefore, may not be charged a student with the possession or use of drugs if one of them call they call for assistance for themselves or others who may have participated or witnessed the event. Other charges related to the incident (e.g., drug distribution, or other non-alcohol charges) may be pursued at the discretion of the SCCR Director of Student Conduct.

**(e) Endangerment**

1. Physical violence toward another person or group.

2. Action(s) that endanger the health, safety, or welfare of self or others.

**(f) Failure to Comply**

1. Failure to comply with a request or directive of a University Official or non-University law enforcement official in the performance of his/her duty.
2. Failure to comply with the final decision and sanctions rendered by a Student Conduct hearing or appellate body.
3. Failure to comply with a request by a University official to identify oneself and/or produce FIU identification.

**(g) Falsification/Fraud/False Testimony**

1. Withholding relevant information from any Hearing Body, University Officials, University and/or non-University law enforcement officers, faculty or staff.
2. Providing false or misleading information (whether oral or written) to any Hearing Body, University Officials, University and/or non-University law enforcement officers, faculty or staff.
3. Misuse, reproduction, alteration or forgery of any identification, documents, keys or property.
4. Permitting another person to use one's identification information.
5. Inappropriate use or possession of false identification information.
6. Purporting to act on behalf of another person, group or the University without authorization or prior consent.
7. Providing a worthless check, money order or using a fraudulent credit card or a credit card without authorization.
8. Any other acts of falsification/fraud/false testimony or misrepresentation.

**(h) Fire and Safety**

1. Inappropriate activation of any emergency warning equipment or the false reporting of any emergency.
2. Removing, damaging, interfering or tampering with fire safety or other emergency warning equipment, including smoke detectors, sprinklers, and fire alarms. Items may not be hung from or block sprinklers or smoke detectors.
3. Failure to evacuate a University building, facility or On-Campus housing facility/unit when a fire alarm is sounded.
4. Action(s) which cause or attempts to cause a fire or explosion.

**(i) Hazing**

1. Any group or individual action or activity that inflicts or intends to inflict physical or mental harm or discomfort or which may demean, disgrace, or degrade any person, regardless of location, intent, or consent of participant(s). Although hazing is typically related to a person's initiation or admission into, or affiliation with, a Student or Greek Organization, athletic team (intramural, club or intercollegiate), extracurricular activity, or any other University group or organization, it is not necessary to have direct proof that a person's initiation or continued membership is contingent upon participation in the activity for a charge of hazing to be upheld. The actions of either active or associate members (pledges) of an organization may be considered hazing. Hazing includes, but is not limited to:

- Interference with a Student's academic performance
- Forced consumption of any food, alcohol, controlled substances, drugs, or any other substance
- Forced physical activity
- Deprivation of food or sleep
- Kidnapping, including restricting a person to move about in free and lawful manner
- Physical abuse of any nature, including physical discomfort
- Performing personal chores or errands
- Verbal abuse or degradation, including yelling or demands
- Assigning or endorsing pranks (e.g., stealing, harassing other organizations, defacing property, etc.)
- Any action or threatened action that would subject the individual to embarrassment, humiliation or mental distress, including the use of demeaning names
- Any other acts or attemptedintended acts which would constitute hazing pursuant to Section 1006.63 of the Florida Statutes.

**(j) Littering**

1. Dispersing litter in any form on University grounds or facilities, including, but is not limited to, cigarette butts, flyers, cans, bottles, etc.

**(k) Personal Abuse**

1. Verbal or written abuse, threats, intimidation, and/or coercion that objectively endangers the health, safety, or well-being of others. Fighting words and statements which reasonably endanger the health and safety of any person are not protected speech and may result in University action. This definition shall not be interpreted to abridge the right of any member of the University community to freedom of expression protected by the First Amendment of the United States Constitution and any other applicable law.

2. Conduct directed at any person, including a Member of the University Community, which is intended to cause fear, distress, or intimidation and would cause fear, distress, or intimidation to a reasonable person or would place a reasonable person in fear of injury or death.

3. Conduct that is sufficiently severe, pervasive, or persistent that a reasonable person would be adversely affected to a degree that interferes with or limits a his/her ability to participate in or benefit from the services, activities, or opportunities offered by the University school when such conduct is based on race, color, national origin, gender, disability, or any status protected by federal or Florida law.

4. Interference with the freedom of another person or group to move about in a lawful manner.

**(l) Motorcycles, Bicycles, Pocket Bikes, Rollerblades, or Skateboards**

1. The use or operation of motorcycles, bicycles, pocket bikes, rollerblades, skateboards, etc. inside of any On-Campus Premises such that they create safety hazards or are secured to non-authorized locations.

2. The use of pocket bikes on sidewalks or roadways such that they create safety hazards or are secured to non-authorized locations.

**(m) Promotions/Posting**

1. Solicitation (i.e., passing or handing out flyers/promotional material, etc.) On Campus, including On-Campus housing facilities, without prior approval from the appropriate University Officials. This includes, but is not limited to, the disbursement of any forms of promotional/informational material on University Premises or objects (e.g., motor vehicles) on University Premises.

2. Posting of flyers, posters, banners, cards or any promotional/informational material on On-Campus Premises, including, but not limited to, the exterior and interior of On-Campus housing facilities, buildings, trees, walls, sidewalks, vehicles, windows, stairwells, stairs, display cases, vending machines, doors, classrooms, departmental and unauthorized bulletin boards, railings, elevators, bathrooms, art/sculptures.

3. Use of chalk or powder-like substance on the sidewalks, grass, exterior or interior of any University facility, or any public area.

4. Use of “A” signs or free standing signs in public areas, sidewalks, grass, exterior of any University facility without prior approval from the appropriate University Officials.

**(n) Sexual Misconduct**

1. Non-consensual sexual contact which is any sexual touching with any object by any person upon another without consent. Sexual touching is contact of a sexual nature, however slight.

2. Obscene or indecent behavior, which includes, but is not limited to, exposure of one’s sexual organs or the display of sexual behavior that would reasonably be offensive to others. Other forms of obscene or indecent behavior include sexual exhibitionism, prostitution or the solicitation of a prostitute, peeping or other voyeurism (video or otherwise), and going beyond the boundaries of consent, e.g., by allowing others to view consensual sex or the nonconsensual video or audio taping of sexual activity.

3. Sexual harassment constitutes, in the aggregate, incidents that are sufficiently pervasive, persistent, or severe that a reasonable person would be adversely affected to a degree that interferes with his/her ability to participate in or to realize the intended benefits of a University activity, employment, or resources. Sex-based cyber harassment and sexual violence also constitutes sexual harassment. Sexual violence refers to physical sexual acts perpetrated against a person’s will or where a person is incapable of giving consent due to the usage of drugs or alcohol or intellectual or other disability. Sexual violence includes rape, sexual assault, sexual battery, and sexual coercion. Sexual coercion is defined as the act of using pressure or force to have sexual contact with someone who has already refused. Sexual assault constitutes the non-consensual sexual intercourse which is any sexual intercourse by any person upon another without consent. It includes oral, anal and vaginal penetration, however slight, with any object.

Consent means informed, freely given agreement, communicated by clearly understandable words or actions, to participate in each form of sexual activity. Consent cannot be inferred from silence, passivity, or lack of active resistance. A current or previous dating or sexual relationship is not sufficient to constitute consent, and consent to one form of sexual activity does not imply consent to other forms of sexual activity. By definition, there is no consent when there is a threat of force or violence or any other form of coercion or intimidation, physical or psychological. A person who is the object of sexual aggression is not required to physically or otherwise resist the aggressor; the lack of informed, freely given consent to sexual contact constitutes sexual misconduct. Intoxication is not an excuse for failure to obtain consent.

A person incapacitated by alcohol or drug consumption, or who is unconscious or asleep or otherwise physically impaired, is incapable of giving consent.

4. Retaliation against or harassment of someone alleging sexual misconduct or otherwise obstructing the reporting of sexual misconduct or the participation in proceedings relating to sexual misconduct.

The University recognizes that Students may be hesitant to report sexual misconduct because they themselves (or witnesses they identify) may be charged with violations of other provisions of the Code (e.g.i.e., alcohol or drugs). The University ~~may~~**will** not pursue a disciplinary action for an alcohol or drug violation against a Charged Student, the Complainant, or any Student(s) who may have witnessed the incident.

**(o) Smoking**

1. Failure to comply with FIU Regulation 113 Smoke and Tobacco-Free Campus by smoking in or on any University Premises.

**(p) Stalking**

1. Activities occurring on more than one occasion that collectively instill fear in an individual and/or threaten his/her safety, mental health, or physical health, including, but not limited to, the following:

• Nonconsensual communication, including face-to-face, telephone calls, voice messages, electronic communication media, written letters/notes, unwanted gifts, etc.

• Making threatening or obscene gestures to an individual

• Pursuing or following an individual Complainant

• Surveillance or other types of observation of an individual

• Trespassing

• Vandalism

• Nonconsensual touching

• Contacting a person after a sanction has been issued prohibiting contact with that person, including, but not limited to, verbal, written or third party communication, or physical contact

•Cyber-stalking which means to engage in a course of conduct to communicate, or to cause to be communicated, words, images, or language by or through the use of electronic mail or electronic communication, directed at a specific person, causing substantial emotional distress to that person and serving no legitimate purpose

2. Other conduct considered stalking under the stalking laws as outlined in Section 784.048, Florida Statutes.

**(q) Theft**

1. Removal or use of the property or services of another person or of the University without prior written consent or authorization.

2. Possession or sale of property or services of another person or of the University without prior written consent or authorization.

**(r) Vandalism/Damage**

1. Damage, destruction or defacing of property of another person, group or the University.

**(s) Weapons, Firearms, Explosives**

1. Possession, storage or use of firearms, explosives, ammunition or other weapons or dangerous articles or substances, including, but not limited to tasers, switchblade knives and non-lethal weapons such as fireworks, paintball guns, air guns, archery equipment, BB guns, any dangerous chemical or biological agents, corrosive agents, compressed gas, sling shots, Chinese stars, or any other item used as a weapon.

2. Driving or parking vehicles that contain or store firearms on University Premises. Possession of a concealed weapon or firearm on the University Premises even if the Student possesses a concealed weapon license. The University specifically waives the statutory exception provided in Section 790.115(2)(a)(3), Florida Statutes, which allows a person to have such a firearm in a vehicle.

3. Notwithstanding the foregoing, weapons, including non-functioning antique display weapons, may be used for classroom instructional purposes or other University sanctioned activities but only with prior approval by the appropriate University Official (e.g., firearms under the direct supervision of ROTC, a diver's knife for a scuba divers' class).

**(t) On-Campus Housing (University Housing and Greek Houses) Policies**

1. Violation(s) of any Department of Housing and Residential Life or Sorority and Fraternity Life/Campus Life Policies published in hard copy or available electronically via the Department of Housing and Residence Life website <http://www.housing.fiu.edu/> and/or Sorority and Fraternity Life/Campus Life website [campuslife.fiu.edu/greeks.fiu.edu](http://campuslife.fiu.edu/greeks.fiu.edu).

**(u) Student and Greek Organizations/Clubs Policies**

1. Violation(s) of any Campus Life/Sorority and Fraternity Life Policies published in hard copy or available electronically via the Campus Life/Sorority and Fraternity Life website. See [campuslife.fiu.edu/greeks.fiu.edu](http://campuslife.fiu.edu/greeks.fiu.edu).

**(v) Gambling**

1. Soliciting, placing or accepting a bet on any high school, intercollegiate or professional athletic contest on University Premises or at a University or Student or Greek Organization-sponsored activity or event. Soliciting, facilitating or participating in any illegal gambling, bookmaking or illegal betting whether through a bookmaker, a parlay card, a pool or any other method of organized gambling on University Premises or at a University or Student or Greek Organization-sponsored activity or event.

**(w) Trespassing/Unauthorized Use**

1. Unauthorized presence in or unauthorized use of University Premises, facilities, or restricted areas.

**(x) Other Violations**

1. Attempt or intent to commit any violation outlined in the Student Code of Conduct of Conduct.

2. Involvement in any violation outlined in the Student Code of Conduct. This includes encouraging others to commit acts prohibited by this Code and/or the failure to remove oneself from the area/incident where the offense is being committed or attempted.

3. Aids or abets another in any violation of federal law, state law, local ordinance, or University Policy.

4. Violation of federal, state law, local ordinance, or Florida International University Policies.

**(y) Bribery**

1. An offer, gift, receipt, or solicitation of a bribe of money, materials, goods, services or anything of value for the Student or others for the purpose of procuring or providing an advantage to which he/she is not otherwise legally entitled.

**(7) PRE-HEARING PROCEDURES**

**(a) Initiating Charges:** Any person or entity (including University departments, offices, officials, community members, etc.) may submit information regarding an alleged violation(s) of the Student Conduct Code for review by the SCCR in the following ways:

1. Filing a police report with the University Police Department or requesting that a report from another law enforcement agency be sent to the University Police Department.

2. Providing a signed SCCR incident report (see <http://www2.fiu.edu/~sccr/> for report) with accompanying supporting witness(es) statements and/or documentation to the office listed below based on the stated factors:

a) If the incident occurred in any of the University's residential housing, submit the incident report to the Office of Residential Life.

b) If the incident involved a member of a Greek Organization and/or occurred in one of the On-Campus Greek houses, submit the incident report to Sorority and Fraternity Life.

c) If the incident occurs elsewhere and does not fall within the categories of (a) or (b) above, submit the incident report to the SCCR.

3. The SCCR Director will determine, in his/her discretion, whether further fact finding is needed and ~~will determine when~~ whether there is enough information to file charges and which Hearing Body is appropriate to handle the matter. When two or more Charged Students are charged in the same incident, the appropriate Hearing Officer, in his/her discretion, may hold a separate hearing for each Charged Student or may hold a joint hearing.

4. When a police or incident report relates to a charge of sexual misconduct, the SCCR Director will inform the University's Title IX Coordinator for the purpose of ~~federal~~ compliance ~~with federal~~ regulations. The SCCR will advise the Complainant of the referral. The SCCR Director will advise the Title IX Coordinator of the results of the fact finding that SCCR conducts.

**(b) Filing Time:** Anyone may file an incident report of an alleged Code violation with the applicable office designated in (a)(2) of this section within ninety (90) calendar days of the incident or obtaining knowledge about the incident, whichever is later. However, the SCCR Director may extend this filing time in certain cases, including but not limited to, stalking, sexual misconduct, domestic violence, endangerment, or where the delay may be related to issues of victimization or beyond the control of the University.

**(c) Notice (Charge Letter):** The Written Notice given to a Charged Student, Student Organization, or Greek Organization will include the following:

1. Notice of the charge(s), including specific code section(s) which constitutes the alleged violation(s) of the Student Conduct Code.

2. An opportunity to attend an information session.

**(d) Information Session:** An information session is designed to provide the Charged Student, Student Organization, or Greek Organization with information about the hearing process and his/her or its rights as well as giving access to the documents supporting the charge available at that time.

1. The Charged Student, Student Organization, or Greek Organization will have an opportunity to review all information in the Student Conduct file at the information session with his/her or its Advisor (if any), including the names of witnesses to be called and the information to be used in the Student Conduct matter.

a) Subsequent to the information session, the SCCR Director or Hearing Officer may conduct any further fact finding regarding the incident that is subject to the charge. This information will be communicated to the Charged Student prior to the hearing.

2. The Charged Student, Student Organization, or Greek Organization will also receive information regarding the resources available for preparing for the hearing.

3. If a Charged Student, Student Organization, or Greek Organization fails to respond to a request to schedule an information session and/or fails to attend a scheduled information session, the Charged Student, Student Organization, or Greek Organization will be deemed to have waived his/her right to an information session. Under those circumstances, a hearing may be scheduled in the Charged Student's absence. Failure to attend to an information session will result in the SCCR Director determining the type of hearing. The hearing type will be determined based on the severity of the possible sanctions (e.g., suspension/expulsion cases will be referred to the Student Conduct Committee).

4. If the Charged Student fails to attend the information session, the SCCR Director may place a hold/service indicator on the Charged Student's record until the conclusion of the hearing. If sanctions are imposed as a result of the hearing, the hold/service indicator will be retained until the Charged Student fulfills all of the sanctions.

**(e) University's Right to Review Information to be used at a Hearing.** The University has the right to review any information the Charged Student, Student Organization, or Greek Organization intends to use at a hearing at least three (3) Business Days before the Student Conduct proceeding.

## **(8) TYPES OF HEARINGS**

Two (2) types of hearings are provided by the Student Code of Conduct: formal and informal.

### **(a) Informal Hearing:**

1. An informal hearing is only available when the Charged Student, Student Organization, or Greek Organization waives the right to a formal hearing and requests that the Hearing Officer conducting the information session determine the findings and sanctions if applicable. The Hearing Officer reserves the right to conduct fact finding to make an informed decision. When the possible sanctions include suspension or expulsion, or there is a Complainant with rights defined in the Complainant's Rights section, an informal hearing is not an option.

2. If a Charged Student, Student Organization, or Greek Organization waives the right to a formal hearing conducted, he/she or it must indicate the waiver on a form provided by the SCCR during information session.

3. The following apply to an informal hearing:

a) The meeting(s) will not be recorded.

c) The written decision will serve as the official record of informal hearings.

d) The written decision will be sent to the Charged Student within fourteen (14) Business Days from the conclusion of the Hearing Officer's deliberation.

4. If the Student does not chose the summary resolution (or the option is unavailable), the Student may indicate his/her preference for one of the two (2) types of formal hearings.

**(b) Formal Hearings.** The formal hearings are: 1) an Administrative hearing, or 2) the Student Conduct Committee hearing. All procedures described in the Hearing Procedures section apply to these types of hearing.

1. An Administrative hearing is conducted by a Hearing Officer who serves as the Hearing Body.

2. A Student Conduct Committee hearing is conducted by a committee which serves as the Hearing Body. The committee is comprised of two (2) Students and one (1) faculty or staff member. A Hearing Officer will moderate the hearing.

**(c)** The SCCR Director retains the discretion to ultimately determine which hearing forum is appropriate.

**(d)** All hearing(s) will be conducted in private.

## **(9) HEARING NOTIFICATION**

**(a) Scheduling.** Hearings may be scheduled during class periods. Every effort will be made to avoid a class conflict; however, due to availability of persons involved in the hearing and a room, it may not be possible.

**(b) Hearing Notice.** The notice of a hearing including date, time, and location will be sent to the official University email address of the Charged Student, or to the official University email address of the President of the Student Organization or Greek Organization at least five (5) Business Days prior to the hearing. The Hearing Officer has the discretion to provide notice through additional means. The receipt of the hearing notice through the official University email will constitute full and adequate notice under the Student Code of Conduct. The Charged Student, Student Organization, or Greek Organization may waive the notice period by indicating the waiver in writing.

1. The notice will include the names of witnesses to be called and information to be used in his/her or its matter.

2. The hearing notice will indicate whether the Hearing Officer received any additional information to be used in the hearing after the information session was conducted and will indicate when the additional information may be viewed.

3. The notice will also indicate the name(s) of the Hearing Body member(s).

**(c) Challenging a Member of the Hearing Body/Change of a Hearing Body Member.**

1. The Charged Student, Student Organization, or Greek Organization has the right to challenge the inclusion of any member at least three (3) Business Days prior to the

scheduled hearing. The challenge must be in writing and must show actual bias (such as a conflict of interest, animosity, pressure, or influence) that would preclude a fair and impartial hearing. The Hearing Officer will determine whether to grant such challenge in his/her discretion, and such decision is final.

2. If a Hearing Body member is unable to serve due to an emergency or unforeseeable occurrence, the Hearing Officer may appoint a new Hearing Body member prior to the scheduled hearing. The Charged Student, Student Organization, or Greek Organization may challenge the inclusion of this member at the time of hearing. The challenge must be on the basis outlined above.

(d) Request for a Postponement. Any request to postpone a hearing must be submitted in writing or by email and must be received by the SCCR Director at least 24 hours prior to the hearing and must state the reason(s) for the request. The granting of such requests shall be at the discretion of the SCCR Director. The University is not required to postpone a Student Conduct proceeding pending the outcome of a criminal prosecution.

#### **(10) ADVISOR FOR THE CHARGED STUDENT, STUDENT ORGANIZATION, OR GREEK ORGANIZATION**

(a) The Charged Student, Student Organization, or Greek Organization may be accompanied by an Advisor of his/her or its choosing and at his/her or its expense.

1) The Advisor must be a Member of the University Community.

a) If the Charged Student, Student Organization, or Greek Organization is the subject of a pending criminal matter arising from the same incident, the Charged Student, Student Organization, or Greek Organization may have an attorney as an Advisor provided that the attorney complies with the same restrictions imposed on any other Advisor.

2) It is the responsibility of the Charged Student, Student Organization, or Greek Organization to make appropriate arrangements for the Advisor to attend the proceeding. The proceedings shall not be delayed due to scheduling conflicts of the chosen Advisor.

3) The Charged Student, Student Organization, or Greek Organization is responsible for presenting in person his/her own information, and therefore, Advisors are **not** permitted to speak or to participate directly in any Student Conduct process.

4) Although Charged Student, Student Organization, or Greek Organization may consult with his/her or its Advisor during the hearing, this consultation must take place in a manner that does not disrupt the proceedings.

5) The Advisor chosen by the Charged Student, Student Organization, or Greek Organization cannot be a witness in the matter.

6) The SCCR will provide the Charged Student, Student Organization or Greek Organization with a list of **Advisors who could provide assistance to in preparing the response to the reported Code violation**impartial, procedural advisors if requested.

#### **(11) THE RIGHTS OF THE CHARGED STUDENT, STUDENT ORGANIZATION OR GREEK ORGANIZATION**

Below is a summary of the rights of Students, Student Organizations, **and/or** Greek Organizations who have been charged with a potential violation of the Student Code of Conduct. **These rights are as described in this Code.**

The Charged Student, Student Organization, or Greek Organization has the right to:

- (a) Reasonable, written notice of the Student Code of Conduct charge and the allegations upon which the charge is based.
- (b) A fair and impartial hearing.
- (c) An opportunity to review all information with his/her or its Advisor (if any) to be used in his/her Student Conduct matter before a hearing.
- (d) Present witnesses at the hearing.
- (e) Question witnesses in accordance with the Formal Hearing Procedures.
- (f) Be accompanied by an Advisor of his/her or its choosing and at his/her or its expense.
- (g) Not to provide self-incriminating testimony. (This right does not apply to Student Organizations or Greek Organizations.)
- (h) Notification of the decision of the Hearing Body in writing.
- (i) Appeal the decision, in writing.

## **(12) HEARING PROCEDURES**

- (a) During the hearing, the Hearing Officer presides over the Student Conduct hearing.
- (b) The burden of proof in a hearing rests with the University. The burden of proof is a Preponderance of the Evidence (i.e., the evidence is more likely or not). The determination of “responsible” or “not responsible” will be based solely on the information and/or testimony presented.
- (c) Formal rules of process, procedure, and/or technical rules of evidence applicable in the criminal or civil court are not used in Student Conduct proceedings.
- (d) The hearing will be recorded. The recording will serve as the only official record of the proceedings. No other recordings are permitted.
- (e) If the Charged Student, Student Organization, or Greek Organization fails to appear at the scheduled hearing, the hearing will be held and a decision will be rendered in the absence of the Charged Student, Student Organization, or Greek Organization.
- (f) The Charged Student, Student Organization, or Greek Organization may arrange for witness(es) to voluntarily present relevant information on her/his or its behalf.
  - 1. Witness(es) must be able to speak to the incident in question only.
  - 2. Character witness(es) or witness(es) to other incidents outside the specific incident in question will not be allowed to testify at a hearing. The Charged Student, Student Organization, or Greek Organization may provide the Hearing Body with a written statement from these witness(es).
  - 3. The Charged Student, Student Organization, or Greek Organization must provide the Hearing Officer with the names of all witness(es) in writing at least three (3) Business Days prior to the hearing.
  - 4. The Charged Student, Student Organization, or Greek Organization is responsible for contacting and notifying the witness(es) he/she or it wishes to call for the hearing.
  - 5. The proceedings shall not be delayed due to scheduling conflicts of the witness(es). Failure of any witness to appear shall not require a delay or affect the validity of the proceedings. If called witness(es) do not appear, their written statements, if they exist, will be considered by the Hearing Body.

6. The Charged Student may choose not to provide self-incriminating testimony which will not constitute an admission of responsibility. The protection from self-incrimination does not extend to Student or Greek Organizations.

7. The Hearing Officer has the discretion to limit the number of witnesses whose testimony may be duplicative.

(g) Pertinent records, exhibits, and written statements may be accepted by the Hearing Body at the discretion of the Hearing Officer.

(h) The Hearing Officer may place limits on the amount of information accepted and the length of testimony and also may advise that the tone of questioning be changed.

(i) All procedural questions are subject to the final decision of the Hearing Officer.

(j) The following order of presentation will be followed in formal hearings. Exceptions may be made by the Hearing Officer due to extenuating circumstances.

1. Introductory statement by Hearing Officer including a reading of the allegations and charge(s) and introduction of information that is the basis for the charges.

2. Statement by the Charged Student, Student Organization, or Greek Organization and submission of any pertinent written information (e.g., records, exhibits, written statements) except for witness statements (see below) for consideration by the Hearing Body.

3. Questions directed to the Charged Student, Student Organization, or Greek Organization by the Hearing Body and/or Hearing Officer.

4. Presentation of witnesses and any results of fact finding conducted prior to the hearing.

5. Questioning of witness(es) by the Hearing Body or Hearing Officer. The Charged Student, Student Organization, or Greek Organization may pose questions directly to the witness except in situation where the witness feels uncomfortable or is the Complainant. In these situations, the questions will be presented in writing to the Hearing Body or Hearing Officer who will then ask the witness the question.

6. Submission of written statements (if any) of witnesses who are not present by the Charged Student, Student Organization, or Greek Organization.

7. Follow-up questions (if any) directed to the Charged Student, Student Organization, or Greek Organization by the Hearing Body and/or Hearing Officer.

8. Final statement by Charged Student, Student Organization, or the Greek Organization.

9. Hearing Officer brings hearing to closure.

(k) Deliberation by the Hearing Body is not part of the hearing.

(l) Prior Student Conduct records, character statements, and/or Complainant impact statements are considered only in determining the appropriate sanction(s).

(m) The Student Conduct Committee will make a recommendation of the decision and sanctions to the Hearing Officer. The Hearing Officer may take one of these actions with respect to the recommendation:

1. Adopt;

2. Modify;

3. Reject the decision and sanctions; or

4. Remand for a rehearing.

The Hearing Officer will include the reasons for any differences between the recommendations of the Committee and the Hearing Officer's final decision as part of the decision letter.

(n) A formal decision letter will be sent to the Charged Student, Student Organization, or Greek Organization within fourteen (14) Business Days from the conclusion of the Hearing Body's deliberation. The hearing decision will include the decision, sanctions imposed (if applicable), and the right to appeal.

### **(13) COMPLAINANT'S RIGHTS**

(a) These rights apply to the following types of cases:

1. Sexual Misconduct
2. Endangerment
3. Personal Abuse
4. Stalking
5. Hazing

6. A violation of the crime of violence under Section 16 of Title 18 of the United States Code (i.e., arson, assault offenses, burglary, criminal homicide—manslaughter by negligence, criminal homicide—murder and non-negligent manslaughter, destruction/damage/vandalism of property, kidnapping/abduction, robbery, forcible sex offenses) or a non-forcible sex offense (i.e., statutory rape, incest).

(b) Rights

1. Have the same rights afforded to the Charged Student, Student Organization, or Greek Organization as described above. The Complainant must adhere to the same responsibilities that are required of the Charged Student, Student Organization, or Greek Organizations as set forth above.

2. Have unrelated past behavior excluded from the hearing. The Hearing Officer will decide if such information is unrelated. Unless there was a prior relationship between the parties, past relationships of the Charged Student or Complainant will not be considered in the hearing.

3. To be present throughout the entire hearing or any portions thereof. If the Complainant does not want to be present in the same room as the Charged Student, the Hearing Officer will make alternative arrangements, if possible.

4. Testify in limited privacy. In lieu of testifying in person or via telephone, the Complainant may submit a written or recorded statement. The determination of whether the testimony will be given in limited privacy is made at the discretion of the SCCR Director in consultation with the University Victim Advocate.

5. Submit a written impact statement to the Hearing Body. This information will be used only in the sanctioning phase of deliberations if the Charged Student is found responsible for the charge(s).

6. Be notified of the final determination to the extent permitted by law. Be notified of the final determination in cases involving alleged sexual misconduct. If the alleged Complainant is deceased as a result of a crime of violence or non-forcible sex offense, the University will provide the results of the disciplinary hearing to the Complainant's next of kin, if so requested.

7. A Complainant of sexual misconduct is entitled to a review by the Title IX Coordinator to determine whether the Complainant is also entitled to a remedy under Title IX which is not available under the Student Conduct Code. The SCCR Director will forward give the Title IX Coordinator a copy of the decision letter on the same day that the Charged Party and Complainant receive the letter.

8. Appeal the decision using the process described in Appeals Section.
- (c) Support and Assistance Programs. The University provides support and assistance programs for Complainants through its Victim Advocacy Center (see website <http://vac.fiu.edu>).

#### **(14) SANCTIONS**

(a) In light of the facts and circumstances of each case, the following sanctions or combination of sanctions (with or without appropriate modifications) will be imposed upon any Charged Student and/or Student/ Greek Organizations found to have violated the Student Code of Conduct. Sanctions will be commensurate with the offense with consideration given to any aggravating and mitigating circumstances, including but not limited to the Charged Student's conduct record.

1. Written Reprimand—the University takes official notice that such actions are inappropriate and not in accordance with our community standards.

2. Service Hours—assignment to complete task(s) or service(s) under the supervision of a University department or outside agency.

3. Educational Activities—attendance at educational program(s), interview(s) with appropriate officials, written research assignments, planning and implementing educational program(s), or other educational activities.

4. Restrictions/No Contact Order- the requirement that the Charged Student and/or friends have no contact with the Complainant. This restriction can be imposed at any time during the Student Conduct process.

5. Counseling Consultation—referral for consultation with the University Counseling and Psychological Services (or a licensed psychologist/psychiatrist if the Charged Student is no longer enrolled when sanctioned or is seeking readmission) for alcohol/drug dependence, general mental health or other counseling issues. Charged Student must follow through with recommendations made by the University Counseling and Psychological Services or licensed psychologist/psychiatrist, as applicable. The Charged Student must permit the consulting professional to provide official documentation on letterhead verifying attendance and to share the recommendations with the SCCR.

6. Psychological Evaluation—referral for an evaluation and recommendation by a licensed psychologist or psychiatrist which may include the University Counseling and Psychological Services Center. The Charged Student must follow through with any recommendations made by the psychologist or psychiatrist. The Charged Student must permit the professional to provide official documentation on letterhead verifying attendance and share the recommendations with the SCCR.

7. Restitution—requirement to reimburse the University or person for damage to or misappropriation of property owned or in possession of the University or other persons. Any such payment on restitution will be limited to actual cost of repair or replacement.

8. Fees—requirement to pay all fees associated with any sanction delivered (e.g., counseling consultation, psychological evaluation, educational seminars/ programs, etc.).

9. Residence Hall Reassignment—required change in University residence hall assignment. The Charged Student will be responsible for any additional charges for the new Housing assignment.

10. On-Campus Housing Exclusion—exclusion from University residence halls or Greek houses for a specified length of time. A Charged Student that is excluded from the residence halls is not eligible for fee reimbursement of pre-paid fees.

11. Restrictions/Loss of Privileges—restrictions or loss of privileges which may be imposed upon a Charged Student for a specified amount of time, including but not limited to, participation in Student activities, University or Student events, representation of the University on athletic teams, or in other leadership positions presence at University residence halls or other areas of campus, contact with specified person(s), participation as a peer advisor, resident assistant, or elected/appointed Student leadership in Student government; and/or participation in study abroad or alternative break programs. Additional restrictions on University privileges and/or activities may be imposed on the Charged Student based on his/her current or potential future activities.

12. Restrictions/Loss of Privileges (Student/Greek Organization Only)- For an organization, restrictions or loss of privileges including, but not limited to, participation in Student activities, social events, intramural activities, alcohol functions, or facilities. This does not affect an individual's status with the University or attendance at classes unless the individual has been charged and sanctioned independently of the Student/Greek Organization.

13. Conduct Probation—a temporary interruption of the Students' status with the University. A Charged Student that is on conduct probation is considered not in good standing with the University, resulting in applicable restrictions on privileges and/or activities including, but not limited, to prohibited from participating in study abroad or alternative spring break, as a peer advisor, resident assistant, or elected/appointed to a Student government or other organizational leadership position. Additional restrictions on University privileges and/or activities may be imposed on the Charged Student based on his/her current or potential future activities. The conduct probation period is a time for the Charged Student to reflect on his/her behavior and demonstrate that he/she can once again be a responsible Member of the University Community. While on conduct probation, further violations of the Student Code of Conduct or other University Policies may result in suspension or expulsion.

14. Conduct Probation (Student/Greek Organization Only)— temporary interruption of the organization's status with the University. An organization that is on conduct probation is considered not in good standing with the University, resulting in applicable restrictions on privileges and/or activities including, but not limited to, participation in or exclusion from Student activities, social events, intramural activities, alcohol functions, or facilities. Further violations of IFC, PC, NPHC, or MGC Policies, Student Code of Conduct or other University Policies may result in suspension or expulsion of the Charged Student/Greek Organization from the University. This does not affect an individual's status with the University or attendance at classes unless the individual has been charged and sanctioned independently of the Charged Student/Greek Organization.

15. Deferred Suspension—period of time in which suspension is temporarily withheld or withheld pending completion of other sanctions by a specified deadline date. A Charged Student on deferred suspension is considered not in good standing with the University, resulting in applicable restrictions or privileges including, but not limited, to prohibited from participating in study abroad or alternative spring break, as a peer advisor, resident assistant, or elected/appointed to a Student government or other organizational leadership position. Additional restrictions on University privileges and/or activities may be imposed on the Charged Student based on his/her current or potential future activities. If the Charged Student fails to fulfill the sanctions described in the decision letter (including failure to timely fulfill the sanction), the Charged Student will no longer be considered on deferred suspension but will be automatically suspended with no further appeal. If a Charged Student commits another violation

of the Student Conduct Code while on deferred suspension, the Charged Student will be taken through the conduct process for that additional violation.

16. Deferred Suspension (Student/Greek Organization Only)–period of time in which the organization’s suspension is temporarily withheld or withheld pending completion of other sanctions by a specified deadline date. An organization on deferred suspension is considered not in good standing with the University, resulting in applicable restrictions or privileges and/or activities including, but not limited to, participation in or exclusion from Student activities, social events, intramural activities, alcohol functions, or facilities. If the organization fails to fulfill the sanctions described in the decision letter (including failure to timely fulfill the sanction), the organization will no longer be considered on deferred suspension but will be automatically suspended with no further appeal. If the organization commits another violation of the Student Conduct Code while on deferred suspension, the organization will be taken through the conduct process for that additional violation. This does not affect an individual’s status with the University or attendance at classes unless the individual has been charged and sanctioned independent of the Student/Greek Organization.

17. Suspension–separation from the University for a specified period of time. A Charged Student is considered not in good standing with the University while suspended. The Charged Student may not attend classes and is banned from being on or in any On-Campus Premises. The suspended Charged Student is also banned from participation in any FIU sponsored/related event or activity. This sanction is recorded on the Charged Student’s academic transcript during the period of suspension. A Charged Student that is suspended from the University is not eligible for tuition and or registration fee reimbursement except as provided by University Policies. The Charged Student will be administratively withdrawn from courses and will lose respective credit hours.

18. Suspension (Student/Greek Organization only)–separation from the University for a specified period of time. An organization while suspended is considered not in good standing with the University. A suspended Organization may not participate in any University activities or events and is not recognized as a University organization during the suspension period. This does not affect an individual Student’s status with the University, academic area or attendance at classes unless the individual Student has been charged and sanctioned independently of the Student/Greek Organization.

19. Expulsion–permanent separation from the University with no possibility of readmission. This sanction is recorded on the Charged Student’s academic transcript permanently. A Charged Student is considered not in good standing with the University after being expelled. The Charged Student may not attend classes and is banned from being on or in any On-Campus Premises. The expelled Charged Student is also banned from participation in any FIU sponsored/related event or activity. A Charged Student that is expelled from the University is not eligible for tuition and/or registration fee reimbursement except as provided by University Policies. The Charged Student will be administratively withdrawn from courses and will lose respective credit hours.

20. Expulsion (Student/Greek Organization Only)–Permanent separation from the University with no possibility of re-chartering or re-registering. An organization that has been expelled is considered not in good standing with the University. An expelled organization may not participate in any University activities or events and is not recognized as a University organization. This does not affect an individual Students’ status with the University, academic

area or attendance at classes unless the individual Student has been charged and sanctioned independently of the Student/Greek Organization.

(b) Violations of the Student Code of Conduct that are motivated by prejudice toward a person or group because of such factors such as race, religion, ethnicity, disability, national origin, age, marital status, gender, sexual orientation or any group/class protected by state or federal law may result in stronger sanctions.

(c) Sanctions take effect immediately unless appealed.

(d) Proof of the completion of the sanction(s) should be provided as directed in the decision letter. It is the responsibility of the Charged Student or Student/Greek Organization to ensure that proof of completion is provided by the specified time. Failure of the Charged Student or Student/Greek Organization to provide proof by the specified date may result in a charge of failure to comply, will result in the placement of a Student Conduct hold on the Student's academic records, and will result in suspension if the Charged Student or Student/Greek Organization had been on deferred suspension.

## **(15) APPEALS**

**(a) Appellate Officer.** The appellate officer for all appeals is the Vice President.

**(b) Appeal Form/Basis for Appeals.** The Charged Student, Student/Greek Organization, or a Complainant of sexual misconduct, endangerment, personal abuse, stalking, hazing, a crime of violence, or non-forcible sex offense (if any) wishing to appeal must complete the appeal form in full, indicating the basis for the appeal, explain in detail the reasons for the appeal, and attached supporting relevant documentation. The burden of proof rests with the person appealing to clearly demonstrate the reason for appeal as set forth below. Appeals are not a re-hearing of the Student Conduct matter but only a file and/or document review. The reason for the appeal must be at least one of the following:

1. Violations of the appealing party's rights or other failure to follow the Student Conduct procedures that substantially affected the outcome of the initial hearing. Appeals based on this reason will be limited solely to a review of the record of the hearing.

2. New information, which was not available at the time of the hearing and we could not have been presented. In addition, the appealing party must show that the new information could have substantially affected the outcome.

3. The severity of the sanction is disproportionate to the nature of the offense.

**(c) Appeal Requests.** All appeals must be written and submitted using the appeal form available from the SCCR or via the following website:  
[http://www2.fiu.edu/~sccr/appeal\\_process.html](http://www2.fiu.edu/~sccr/appeal_process.html).

1. The person wishing to appeal must complete the appeals form in full to the Appellate Officer~~applicable appellate officer~~ within seven (7) Business Days of the receipt of the hearing decision. If the appeal form is submitted and/or signed by any other individual than the appealing party, it will not be accepted.

2. The SCCR will notify the Complainant of sexual misconduct, endangerment, personal abuse, stalking, hazing, a crime of violence, or non-forcible sex offense of a sexual misconduct, a crime of violence, or non-forcible sex offense (if any) if the Charged Student appeals, and vice versa. Both parties may submit a written statement.

**(d)** If a hearing decision is not appealed within the timeframe, the original decision becomes a Final Agency Action.

**(e) The Charged Student's Status Pending Appeal.** Once an appeal is requested, the sanctions will be stayed and will not take effect until the appeal process has been completed. If no appeal is requested, the sanctions will take effect immediately. A Charged Student shall remain eligible to attend classes and University activities pending the appeal. If a Charged Student's privileges are temporarily revoked and the Charged Student is subsequently found not responsible for the violation, the University must to the extent possible:

1. Correct any record of the change in enrollment status in the Charged Student's permanent records and reports in a manner compliant with state and federal laws; and
2. Refund to the Charged Student a pro rata portion of any charges for tuition, and out-of-state fees, as appropriate, relating to the temporary revocation or suspension which affected the Charged Student's ability to attend classes for more than ten (10) Business Days.

**(f) Appellate Review/Decision:**

1. No person may hear or decide an appeal if he/she conducted or participated in the Student Conduct proceeding being reviewed on appeal.
2. The Appellate Officer shall first determine if sufficient grounds for the appeal exists and then, if so, may either deny the appeal, thus sustaining the initial decision and sanction(s), or do one of the following:
  - i. If the basis of the appeal is that the severity of the sanction was disproportionate to the nature of the offense and the Appellate Officer finds the appealing party proved his/her allegation, the Appellate Officer may modify the sanction.
  - ii. If the basis of the appeal is that there was a violation of the appealing party's rights or other failure to follow the Student Conduct procedures that substantially affected the outcome or that there was new information which was not available at the time of the hearing and could not have been presented, which we would have substantially affected the outcome and the Appellate Officer finds that the appealing party proved his/her allegation, the Appellate Officer will order a new hearing.

**(g) Written Decision on Appeal.** The appellate decision is issued in writing to the Charged Student, Student/Greek Organization and the Complainant of sexual misconduct, endangerment, personal abuse, stalking, hazing, a crime of violence, or non-forcible sex offense (if any) within twenty-one (21) Business Days of receipt of the written request for appeal unless notification is given that additional time is necessary for consideration of the record on appeal.

**(h) Effect of the Final Appellate Decision.** The decision of the appellate officer constitutes a Final Agency Action.

**(16) INTERIM SUSPENSION**

In situations where the Vice President determines that an emergency exists which affects the health, safety, or welfare of the Charged Student, Student Organization, Greek Organization or University community, an interim (temporary) suspension will be imposed. This includes, but not limited to, sexual misconduct, physical assault, hazing, possession of a firearm or explosives, illegal drug possession, and other acts of a similar nature. A Charged Student, Student Organization, or Greek Organization under Interim Suspension is considered not in good standing with the University, resulting in applicable restrictions on privileges and/or activities. The Charged Student may not attend classes. The Charged Student, Student Organization, or Greek Organization is also banned from being on or in any On-Campus Premises and from participation in any FIU sponsored/related event or activity. A Complainant of sexual

misconduct, endangerment, personal abuse, stalking, hazing, a crime of violence, or non-forcible sex offense (if any) will be notified of the Interim Suspension of the Charged Student and will have the same rights as the Charged Student to participate in the subsequent Student Conduct Committee Hearing described in this section.

(a) The Charged Student under Interim Suspension shall not be allowed on Campus except with the permission of the University Police Chief or designee.

(b) Once an Interim Suspension is imposed, a formal Student Conduct Committee Hearing must be held within a reasonable time. The Interim Suspension does not replace the regular process, which shall proceed on the normal schedule, up to and through a Student Conduct Committee Hearing, if required.

## **(17) RECORDS**

(a) Decision letters (e.g., hearing outcome, sanctions and appeals) of all Student Conduct matters, including Housing/Residential Life and Sorority and Fraternity Life, will be maintained in the SCCR.

(b) Complete Student Conduct records regarding cases heard by Housing/Residential Life will be maintained by the Director of University Housing/Residential Life. Complete Student Conduct records regarding cases heard by IFC, NPHC, PC, and/or MGC will be maintained in the Office of Sorority and Fraternity Life.

(c) Complete Student Conduct records of Student Organizations or clubs will be maintained in the SCCR.

(d) The release of Student Conduct records will be governed by applicable federal and state laws regarding the privacy of education records.

(e) The SCCR may place a Student Conduct hold on the records and registration of any Student who has a pending Student Conduct matter, including any outstanding sanctions. Charged Students may not be allowed to graduate, receive grades or have transcripts released until pending Student Conduct matter(s), including any outstanding sanctions, are resolved.

(f) The official University email address on file with the University's Registrar's Office will be used for all Student Conduct notices sent to the Charged Student. For Student/Greek Organizations, the official University email address on file with the University Registrar's office for the organization's respective President will be used. The Hearing Officer may, in his/her discretion, decide to send the notices via additional means (e.g., overnight delivery, etc.).

(g) Expulsion will be noted permanently on a Student's academic transcript. Suspension will be noted on the Student's transcript during the period of suspension.

(h) Student Conduct files are kept for seven (7) years from the date of the last incident(s) that the Charged Student or Student/Greek Organization was involved in that resulted in charges of the Student Conduct Code. However, in the case of expulsion or suspension the files shall be permanently retained.

(i) Students may have access to the information in their Student Conduct file by submitting a written request to the SCCR Director. The access will be provided in accordance with federal and state laws regarding the privacy of education records and University regulation (see Florida International University Regulation 108).

## **(18) AUXILIARY AIDS AND SERVICES**

Students with disabilities as defined by the Americans with Disabilities Act requiring special accommodations should notify the SCCR and the Office of Disability Services for Students in writing at least three (3) Business Days prior to the hearing.

#### **(19) MEDIATION**

(a) All matters processed through SCCR are not required to go through formal or informal hearings. An overall goal of the SCCR is to provide a learning process which entails understanding and respecting the rights of others. An avenue utilized by the SCCR to foster mutual respect and understanding when differences arise is mediation. Mediation through SCCR is an informal and confidential process.

(b) Mediation is utilized where the incident in question is minor in nature, and the parties involved chose not to proceed with formal charges through the University Student Conduct system. The SCCR reserves the right to determine if formal charges will be filed or whether mediation is the appropriate venue in each matter.

(c) Mediation cases handled through SCCR will not be identified or filed as a Student Conduct matter. Mediation records will be maintained separate from Student Conduct files and will not be recorded or reported as part of a Charged Student's record. All mediation files are confidential and will not be released without written consent except in cases where the conduct or behavior is a repeat offense. In repeat cases, this information is only released to the Hearing Officer or committee conducting a formal hearing and only used if the Charged Student is found responsible for a violation of the Student Code of Conduct.

(d) Mediation files are kept for seven (7) years from the date of the mediation.

(e) Incident(s) considered serious in nature and, consequently not suitable for mediation, include, but are not limited to: sexual misconduct, endangerment, personal abuse, stalking, hazing, a crime of violence, or non-forcible sex offense illegal drugs, alcohol, weapons, or other violations of federal, state and local ordinances.

#### **(20) Interpretation and Revision**

(a) Any question of interpretation or application of the Student Code of Conduct shall be referred to the Vice President for final determination.

(b) The Student Code of Conduct shall be reviewed periodically by a committee which shall include Student representation under the direction of the SCCR Director.

Contact: Director, Office of Student Conduct and Conflict Resolution

Location: GC 311

Phone: 305-348-3939

Fax: 305-348-6477

Web Page: [www.fiu.edu/~sccr](http://www.fiu.edu/~sccr)

Authority: Florida Board of Governors Regulation 6.0105 Student Conduct and Discipline; Florida Board of Governors Regulation 6.010 Student Affairs Administration; Florida Board of Governors Regulation 1.001(4)(a)(10); and Section 7(d), Article IX, Florida Constitution.  
History--New

**THE FLORIDA INTERNATIONAL UNIVERSITY  
BOARD OF TRUSTEES**

June 14, 2012

**Subject: New Program Proposal: Master of Laws**

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**Proposed Board Action:**

Approve the Master of Laws (LL.M) degree (CIP 22.0101) new program proposal.

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**Background Information:**

The Florida International University College of Law is proposing to offer a Master of Laws (LL.M.) degree. The LL.M. is a professional graduate degree in law offered to candidates who already hold a first degree in law. The College of Law currently has no graduate programs beyond the first professional degree in law, the J.D., and there is no similar program at FIU.

The proposed LL.M. is intended for foreign lawyers already holding a first degree in law and would be awarded after one academic year of full-time study. For foreign students, the LL.M. provides an introduction to and in-depth study of the law of the United States.

This program advances the educational mission of FIU and the College of Law. Offering an LL.M. is a mark of academic standing for law schools in the United States.

The proposed LL.M degree program directly supports institutional goals and Florida's State University System Strategic Planning priorities and accountability measures.

Each university board of trustees shall approve for implementation new degree programs at the bachelor's, master's, advanced master's, and specialist levels in accordance with sections (3) and (5) of Florida Board of Governors Regulation 8.011, Authorization of New Academic Degree Programs and Other Curricular Offerings.

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**Supporting Documentation:** Executive Summary: Master of Laws (LL.M.) degree (CIP 22.0101) New Program Proposal

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## New Program Proposal: Master of Laws (LL.M.) (CIP 22.0101)

### College of Law

#### Executive Summary

The College of Law proposes to offer an Master of Laws (LL.M.). The LL.M. is a professional graduate degree in law offered to candidates who already hold a first degree in law. The College of Law currently has no graduate programs beyond the first professional degree in law, the J.D., and there is no similar program at FIU.

The proposed LL.M. is intended for foreign lawyers already holding a first degree in law and would be awarded after one academic year of study. For foreign students, the LL.M. provides an introduction to and in-depth study of the law of the United States. It is often an informal entry requirement to large firms or elite legal practices in foreign countries. It signals to employers that the lawyer has a general, conversant level of understanding of United States legal institutions, substantive law, and practice. The LL.M. also marks an acceptable level of legal English for transnational and international work.

This program advances the educational mission of FIU and the College of Law. Offering an LL.M. is a mark of academic standing for law schools in the United States. The LL.M. will particularly enhance the College of Law's international presence and will draw future leaders in law and business to FIU. The presence of foreign students in the College of Law's community and in the classroom will improve all students' awareness of the international nature of legal practice today, a theme that is already heavily incorporated into the present curriculum.

Faculty members of the College of Law have substantial experience and expertise in guiding foreign students. Eight of the full-time faculty hold LL.M. degrees themselves, and another five hold other advanced graduate degrees in law. Because of the College of Law's existing emphasis on international and comparative law, the faculty is highly trained in these areas. A faculty with these skills and interests will provide an intellectually and culturally welcoming community for LL.M. candidates.

The proposed LL.M. directly supports institutional goals and Florida's State University System Strategic Planning priorities. Specifically, the proposed program directly supports Goal 1 (access to and production of degrees), Goal 2 (meeting statewide professional and workforce needs), Goal 3 (building world-class academic programs and research capacity), and Goal 4 (meeting the unique institutional responsibilities of FIU in the areas of "international" and "expertise in management, law, economics, and commerce").

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**THE FLORIDA INTERNATIONAL UNIVERSITY  
BOARD OF TRUSTEES**

June 14, 2012

**SUBJECT: University Equity Report**

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**Proposed Board Action:**

Approve the University Equity Report.

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**Background Information:**

In accordance with Florida Board of Governors Regulation 2.003 Equity and Access, Florida International University has prepared an annual Florida Equity Report for 2011-12 that encompasses enrollment, gender equity in athletics, and employment.

The Florida International University Board of Trustees must approve the annual University's Equity Report prior to submission to the Florida Board of Governors.

The University President shall submit the Report to the Chancellor of the State University System.

Florida Board of Governors Regulation 2.003 (5) Equity and Access, provides that each university shall prepare an annual Florida Equity Report in accordance with this regulation and reporting guidelines established by the Board of Governors Office.

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**Supporting Documentation:**

University Equity Report  
2011-12 Enrollment, Gender Equity in Athletics, and  
Employment

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# **2012 FLORIDA EDUCATIONAL EQUITY ACT REPORT**



2012



# Florida Educational Equity Act Report: Enrollment, Gender Equity in Athletics, and Employment

**Report Year 2011 – 2012**

Approved by University Board of Trustees:

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Albert Maury, Chairman

Date

Approved by University President:

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Mark B. Rosenberg, President

Date

**Submitted by:**

Shirlyon J. McWhorter, Esq., Director  
Equal Opportunity Programs & Diversity-PC 215  
Florida International University  
11200 SW 8th Street, Miami, FL 33199  
Phone: (305) 348-2785  
Email: [smcwhort@fiu.edu](mailto:smcwhort@fiu.edu)  
Date sent:

# FLORIDA EDUCATIONAL EQUITY ACT REPORT 2011-2012

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## PART I. Executive Summary

Florida International University (FIU) submits the 2011-2012 Florida Equity Report that encompasses enrollment, gender equity in athletics, and employment as required by the Florida Educational Equity Act [Section 1000.05 F.S.] and the Florida Board of Governors Regulation 2.003. The Enrollment and Employment reports focus on women and members of four race/ethnic protected classes: Black non-Hispanic (B); Hispanic (H); Asian/Pacific Islander (A/PI); and American Indian/Alaska Native (AI/AN).<sup>1</sup>

Of the 47,966 students who currently attend FIU, approximately 56% are females and 44% males. FIU's dynamic student body reflects the vibrant diversity of South Florida:

- 61% Hispanic
- 15% White Non-Hispanic
- 13% Black Non-Hispanic
- 4% Asian or Pacific Islander
- 7% Other minority groups
- 56% Female
- 44% Male

In addition to its diverse ethnic groups, the university serves a large percentage of economically disadvantaged students. Nearly 50 percent of all undergraduate students at FIU receive financial aid, and nearly 60 percent of those financial aid recipients come from families with annual household incomes under \$30,000.

FIU is ranked first in the U.S. for granting bachelor's degrees to minorities, and ninth in granting master's degrees to minorities (among the top 100 universities), according to *Diverse Issues in Higher Education* (2011). FIU is also first in the nation in awarding science, technology, engineering, and math degrees to minorities. FIU produces over 1,500 minority graduates in those fields annually (2011). FIU ranked first in the nation among four-year colleges for awarding bachelor's and master's degrees to Hispanic students, according to a 2008 and 2011 survey conducted by *Hispanic Outlook in Higher Education*. Currently, we are ranked second in the nation for master's and doctoral degrees awarded in Engineering to Hispanics and number one in master's and doctoral degrees awarded in Business to Hispanic (NCES-IPEDS degrees granted in 2011).

## Academic Program Review - 2010-2011 Goals and Results

### Undergraduate Participation

#### **Goal:**

Increase FIU's Black, Asian and American Indian full-time student enrollment over the next five years.

#### **Results:**

In Fall 2010, 84.4% of the First Time in College (FTIC) students at FIU were under-represented minorities. There was a notable decrease in Fall 2011 to 80.9% of full-time FTICs students from under-represented groups. Although FIU exceeds the 80% benchmark of under-represented FTIC minorities, we are working on recruiting measures to ensure these numbers increase over the next 5 years. The percentage of Black FTIC students is showing slight setback this year at the university. In

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<sup>1</sup> IPEDS terminology for protected classes was utilized.

Fall 2010, a 10.1% Black FTIC was observed. In the Fall 2011, this percentage has decreased to 9.8%.

### Graduate Participation

#### **Goal:**

Increase the proportion of research doctorates awarded to Black students by 0.5% each year so that by 2014-2015 Black students will represent 18% of research doctorates awarded to domestic students.

#### **Results:**

A total of 148 research doctoral degrees were conferred for the AY 2010-2011. Black students represented 9.5% of the total doctoral degrees awarded, an increase from 5.3% in AY 2009-2010 which represents an increase from 6 to 14 degrees conferred. Seventy-one percent of these 2010-2011 recipients were females. Research doctorates awarded to Black females increased from 3 in AY 2009-2010 to 10 in AY 2010-2011. Black students represented 8.7% of the total doctoral enrollment in fall 2011. After careful evaluation of our data, we have identified recruitment and retention of Black students as a primary goal for our graduate programs.

#### **Goal:**

To identify the most promising recruiting venues for the recruitment of talented Hispanic and African-American students.

#### **Results:**

University Graduate School (UGS) representatives attended four McNair Research Conferences and four minority specific professional organization conferences (Southern Regional Education Board, Society for the Advancement of Chicanos and Native Americans in Science, Emerging Research National Conference in STEM, and the McKnight Fellowship Orientation) for the purpose of recruiting underrepresented graduate students. UGS has identified the most promising recruiting venues for 2012-2013, including several McNair Research Conference(s) and specific Hispanic Serving Institutions (HSI) and Historically Black Colleges & Universities (HBCU) graduate fairs, to include the Hispanic Association of Colleges and Universities (HACU) national conference, Annual Biomedical Research Conference for Minority students (ABRCMS) and Emerging Research National Conference in STEM (Science, Technology, Engineering, Math). Recruitment initiatives are also under-way to continue expanding and strengthening the presence of FIU in minority-populated markets.

#### **Goal:**

Increase academic and financial support of African-American and Hispanic graduate students.

#### **Results:**

The Graduate Minority Opportunity Program (GMOP) has been revised to actively target retention and success of incoming graduate Black and Hispanic students. For AY 2011-2012, GMOP funded 30 first-time graduate students: 60% Hispanic, 30% Black and 10% Asian. Mentoring, professional development and academic support activities were structured to increase engagement level of this group. UGS is also an active member of the Florida Education Fund (FEF) McKnight Doctoral Fellowship program. The McKnight Fellowship program is designed to increase the number of Black and Hispanic students that complete a doctoral degree. The current retention rate of McKnight fellows at FIU is 80%. Thirty-one McKnight fellowships have been awarded to FIU graduate students since 1984, and we have an incoming cohort of seven fellows for AY 2012-2013.

## Gender Equity in Athletics

### Goal:

Decrease the gap between the female athlete participation rate and female student enrollment rate.

### Results:

The university continues to make progress with this goal. In addition to our continued efforts of proactively inviting walk-ons to our female sports programs, this year the new National Collegiate Athletic Association (NCAA) women's sport of sand volleyball was added to our program. In effort to further address the disparity in participation rates, these strategies continue to be implemented and have been successful in narrowing the gap. Such strategies include: adding the women's sport, enforcing roster caps to limit the squad size for the men's sports teams, encouraging additional walk-ons for women's sports teams, and hiring top-level head coaches for women's sports to attract more female student-athletes.

Table A: 2010-2011 Female Athletes Participation Rates

WOMEN ATHLETES	2010-11 AY*	2011-12 AY*	GAP DIFFERENCE
Enrollment*	56.3%	56.1%	-0.2%
Participation	42.9%	43.7%	0.6%

\*Source: Student Data Course File (OPIE)

## Employment

### Goal:

The university has set an overall goal of increasing the cultural and gender diversity of its faculty and staff. Specifically, the following goals are made to increase employment diversity:

Table B: 2010-2011 Equity Goals

DISCIPLINE	GOAL	RANK	RACE	GENDER
Science & Mathematics - Tenured/Tenure-Earning Faculty	1	03	Minority	M
Science & Mathematics - Tenured/Tenure-Earning Faculty	2	03	Minority	F
Science & Mathematics - Tenured/Tenure-Earning Faculty	1	03		F
Science & Mathematics - Non-Tenure-Earning Faculty	1	03	Minority	F
Social Science - Tenured/Tenure-Earning Faculty	3	04	Minority	F
Social Science - Tenured/Tenure-Earning Faculty	2	04		F
Social Science - Non Tenure-Earning Faculty	2	03		F
Performing & Visual Arts - Tenured/Tenure-Earning Faculty	1	03		F

Table C: 2011-2012 Outcome for Equity Report

DISCIPLINE	ACHIEVE- MENT	RANK	RACE	GENDER
Science & Math - Tenured/Tenure-Earning Faculty	12	03	Minority	M
Science & Math - Tenured/Tenure-Earning Faculty	7	03	Minority	F
Social Science - Tenured/Tenure-Earning Faculty	7	03	Minority	M
Social Science - Tenured/Tenure-Earning Faculty	2	03	Minority	F
Social Science - Non Tenure-Earning Faculty	2	04	Minority	M
Social Science - Non Tenure-Earning Faculty	8	04	Minority	F
Social Science - Non Tenure-Earning Faculty	5	04		F
Performing & Visual Arts - Tenured/Tenure-Earning Faculty	1	03	Minority	M
Performing & Visual Arts - Tenured/Tenure-Earning Faculty	1	03		F

## Description of Plan Development

The process of preparing this report involved a number of offices and the utilization of various data sources. See following table for details:

PART	REPORTING AREA	RESPONSIBLE AREA
I	Executive Summary	Equal Opportunity Programs and Diversity
II	Policies and Procedures in Support of Equity	Equal Opportunity Programs and Diversity
III	Academic Program Reviews	Office of Planning and Institutional Research, Student Affairs, Enrollment Support, University Graduate School
IV	Gender Equity in Athletics	Intercollegiate Athletics
V	Employment Representation	Office of Planning and Institutional Research, Office of the Provost
VI	Areas of Improvement and Achievement	Office of the Provost
VII	Protected-Class Representation in the Tenure Process	Office of the Provost and Academic Budget Office
VIII	Promotion and Tenure Committee Composition	Office of the Provost, Academic Budget Office
IX	Other Requirements	Office of the Provost, Academic Budget Office, Board of Trustees

**Data Sources:** Florida BOG files, Student Data Course File, Affirmative Action Plan, Prior Year's Equity Report, Tenure and Promotion Reports, Athletic Reports, Various Integrated Postsecondary Education Data System (IPEDS) reports.

## **PART II. Policies and Procedures in Support of Equity**

The following policies and procedures have been specifically formulated to ensure equity at Florida International University. The prohibition policies and reporting procedures are updated on the webpage on an annual basis and widely dissemination to the university community.

### **President's EEO Policy Statement**

The University recognizes the importance of eliminating employment barriers and therefore, has established a non-discriminatory policy for its employees and applicants for employment. This policy is applied without regards to a person's race, color, gender, religion, creed, national origin, disability, marital status, political opinions or affiliations, Vietnam or disabled veteran status, sexual orientation, or age, except as provided by law. It is designed to assure each applicant or employee an equal opportunity in recruitment, examination, appointment, training, promotion, compensation, retention, and/or other employment practices.

### **Policies and Procedures**

#### **Accommodation of Employees with Disabilities**

<http://hr.fiu.edu/uploads/EOPD/Disability.pdf>

#### **Non-Discrimination Policy & Discrimination Complaint Procedures**

<http://regulations.fiu.edu/regulation>

#### **Accommodations at University Sponsored Public Events for Persons with Disabilities**

[http://hr.fiu.edu/uploads/file/Acc\\_Pu\\_Events.pdf](http://hr.fiu.edu/uploads/file/Acc_Pu_Events.pdf)

#### **AIDS Policy**

<http://hr.fiu.edu/uploads/file/AIDS.pdf>

#### **Language in the Workplace**

[http://hr.fiu.edu/uploads/EOPD/Lang\\_Work.pdf](http://hr.fiu.edu/uploads/EOPD/Lang_Work.pdf)

#### **Processing of Discrimination Complaints Filed with External Compliance Agencies**

<http://hr.fiu.edu/uploads/EOPD/Discrimination.pdf>

#### **Sexual Harassment/Educational Equity Grievance Procedure**

[http://hr.fiu.edu/uploads/EOPD/Sex\\_Hars.pdf](http://hr.fiu.edu/uploads/EOPD/Sex_Hars.pdf)

## PART III. Academic Program Reviews

Under the Academic Program Reviews, as many as eight (8) areas of review are required of each university with programs at the specified levels. These annual analyses display enrollment at these eight levels for students in a protected class: female, the four protected class race/ethnic codes; in addition, they display official total including white, non-resident alien, and not reported. Universities not offering programs at one or more levels should key the charts with zeroes to present a complete report. Measures of equity in TAB 3 with charts are:

### Program Area: UNDERGRADUATE STUDENTS

#### ENROLLMENT

Benchmarks for enrollment of under-represented groups, which include Black Non-Hispanic, Hispanic, Asian/Pacific Islander and Native American, are based on percentages of comparable public Title IV institutions using the most recent and relevant reports from IPEDS, the Florida Department of Education, the Florida Board of Governors, or ACT, Inc. For each indicator, the benchmark selected will be identified and comparisons analyzed. Interventions are identified if FIU performance falls below the 80% margin of the benchmark. This 80% margin assessment strategy is proposed in the guidelines for producing the Access and Equity Report.

Chart 1. First-Time-in College (FTIC) Enrollment, Fall 2011 and Early Admits

Chart 1. Full-time First-Time-In-College Enrollment, Fall 2011 and Early Admits										
	NRA	B	AI/AN	A	H	NH/OPI	W	≥ Two	Unk	Total
Men	55	176	1	60	1,307	8	186	115	16	1,924
Women	53	232	1	61	1,522	6	158	183	24	2,240
Total	108	408	2	121	2,829	14	344	298	40	4,164
Category % of Total	2.6%	9.8%	0.0%	2.9%	67.9%	0.3%	8.3%	7.2%	1.0%	100.0%

Source: IPEDS 2011-12 Part A, Fall enrollment by race, ethnicity, and sex. Column 1, First time students.

A = Asian

AI/AN = American Indian/Alaskan Native

B = Black or African American

H = Hispanic or Latino

NRA = Non-resident alien

W = White

Unk = Unknown

NH/OPI = Native Hawaiian or Other Pacific Islander

#### Benchmark for Data Assessment:

The specific comparison benchmark for FTIC enrollment is the percent of under-represented FTIC minorities at FIU versus the percent of under-represented FTIC minority students throughout the SUS. The most recent comparative data published by the Florida Board of Governors is for Fall 2011.

#### Data Assessment:

Last year, 84.4% of the FTIC students at FIU were under-represented minorities. In the Fall 2011, this percentage slightly decreased to 80.9% of full-time FTIC students from under-represented groups. Therefore, FIU slightly exceeds the 80% benchmark on percent of under-represented FTIC minorities.

#### Targeted Improvement:

Staff from the Undergraduate Admissions Office visits high schools with significant minority enrollment several times a year to recruit. One of our strategies this year was to visit high schools in

Miami-Dade County at least twice. In fact, some high schools received 60% more time with our staff than they did in previous years. During these visits we extended invitations to students and their families to attend on-campus programs such as Open Houses, Panther Walks, and Campus Tours.

Chart 2. Florida College System Associate of Arts ( A.A.) Degree Transfers, Fall 2011 and Summer Continuing into Fall 2011

	NRA	B	AI/AN	A	H	NH/0PI	W	≥ Two Races	Unk	Total
Men	168	194	3	32	758	3	199	19	23	1,399
Women	231	306	3	36	1,063	1	198	29	31	1,898
Total	399	500	6	68	1,821	4	397	48	54	3,297
Category % of Total	12.1%	15.2%	0.2%	2.1%	55.2%	0.1%	12.0%	1.5%	1.6%	100.0%

Source: IPEDS 2011-12 Part A, Fall enrollment by race, ethnicity, and gender. Column 2, Transfer-In

#### Benchmark for Data Assessment:

The Florida Education and Training Placement Information Program (FETPIP) is a data collection and consumer reporting system established by Florida Statutes Section 1008.39 to provide follow-up data on Associate degrees in Arts (AA) graduates from the Florida College System (community colleges) and others.<sup>2</sup> The most recent report represents the post-graduation placement of A.A. graduates from 2009/2010. Specifically, this report represents the number of A.A. graduates, by race, which enrolled in public universities within the State of Florida. These statewide outcomes provide the benchmark for comparison.

#### Data Assessment:

According to the most recent FETPIP report, 25,882 A.A. graduates from 2009/2010 enrolled in Florida public universities. This cohort consisted of 41.4% minority students in the following racial groups: 3.7% Asian, 13.3% Black, 24.0% Hispanic, and .004% American Indian. In comparison, 72.8% of full-time A.A. transfers at FIU in Fall 2011 were minority students consisting of 2.1% Asian, 15.2% Black, 55.2% Hispanic, 0.2% American Indian, and 0.1% Hawaiian or Other Pacific Islanders.

#### Targeted Improvement:

No targeted improvements are required for this group.

### RETENTION

Chart 3. Retention of Full-Time FTICs Entering Fall 2010 or Summer 2010 and Continuing into Fall after One Year

	NRA	B	AI/AN	A	H	NH/0PI	W	≥ Two Races	Unk	Female	Male	Total
Cohort	50	448	2	137	2,579	2	430	70	39	2,080	1,667	3,757
Category % of Total	1.3%	11.9%	.1%	3.6%	68.6%	.1%	11.4%	1.9%	1%	55.4%	44.6%	100%
After 1 year	41	331	2	118	2,181	2	326	47	34	1,739	1,343	3,082
Retention	82%	73.9%	100%	86.1%	84.6%	100%	75.8%	67.1%	87.2%	83.6%	80.1%	82%

<sup>2</sup> <http://www.fldoe.org/fetpip/>

Rate												
Source: IPEDS 2011-12 Part A, Fall enrollment by race, ethnicity, and gender. Column 2, Transfer-In												

#### Benchmark for Data Assessment:

The benchmark for measuring this outcome is the first year retention rate of FTIC students attending public PhD-granting institutions. This information is reported in the annual ACT Retention Trend report

#### Data Assessment:

The 2011 ACT Retention Trend reported that 77.9% of the first year students who enrolled in a public PhD-granting university in Fall 2010 returned to their universities for a second year in Fall 2011. FIU's overall first-year retention rate of 82.0% is 4.1% higher than the national average for public PhD granting universities, and 1.7% higher than the PhD Private University with an average of 80.3%. FIU's under-represented student groups do especially well compared to the first-year retention rate (77.9%) of the Public PhD cohort. FIU's Hispanic and Asian students (84.6% and 86.1% retention, respectively) have higher retention rates than the overall national average, exceeding it by 6.7% and 8.2%, respectively. FIU's Black students (73.9%) have a 4% lower rate than the national average for all students (majority and minority in classification), and there were too few American Indian students in the cohort (n=2) to be reviewed.

#### Targeted Improvement/Intervention:

No targeted improvements are required for this group. However, overall FIU retention dropped by 0.8% compared to last year, closely matched by a 0.7% drop in the national Public PhD retention rate. Also, FIU Hispanic and Black student retention also dropped compared to the previous year: 0.9% and 1.2%, respectively. These declines require closer investigation, though the fact that they are similar to national rate declines suggests non-FIU-specific factors at work. Asian students, in contrast, showed an increase in the retention with a rate of 6.6%.

With an eye on improving on-time graduation rates as well as first year retention, FIU has now embarked on its most ambitious effort to reshape the university around student success. The eight key elements compromising this initiative are listed under the strategy to improve FIU's six-year graduation rates.

## GRADUATION

Chart 4. Graduation Rate of Full-Time FTICs, Beginners and Early Admits Entering Fall 2005 or Summer 2005 and Continuing into Fall After Six Years

	NRA	B	A/AN	A	H	NH/OPI	W	More	Unk	Female	Male	Total
Cohort	99	515	4	153	2,536	0	642	8	21	2,263	1,715	3,978
Category % of Total	2.5%	12.9%	0.1%	3.8%	63.8%	N/A	16.1%	0.2%	0.5%	56.9%	43.1%	100.0%
After 6 years												
Number of Graduates	53	160	1	71	1,181	0	244	5	7	1,109	613	1,722
Percent Graduated	53.5%	31.1%	25.0%	46.4%	46.6%	N/A	38.0%	62.5%	33.3%	49.0%	35.7%	43.3%
Category % Graduated	3.1%	9.3%	0.1%	4.1%	68.6%	N/A	14.2%	0.3%	0.4%	64.4%	35.6%	100.0%
Number Retained	57	224	1	93	1,564	0	301	7	8	1,345	910	2,255
Percent Retained	57.6%	43.5%	25.0%	60.8%	61.7%	N/A	46.9%	87.5%	38.1%	33.8%	22.9%	56.7%
Category % Retained	2.5%	9.9%	0.0%	4.1%	69.4%	N/A	13.3%	0.3%	0.4%	59.6%	40.4%	100.0%

Source: Preliminary Numbers from BOG Retention File

### Benchmarks for Data Assessment:

Three indicators are used to test institutional performance in the graduation of under-represented groups:

1. Six-year graduation rate of under-represented minority students graduating at FIU versus the national six-year graduation rate of students attending public Title IV college/universities.
2. Percent of ethnicity that graduated as compared to the ethnic group's percent of the original cohort. For example, Black students were 11.4% of the 2004 full-time FTIC cohort, and were 8.8% of the graduating cohort indicating a graduation rate falling short of the University's overall graduation rate.
3. Percent of the ethnicity that is retained, defined as those who have graduated plus those still enrolled, as compared to the ethnic group's percent of the original cohort. For example, Black students are 11.4% of the original cohort and 9.3% of those retained, a rate somewhat consistent with the overall University retention rate.

### Data Assessment:

1. Six-year graduation rate - according to the National Center for Education Statistics First Look, Fall 2010 report<sup>4</sup> page 17, Table 7 - 56% of undergraduates attending public 4-year Title IV institutions graduated with a baccalaureate degree within six years. FIU's 43.3% six-year graduation rate is 12.7 percentage points below the national average, or 77.3% of the national average. However, FIU's six-year graduation rates for its two largest under-represented student groups are at least 80% of the national averages for 4-year public institutions: Black students 31.1% FIU versus 38.3% national (81% overlap); and Hispanic students 46.6% FIU versus 47.8% national (97.5% overlap). The American Indian/Alaska Native group with only four students is not large enough for meaningful statistical comparison. FIU's two largest percentage point gaps are with student groups who have the highest graduation rates

<sup>4</sup> <http://nces.ed.gov/pubs2012/2012280.pdf>

nationally: Asian students 46.4% FIU versus 66.2% national (70.1% overlap) and White non-Hispanics with 38% FIU versus 58.9% national (64.5% overlap).

2. Percent of ethnicity graduating compared to its percent of the cohort – for Hispanic and Asian students, the percentage of graduates within six years met or exceeded the percentage each group represented in the entering FTIC cohort in 2005: Hispanic students made up 63.8% of the cohort but 68.6% of graduates; Asian students were 3.8% of the cohort but 4.1% of graduates. The American Indian/Alaska Native group with only four students is not large enough for a meaningful statistical comparison. Black students were 12.9% of the initial cohort but only 9.3% of the graduates, an overlap of 72.1%, less than the benchmark of 80%, placing this group outside the acceptable margin.
3. Percentage of ethnicity retained – FIU met or exceeded the 80% margin for Hispanic and Asian student groups, but not for Black students (76.7) retained in the seventh year within the entering FTIC cohort in 2005.

Targeted Improvements/Interventions: The Graduate Success Initiatives (GSI), a significant realignment of the university structure, policy and practice are being developed to address FIU's decline in six-year graduation rates. The GSI includes seven key elements, which are identified below. In addition, the University is investing in major innovations in math education:

1. Graduation Success Initiative:
  - a. Admit students directly into their major.
  - b. Admit undecided students to Undergraduate Education, with the primary mission of assisting them to determine a viable major within 45 credits.
  - c. Every admitted student should have a viable path to graduation; hence alternative programs are being created for selective admissions majors and interdisciplinary majors for students electing to combine disciplines in order to achieve their career objectives.
  - d. Implement an on-line tracking system (eAdvising) with critical indicators in each major to identify students as "on" or "off" track based on their performance in courses that have been identified as predictors of on-time graduation in their major; GPA; timely progress to degree, and other indicators of academic progress.
  - e. Place accountability for retention and graduation within each school or college in a manner that encourages continual student guidance into the appropriate program for their success.
  - f. Fund Colleges and Schools on a planned enrollment basis.
  - g. Give high priority and funding to Student Academic Support Services.

This massive university-wide transformation is based on proven strategies at Arizona State University, the University of Florida, and other successful universities across the country. FIU has dedicated significant resources to this project and is on track to complete it for the cohort entering in Fall 2012.

### Mathematics Education Innovations

FIU's gateway math courses have had failure rates of up to 70%, contributing to student drop-out. Over the last three years, the math department has tested several significant pedagogical changes to math instruction, with good results. In Fall 2012, the Mathematics Department will roll out two very significant changes in math instruction:

1. The department has received university funding to implement a Mathematics Emporium Computer Lab approach to teaching high failure rate introductory mathematics courses, following two years of successful pilot testing; and
2. The department will include an additional lower-level course in its math sequence to bring the FIU curriculum into alignment with the math sequence used in other state universities. These changes are expected to increase passing rates up to 20%, and to increase retention and graduation rates by up to 20%, and to increase retention and graduation rates.

Chart 5. Bachelor's Degrees Awarded, Annual Year 2010 - 2011

	NRA	B	AI/AN	A/OPI	H	W	Unk	T
Men	191	274	3	85	1,694	408	20	2,684
Women	284	490	1	132	2,462	537	33	3,953
Total	475	764	4	217	4,156	945	53	6,637
Category % of Total	7.2%	11.5%	0.1%	3.3%	62.6%	14.2%	0.8%	100.0%

Source: IPEDS Completions 2011-12 report (degrees awarded AY 2010-11), GRAND TOTAL BY FIRST MAJOR, Bachelor's degrees. Chart for 99.0000, all disciplines.

### Benchmark for Data Assessment:

The benchmarks selected for bachelor's degrees awarded are the number and percent of under-represented students at FIU awarded baccalaureate degrees compared to the number and percent of BA/BS degrees awarded to under-represented students in the rest of the State University System (SUS). FIU endeavors to be the top grantor of baccalaureate degrees to under-represented students in the state and to have 80% of its graduates be under-represented students.

### Data Assessment:

In 2010-11, 53,392 baccalaureate degrees (first major) were awarded to undergraduates throughout the SUS. FIU awarded 6,267 bachelor's degrees, of which 4,892 or 78% were to Black, Hispanic, Asian or American Indian students. FIU graduated the most under-represented students in the SUS (i.e., 4,892, next highest was UF at 2,854), and had the second highest percentage of under-represented students (its 78% was second to Florida Agricultural and Mechanical University (FAMU), which had 95%). FIU met its goals of graduating the highest number of under-represented students in the SUS and was close to its goal of having a graduating baccalaureate class that was 80% under-represented students.

Within the SUS, FIU was first in the state in number of Hispanic baccalaureate graduates (3,919), fifth in Black graduates (720), fourth in Asian graduates (241), and tied for seventh place for American Indian graduates (12).

On the national level, FIU holds a competitive ranking among the top colleges and universities graduating students from under-represented ethnic groups. *Hispanic Outlook in Higher Education*

*Magazine*<sup>5</sup> in May 2011 ranked FIU as the top producer in the United States for baccalaureate, master's, and doctoral degrees to Hispanic students. Similarly, the 2012 *Diverse Issues in Higher Education*<sup>6</sup> notes that FIU is one of the top producers of African-American baccalaureates in the U.S., ranging from first in the nation to 44<sup>th</sup> (varying by major) in the number of its graduates. Seven academic programs at FIU, including finance, engineering, and health professions, are among the top 20 university programs nationally in terms of their production of African American baccalaureates.

#### Targeted Improvement:

No targeted improvements are identified for this area.

### **Program Area: GRADUATE STUDENTS**

Chart 6. Master's Degrees Awarded, Annual Year 2010 - 2011

	NRA	B	AI/AN	A	H	NH/O PI	W	≥ Two Races	Unk	T
Men	263	97	1	35	451	0	188	9	11	1055
Women	278	242	0	60	649	0	265	11	11	1516
Total	541	339	1	95	1100	0	453	20	22	2571
Category % of Total	21%	13.2%	0%	3.7%	42.8%	N/A	17.6%	0.8%	0.9%	100.0%

Source: IPEDS Completions 2011-12 report (degrees awarded AY 2010-11), GRAND TOTAL BY FIRST MAJOR, Master's degrees.  
Chart for 99.0000, all disciplines.

#### Data Assessment of Master's Degrees Awarded

A total of 2,571 master's degrees were conferred during the AY 2010-2011 (Chart 6). 1,516 master's degrees were awarded to women (58.9%) compared to 1,055 master's degrees awarded to men (41.0%). The gender proportion of master's degrees conferred in AY 2010-2011 closely reflects that of male/female population of the total FIU student body (56.1% female and 43.8% male). Hispanic females represented the largest gender-specific fraction, 25.2% of the total number of master's degrees awarded for AY 2010-2011. White and Black females represented 10.3% and 9.4% of masters degrees awarded in AY 2010-2011, respectively.

In comparison with AY 2009-2010, both female and male students made gains in the total number of master's degrees awarded such that the proportion of degrees awarded to females held steady at 60%. For the AY 2010-2011, females within the Black, Asian/Pacific Islander, Hispanic and White categories were awarded more master's degrees in comparison with their male counterparts, a trend that was also observed for the AY 2009-2010.

Hispanic students were awarded the largest number of master's degrees, with 1,100 conferrals representing 42.8% of the total number of master's degrees awarded last year. Non-resident alien (NRA) students accounted for the second largest fraction, 21% of the total number of master's degrees awarded in the AY 2010-2011. A total of 339 master's degrees were awarded to Black students, representing 13.2% of all degrees awarded. Asian/Pacific Islander students accounted for

<sup>5</sup> <http://www.hispanicoutlook.com/top100.htm>

<sup>6</sup> <http://diverseeducation.com/top100/>

3.7% of the total master's degrees conferred. White students were awarded 17.6% of all degrees conferred. One master's degree was conferred to an American Indian/Alaska Native student.

Small gains were made in master's degrees awarded to Black students, 339 or 13.2% in AY 2010 – 2011 compared to 291 or 12.4% in AY 2009-2010. The number of degrees awarded to Black females increased from 213 in AY 2009-2010 to 242 in AY 2010-2011. In addition, the number of degrees awarded to Black males also increased from 78 in AY 2009-2010 to 97 in AY 2010-2011.

Chart 7. Doctoral Degree - Research Awarded, AY 2010-2011

	NRA	B	AI/AN	A	H	NH/O PI	W	≥ Two Races	Unk	T
Men	35	4	0	1	16	0	15	0	0	71
Women	26	10	0	5	13	0	22	1	0	77
Total	61	14	0	6	29	0	37	1	0	148
Category % of Total	41.2%	9.5%	N/A	4.1%	19.6%	N/A	25.0%	0.7%	N/A	100.0%

*Source: IPEDS Completions 2011-12 report (degrees awarded AY 2010-11), GRAND TOTAL BY FIRST MAJOR, Doctoral degrees. Chart for 99.0000, all disciplines.*

#### Data Assessment of Doctoral Degrees Awarded:

A total of 148 research doctoral degrees were conferred for the AY 2010-2011 (Chart 7), up from 114 doctoral degrees conferred for the AY 2009-2010. The majority of doctoral degrees (52.0%) were awarded to female students.

The most dramatic increases from AY 2009-2010 were in the number of doctoral degrees being awarded to females: research doctorates awarded to Black females increased from 3 in AY 2009-2010 to 10 in AY 2010-2011, and research doctorates awarded to White females increased from 12 in AY 2009-2010 to 22 in AY 2010-2011. The number of doctoral degrees awarded to Hispanic males also increased from 10 in AY 2009-2010 to 16 in AY 2010-2011.

NRA students (41.2%) and Hispanic students (19.6%) together made up 60.8% of the total number of doctoral-degree recipients. Hispanic students had a slight percentage decrease in the proportion of doctoral-degree conferrals (2.3%) from AY 2009-2010. Doctoral degrees were awarded to 37 White students, or 25.0% of the total number of doctoral degrees awarded. Black students represented 9.5% of the total doctoral degrees awarded, an increase from 5.3% in AY 2009-2010. Asian/Pacific Islander students earned 4.1% of the doctoral degrees, which was approximately the same as AY 2009-2010. No doctoral degrees were recorded for the American Indian/Alaska Native group during the AY 2010-2011.

Chart 8. Doctoral Degree - Professional Awarded, AY 2010-2011

	NRA	B	AI/AN	A	H	NH/O PI	W	≥ Two Races	Unk	T
Men	1	9	0	2	45	0	47	0	3	107
Women	1	8	0	4	62	0	36	3	5	119
Total	2	17	0	6	107	0	83	3	8	226
Category % of Total	0.9%	7.5%	N/A	2.7%	47.3 %	N/A	36.7 %	1.3%	3.5%	100.0 %

### Data Assessment of First Professional Degrees Awarded

For AY 2010-2011, the designation of “Professional Doctorates” represents degrees awarded through the College of Law (JD) and the College of Nursing and Health Sciences (DPT-Doctorate Physical Therapy) (Chart 8). Two hundred and twenty-six degrees were awarded under the professional doctorate category.

Males represent 47% and females 52% of the degree recipients. Hispanic students represented the largest group with 47.3% of degree recipients. White students represented the second largest group with 36.7% of degree recipients. Black students represented 7.5% of the degrees awarded. There were no degrees granted to American Indian/Alaska Natives. NRA and Asian/Pacific Islander students represent a small fraction (< 5%) of the professional degrees awarded.

### Targeted Improvement

FIU makes a significant contribution to the total number of graduate degrees awarded nationally to resident Hispanic and Black students. Currently, we are ranked second in the nation for master’s and doctoral degrees awarded in Engineering to Hispanics and number one in master’s and doctoral degrees awarded in Business to Hispanic (NCES-IPEDS degrees granted in 2011).

Gains were made in master’s degrees awarded to Black females from 213 in AY 2009-2010 to 242 in AY 2010-2011 and Black males also increased from 78 in AY 2009-2010 to 97 in AY 2010-2011. The percentage of research doctoral degrees that were awarded to Black students increased from 5.3% in AY 2009-2010 to 9.5% in AY 2010-2011, which represents an increase from 6 to 14 degrees conferred. Seventy-one percent of these 2010-2011 recipients were females. Black students represented 8.7% of the total doctoral enrollment in Fall 2011. After careful evaluation of our data, we have identified recruitment and retention of Black students as a primary goal for our graduate programs, specifically in the areas of Science, Technology, Engineering, and Mathematics (STEM). We are particularly focused on increasing the number of Black students participating and completing research doctoral degrees. A secondary goal is the recruitment and retention of Hispanic doctoral students. The percentage of research doctoral degrees awarded to Hispanic students (21.9%) is much lower than the percentage of master’s degrees awarded to Hispanic students (43.3%) at FIU.

The UGS is exploring initiatives to increase doctoral-degree productivity of Black students to be more representative of the general population. UGS plans to establish better mechanisms for assessing and mentoring Black and Hispanic students to help with the timely completion of their graduate degrees. The Graduate Minority Opportunity Program (GMOP) has been revised to actively target retention and success of incoming graduate Black and Hispanic students. For AY 2011-2012, GMOP funded 30 first-time graduate students 60% Hispanic, 30% Black and 10% Asian. Mentoring, professional development and academic support activities were structured to increase engagement level of this group. UGS is also an active member of the Florida Education Fund (FEF) McKnight Doctoral Fellowship program. The McKnight Fellowship program is designed to increase the number of Black and Hispanic students that complete the doctoral degree. The current retention rate of McKnight fellows at FIU is 80%. Thirty-one McKnight fellowships have been awarded to FIU graduate students since 1984. We have an incoming cohort of seven fellows for AY 2012-2013.

### Targeted Goals

For AY 2010-2011, Black students represented approximately 16.0% of FIU doctoral degrees awarded to US residents. Our goal is to increase the proportion of research doctorates awarded to

Black students by 0.5% each year so that by 2014-2015 Black students will represent 18% of research doctorates awarded to domestic students. Approximately 33.3% of FIU doctoral degrees conferred during the AY 2010-2011 to US residents were awarded to Hispanic students. Our goal is to increase the proportion of research doctorates awarded to Hispanic students by 1.0% each year so that by 2014-2015 Hispanic students will represent 38% of research doctorates awarded to domestic students. Resources will be focused on recruitment and retention efforts.

## **Recruitment**

UGS representatives attended several conferences and professional fairs for the purpose of recruiting prospective underrepresented graduate students. UGS has identified the most promising recruiting venues for 2012-2013, including several McNair Research Conference(s) and specific Hispanic Serving Institutions (HIS) and Historically Black Colleges & Universities (HBCU) graduate fairs, to include the Hispanic Association of Colleges and Universities (HACU) national conference, Annual Biomedical Research Conference for Minority students (ABRCMS) and Emerging Research National Conference in STEM. Recruitment initiatives are being developed to continue expanding and strengthening the presence of FIU in minority-populated markets. As a result of our recent recruitment activities, FIU will have seven new McKnight Fellows starting in Fall Semester 2012. McKnight Fellows, who are Black or Hispanic doctoral students, are supported by the Florida Education Fund (FEF). They will receive a \$10,000 enhancement and a medical insurance supplement from the UGS at FIU. UGS also awarded its five institutionally funded FIU McNair Graduate Fellowships to new students starting in Fall Semester 2012.

## **Retention**

The UGS funded several initiatives aimed at retaining minority graduate students and graduate students during the AY 2010-2011. The Graduate Minority Opportunities Program (GMOP) and the McNair Graduate Fellowship Award assisted in the retention of Black and Hispanic students. The GMOP provided a \$2,000 award to thirty historically underrepresented students with and provided retention-focused workshops (library research skills, writing skills, and the overall graduate experience), and networking opportunities. Additionally, in partnership with our Center for Excellence in Writing, Center for Leadership and Service and the Library graduate students received assistance with graduate-level writing via workshops and one-on-one tutoring. FIU McNair Fellows (largely URM students pursuing a master's or doctoral degree) are fully supported by UGS during the first half of their graduate education and supported by their graduate program during the second half in the form of a graduate assistantship.

For the 2012-2013 AY, UGS will host a welcome reception for all of its McKnight and McNair fellowship recipients to provide the opportunity of networking with their mentors and UGS leadership who will provide academic support throughout their graduate experience. In addition, UGS will provide additional recruitment funds, through the Recruitment Enhancement Initiative, to academic units who specifically develop recruitment plans that include plans for recruiting underrepresented minority students. Through these specific recruitment efforts and retention activities, the UGS has established itself as a resource for incoming minority graduate students at both the master and doctoral levels. In addition, UGS was recently selected to be a partner researcher in the NSF sponsored Council for Graduate School (CGS) Doctoral Initiative for Minority Attrition and Completion (DIMAC) project. Participation in this study will provide UGS with data that can be used to improve retention and recruitment practices geared toward Black and Hispanic graduate students.

## STUDENT SERVICES

Student Services require periodic review by the institution to determine compliance with equity statutes. Non-discrimination on the basis of race, gender, national origin, marital status, or disability is required. Those services include:

### 1. Academic Advising

Currently (and for the past several years), FIU is utilizing a 2+2 advising model. All first-time-in-college (FTIC) students receive centralized academic advising in the Undergraduate Education Academic Advising Center. Once those students have completed the majority of the general education requirements and the appropriate prerequisites for their respective majors, they are referred to the respective college for advisement through certification for graduation. All incoming transfer students who have earned more than 30 credits are also referred directly to their respective college and receive academic guidance through graduation. The only exception to this existing policy has been with those students who are pursuing design or performance-based degrees through the College of Architecture and the Arts. Those students receive all of their advising from their college/department from admission through graduation.

Part of what facilitated this current model is the fact that students are admitted to the university as “pre-majors” and are not eligible for full admission to their respective majors until they have earned at least 60 credit hours and completed necessary requirements (courses, GPA, etc.) Beginning with the students who are entering FIU in Summer of 2012, all FIU students will be admitted directly to their respective majors. As such, we will be moving away from the 2+2 advising model since students will begin receiving academic advising from their college/department upon admission to the university. The one exception to this will be those students who have not identified a major. These students will be admitted to one of our Exploratory Studies majors and receive advising from the Undergraduate Education Academic Advising Center until they identify an appropriate major.

As a part of this initiative, the University has been reviewing our current student-to-advisor ratios to ensure that they are both manageable (for both advisors and students) and align with the standards that have been identified by the National Academic Advising Association. In an effort to achieve a ratio of 300 students per academic advisor (as a maximum), several academic advisors have been added since 2009. There have also been resources allocated to continue adding academic advisors over the next few years to achieve the above objective. Some of the current and projected ratios (which also take into account planned enrollment growth) are provided below:

Academic Year	University-Wide Student/Advisor Ratio
2010-2011	550:1
2011-2012	526:1
2012-2013	452:1
2013-2014	401:1
2014-2015	379:1

#### Equity within Advising

All students at FIU have equal access to utilize academic advising services at FIU. We are committed to ensuring equitable treatment for all students and to employ a diverse staff of academic advisors who can serve as guides and mentors for our diverse student population.

Within the Undergraduate Education Academic Advising Center, we track all student visits and feel confident that the demographics of our advising visits mirror the demographic breakdown of our student population. Students who are “at-risk” (more specifically, those students with cumulative grade point averages below 2.0) are required to meet with an academic advisor prior to registering each semester. All other students participate in academic advising on a voluntary basis through appointments and on a ‘walk-in’ basis.

#### Advising and Support Websites

General Advising and Graduation Success Reference: [gsi.fiu.edu](http://gsi.fiu.edu)

First-Year Advising: [undergrad.fiu.edu/advising](http://undergrad.fiu.edu/advising)

Center for Academic Success: [undergrad.fiu.edu/cas](http://undergrad.fiu.edu/cas)

Center for Excellence in Writing: [writingcenter.fiu.edu/](http://writingcenter.fiu.edu/)

Contact Information for all Academic Majors/Programs: [mymajor.fiu.edu](http://mymajor.fiu.edu)

## **2. Admission to Academic Program**

Each year the Undergraduate Admissions Office implements a recruitment plan designed to provide educational opportunities for undergraduate students. This plan includes strategies that attract and enroll minority students by generating an applicant pool that reflects South Florida’s demographics. FIU’s Undergraduate Admissions Office supports and collaborates with programs university-wide, and in the community, that aim to enhance college readiness of minority students. These efforts were enhanced in the 2011-2012 year through closer and more frequent collaboration with the Miami Dade ETO (Educational Transformation Office), and more frequent targeted efforts with Miami Northwestern High School.

Our work this year has included the following:

Several times a year, staff in the Undergraduate Admissions Office visits high schools with significant minority enrollment. This year’s strategies included making visits to every high school in Miami-Dade County at least twice. This was accomplished, and in fact, some high schools had more than 60% more time with our staff than last year. During these programs we extend to students and their families invitations to attend on-campus programs such as Open House, Panther Walks, and Campus Tours. We also took time to introduce financial aid tips to them.

Schools in Miami’s Liberty City continue to be a priority for the Undergraduate Admissions Office, driven by University President Mark Rosenberg’s desire to have us more engaged. The Liberty City population is primarily African-American and there has been more dedicated staff time in the area and special banners created for the schools to reflect FIU’s commitment to them.

Personalized communications are implemented to aid minority students throughout the admissions process. This year we added targeted phone calls to reach out to all students in the ETO schools. This year the Director of Admissions made personal visits to most of the ETO schools to meet with the Principals and guidance staff. These visits augmented the regularly scheduled visits by recruitment staff that are done at least twice a year.

College readiness meetings occur in venues that serve minority students. (e.g., churches, community agencies, sororities, etc.). Several civic, social, religious and educational groups, which serve minority populations are informed of our academic offerings through mailings, personal contact and college readiness and financial literacy programs. We recognize these are

often the best conduits for quality minority students; therefore, we work to develop and maintain contacts in these venues through early intervention work.

Minority students are recruited through collaborative relationships with the University's various pre-college programs. These students are part of our local feeder schools but are sometimes not present during our high school visits for a variety of reasons. We engage these students by conducting workshops, seminars and campus tours throughout the academic year with them. Some of these programs include, but are not limited to, 5,000 Role Models of Excellence, Upward Bound Program, Partners in Progress and Gear-Up.

Outstanding minority recruitment initiatives are used to promote University-wide merit scholarship opportunities to attract and enroll minority students. These opportunities include, but are not limited to, the Office of Admissions Academic awards, Venture Scholars, Golden Drum, Multicultural Programs and Services (MAPS) Scholars award and the Invitational Scholars award. The University has, and will continue to offer full-tuition merit scholarships to African-American applicants who qualify as a National Achievement Scholarship finalist.

In Fall 2006, FIU established a new approach to access for South Florida students through its collaborative Dual-Degree Program. The name of the program was changed to Dual-Admission Program in 2012 to better reflect the program's intent. Instead of denying applicant's entry to the University, some students who do not meet initially FIU's entry criteria are invited to accept admissions to one of four local community colleges and FIU. Once they complete their AA degree, within a three year window, they have a guaranteed and seamless admission to FIU. In the meantime, while attending the partner college, students have access to some of FIU's services as well as advising resources. To date, over 5,000 high school applicants have enrolled in the Dual-Admission Program. Over 200 candidates have completed their AA degree and transitioned to the university during the program's six year history.

### 3. Health Services

Demographic Data for Appointments/Office Encounters (2010-2011)

Location	Male	Female	Other	Total	% Male	% Female	% Other
Modesto A. Maidique	8241	18759	186	27186	30.31%	69.00%	0.68%
Biscayne Bay	1815	6031	22	7868	23.07%	76.65%	0.28%
Both campuses -- Overall total	10056	24790	208	208	28.69%	70.72%	0.59%

**Analysis:** The gender ratio of patients seen at the University Health Services (UHS) is similar and reflects the national trends for health care at colleges and universities as well as statistics in ambulatory health care settings in the community.

Ethnicity – Encounters

Category	Number	% of total
American Indian	50	0.14%
Asian	2093	5.97%
Black	5919	16.89%
Hispanic	15566	44.41%

White	7582	21.63%
Not reported	2275	6.49%
Other	1569	4.48%
Total	35054	100.00%

**Analysis:** The ethnicity of patients who receive on-campus clinical care and consultative services at UHS closely mirrors the overall ethnicity of students enrolled at the University.

#### 4. Club and Intramural (IM) Athletics (report on gender only)

Demographic Data for 2010-2011

	FIU 2009/2010		FIU 2010/2011	
	# Teams	%	# Teams	%
<b>Intramural Sport Teams</b>				
Female	54	15%	88	15%
Male	270	75%	443	76%
Co-Rec	36	10%	55	9%
Total	360	(+3%)	586	(63%)
<b>Intramural Sport Participants<sup>4</sup></b>				
Female	570	21%	895	19%
Male	2,104	79%	3,818	81%
Total	2,674	(+22%)	4,713	(+76%)
<b>Intramural Sport Participations<sup>5</sup></b>				
Female	2,942	17%	3,388	18%
Male	14,434	83%	15,893	82%
Total	17,376	(+11%)	19,281	(+11%)
<b>Sport Club Participants</b>				
	15 total clubs	21 total clubs		
Female	45	21%	127	33%
Male	170	79%	261	67%
Total	215	(-2%)	388	(+80%)

The number of teams in 2010-2011 increased by 63% over 2009-2010 totals. Increases were in sports with larger rosters such as flag football. FIU shows a larger percentage of men's teams than any of the 3 national categories. FIU shows a percentage of women's teams in a similar range as those in the 3 national categories. FIU shows a much smaller percentage of co-rec teams than the 3 national categories. While a smaller percentage of women participated in 2010-2011, they had more participation, meaning they actually played in more games than the previous year. The Sport Club numbers increased due primarily to the addition of women's rugby and having a full cheerleading squad.

<sup>4</sup> Number of individuals on all teams and those participating in non-team sports like tennis (which do not appear in team totals)

<sup>5</sup> Number of contacts (i.e., the number of contests each individual participates in)

## 5. Student Financial Assistance

The Office of Financial Aid administers student financial aid in accordance with federal and state laws, regulations and guidelines as well as institutional policies and procedures. The office is audited annually to ensure federal and state aid programs are properly managed and funds are timely disbursed to students.

In terms of benchmarking, this office compares its full-time, first time degree seeking undergraduates receiving aid against the national average derived for four year public Title IV institutions. We strive to exceed 80% of the national average of students who receive federal, state and institutional aid. The chart below illustrates the University's favorable comparison when applying the 80% rule.

The most recent national data for 2009 shows that 79% of the full-time, first-time degree seeking undergraduates received aid. The institution exceeded the 80% rule in terms of percentage of total students aided (112%), students receiving federal grants (145%), students receiving state grants (226%), and institution grants (124%).

IPEDS: Full-time, First-time Degree/Certificate Undergraduates Receiving Financial Aid

	%Receiving					Average \$ Amount			
	Students	Federal Grants	State Grants	Inst.	Loans	Federal Grants	State Grants	Inst.	Loans
2009: National Avg*	79	28	38	37	47	\$4,157	\$2,963	\$34,186	\$5,972
2010: National Avg*	89	52	86	46	28	\$6,393	\$3,055	\$1,431	\$5,202
FIU/National Avg*	1.112	1.85	2.26	1.24	n/a	1.53	1.89	0.34	n/a
80% Threshold	Yes	Yes	Yes	Yes	n/a	Yes	Yes	No	n/a
*Source: U.S. Department of Education, National Center for Education Statistics, Integrated Postsecondary Education Data System (IPEDS), Fall 2007, Student Financial Aid component.									
**Source: U.S. Department of Education, National Center for Education Statistics, Integrated Postsecondary Education Data System (IPEDS), Spring 2011 (most recent institutional data submission to NCES)									

Looking at the data for the average amount of award, the institution met or exceeded the 80% rule for federal grants, where Pell grants were increased in amounts and eligibility criteria expanded nationally. All other types of grants did not meet the 80% threshold. Increased need and enrollment put pressure on limited resources institutionally and the State further reduced funding for state aid.

## 6. Housing

Student Housing Demographic Data- 2010-2011

	Fall 2010	Percent %	Fall 2011	Percent %
<b>Occupancy Count</b>	2825	100%	2766	100%

Gender	Fall 2010	Percent %	Fall 2011	Percent %
Females	1620	57.3%	1614	58.35%
Males	1205	42.7%	1152	41.65%

<b>Ethnicity</b>	<b>Fall 2010</b>	<b>Percent %</b>	<b>Fall 2011</b>	<b>Percent %</b>
White	580	20.5%	532	19.23%
Black	881	31.2%	906	32.75%
Hispanic	846	29.9%	791	28.6%
Asian	139	4.9%	172	6.22%
Native American	20	7.1	37	1.34%
Other	359	12.7	278	10.05%

Housing & Residential Life provides students with services, programs and resources to make home at FIU safe, exciting and vibrant. We strive to provide an environment that promotes personal growth, academic success and a sense of community without regard to race, color, religion, national origin, ancestry, gender, disability, or familial status. In Fall 2011, Housing utilization by gender is reflective of the University population as a whole, with 58% female and 42% male. The Fall 2011 ethnic breakdown of White, Black and Hispanic housing residents is 20% White, 31% Black and 30% Hispanic.

## PART IV. GENDER EQUITY IN ATHLETICS

### A. Gender Equity in Athletics

*Each university shall prepare an annual update to the Gender Equity Plan and conduct an assessment of goal achievement in accordance with Florida Statute (Ch. 1006.71).*

Chart 1. Gender Equity in Athletics Update

Element	Assessment	Area for improvement?
1. Sports offerings	Survey completed October 2011	
2. Participation rates, male and female, compared with full-time undergraduate enrollment	Female participation rates are lower than undergraduate enrollment	Yes
3. Availability of facilities, defined as locker room, practice, and competitive facilities	Softball Grandstands	Yes
4. Scholarship offerings for athletes	Equitable	
5. Funds allocated for:		
a) the athletic program as a whole	Equitable	
b) administration	Equitable	
c) travel and per diem allowances	Equitable	
d) recruitment	Equitable	
e) comparable coaching	Three (3) men's sports have NCAA maximum allowable full-time coaches. Six (6) women's sports have NCAA maximum allowable coaching positions, but only one has the maximum with full-time employees, others are graduate students.	Yes
f) publicity and promotion	Equitable	
g) other support costs	Equitable	
6. Provision of equipment and supplies	Equitable	
7. Scheduling of games and practice times	Equitable	
8. Opportunities to receive tutoring	Equitable	
9. Compensation of coaches and tutors	Equitable	
10. Medical and training services	Equitable	
11. Housing and dining facilities/services	Equitable	

### B. Areas for Improvement

*Each university shall identify areas for improvement from the previous year's update which included a timetable for improvement in this data year. Each university shall specify the extent to which those gender equity goals have been accomplished.*

Chart 2. Gender Equity in Athletics Update – Areas for Improvement

Areas for improvement	Program for improvement	Timetable
Participation Rates	Added the New NCAA Sport of Women's Sand Volleyball	Official first season 2012-2013
Softball Stadium Facility	Receiving quotes for enhancing grandstand at softball facility	Completion 2014
Comparable Coaching	Women's softball, soccer, and volleyball	Completion Fall 2013

### C. Basis for Compliance

*Each university shall check one basis below for assuring that it is in compliance with the Florida Educational Equity Act:*

- ☐ Accommodation of interests and abilities  
☐ Substantial proportionality  
☒ History and practice of expansion of sports

Chart 3. 2011-2012 Athletic Participation by Sport

COMPONENT	NUMBER OF PARTICIPANTS*								
Varsity Teams	Males			Females			Total		
	2009-10	2010-11	2011-12	2009-10	2010-11	2011-12	2009-10	2010-11	2011-12
Baseball	30	35	39	0	0	0	30	35	39
Basketball	15	14	14	13*	12	14	28	26	28
Golf	0	0	0	5*	7	8	5	7	8
Softball	0	0	0	17	19	22	17	19	22
Swimming	0	0	0	23	23	26	23	23	26
Tennis	0	0	0	8	8	8	8	8	8
Track &Field & X Country	28	30	28	31	44	37	59	74	62
Volleyball	0	0	0	14*	14	14	14	14	14
Soccer	22	24	23	24	25	26	46	49	49
Football	90	99	98	0	0	0	90	99	98
Total Participants	185	202	202	135	152	155	320	354	354
% of Participants	57.8%	57.0%	57.0%	42.1%	42.9%	43.7%			
Fall 2011 EF2A Student Enrollment	19,370	23,956	20,977	25,591	31,070	26,922			
Percent Student Enrollment	43.0%	43.4%	43.7%	56.7%	56.3%	56.1%			

*\*Numbers are based on anyone who was eligible to compete the last day prior to the first date of competition in the sports' competitive season.*

Chart 4. Female Enrollment Rates Compared to Participation Rates 10-11 and 11-12

<b>Women Athletes</b>	<b>2010-2011</b>	<b>2011-2012</b>	<b>Gap Difference</b>
Enrollment	56.3%	56.1%	-0.2%
Participation	42.9%	43.7%	0.6%

According to the table above, in Fall 2011, females were 56.1% of students enrolled full-time at the university compared to 43.7% of female student athletes. This component remains out of compliance because of a gap of more than 5% between enrolled female full time, undergraduate students and the percentage of female student athletes enrolled in Fall 2011. However, while the University female student enrollment dropped slightly the participation of female student athletes increased by 0.6%.

The Department of Intercollegiate Athletics at Florida International University is committed to ensuring Title IX compliance. The annual interest survey results continue to indicate that the sports offerings are meeting the interests and abilities of our demographic and thus affirming the University is in compliance with the Florida Educational Equity Act (FEEA).

## **D. Program Overall Effectiveness**

The Department has continued to make tremendous efforts to address the disparity in participation rates of males versus females. In addition to our continued efforts of proactively inviting walk-ons to our female sports programs, this year the new NCAA women's sport of sand volleyball was added to our program. Despite all of these efforts, the department has continued to have lower participation rates of females as compared to males. In an effort to further address the disparity in participation rates, these strategies continue to be implemented and have been successful in narrowing the gap. Such strategies include: adding the women's sport, enforcing roster caps to limit the squad size for the men's sports teams, encouraging additional walk-ons for women's sports teams, and hiring top-level head coaches for women's sports to attract more female student-athletes.

The department has made tremendous strides in addressing the inadequate locker room facilities for our basketball, volleyball and soccer programs. The renovation/expansion is complete and has provided brand new locker rooms for women's and men's basketball, women's and men's soccer and volleyball. In addition, construction was completed to renovate existing space to provide locker room facilities for the women's swimming and diving, track and field, and cross country teams. These programs previously have not had any permanent locker room facilities at FIU. Although athletic facilities continue to be an area that needs improvement, construction projects are planned to enhance facilities as funds are identified. Construction is set to begin to add electrical and install a lighting system in our 3,000 square foot softball batting cage. As highlighted, several facility improvements are completed or planned to address the needs of our student-athletes but generally, the facility constraints we have are not gender related but are experienced by all participants equally.

The actual amount of funds spent on women's and men's programs differs, but the quality of services for each program is equitable. The budget figures meet the needs of the programs.

The Department of Intercollegiate Athletics emphasizes excellence in academics, community involvement, and competition. All academic services (e.g., tutoring services, computer lab, academic advising) are available irrespective of gender to assist the student-athlete in achieving academic success. A learning specialist is employed and available to all student-athletes. Coaches play a key role in encouraging and monitoring student athletes in their academics.

## PART V. Employment Representation

The basis for the employment data is the IPEDS Fall Staff report completed annually with data captured in October, final version prepared mid-January. Charts 1 through 4 note race and gender representation.

Chart 1. Category Representation – Tenured Faculty

Indicator	NRA	B	AI/AN	A	A/PI	H	NH/O PI	W	≥ Two More	NR	Female	Total
Number, Fall 2011	6	24	1	66	N/A	60	0	278	0	0	119	435
Number, Fall 2010	6	24	0	64	0	60	0	284	0	0	118	438
Percentage Change From Fall 2010 - 2011	0.0%	0.0%	N/A	3.1%	N/A	0.0%	N/A	-2.1%	N/A	N/A	0.8%	-0.7%
Number, Fall 2006	0	24	0	N/A	57	60	N/A	290	N/A	0	121	431
Percentage Change from Fall 2006- 2011	N/A	0.0%	N/A	N/A	N/A	0.0%	N/A	-4.1%	N/A	N/A	-1.7%	-1.8%
Area for improvement, compared with national standards? (Check if yes)												

Source: IPEDS Full-time Fall Staff 2011, 2010 and 2006

### Data Assessment

Analysis of the employment representation for tenured faculty demonstrates there was not a significant increase in the number of tenured professors from the ethnic/racial protected classes. The same can be said from 2006-2011, with N=4 for all the classes. The University will work to increase these numbers in the future.

Chart 2. Category Representation – Tenure-Track Faculty

Indicator	NRA	B	AI/AN	A	A/PI	H	NH/O PI	W	≥ Two more	NR	Female	Total
Number, Fall 2011	41	12	1	41	N/A	16	0	109	0	0	94	220
Number, Fall 2010	39	10	1	31	0	20	0	95	0	0	84	196
Percentage Change From Fall 2010- 2011	5.1%	20.0%	0.0%	32.3%	N/A	20.0%	N/A	14.7%	N/A	N/A	11.9%	12.2%
Number, Fall 2006	0	22	0	N/A	38	17	N/A	98	N/A	0	59	175
Percentage Change from Fall 2006-2011	N/A	45.5%	N/A	N/A	N/A	-5.9%	N/A	11.2%	N/A	N/A	59.3%	25.7%
Area for improvement, compared with national standards? (Check if yes)												

Source: IPEDS Full-time Fall Staff 2011, 2010 and 2006

### Data Assessment

Analysis of the employment representation for tenure-track faculty demonstrates the progress the University has made in recruiting the ethnic/racial protected classes from 2010-2011 as evidenced by the increases in the Asian and female populations. There was a slight decline in the amount of Hispanic and Black professors between 2006-2011. However, the University is committed to advertising career opportunities in female and minority publications.

Chart 3: Category Representation – Non-Tenure-Earning Faculty or Faculty at Non-Tenure Granting Universities

Indicator	NRA	B	AI/AN	A	A/PI	H	NH/OP I	W	≥ Two More	Not Report ed	Female	Total
Number, Fall 2011	21	26	0	22	N/A	70	0	170	0	1	161	310
Number, Fall 2010	11	16	0	13	0	42	0	128	0	0	105	210
Percentage Change From Fall 2010 - 2011	90.9%	62.5%	N/A	69.2%	N/A	66.7%	N/A	32.8%	N/A	N/A	53.3%	47.6%
Number, Fall 2006	0	12	0	0	9	25	0	88	0	0	68	134
Percentage Change From Fall 2006 - 2011	N/A	116.7 %	N/A	N/A	N/A	180.0%	N/A	93.2%	N/A	N/A	136.8%	131.3%
Area for improvement, compared with national standards? (Check if yes)												

Source: IPEDS Full-time Fall Staff 2011, 2010 and 2006

### Data Assessment

Analysis of the employment representation for non-tenure earning faculty indicates a 131.3% increase in the ethnic/racial protected classes from Fall 2006 to Fall 2011. Females also fared significantly well with an increase of 136.8%. FIU also demonstrated a significant increase for Blacks with an N=14, an increase of 116.7%. Hispanics increased 180%.

Chart 4: Category Representation – Executive/Administrative/Managerial

Indicator	NRA	B	AI/AN	A	A/PI	H	NH/O PI	W	≥ Two More	Not Report ed	Female	Total
Number, Fall 2011	8	81	0	27	N/A	302	1	296	0	0	423	715
Number, Fall 2010	10	69	1	22	0	262	N/A	278	N/A	0	380	642
Percentage Change From Fall 2010- 2011	20.0%	17.4%	100.0%	22.7%	0	N/A	N/A	6.5%	N/A	N/A	11.3%	11.4%
Number, Fall 2006	0	58	1	19	0	181	0	259	0	0	271	518
Percentage Change From Fall 2006-2011	N/A	39.7%	100.0%	N/A	N/A	66.9%	N/A	14.3%	N/A	N/A	56.1%	38.0%

Area for improvement, compared with national standards? (Check if yes)												
Source: IPEDS Full-time Fall Staff 2011, 2010 and 2006												

Data Assessment

Analysis of the employment representation for the Executive/Administrative/Managerial category demonstrates an increase of 38% for in all of the ethnic/racial protected classes from 2006-2011. There was a significant increase of 39.7% for Blacks, and 66.9% for Hispanics and an increase of 8 in the Asian population. The number of female employees in this category increased by 56.1%.

## PART VI. AREAS OF IMPROVEMENT AND ACHIEVEMENT 2011 – 2012

### Areas of Improvement Pertaining to Gender Equity in Athletics Identified in June 2012 Report:

The areas identified for improvement are as follows:

1. Reduce the disparity between male and female participation rates. Although the department continues efforts to address the disparity in participation rates of males versus females, the numbers remain disproportionate.
2. Reduce the disparity between the number of full-time coaches for women's teams versus the number of full-time coaches for men's team. The plan is underway to provide equity in the full-time coaching positions by adding full-time assistant coaches to the women's softball, soccer, and volleyball teams. The budgeting process began in Fall 2011 and we will complete the hiring process by Fall 2013.
3. Improve the softball seating area. Construction will begin by Spring 2013.

### Achievement Report for Areas of Improvement Pertaining to Gender Equity in Athletics Identified in Previous Report, June 2011

The following achievements were made towards our gender equity efforts:

1. Sand Volleyball was added to the Athletic Departments sports offerings. The Head Sand Volleyball coach was hired in December and has recruited and signed student-athletes, within the maximum allowable scholarship allotment, for the 2012 season.
2. The Athletic Department is constructing practice and competition facilities (3 sand volleyball courts) for this new sports team. These facilities will be completed by Fall 2012.
3. The U.S. Century Bank Arena entrance was expanded and the scoreboards for the basketball and volleyball programs were replaced with video scoreboards.
4. Construction and renovations of the locker room facilities for the women's Swimming and Diving as well as for the Track/Field/Cross Country programs were completed. Plans are in process to add electricity to the softball batting cages so that a lighting system can be installed by Fall 2012.

### Areas of Improvement Pertaining to Employment Identified in June 2011 Report:

Table B: 2010-2011 Equity Goals

DISCIPLINE	GOAL	RANK	RACE	GENDER
Science & Mathematics - Tenured/Tenure-Earning Faculty	1	03	Minority	M
Science & Mathematics - Tenured/Tenure-Earning Faculty	2	03	Minority	F
Science & Mathematics - Tenured/Tenure-Earning Faculty	1	03		F
Science & Mathematics - Non-Tenure-Earning Faculty	1	03	Minority	F
Social Science - Tenured/Tenure-Earning Faculty	3	04	Minority	F
Social Science - Tenured/Tenure-Earning Faculty	2	04		F
Social Science - Non Tenure-Earning Faculty	2	03		F

Performing & Visual Arts - Tenured/Tenure-Earning Faculty	1	03		F
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Achievement Report for Areas of Employment Identified in Previous Report, June 2010:

Table C: 2011-2012 Outcome for Equity Report

DISCIPLINE	ACHIEVE- MENT	RANK	RACE	GENDER
Science & Math - Tenured/Tenure-Earning Faculty	12	03	Minority	M
Science & Math - Tenured/Tenure-Earning Faculty	7	03	Minority	F
Social Science - Tenured/Tenure-Earning Faculty	7	03	Minority	M
Social Science - Tenured/Tenure-Earning Faculty	2	03	Minority	F
Social Science - Non Tenure-Earning Faculty	2	04	Minority	M
Social Science - Non Tenure-Earning Faculty	8	04	Minority	F
Social Science - Non Tenure-Earning Faculty	5	04		F
Performing & Visual Arts - Tenured/Tenure-Earning Faculty	1	03	Minority	M
Performing & Visual Arts - Tenured/Tenure-Earning Faculty	1	03		F

The University continues to use funding from the Budgetary Incentive Plan to address areas of improvement. We continue to emphasize recruitment announcements in minority publications and have solicited the use of search firms to assist us in hard to recruit areas. These initiatives have assisted in minority growth over the last several years.

## PART VII. Protected Class Representation in the Tenure Process

### Protected-class Representation in the Tenure Process, 2010-2011

Gender, Race/Ethnicity	Applied	Withdrawn	Denied	Deferred	Nominated
<b>MALES</b>					
American Indian or Alaskan Native	1	0	0	0	1
Asian	9	0	1	0	8
Black or African American	0	0	0	0	0
Hispanic	1	0	1	0	0
Native Hawaiian/Pacific Islander	0	0	0	0	0
White, not Hispanic	8	0	2	0	6
Other, Not Reported	0	0	0	0	0
<b>Total Male (include Other, Not Reported)</b>	<b>19</b>	<b>0</b>	<b>4</b>	<b>0</b>	<b>15</b>
<b>FEMALES</b>					
American Indian or Alaskan Native	0	0	0	0	0
Asian	0	0	0	0	0
Black, not Hispanic	2	0	0	0	2
Hispanic	2	0	0	0	2
Native Hawaiian/ Pacific Islander	0	0	0	0	0
White, not Hispanic	6	1	1	0	5
Other, Not Reported	0	0	0	0	0
<b>Total Female (include Other, Not Reported)</b>	<b>10</b>	<b>1</b>	<b>1</b>	<b>0</b>	<b>9</b>
	<b>34.5%</b>	<b>100.0%</b>	<b>20.0%</b>	<b>0.0%</b>	<b>7.5%</b>
<b>GRAND TOTAL</b>	<b>29</b>	<b>1</b>	<b>5</b>	<b>0</b>	<b>24</b>
<p>*Eligible: Data collected only from departments with actual applicants. Does not include tenure nominations as a condition of employment.</p> <p>ELIGIBLE FOR RECOMMENDATION: Faculty who have no more than six years credit toward tenure.</p> <p>APPLIED: Faculty whose names have been submitted for tenure review. Sum of Withdrawn, Denied, and Nominated (or provide explanation).</p> <p>WITHDRAWN: Faculty who withdrew from tenure consideration after applying for review.</p> <p>DENIED: Faculty for whom tenure was denied during the review process.</p> <p>NOMINATED Faculty for whom tenure is being recommended by the University.</p>					

### Assessment of Tenure Process

This multi-level review process with input from faculty groups and from multiple administrators joined with evaluations of reviewers from outside the University is an ongoing element of the system of shared governance which is designed to select and promote an outstanding faculty.

Policies and procedures describing this review process are contained in the Tenure and Promotion Manual which is available in hard copy and via the World Wide Web. In addition, the colleges and departments have written local procedures which implement these policies.

## PART VIII. Promotion and Tenure Committee Composition, 2011-2012

### Promotion and Tenure Committee Composition, AY 2010-2011

Type of Committee	Black		American Indian/Alaskan Native		Asian		Native Hawaiian or Other Pacific Islander		Hispanic		Two or More Races		White		Other, Not Reported		Total including Other, Not Reported	
	M	F	M	F	M	F	M	F	M	F	M	F	M	F	M	F	M	F
<b>University Committee</b>																		
<b>No University-wide Committee</b>																	0	0
<b>College of Architecture &amp; the Arts</b>																		
<b>College Committee</b>	0	0	0	0	0	0	0	0	1	1	0	0	1	0	0	0	2	1
Architecture Dept.	0	0	0	0	0	0	0	0	1	0	0	0	1	0	0	0	2	1
<b>College of Arts &amp; Sciences</b>																		
<b>College Committee</b>	0	0	0	0	0	0	0	0	2	0	0	0	3	2	0	0	5	2
Biological Sciences Dept.	0	1	0	0	2	0	0	0	3	0	0	0	11	5	0	0	16	6
Earth & Environment Dept.	1	0	0	0	2	0	0	0	4	0	0	0	11	1	0	0	17	1
Economics Dept.																		
Politics & International Relations Dept.																		
Religious Studies Dept.																		
<b>College of Business Administration</b>																		
<b>College Committee</b>	1	0	0	0	3	0	0	0	0	0	0	0	2	0	0	0	6	0
Finance Department	0	0	0	0	2	1	0	0	0	0	0	0	8	0	0	0	10	1
Dec. Sciences & Info. Systems Dept.	0	0	0	0	5	1	0	0	0	0	0	0	4	0	0	0	9	1
Marketing Department																		
Mgmt. & Int'l Bus. Department	0	0	0	0	0	0	0	0	2	1	0	0	4	5	0	0	9	1
<b>College of Education</b>																		
<b>College Committee</b>	1	0	0	0	1	0	0	0	0	0	0	0	3	1	0	0	5	1
Leadership & Professional Studies	0	0	0	0	0	0	0	0	1	1	0	0	2	3	0	0	3	4
Teaching & Learning Dept.	0	0	0	0	1	0	0	0	0	0	0	0	0	5	0	0	1	5
<b>College of Engineering &amp; Computing</b>																		
<b>College Committee</b>	1	0	0	0	0	0	0	0	2	1	0	0	6	2	0	0	9	3
Biomedical Engineering Dept.	0	0	0	0	1	1	0	0	0	0	0	0	2	0	0	0	3	1
Environmental & Civil Dept.	1	0	0	0	6	1	0	0	0	0	0	0	0	1	0	0	7	2
Comp. & Info. Sciences Dept.																		
Electrical & Computer Engineering Dept.																		
<b>College of Law</b>																		
<b>College Committee</b>	1	0	0	0	0	0	0	0	2	1	0	0	6	2	0	0	9	3
No individual "departments" in the College of Law	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>Robert Stempel College of Public Health and Social Work</b>																		

<b>College Committee</b>	1	0	0	0	2	0	0	0	0	0	0	0	2	2	0	0	5	2
Environmental & Occup. Health Dept																		
Health Prom. & Disease Prev. Dept.	0	1	0	1	0	0	0	0	1	1	1	0	0	0				

## PART IX. Other Requirements

### A. The Budgetary Incentive Plan

Although the University has experienced extensive budget reductions over the past several years, FIU has continued supporting the use of financial resources for the Equity Accountability Plan. As units identify qualified individuals, Academic Affairs will provide funding to support these hires outside of the Faculty Funding model, a distribution model for new faculty lines, considered Window of Opportunity lines. Also, Academic Affairs annually supports the leadership program for minority and female participants selected for the FIU Educational Enhancement Leadership Program.

Chart 1: 2011 Budgetary Incentive Plan

<b>Estimated Expenditures</b>	<b>2011-12 Projected</b>	<b>2011-12 Actual</b>	<b>2012-13 Projected</b>
Window of Opportunity Lines	\$1,275,000	\$1,275,000	\$1,275,000
Faculty Advertising	\$15,000	\$15,000	\$15,000
Enhancement Leadership Grant	\$10,000	\$32,000	\$32,000

### B. President's Evaluation

The University's and President's goals are established each fiscal year and are approved by the Board of Trustees. After the fiscal year has ended, the results are summarized and presented to the Board of Trustees along with the goals for approval at the Fall Board meeting. The goal established for the 2010-2011 fiscal year pertaining to the Florida Equity Report was to increase the diversity of the University environment, both in ideas and in enrollment and employment equity as reflected in the Florida Educational Equity Act Report.

### C. Top Administrators' Evaluations

FIU has a comprehensive annual assessment program for all senior administrators. Each chairperson, dean, vice provost, and vice president are required to file an accountability report for the previous year which specifically reviews the goals set for that year's work-plan, as well as a work-plan for the upcoming year.

The review process takes place in a distributed authority and responsibility framework. Chairpersons meet with their Dean, Vice Provosts meet with the Vice President for Academic Affairs, Deans and Vice Presidents reporting to the Provost meet with the Provost, the Vice Presidents reporting to a Senior Vice President meet with the Senior Vice President, and the Provost and Senior Vice Presidents meet with the President.

Strategic direction, including direction on meeting equity goals, flows in the opposite direction: from the President down through the respective layers to the Chairpersons. Meeting equity goals is always a component of accountability evaluation. Because equity goals are important for the University, they are not discussed only in an annual evaluation. The responsible leaders review progress toward equity goals with each hire. If it appears an equity goal may not be met, the

responsible leader meets with his or her superior at the time, rather than waiting for an annual review. This proactive approach means that we have not had unsatisfactory staff evaluations in this area.

The success shown in the overall University report could only be achieved through each person in a leadership role taking equity goals seriously in his or her suite of responsibilities and making sure that each person reporting to him or her does likewise.

**THE FLORIDA INTERNATIONAL UNIVERSITY  
BOARD OF TRUSTEES**

June 14, 2012

**Subject: Proposed 2012-13 University and Direct Support Organizations Operating Budgets**

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**Proposed Board Action:**

Approve the FIU 2012-13 University and Direct Support Organizations (DSO) Operating Budgets and authorize the University President to amend the budget consistent with Legislative, Board of Governors' and Florida International University Board of Trustees' (the BOT) directives and guidelines.

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**Background Information:**

The BOT is required to adopt an annual budget for the general operation of the University prior to submission to the Florida Board of Governors. The FY 2012-13 Proposed Operating Budget for the University is due to the Florida Board of Governors on June 22, 2012.

Authority for the University President to amend the budget is necessary to accommodate changes in circumstances.

The DSOs (FIU Athletics Finance Corp., FIU Foundation, Inc., and FIU Research Foundation, Inc.) and other component units of the University have prepared their annual budgets for review and approval by the BOT, pursuant to Florida Statutes and regulations of the Board of Governors and the University.

The following funding groups are included:

- A. 2012-2013 Budget Overview
- B. Educational and General (E&G)
- C. Auxiliary Enterprises Proposed Budget Allocation
- D. Intercollegiate Athletics Proposed Budget Allocation
- E. Activity and Service Proposed Budget Allocation
- F. Technology Fee Proposed Budget Allocation
- G. Board Approved Fees Budget Allocation
- H. Contracts and Grants Proposed Budget Allocation
- I. Student Financial Aid Proposed Budget Allocation
- J. Concessions Proposed Budget Allocation
- K. FIU Athletics Finance Corp
- L. FIU Foundation, Inc.
- M. FIU Health Care Network
- N. FIU Self-Insurance Program
- O. FIU Research Foundation, Inc.
- P. University Treasury Operations
- Q. Fixed Capital Outlay

The University President shall keep the BOT informed of any changes in excess of two percent (2%) made to the total approved 2012-13 Operating Budget during the operating year.

Florida Statute 1011.40(2) provides that “each university board of trustees shall adopt an operating budget for the operation of the university as prescribed by law and rules of the Board of Governors.” The University has prepared the proposed 2012-13 Operating Budget in accordance with the requirements set forth in Florida Board of Governors Regulations 9.007 State University System Operating Budgets and 9.011 University Direct Support Organizations and Health Services Support Organizations.

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**Supporting Documentation:** 2012-13 Proposed University and DSO Operating Budgets

**Facilitator/Presenter:** Sukrit Agrawal, *Finance and Audit Committee Chair*

**Florida International University  
Board of Trustees Financial Summary  
2012-13 Budget**

	Overview <sup>1</sup>				
	Final Budget <sup>2</sup>	Forecast	Forecast vs.	Requested Budget	Forecast vs.
(In millions of dollars)	2011-12	2011-12	Final Budget	2012-13	Requested Budget
<b>Revenue / Receipts</b>					
<b>Operating</b>					
<b>University</b>					
Educational and General (net) <sup>3</sup>	\$373.8	\$377.5	\$3.7	\$371.2	(\$6.3)
University	342.2	345.9	3.7	334.0	(11.9)
College of Medicine	31.6	31.6	0.0	37.2	5.6
FIU Self-Insurance Program	0.3	0.0	(0.3)	0.5	0.5
Auxiliary Enterprises	149.3	161.7	12.4	194.1	32.4
Intercollegiate Athletics	21.1	22.6	1.5	23.6	1.0
Activities and Service	13.4	13.5	0.1	15.8	2.3
Technology Fee	8.3	7.7	(0.6)	8.3	0.6
Board Approved Fees	0.5	0.5	0.0	0.5	0.0
Contracts and Grants	89.1	91.8	2.7	91.7	(0.1)
Student Financial Aid	139.8	150.9	11.1	163.4	12.5
Concessions	0.6	0.7	0.1	1.0	0.3
<b>Direct Support Organizations / Component Units</b>					
FIU Athletics Finance Corp	3.6	3.5	(0.1)	3.6	0.1
FIU Foundation Inc.	28.7	35.7	7.0	28.6	(7.1)
FIU Health Care Network	1.1	0.3	(0.8)	1.8	1.5
FIU Research Foundation Inc.	0.3	0.1	(0.2)	0.1	0.0
Interfund Adjustments <sup>4</sup>	(10.4)	(14.7)	(4.3)	(14.7)	0.0
<b>Total Operating Revenues</b>	<b>819.5</b>	<b>851.8</b>	<b>32.3</b>	<b>889.5</b>	<b>37.7</b>
<b>Investment</b>					
University (net)	12.3	12.4	0.1	12.7	0.3
FIU Foundation Inc.	10.7	2.1	(8.6)	12.8	10.7
<b>Total Investment Revenues</b>	<b>23.0</b>	<b>14.5</b>	<b>(8.5)</b>	<b>25.5</b>	<b>11.0</b>
Student Loans <sup>5</sup>	260.6	254.1	(6.5)	299.9	45.8
<b>Total Revenues / Receipts</b>	<b>1,103.1</b>	<b>1,120.4</b>	<b>17.3</b>	<b>1,214.9</b>	<b>94.5</b>
<b>Expenses</b>					
<b>Operating</b>					
<b>University</b>					
Educational and General	384.0	366.2	(17.8)	397.9	31.7
University	353.0	337.4	(15.6)	361.5	24.1
College of Medicine	31.0	28.8	(2.2)	36.4	7.6
FIU Self-Insurance Program	0.3	0.0	(0.3)	0.5	0.5
Auxiliary Enterprises	132.0	136.6	4.6	174.2	37.6
Intercollegiate Athletics	21.1	21.3	0.2	22.7	1.4
Activities and Service	13.5	13.7	0.2	16.0	2.3
Technology Fee	8.5	8.9	0.4	8.4	(0.5)
Board Approved Fees	0.5	0.5	0.0	0.5	0.0
Contracts and Grants	86.3	88.2	1.9	91.5	3.3
Student Financial Aid	143.0	153.2	10.2	165.5	12.3
Concessions	0.6	0.6	0.0	0.7	0.1
<b>Direct Support Organizations / Component Units</b>					
FIU Athletics Finance Corp	2.4	2.3	(0.1)	2.4	0.1
FIU Foundation Inc.	13.9	17.0	3.1	21.5	4.5
FIU Health Care Network	1.2	2.0	0.8	3.5	1.5
FIU Research Foundation Inc.	0.0	0.0	0.0	0.0	0.0
Interfund Adjustments <sup>4</sup>	(10.4)	(14.7)	(4.3)	(14.7)	0.0
<b>Total Operating Expenses</b>	<b>796.9</b>	<b>795.8</b>	<b>(1.1)</b>	<b>890.6</b>	<b>94.8</b>
Principal Payment of Debt <sup>6</sup>	7.6	7.6	0.0	7.5	(0.1)
Student Loans <sup>5</sup>	260.6	254.1	(6.5)	299.9	45.8
<b>Total Expenses</b>	<b>1,065.1</b>	<b>1,057.5</b>	<b>(7.6)</b>	<b>1,198.0</b>	<b>140.5</b>
<b>Change in Net Assets (incl. Investments)</b>	<b>\$38.0</b>	<b>\$62.9</b>	<b>\$24.9</b>	<b>\$16.9</b>	<b>(\$46.0)</b>
<b>Change in Net Assets (excl. Investments)</b>	<b>\$15.0</b>	<b>\$48.4</b>	<b>\$33.4</b>	<b>(\$8.6)</b>	<b>(\$57.0)</b>

**Florida International University  
Board of Trustees Financial Summary  
2012-13 Budget**

**Notes:**

<sup>1</sup> The financials presented above reflects the state budgeting methodology which differs from full accrual Financial Statements. The following have the most significant impact:

- *Depreciation of Assets:* For budgeting purposes equipment purchases are fully expensed in their acquisition year, therefore depreciation is not included in the budget.
- *Payables:* At fiscal year-end, E&G expenses will include year end commitments (encumbrances) which have not yet been invoiced.
- *Unrealized gains and losses:* The investment results are recognized as revenues in the budget however GASB accounting principles require that it be recorded as a non-operating revenue / expense.

<sup>2</sup> Final Budget FY 2011-12 contains addition to Original Budget for Board Approved Fees.

<sup>3</sup> E&G revenues include State Funding and Tuition and are net of waivers, uncollectible amounts and 30% Financial Aid need-based amounts per BOG regulation. \$32.7M of the difference between E&G Revenues and Expenses will be funded from prior years carry forward; the remaining \$0.8M represents positive change in net assets related to the College of Medicine.

<sup>4</sup> Interfund transactions have been included in the individual funds to allow for individual fund performance analysis. This has resulted in higher revenues and expenses by fund. The Interfund Adjustments eliminate this double counting of revenues and expenses with the exception of interfund transactions for auxiliary services provided to other units and Direct Support Organizations which have not been eliminated.

<sup>5</sup> Student loans represent a pass through for the university.

<sup>6</sup> Principal payment of debt is reflected above per BOG requirement that debt service payments be shown on a cash basis.

Florida International University  
Financial Summary  
2012-13 E&G Revenue Growth

	General Revenue	Educational Enhancement (Lottery)	Total State Funding	Student Fee (net)	Total
<i>(In thousands of dollars)</i>					
<b><u>I. University (ex-Legislative Items: Risk Management &amp; Financial Aid)</u></b>					
2011-12 Adjusted Base Budget	\$139,224	\$26,951	\$166,174	\$173,520	\$339,694
Restoration of Non-Recurring Funds	(6)	(2,237)	(2,242)		(2,242)
2011-12 Adjusted Recurring Base Budget	\$139,218	\$24,714	\$163,932	\$173,520	\$337,452
<b>2012-13 Incremental Changes</b>					
• Tuition <sup>1</sup>				18,023	
• Infrastructure: Phased - In Space	1,308		1,308		1,308
• Legislative Line Items					
Florida Retirement System Adjustment	(3,043)		(3,043)		(3,043)
Decrease in Allowable Excess Hours	(1,585)		(1,585)		(1,585)
Simulation Teaching & Research Center	500		500		500
FIU Democracy Conference	500		500		500
Washington Center	250		250		250
• Budget Reduction	(24,292)		(24,292)		(24,292)
• Restoration of non-recurring	2,230	12	2,242		2,242
• Educational Enhancement Trust Fund adj.	4,224	(4,224)	0		0
Total Incremental Changes	(19,908)	(4,212)	(24,119)	18,023	(6,096)
2012-13 Base Budget	\$119,310	\$20,502	\$139,813	\$191,543	\$331,355

<b><u>II. University (Legislative Items: Risk Management &amp; Financial Aid)</u></b>					
2011-12 Adjusted Base Budget	\$2,544		\$2,544		\$2,544
Adjustment to Risk Management Base	65		65		65
2011-12 Adjusted Recurring Base Budget	\$2,610	\$0	\$2,610	\$0	\$2,610
<b>2012-13 Incremental Changes</b>					
• Legislative Line Items					
Total Incremental Changes	0	0	0	0	0
2012-13 Base Budget	\$2,610	\$0	\$2,610	\$0	\$2,610

<b><u>I. &amp; II. University</u></b>					
2011-12 Adjusted Base Budget	\$141,768	\$26,951	\$168,719	\$173,520	\$342,238
Adjustments to Base Budget	\$60	(\$2,237)	(\$2,177)	\$0	(\$2,177)
2011-12 Adjusted Recurring Base Budget	\$141,828	\$24,714	\$166,542	\$173,520	\$340,061
Total Incremental Changes	(\$19,908)	(\$4,212)	(\$24,119)	\$18,023	(\$6,096)
2012-13 Base Budget	\$121,920	\$20,502	\$142,422	\$191,543	\$333,965

<sup>1</sup> Tuition revenues are net of waivers, uncollectible amounts and 30% Financial Aid need based amounts per BOG regulation.

Florida International University  
Financial Summary  
2012-13 E&G Revenue Growth

(In thousands of dollars)

	General Revenue	Educational Enhancement (Lottery)	Total State Funding	Student Fee (net)	Total
<b>III. College of Medicine (ex-Legislative Items: Risk Management)</b>					
2011 - 12 Adjusted Base Budget	\$26,293		\$26,293	\$5,299	\$31,592
			\$0		0
2011 - 12 Adjusted Recurring Base Budget	\$26,293	\$0	\$26,293	\$5,299	\$31,592
<b>2012-13 Incremental Changes</b>					
• Tuition <sup>2</sup>				4,975	
• Legislative Line Item					
Medical School Phase-in of Students	946		946		946
Florida Retirement System Adjustment	(357)		(357)		(357)
• Budget Reduction			0		0
Total Incremental Changes	589	0	589	4,975	5,564
2012 - 13 Base Budget	\$26,882	\$0	\$26,882	\$10,274	\$37,156

**IV. College of Medicine (Legislative Items: Risk Management)**

2011 - 12 Adjusted Base Budget	\$0		\$0		\$0
Adjustment to Risk Management Base	21		21		21
2011 - 12 Adjusted Recurring Base Budget	\$21	\$0	\$21	\$0	\$21

<b>III. &amp; IV. College of Medicine</b>					
2011 - 12 Adjusted Base Budget	\$26,293	\$0	\$26,293	\$5,299	\$31,592
Adjustments to Base Budget	\$21	\$0	\$21	\$0	\$21
2011 - 12 Adjusted Recurring Base Budget	\$26,882	\$0	\$26,882	\$10,274	\$37,156
Total Incremental Changes	\$589	\$0	\$589	\$4,975	\$5,564
2012 - 13 Base Budget	\$26,903	\$0	\$26,903	\$10,274	\$37,177

<sup>2</sup> Tuition revenues are net of uncollectible amounts

Florida International University  
Financial Summary  
E&G Summary

	Final Budget	Forecast	Forecast vs.	Requested	Forecast vs.
(In millions of dollars)	2011-12	2011-12	Final Budget	Budget <sup>1</sup>	Requested Budget
<b><u>I. University:</u></b>					
<b>Revenues</b>					
General Revenues <sup>2</sup>	\$141.8	\$141.9	\$0.1	\$120.7	(\$21.2)
General Revenues - Legislative Line Item	0.0	0.0	0.0	1.3	1.3
Educational Enhancement (Lottery) <sup>2</sup>	27.0	27.0	0.0	20.5	(6.5)
Gross Tuition	203.9	207.7	3.7	228.9	21.2
Waivers	(21.5)	(20.7)	0.8	(22.5)	(1.8)
Financial Aid (30%)	(9.0)	(9.8)	(0.9)	(14.8)	(5.0)
Net tuition <sup>3</sup>	173.5	177.1	3.6	191.5	14.4
<b>Total Revenue Available</b>	<b>\$342.2</b>	<b>\$345.9</b>	<b>\$3.7</b>	<b>\$334.0</b>	<b>(\$12.0)</b>
<b>Expenditures</b>					
Salaries and Benefits	245.8	238.2	(7.6)	251.3	13.1
OPS	28.9	29.8	0.9	31.7	1.9
Expense	68.8	60.9	(8.0)	69.4	8.5
OCO	9.4	8.5	(0.9)	9.2	0.7
<b>Total Operating Expenses</b>	<b>353.0</b>	<b>337.4</b>	<b>(15.6)</b>	<b>361.5</b>	<b>24.2</b>
<b>Net Assets</b>	<b>(\$10.7)</b>	<b>\$8.6</b>	<b>\$19.3</b>	<b>(\$27.6)</b>	<b>(\$36.1)</b>
<b>GAP Mitigation</b>					
Carry forward	10.7	6.1	(4.6)	27.6	21.4
<b>Net GAP after Non-recurring funds</b>	<b>(\$0.0)</b>	<b>\$14.7</b>	<b>\$14.7</b>	<b>(\$0.0)</b>	<b>(\$14.7)</b>
<b><u>II. College of Medicine:</u></b>					
<b>Revenues</b>					
General Revenues	26.3	26.3	0.0	26.9	0.6
Gross Tuition	5.3	5.4	0.1	10.3	4.9
Waivers	0.0	(0.0)	(0.0)	0.0	0.0
Net tuition <sup>3</sup>	5.3	5.4	0.1	10.3	4.9
<b>Total Revenue Available</b>	<b>\$31.6</b>	<b>\$31.6</b>	<b>\$0.1</b>	<b>\$37.2</b>	<b>\$5.5</b>
<b>Expenditures</b>					
Salaries and Benefits	25.7	24.4	(1.3)	30.8	6.4
OPS	0.7	1.0	0.3	0.7	(0.3)
Expense	4.5	3.1	(1.4)	4.8	1.8
OCO	0.1	0.3	0.2	0.0	(0.3)
<b>Total Operating Expenses</b>	<b>31.0</b>	<b>28.8</b>	<b>(2.2)</b>	<b>36.4</b>	<b>7.6</b>
<b>Net Assets</b>	<b>\$0.6</b>	<b>\$2.9</b>	<b>\$2.3</b>	<b>\$0.8</b>	<b>(\$2.1)</b>
<b>GAP Mitigation</b>					
Carry forward	0.0	0.0	0.0	0.0	0.0
<b>Net GAP after Non-recurring funds</b>	<b>\$0.6</b>	<b>\$2.9</b>	<b>\$2.3</b>	<b>\$0.8</b>	<b>(\$2.1)</b>

Notes:

<sup>1</sup> The amounts reported as state appropriations are based on the appropriations bill approved by the legislature (HB 5001).

<sup>2</sup> Total FY 2012-13 General Revenue and Lotto base reduction, excluding College of Medicine, is (\$24.3M). Additional non-recurring add back of \$2.2M was offset by net pass-throughs of -\$2.0M (PO&M of \$1.3M, Legislative line items \$1.2M, reduction in Florida Retirement System contribution -\$3.0M and decrease in allowable excess hours -\$1.5M).

<sup>3</sup> Tuition revenues are net of waivers, uncollectible amounts and 30% Financial Aid need based amounts per BOG regulation.

Florida International University  
Financial Summary  
E&G Summary

(In millions of dollars)

**I. University Carry Forward:**

<b>FY 2011-12 Beginning Balance</b>	<b>106.9</b>
<b>Expenditure Forecast FY 2011-12</b>	<b>(56.5)</b>
<b>Estimated Unused FY 2011-12 Current Year Funds</b>	<b>11.0</b>
<b>FY 2012-13 Estimated Beginning Balance</b>	<b>61.4</b>
<b>FY 2012-13 Expenditures:</b>	<b>(38.1)</b>
• E&G Funding GAP	(27.6)
• Legislative Earmarked Funds	(3.0)
• Capital Campaign Investment	(4.6)
• Health Services Compliance	(1.2)
• College and Area Commitments	(1.7)
<b>FY 2012-13 Estimated Ending Balance</b>	<b>23.3</b>
<b>Commitments for FY 2013-14 and Later:</b>	<b>(3.0)</b>
• Information Technology Projects	(1.0)
• Health Services Compliance	(0.8)
• Legislative Earmarked Funds	(1.2)
<b>Estimated Available Balance</b>	<b>20.3</b>

**II. College of Medicine Carry Forward:**

<b>FY 2011-12 Beginning Balance</b>	<b>5.1</b>
<b>Expenditure Forecast 2011-12</b>	<b>(4.7)</b>
<b>Estimated Unused FY 2011-12 Current Year Funds</b>	<b>2.2</b>
<b>Estimated Tuition from FY2011-12</b>	<b>0.7</b>
<b>FY 2012-13 Estimated Beginning Balance</b>	<b>3.3</b>
<b>FY 2012-13 Expenditures:</b>	<b>(2.3)</b>
• Strategic Initiatives	(1.0)
• Tuition Remission	(0.7)
• Facilities and Information Technology Infrastructure	(0.7)
<b>Estimated Available Balance</b>	<b>1.0</b>

**Florida International University  
Financial Summary  
Total Auxiliary Enterprises**

<i>(In thousands of dollars)</i>	<b>Final Budget 2011-12</b>	<b>Forecast 2011-12</b>	<b>Forecast vs. Final Budget</b>	<b>Requested Budget 2012-13</b>	<b>Forecast vs. Requested Budget</b>
<b>Operating Revenues <sup>1</sup></b>	<b>\$149,257</b>	<b>\$161,691</b>	<b>\$12,433</b>	<b>\$194,136</b>	<b>\$32,445</b>
<b><u>Expenditures</u></b>					
Salaries and Benefits	53,567	52,778	(789)	66,135	13,357
OPS	15,776	16,227	451	23,358	7,131
Expense	52,843	59,704	6,860	75,362	15,658
OCO	4,248	2,087	(2,161)	4,313	2,226
Debt Service Interest	5,606	5,758	152	5,038	(720)
<b>Total Operating Expenses</b>	<b>132,040</b>	<b>136,554</b>	<b>4,514</b>	<b>174,205</b>	<b>37,651</b>
<b>Net Operating Income</b>	<b>17,217</b>	<b>25,137</b>	<b>7,920</b>	<b>19,931</b>	<b>(5,206)</b>
Investment Revenues	118	19	(98)	24	5
Principal Payment of Debt	(7,004)	(7,004)	(0)	(6,905)	99
Operational Transfers	(0)	-	0	-	(0)
<b>Change in Net Assets</b>	<b>10,331</b>	<b>18,153</b>	<b>7,821</b>	<b>13,051</b>	<b>(5,102)</b>
<b><u>Fund Balance</u></b>					
Beginning Fund Balance	118,172	117,293		122,814	
Change in Net Assets	10,331	18,153		13,051	
Capital Expenditures	(5,736)	(13,846)		(28,915)	
Third Party Transfers	500	0		0	
Institutional Transfers	(65)	1,215		526	
<b>Ending Fund Balance</b>	<b>\$123,202</b>	<b>\$122,814</b>		<b>\$107,476</b>	

**Notes**

Budget figures represent total revenue and expenditures for all Auxiliary activities, hence interdepartmental transactions have not been eliminated.

<sup>1</sup> A&S Transfers to Student Centers (PCS 72), Extracurricular activities (PCS 87) and Children's Center (PCS 76) have been reclassified to revenues.

**Financial Highlights**

FY 2011-12 revenues are higher than budgeted due to continued growth in academic revenues for the College of Business Administration, College of Arts and Sciences and College of Engineering programs. Other increases in revenues relate to downstream revenue from increased enrollment and more services provided by internal auxiliary units to support growth.

Revenue increases for FY 2012-13 will continue to be driven primarily by growth in academic programs, including market rate programs. As a result, operating expenses are projected to increase correspondingly for academic auxiliaries.

During FY 2010-11, FIU's Board of Trustees approved the construction of a new mixed-use building to house FIU Online and College of Business programs as well as provide new food venues for Business Services. Capital expenditures represent initial cash outflows for the design and construction of this building. Other capital expenditures include cash contributions by the Housing Unit towards Parkview Residence Hall construction and by Student Health Services towards remodeling the Student Health Center in order to more efficiently serve and accommodate students.

**Florida International University  
Financial Summary  
Academic Auxiliaries**

<i>(In thousands of dollars)</i>	<b>Final Budget 2011-12</b>	<b>Forecast 2011-12</b>	<b>Forecast vs. Final Budget</b>	<b>Requested Budget 2012-13</b>	<b>Forecast vs. Requested Budget</b>
<b>Operating Revenues</b>	<b>\$59,164</b>	<b>\$70,689</b>	<b>\$11,525</b>	<b>\$90,762</b>	<b>\$20,073</b>
<b><u>Expenditures</u></b>					
Salaries and Benefits	22,937	25,098	2,161	32,930	7,832
OPS	10,137	10,679	542	16,384	5,705
Expense	21,129	26,419	5,289	36,381	9,962
OCO	998	726	(273)	428	(298)
Debt Service Interest	-	-	-	-	-
<b>Total Operating Expenses</b>	<b>55,201</b>	<b>62,921</b>	<b>7,719</b>	<b>86,123</b>	<b>23,202</b>
<b>Net Operating Income</b>	<b>3,962</b>	<b>7,768</b>	<b>3,806</b>	<b>4,639</b>	<b>(3,129)</b>
Investment Revenues	25	5	(20)	7	1
Principal Payment of Debt	-	-	-	-	-
Operational Transfers	782	719	(64)	1,824	1,106
<b>Change in Net Assets</b>	<b>4,770</b>	<b>8,492</b>	<b>3,722</b>	<b>6,470</b>	<b>(2,022)</b>
<b><u>Fund Balance</u></b>					
Beginning Fund balance	47,509	44,295		50,432	
Change in Net Assets	4,770	8,492		6,470	
Capital Expenditures	-	(2,682)		(11,836)	
Third Party Transfers	-	-		-	
Institutional Transfers	-	328		600	
<b>Total Fund Balance</b>	<b>\$52,279</b>	<b>\$50,432</b>		<b>\$45,665</b>	

Academic Auxiliary programs are comprised primarily of market rate programs, web based courses, conferences, labs, recharge centers and distance learning programs. The revenues generated serve to support the actual programs and their growth.

**Financial Highlights**

During FY 2011-12, revenue continued to be driven by increases in existing and new programs in the College of Business and College of Arts and Sciences. To a lesser extent, growth in programs and services offered by the College of Engineering, the School of Hospitality Management, College of Nursing and Health Sciences and the School of Law contributed to additional revenue growth.

Operating expenses have increased in part to support the significant growth in academic units, as well as additional expenditures incurred by College of Business in renovating and enhancing its facilities. Operating capital outlays have decreased primarily in the College of Medicine and the School of Computer Science as a result of an increase in the capitalization threshold from \$1K to \$5K.

Capital expenditures included School of Hospitality and Tourism Management developing a distance learning classroom, renovations in the Ryder Business building by the College of Business and initial cash funding by FIU Online and the College of Business for the design of the mixed use building.

Revenues for FY 2012-13 are projected to increase by \$20M, driven in large part by growth in the College of Business' existing and new programs, as well as joint ventures with external parties. College of Arts and Science, College of Engineering and the College of Nursing and Health Sciences contribute to increased revenues as does instances of enrollment in FIU Online. There is also a positive effect of nearly \$2.0M as a result of an accounting change mandated by the Office of the Controller in which expense reimbursements by Direct Support Organizations (DSOs) to units will be booked as revenue instead of a credit to expense.

Expense growth of \$23M is mostly driven by an increase in personnel expenses partly due to a need to service revenue growth. Similarly, operating expenses are increasing in order to appropriately support growth in the academic auxiliaries along with properly aligning expenses to these auxiliary businesses.

In FY 2010-11, FIU's Board of Trustees approved the construction of a new mixed-use building to house FIU Online and College of Business programs as well as provide new food venues for Business Services. Capital expenditures in FY 2012-13 include cash outflows by FIU Online and the College of Business related to the construction of said building which is currently in the design phase.

**Florida International University  
Financial Summary  
Housing**

<i>(In thousands of dollars)</i>	<b>Final Budget 2011-12</b>	<b>Forecast 2011-12</b>	<b>Forecast vs. Final Budget</b>	<b>Requested Budget 2012-13</b>	<b>Forecast vs. Requested Budget</b>
<b>Operating Revenues</b>	<b>\$24,987</b>	<b>24,295</b>	<b>(\$692)</b>	<b>24,467</b>	<b>\$171</b>
<b><u>Expenditures</u></b>					
Salaries and Benefits	4,061	3,322	(739)	4,115	793
OPS	1,100	915	(185)	1,141	225
Expense	10,341	9,313	(1,028)	12,272	2,959
OCO	75	26	(49)	74	48
Debt Service Interest	3,364	3,516	152	2,842	(674)
<b>Total Operating Expenses</b>	<b>18,941</b>	<b>17,092</b>	<b>(1,849)</b>	<b>20,444</b>	<b>3,352</b>
<b>Net Operating Income</b>	<b>6,046</b>	<b>7,203</b>	<b>1,157</b>	<b>4,022</b>	<b>(3,180)</b>
Investment Revenues	24	5	(20)	6	1
Principal Payment of Debt	(3,765)	(3,765)	-	(3,983)	(218)
Operational Transfers	34	23	(12)	28	5
<b>Change in Net Assets</b>	<b>2,340</b>	<b>3,465</b>	<b>1,125</b>	<b>73</b>	<b>(3,392)</b>

**Fund Balance**

Beginning Fund balance	18,691	19,093		21,888	
Change in Net Assets	2,340	3,465		73	
Capital Expenditures	(1,550)	(1,600)		(8,307)	
Third Party Transfers	-	-		-	
Institutional Transfers	-	930		-	
<b>Total Fund Balance</b>	<b>\$19,481</b>	<b>\$21,888</b>		<b>\$13,654</b>	

The Housing Auxiliary generates revenues in the form of rental income from students seeking housing facilities on campus and from summer conference housing.

**Financial Highlights**

Occupancies in FY 2011-12 averaged 96% at the Modesto Maidique Campus (MMC) and 70% at the Biscayne Bay campus (BBC). The aggregate FY 2011-12 blended occupancy rate was 94% compared to a budget of 95%, resulting in lower revenues. Operating expense variances were mostly attributable to unfilled positions and lower meal plan expenses. Meal plan expenses are driven by a decrease in occupancy in Lakeview and Panther halls where the purchase of a meal plan is mandatory.

Expected occupancy in FY 2012-13 is projected at 97% at MMC and 90% at BBC, resulting in an expected blended occupancy rate of 96%. Rental rates at MMC are not increasing and in order to increase occupancy at BBC, rental rates are being reduced to a comparative market rate. The cost of the meal plan is increasing by 1.7%.

Higher operating costs are expected to result from filling all vacant positions, higher meal plan expenses, and an increase in utilities expense. Operating expenses also include new furnishings for Towers, Everglades, and Panther Halls. Refunding of the 1998 and 2004 bonds resulted in additional net savings of \$0.3M in debt service payments.

Capital expenditures in FY 2012-13 represent investments in building improvements required at University Park Apartments, Everglades, Lakeview and Panther Halls as well as expansion of the Housing Office. It also includes a cash contribution towards the construction of Parkview Hall.

Housing continues to have sufficient operating revenues to cover the debt service payment and meet required debt service ratios. The fund balance is held as a reserve for major repairs and capital replacement, and for future expansion of Housing facilities.

**Florida International University**  
**Financial Summary**  
**Parking and Transportation**

<i>(In thousands of dollars)</i>	<b>Final Budget 2011-12</b>	<b>Forecast 2011-12</b>	<b>Forecast vs. Final Budget</b>	<b>Requested Budget 2012-13</b>	<b>Forecast vs. Requested Budget</b>
<b>Operating Revenues</b>	<b>\$12,837</b>	<b>\$12,750</b>	<b>(\$86)</b>	<b>\$13,986</b>	<b>\$1,236</b>
<b><u>Expenditures</u></b>					
Salaries and Benefits	2,275	2,328	53	2,298	(30)
OPS	679	569	(110)	628	58
Expense	3,848	3,914	66	4,556	642
OCO	80	45	(35)	264	219
Debt Service Interest	2,222	2,222	0	2,123	(100)
<b>Total Operating Expenses</b>	<b>9,104</b>	<b>9,079</b>	<b>(25)</b>	<b>9,868</b>	<b>789</b>
<b>Net Operating Income</b>	<b>3,733</b>	<b>3,672</b>	<b>(61)</b>	<b>4,118</b>	<b>447</b>
Investment Revenues	13	1	(12)	2	0
Principal Payment of Debt	(2,710)	(2,710)	-	(2,815)	(105)
Operational Transfers	165	108	(58)	164	56
<b>Change in Net Assets</b>	<b>1,202</b>	<b>1,070</b>	<b>(131)</b>	<b>1,469</b>	<b>398</b>
<b><u>Fund Balance</u></b>					
Beginning Fund balance	9,001	9,182		8,844	
Change in Net Assets	1,202	1,070		1,469	
Capital Expenditures	(2,000)	(1,409)		(500)	
Third Party Transfers	-	-		-	
Institutional Transfers	-	-		-	
<b>Total Fund Balance</b>	<b>\$8,203</b>	<b>\$8,844</b>		<b>\$9,813</b>	

The Parking and Transportation auxiliary operating revenues are primarily generated from parking decals and fees charged to students, faculty, staff and visitors. There are currently 5 garages and 52 parking lots in operation totaling 14,614 parking spaces. In addition, this auxiliary also services all vehicles owned by the University.

**Financial Highlights**

In FY 2011-12, less parking access fees were collected than anticipated due to a greater number of exempt students. Expenses are flat with savings in other personnel services and operating capital outlay offsetting a minor overspend in salaries and operating expenses.

Capital expenditures covered remaining outflows for PGV construction costs.

Operating revenues are projected to be higher in FY 2012-13 as a result of a 10 percent increase in parking fees and higher rental income. Higher operating expenses are mostly due to various one-time projects. Other capital outlay includes purchases of three (3) shuttle buses and a Pay-by-space machine for the Biscayne Bay Campus. Shuttle buses are used to transport students between the Engineering Campus and MMC.

Capital expenditures include a cash outflow to facilities for construction of temporary parking.

Parking continues to have sufficient operating revenues to cover the debt service payment and meet required debt service ratios. The fund balance is held as a reserve for major repairs and capital replacement, and for future expansion of parking facilities.

**Florida International University  
Financial Summary  
Student Health Services**

<i>(In thousands of dollars)</i>	<b>Final Budget 2011-12</b>	<b>Forecast 2011-12</b>	<b>Forecast vs. Final Budget</b>	<b>Requested Budget 2012-13</b>	<b>Forecast vs. Requested Budget</b>
<b>Operating Revenues</b>	<b>\$9,685</b>	<b>\$9,643</b>	<b>(\$42)</b>	<b>\$9,496</b>	<b>(\$147)</b>
<b><u>Expenditures</u></b>					
Salaries and Benefits	6,340	5,451	(889)	6,251	800
OPS	1,072	1,077	5	1,134	57
Expense	2,036	2,135	98	1,898	(236)
OCO	115	45	(71)	82	37
Debt Service Interest	-	-	-	-	0
<b>Total Operating Expenses</b>	<b>9,564</b>	<b>8,707</b>	<b>(857)</b>	<b>9,366</b>	<b>659</b>
<b>Net Operating Income</b>	<b>121</b>	<b>936</b>	<b>815</b>	<b>131</b>	<b>(806)</b>
Investment Revenues	-	-	-	-	-
Principal Payment of Debt	-	-	-	-	-
Operational Transfers	-	1	1	-	(1)
<b>Change in Net Assets</b>	<b>121</b>	<b>937</b>	<b>816</b>	<b>131</b>	<b>(807)</b>

**Fund Balance**

Beginning Fund balance	4,466	4,345		4,134	
Change in Net Assets	121	937		131	
Capital Expenditures	-	(1,149)		(1,000)	
Third Party Transfers	-	-		-	
Institutional Transfers	-	-		-	
<b>Total Fund Balance</b>	<b>\$4,587</b>	<b>\$4,134</b>		<b>\$3,265</b>	

The Student Health Centers at MMC and BBC provide health, wellness, and mental health care services to students, who fund the operations through a health fee paid each semester. Services provided at no additional cost include routine medical exams, certain screenings, medical education and counseling. Other clinical services, such as laboratory tests, immunization and pharmacy services are available for a nominal fee.

**Financial Highlights**

Operating revenues for FY 2011-12 are virtually flat vs. original budget with the exception of a small decrease in the health fee revenue. An increase in operating expenses is due to expenditures incurred for professional services related to planned renovations. This is offset by savings in salaries due to unfilled positions.

Overall revenues for FY 2012-13 are lower as a result of less health fee revenue expected due to increased exemptions and a slight reduction in other revenues caused by anticipated renovations to the Student Health Center. Operating expenses are increasing as vacant positions are filled.

The Student Health Center plans to spend \$2.0M in renovations to the Student Health Center in an effort to more efficiently serve students. The renovations will most likely start in the current fiscal year and continue into FY 2012-13.

**Florida International University**  
**Financial Summary**  
**Other Auxiliaries**

<i>(In thousands of dollars)</i>	<b>Final Budget 2011-12</b>	<b>Forecast 2011-12</b>	<b>Forecast vs. Final Budget</b>	<b>Requested Budget 2012-13</b>	<b>Forecast vs. Requested Budget</b>
<b>Operating Revenues</b>	<b>\$42,585</b>	<b>\$44,313</b>	<b>\$1,729</b>	<b>\$55,424</b>	<b>\$11,111</b>
<b><u>Expenditures</u></b>					
Salaries and Benefits	17,953	16,579	(1,374)	20,541	3,961
OPS	2,788	2,987	198	4,071	1,084
Expense	15,489	17,924	2,435	20,255	2,332
OCO	2,979	1,245	(1,734)	3,464	2,219
Debt Service Interest	20	20	(0)	73	53
<b>Total Operating Expenses</b>	<b>39,230</b>	<b>38,755</b>	<b>(475)</b>	<b>48,404</b>	<b>9,649</b>
<b>Net Operating Income</b>	<b>3,355</b>	<b>5,559</b>	<b>2,204</b>	<b>7,021</b>	<b>1,462</b>
Investment Revenues	55	8	(47)	10	2
Principal Payment of Debt	(529)	(529)	(0)	(107)	422
Operational Transfers	(982)	(850)	133	(2,016)	(1,166)
<b>Change in Net Assets</b>	<b>1,899</b>	<b>4,188</b>	<b>2,290</b>	<b>4,909</b>	<b>721</b>
<b><u>Fund Balance</u></b>					
Beginning Fund balance	38,505	40,378		37,516	
Change in Net Assets	1,899	4,188		4,909	
Capital Expenditures	(2,186)	(7,007)		(7,273)	
Third Party Transfers	500	-		-	
Institutional Transfers	(65)	(43)		(74)	
<b>Total Fund Balance</b>	<b>\$38,652</b>	<b>37,516</b>		<b>\$35,079</b>	

Other auxiliaries include the remaining activities which have revenues essentially derived from the following:

- Commission and rental earnings from food service, bookstore and retail operations managed by the office of Business Services
- Support from the student activity and service (A&S) fee for student and recreational centers
- Interdepartmental services such as duplicating, information technology and telecom, construction service reimbursement charges and the shared services fee. The shared services fee funds the pro-rata share of university-wide services indirectly benefitting auxiliary businesses (such as functions performed by legal, finance, human resources, etc.) along with funding special non-recurring projects.
- Other auxiliary enterprises support marketing and sponsorship activities, such as the operations of the South Beach Wine and Food Festival, along with alumni activities and miscellaneous university-wide initiatives.
- University support for a component unit (Health Care Network)

**Financial Highlights**

Revenues in FY 2011-12 were higher primarily due to Business Services and South Beach Wine and Food Festival. Expenses are relatively flat with savings in permanent salaries offsetting higher operating expenses in South Beach Wine and Food Festival. Variance in other capital outlay is due to purchasing delays in UTS.

Capital expenditures include outflows by the School of Hospitality and Tourism Management towards a kitchen/dining renovation project. It also includes expenditures by Business Services for various projects.

Revenues for FY 2012-13 are planned to increase by \$11M. Revenue growth is driven by UTS and construction management due to new construction projects. Revenues are also increasing due to a 0.5% rate increase in the shared services fee as planned and from increased auxiliary expenditures. There is also a positive effect of nearly \$1.8M as a result of an accounting change mandated by the Office of the Controller in which expense reimbursements by Direct Support Organizations (DSOs) to units will be booked as revenue instead of a credit to expense.

Personnel expenses are expected to increase as vacant positions are filled and new positions are added to support growth. Units projecting the greatest increase include College of Medicine's Health Care Network, shared services fee funded positions and Student Centers. There is also an additional increase of \$1.7M as a result of expense reimbursements by Foundation recorded as revenues. Increases in operating and other capital outlay expenses are driven by UTS and Student Centers as part of new construction projects and one-time expenses related to renovations, respectively.

Capital expenditures include design and construction expenses for the mixed use building being partially funded by Business Services.

**Florida International University  
Financial Summary  
Intercollegiate Athletics**

	<b>Final Budget</b>	<b>Forecast</b>	<b>Forecast vs.</b>	<b>Requested Budget</b>	<b>Forecast vs.</b>
<i>(In thousands of dollars)</i>	<b>2011-12</b>	<b>2011-12</b>	<b>Final Budget</b>	<b>2012-13</b>	<b>Requested Budget</b>
<b>Operating Revenues</b>	<b>\$21,128</b>	<b>\$22,617</b>	<b>\$1,488</b>	<b>\$23,634</b>	<b>\$1,017</b>
<b><u>Expenditures</u></b>					
Salaries and Benefits	7,715	7,697	(18)	8,120	423
OPS	520	609	89	552	(57)
Expense	7,734	7,640	(94)	7,409	(231)
Scholarships	5,082	5,382	300	6,551	1,169
OCO	54	11	(43)	50	39
<b>Total Operating Expenses</b>	<b>21,105</b>	<b>21,340</b>	<b>234</b>	<b>22,682</b>	<b>1,343</b>
<b>Net Operating Income</b>	<b>23</b>	<b>1,277</b>	<b>1,254</b>	<b>952</b>	<b>(326)</b>
			0		
Investment Revenues	2	1	(1)	1	0
Operational Transfers	1,400	3,300	1,900	900	(2,400)
<b>Change in Net Assets</b>	<b>1,425</b>	<b>4,578</b>	<b>3,153</b>	<b>1,852</b>	<b>(2,726)</b>
<b><u>Fund Balance</u></b>					
Beginning Fund Balance	809	433		1,370	
Change in Net Assets	1,425	4,578		1,852	
Capital Expenditures	(150)	(2,440)		-	
Institutional Transfers	(1,290)	(1,201)		(1,419)	
<b>Total Fund Balance</b>	<b>\$794</b>	<b>\$1,370</b>		<b>\$1,803</b>	

Intercollegiate Athletics is the functional area of the University responsible for team sports and their support activities. The Athletics Stadium operations and its associated bonds are reflected in the Athletics Finance Corp., a Direct Support Organization (DSO) within FIU.

**Financial Highlights**

FY 2011-12 revenues end favorable to budget, primarily due to increased game guarantees and NCAA distributions, bowl game and higher sponsorship revenues. Revenues are also favorable by \$0.5M due to an accounting change in women's E&G scholarship funding recorded as revenue when it was previously recorded as a credit to scholarship expense. Expenses are flat to budget with the exception of an increase in scholarship expense due in part to the scholarship accounting methodology change. Savings in operating expenses offset increased capital expenditures.

Institutional Transfers primarily consist of payments to the Athletics Finance Corporation of pledged revenues applied towards the payment of Stadium debt service payments. Capital expenditures for FY 2011-12 include cash transfers in the amount of \$2.4M for construction of the FIU Stadium-North Side expansion.

In FY 2012-13, revenues are projected to increase due to a combination of a per-credit athletic fee increase of \$0.54 and higher enrollment. Expenses are increasing 7%, due to higher salaries for coaching and management positions resulting from contractual obligations and the increase in scholarships as a result of higher tuition.

In FY 2010-11, Treasury Operations entered into a working capital agreement with Athletics in which four annual disbursements will be made totaling \$5.0M. The first and second disbursements were made in June 2011 and December 2011 in the amounts of \$1.9M and \$1.8M respectively. The third disbursement in the amount of \$0.9M is expected in December 2013. All of these are reflected as operational transfers except the first disbursement of \$1.9M which is an adjustment to the beginning fund balance.

In March FY 2011-12, Treasury Operations also extended a short-term loan to Athletics in the amount of \$1.5M due in one year with an option to defer payment for an additional 12 months. The \$1.5M is for the construction of the North Side expansion to the FIU stadium. This is also reflected as an operational transfer. The FIU Foundation Inc. is responsible for the repayment of this amount through fundraising.

During the current fiscal year, the University announced that for the 2013 season Athletics will join Conference USA in all sports. FIU is already a member for men's soccer.

**Florida International University  
Financial Summary  
Activity and Service**

	<b>Final Budget</b>	<b>Forecast</b>	<b>Forecast vs.</b>	<b>Requested Budget</b>	<b>Forecast vs.</b>
<i>(In thousands of dollars)</i>	<b>2011-12</b>	<b>2011-12</b>	<b>Final Budget</b>	<b>2012-13</b>	<b>Requested Budget</b>
<b>Operating Revenues</b>	<b>\$13,436</b>	<b>\$13,546</b>	<b>\$111</b>	<b>\$15,795</b>	<b>\$2,248</b>
<b><u>Expenditures</u></b>					
Salaries and Benefits	2,074	1,953	(121)	2,403	450
OPS	834	743	(91)	920	177
Expense	10,608	10,952	343	12,665	1,713
OCO	1	11	10	1	(10)
<b>Total Operating Expenses</b>	<b>13,517</b>	<b>13,659</b>	<b>142</b>	<b>15,989</b>	<b>2,330</b>
<b>Net Operating Income</b>	<b>(82)</b>	<b>(113)</b>	<b>(31)</b>	<b>(194)</b>	<b>(81)</b>
Investment Revenues	-	-	-	-	-
<b>Change in Net Assets</b>	<b>(82)</b>	<b>(113)</b>	<b>(31)</b>	<b>(194)</b>	<b>(81)</b>
<b><u>Fund Balance</u></b>					
Beginning Fund Balance	9,054	8,769		8,654	
Institutional Transfers	55	(3)		189	
Change in Net Assets	(82)	(113)		(194)	
<b>Total Fund Balance</b>	<b>\$9,027</b>	<b>\$8,654</b>		<b>\$8,649</b>	

The Activity and Service Fee fund is the student life component of the University which supports clubs, organizations, student centers and recreational sports for all campuses. The purpose of this activity is to provide students with the opportunity to enhance learning through co-curricular activities. The principle funding source for this activity fee is a per credit hour fee charged to all students.

**Financial Highlights**

Revenue and expense activity in FY 2011-12 is essentially on target vs. budget.

In FY 2012-13, the Activity and Service fee will increase by \$1.27 to \$12.87 per credit hour. The increase will be used to sustain current initiatives in student organizations as well as to add support staff positions which work directly with the students, for example, support for multicultural programs, leadership seminars and workshops, and activities within the Women's Center. Additionally, funding for Homecoming events, renovations at the Wolfe University Center, and increased funding for the Graham Center are some of the main investments geared at expanding the student's co-curricular activities.

The fund balance is held as a reserve to cover unexpected repairs and building maintenance at the Wolfe University Center, Graham Center and both MMC and BBC recreation centers.

Florida International University  
Financial Summary  
Technology Fee

	Final Budget	Forecast	Forecast vs.	Requested Budget	Forecast vs.
<i>(In thousands of dollars)</i>	2011-12	2011-12	Final Budget	2012-13	Requested Budget
<b>Operating Revenues</b>	<b>\$8,267</b>	<b>\$7,714</b>	<b>(\$554)</b>	<b>\$8,330</b>	<b>\$617</b>
<b>Expenditures</b>					
Salaries and Benefits	751	641	(110)	900	259
OPS	902	600	(302)	712	112
Expense	4,263	5,709	1,446	6,065	356
OCO	2,593	1,932	(661)	725	(1,207)
<b>Total Operating Expenses</b>	<b>8,509</b>	<b>8,881</b>	<b>372</b>	<b>8,402</b>	<b>(480)</b>
<b>Net Operating Income</b>	<b>(242)</b>	<b>(1,168)</b>	<b>(926)</b>	<b>(71)</b>	<b>1,096</b>
Investment Revenues	-	-	-	-	-
<b>Change in Net Assets</b>	<b>(242)</b>	<b>(1,168)</b>	<b>(926)</b>	<b>(71)</b>	<b>1,096</b>
<b>Fund Balance</b>					
Beginning Fund Balance	396	2,081		914	
Change in Net Assets	(242)	(1,168)		(71)	
Capital Expenditures	-				
Institutional Transfers	-				
<b>Total Fund Balance</b>	<b>\$155</b>	<b>\$914</b>		<b>\$842</b>	

The Technology Fee fund monitors the revenues and resulting expenses related to the Technology Fee. The fee revenues are used to enhance instructional technology resources for students and faculty. Project proposals are reviewed by the Technology Fee Committee which makes investment recommendations to the President for final approval. The Committee is composed of 12 members (6 students & 6 Faculty / Staff) from across the University.

**Financial Highlights**

Current projects include renovation of classrooms with up-to-date audio visual equipment, investments in course capturing technologies, remote support technology and wireless expansion.

Technology fee revenues are 5% of base tuition. Projected increases in expenditures are due to higher revenues and a reduction of the FY 2011-12 Carry Forward Balance.

Florida International University  
Financial Summary  
Board Approved Fees

	Final Budget	Forecast	Forecast vs.	Requested Budget	Forecast vs.
<i>(In thousands of dollars)</i>	2011-12	2011-12	Final Budget	2012-13	Requested Budget
<b>Operating Revenues</b>	<b>\$510</b>	<b>\$504</b>	<b>(\$6)</b>	<b>\$502</b>	<b>(\$2)</b>
<b>Expenditures</b>					
Salaries and Benefits	-	-	-	-	-
OPS	-	-	-	-	-
Expense	510	504	(6)	502	(2)
OCO	-	-	-	-	-
<b>Total Operating Expenses</b>	<b>510</b>	<b>504</b>	<b>(6)</b>	<b>502</b>	<b>(2)</b>
<b>Net Operating Income</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
Investment Revenues	-	-	-	-	-
<b>Change in Net Assets</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Fund Balance</b>					
Beginning Fund Balance	-	-	-	-	-
Change in Net Assets	-	-	-	-	-
Capital Expenditures	-	-	-	-	-
Institutional Transfers	-	-	-	-	-
<b>Total Fund Balance</b>	<b>\$0</b>	<b>\$0</b>		<b>\$0</b>	

Board Approved Fees are fees specific to the University which have been approved by the Board of Governors.

This fee currently consists of the Florida Bar Test Preparation Fee.

**Financial Highlights**

Only those students in certain programs where licensing to practice requires successful completion of an examination and where FIU provides this preparation through a third party vendor are charged the fee. Only students in the College of Law for the Bar preparation course are required to pay the fee.

The purpose of the test preparation fee is to increase accessibility to test preparation courses in programs where students are expected to obtain specific preparation for a practice-based examination. By making the test preparation a required activity in the final semester of the program, the fee will be part of the cost of attendance and thus eligible for financial aid. The fee is charged to students at cost.

**Florida International University  
Financial Summary  
Contracts & Grants**

	<b>Final Budget</b>	<b>Forecast</b>	<b>Forecast vs.</b>	<b>Requested Budget</b>	<b>Forecast vs.</b>
<i>(In thousands of dollars)</i>	<b>2011-12</b>	<b>2011-12</b>	<b>Final Budget</b>	<b>2012-13</b>	<b>Requested Budget</b>
<b>Operating Revenues</b>	<b>\$89,081</b>	<b>\$91,790</b>	<b>\$2,709</b>	<b>\$91,676</b>	<b>(\$115)</b>
<b><u>Expenditures</u></b>					
Salaries and Benefits	35,900	39,476	3,576	41,560	2,084
OPS	14,953	18,129	3,175	14,484	(3,644)
Expense	31,893	27,000	(4,893)	32,083	5,084
OCO	3,587	3,575	(12)	3,370	(205)
<b>Total Operating Expenses</b>	<b>86,333</b>	<b>88,179</b>	<b>1,846</b>	<b>91,497</b>	<b>3,318</b>
<b>Net Operating Income</b>	<b>2,749</b>	<b>3,612</b>	<b>863</b>	<b>179</b>	<b>(3,433)</b>
Investment Revenues	-	(328)	(328)	-	328
<b>Change in Net Assets</b>	<b>2,749</b>	<b>3,283</b>	<b>535</b>	<b>179</b>	<b>(3,104)</b>
<b><u>Fund Balance</u></b>					
Beginning Fund Balance	(2,914)	2,769		5,765	
Change in Net Assets	2,749	3,283		179	
Institutional Transfers	0	(287)		(600)	
<b>Total Fund Balance</b>	<b>(\$165)</b>	<b>\$5,765</b>		<b>\$5,344</b>	

Contracts and Grants includes sponsored research, public service and training activities, and incidental non-research initiatives.

Sponsored Research revenues are derived from Federal, State, Local and Private sources in support of the sponsored programs of the University. The use of these funds is restricted to the specific purpose for which they are awarded. Sponsored Research awards are comprised of Direct Costs and Facility & Administration (F&A) Costs. Direct Costs are those costs directly related to research projects such as the salaries and benefits of researchers and supplies, while F&A costs represent the University's overhead costs which are not directly allocable to a specific project such as administration functions, utilities, etc.

Expenditures of the Division of Research administration are directly related to the university's research expenditures and the associated indirect cost recovery. Facility and administrative cost recovery derived from sponsored projects support the administrative costs of Sponsored Research and provide funding to deans, department chairs and faculty in support of research.

**Financial Highlights**

The federally negotiated F&A cost reimbursement rate is currently 45%. Since State and Other sponsors often reimburse at a lower rate than the federally negotiated rate, the FY 2011-12 awarded actual recovery rate is 18.1%.

FY 2011-12 revenues are \$2.7M higher primarily driven by \$4.9M in unbudgeted incidental non-research revenue. This is partially offset by a decrease in revenues from Federal, State and Local & Other sponsored research.

The FY 2012-13 overall Contracts & Grants revenues are projected flat. Sponsored research revenues are decreasing by \$2.9M in State grants. This decrease is partly offset by a \$0.9M increase in revenues from Federal grants. Federal Awards generally have a higher F&A rate so the budgeted awarded actual recovery rate is projected to increase to 20%. F&A recovery dollars are budgeted at \$15M.

FY 2012-13 budget anticipates an increase in expenses of \$3.3M, mainly due to the activity in incidental non-research initiatives being budgeted for the first time.

Increases in Division of Research budgeted positions in pre-award and research development and absorption of positions previously supported by other funding sources (e.g. ARRA) contribute to this increase.

The Division of Research currently uses 9% of the F&A return to support administrative positions of the University including positions in the Controller's Office, EH&S, Human Resources, Audit and the University Graduate School.

**Florida International University  
Financial Summary  
Student Financial Aid**

	<b>Final Budget</b>	<b>Forecast</b>	<b>Forecast vs.</b>	<b>Requested Budget</b>	<b>Forecast vs.</b>
<i>(In thousands of dollars)</i>	<b>2011-12</b>	<b>2011-12</b>	<b>Final Budget</b>	<b>2012-13</b>	<b>Requested Budget</b>
<b>Operating Revenues</b>	<b>\$139,787</b>	<b>\$150,898</b>	<b>\$11,110</b>	<b>\$163,402</b>	<b>\$12,505</b>
<b><u>Expenditures</u></b>					
Salaries and Benefits	1,623	1,612	(11)	1,639	27
OPS	1,147	2,384	1,236	1,547	(836)
Expense	140,241	149,195	8,954	162,264	13,070
OCO	-	-	-	-	-
<b>Total Operating Expenses</b>	<b>143,011</b>	<b>153,190</b>	<b>10,179</b>	<b>165,451</b>	<b>12,261</b>
<b>Net Operating Income</b>	<b>(3,224)</b>	<b>(2,293)</b>	<b>931</b>	<b>(2,049)</b>	<b>244</b>
Investment Revenues	36	5	(31)	6	1
<b>Change in Net Assets</b>	<b>(3,188)</b>	<b>(2,288)</b>	<b>900</b>	<b>(2,043)</b>	<b>245</b>
<b><u>Fund Balance</u></b>					
Beginning Fund Balance	10,216	7,389		5,101	
Change in Net Assets	(3,188)	(2,288)		(2,043)	
<b>Total Fund Balance</b>	<b>\$7,029</b>	<b>5,101</b>		<b>\$3,059</b>	

Student Financial Aid is the functional area of the University responsible for administering Financial Aid to students. It is comprised of funding from student financial aid fees, support from federal and state financial aid awards, institutional programs, as well as numerous private scholarships.

**Financial Highlights**

In FY 2011-12, the increase in revenue vs. budget was mainly driven by higher eligibility in Federal Pell Grants, \$7.2M, Florida Student Assistance Grant \$1.3M, and an increase in Institutional Aid mostly driven by higher differential tuition, \$3.7M. These increases are offset by a decrease in aid amount for Bright Futures Scholarship, \$5.5M. The rates for Bright Futures decreased in FY 2011-12 by \$24 per credit hour to \$101 per credit hour for the Florida Academic Scholars and by \$18 per credit hour to \$76 per credit hour for the Florida Medallion Scholars.

Revenue projections for FY 2012-13 show continued growth due to higher student eligibility in Pell Grants, \$7.6M and increased Institutional Aid mostly due to higher tuition differential increases, \$4.6M.

OPS expense includes "authorized" Work Study. In FY 2011-12 OPS expenditures increased as the Florida Work Study award acceptance percentage was substantially higher than in previous years. University and department match was implemented to offset award obligations after federal funding offset and lack of historical supplemental funding. In FY 2012-13, the amount per award and number of awards are reduced and includes a continuation of department match at 25% of the award.

Expenses include aid disbursements and are increasing mainly due to Pell Grants, Institutional Grants and the projected spend down of the institutional aid fund balance.

Institutional Aid revenues are derived from Financial Aid Fees to Students (net of administrative costs), allocation from tuition differential fee (30% of fees collected) and E&G tuition allocation. FY 2012-13 budgeted revenue of \$28.6M is projected to increase by \$3.5M, mainly driven by higher tuition differential fees. Institutional aid disbursements in FY 2012-13 are expected to exceed revenues by \$1.9M in order to continue to reduce the overall fund balance. A five-year plan has been prepared to manage the spend down of the fund balance to fund need-based and merit type scholarships in future years.

**Florida International University**  
**Financial Summary**  
**Concessions**

	<b>Final Budget</b>	<b>Forecast</b>	<b>Forecast vs.</b>	<b>Requested Budget</b>	<b>Forecast vs.</b>
<i>(In thousands of dollars)</i>	<b>2011-12</b>	<b>2011-12</b>	<b>Final Budget</b>	<b>2012-13</b>	<b>Requested Budget</b>
<b>Operating Revenues</b>	<b>\$598</b>	<b>\$726</b>	<b>\$128</b>	<b>\$1,020</b>	<b>\$294</b>
<b><u>Expenditures</u></b>					
Salaries and Benefits	-	-	-	-	-
OPS	-	-	-	-	-
Expense	598	554	(44)	720	166
OCO	-	-	-	-	-
<b>Total Operating Expenses</b>	<b>598</b>	<b>554</b>	<b>(44)</b>	<b>720</b>	<b>166</b>
<b>Net Operating Income</b>	<b>-</b>	<b>172</b>	<b>172</b>	<b>300</b>	<b>128</b>
Investment Revenues	-	-	-	-	-
<b>Change in Net Assets</b>	<b>0</b>	<b>172</b>	<b>172</b>	<b>300</b>	<b>128</b>
<b><u>Fund Balance</u></b>					
Beginning Fund Balance	352	208		381	172
Institutional Transfers	-	0		(300)	(300)
Change in Net Assets	-	172		300	128
<b>Total Fund Balance</b>	<b>\$352</b>	<b>\$381</b>		<b>\$381</b>	<b>(\$0)</b>

This activity contains all the commission based revenues from beverage and pouring, snack vending as well as student housing washing machines. The commission revenues in the concession fund are used to support the purchase of food and refreshment items at University wide events, e.g., holiday party, faculty and staff recruitment, commencements, training, lecture series, board of trustees, student housing socials and convocation events.

**Financial Highlights**

The beverage pouring and vending contract with Pepsi provides an annual amount for sponsorship in addition to commission on product sales. Pepsi will provide an annual tiered sponsorship for exclusive pouring rights valued at \$5.0M over the life of the contract.

The University received \$525k in sponsorship dollars in FY 2011-12 of which \$300K was allotted to FIU Athletics Finance Corp. The remaining \$225K is included in the forecasted revenue for FY 2011-12. Concessions revenue for FY 2011-12 is higher than budget due to the reclassification of a prior-year entry relating to Pepsi annual tiered sponsorship payment of \$125K.

The University will receive \$625K for sponsorship in FY 2012-13, \$300K will be once again be allotted to FIU Athletic Finance Corp and the remaining \$325K will remain in Concessions. There will be a reporting change in FY 2012-13 whereby the entire sponsorship revenue will be recorded in Concessions and the amount allotted to FIU Athletics Finance Corp. will be reflected as an Institutional Transfer.

**Florida International University  
Financial Summary  
FIU Athletics Finance Corp**

	<b>Final Budget</b>	<b>Forecast</b>	<b>Forecast vs.</b>	<b>Requested Budget</b>	<b>Forecast vs.</b>
<i>(In thousands of dollars)</i>	<b>2011-12</b>	<b>2011-12</b>	<b>Final Budget</b>	<b>2012-13</b>	<b>Requested Budget</b>
<b>Total Revenues</b>	<b>\$3,570</b>	<b>\$3,457</b>	<b>(\$113)</b>	<b>\$3,617</b>	<b>\$160</b>
<b><u>Expenditures</u></b>					
Salaries	-	-	-	-	-
OPS	-	-	-	-	-
Expense	775	754	(21)	754	-
OCO	10	-	(10)	-	-
Net Unrealized Investment Loss	-	5	5	-	(5)
Debt Service Interest	1,583	1,525	(58)	1,652	127
<b>Total Operating Expenses</b>	<b>2,368</b>	<b>2,285</b>	<b>(84)</b>	<b>2,406</b>	<b>121</b>
<b>Net Operating Income</b>	<b>1,202</b>	<b>1,173</b>	<b>(29)</b>	<b>1,211</b>	<b>39</b>
Principal Payment of Debt <sup>1</sup>	(618)	(600)	18	(637)	(37)
<b>Change in Net Assets</b>	<b>584</b>	<b>573</b>	<b>(11)</b>	<b>574</b>	<b>2</b>
<b><u>Net Assets</u></b>					
Beginning Net Assets	2,391	3,599		4,172	
Change in Net Assets	584	573		574	
<b>Total Net Assets</b>	<b>\$2,975</b>	<b>\$4,172</b>		<b>\$4,746</b>	

The Athletics Finance Corp serves as the entity to finance and operate the FIU Football Stadium. Primary sources of revenues are transferred into the AFC from the university's Athletics Department and include beverage vending and pouring contract support, naming rights, premium suite and ticket revenues and a percentage of athletic student fees (per statute 1010.62). These revenue streams are pledged to the annual debt service associated with the stadium's construction cost.

**Financial Highlights**

FY 2011-12 revenue is lower than budgeted mainly due to lower revenue from stadium naming rights and division-allocated conference revenue. This is partially offset by an increase in football ticket sales.

FY 2011-12 operating expenses are lower due to savings in contracted services and supplies usage, offset by equipment repairs that were previously absorbed by Athletics (FIU01) and unanticipated bank fees.

FY 2012-13 budgeted revenues are projected to increase slightly driven by fundraising revenue, additional field sponsor naming rights and growth in concession sales and stadium club house rentals.

FY 2012-13 expenses are projected to increase slightly due to an increase in debt service payments. This increase is based on anticipated higher interest rates calculated by the Office of the Treasurer. Other Operating Expenses are expected to remain flat.

**Florida International University  
Financial Summary  
FIU Foundation Inc.**

<i>(In Thousands of Dollars)</i>	<b>Final Budget 2011-12</b>	<b>Forecast 2011-12</b>	<b>Forecast vs. Final Budget</b>	<b>Requested Budget 2012-13</b>	<b>Forecast vs. Requested Budget</b>
<b>REVENUES:</b>					
<u>Contributions:</u>					
Endowments	\$14,661	\$3,215	-\$11,446	\$12,897	\$9,682
Scholarships & Programs (Non-Endowed)	8,496	10,937	2,441	8,549	(2,388)
Building Funds	775	1,073	298	1,751	678
Annual Fund	716	514	(202)	903	389
Pledged Revenue	-	16,322	16,322	-	(16,322)
<b>Total Contributions</b>	<b>24,648</b>	<b>32,061</b>	<b>7,413</b>	<b>24,100</b>	<b>(7,961)</b>
<u>Other Revenues:</u>					
MARC Building - Rental Income	1,711	1,744	33	1,669	(75)
Foundation Enterprise Holdings	244	293		249	
Estimated Investment Returns	10,746	2,142	(8,604)	12,818	10,676
Administrative Fee	2,055	1,524	(531)	2,605	1,081
<b>Total Other revenues</b>	<b>14,756</b>	<b>5,703</b>	<b>(9,053)</b>	<b>17,341</b>	<b>11,638</b>
<b>Total Revenues</b>	<b>39,404</b>	<b>37,764</b>	<b>(1,640)</b>	<b>41,441</b>	<b>3,677</b>
<b>EXPENDITURES:</b>					
<u>University Programs:</u>					
Scholarships & Programs	8,108	9,571	1,463	10,148	577
Building Funds	-	2,457	2,457	3,301	844
Annual Fund	802	802	0	809	7
<b>Total University programs</b>	<b>8,910</b>	<b>12,830</b>	<b>3,920</b>	<b>14,258</b>	<b>1,428</b>
<u>Operational:</u>					
MARC Building	997	920	(77)	909	(11)
Foundation Enterprise Holdings	151	176		159	
Administrative Reserve	1,575	1,427	(148)	1,609	182
General Reserve	185	113	(72)	1,949	1,836
Administrative Fee	2,055	1,524	(531)	2,605	1,081
<b>Total Operational Expenditures</b>	<b>4,963</b>	<b>4,160</b>	<b>(803)</b>	<b>7,231</b>	<b>3,071</b>
<b>Total Operating Expenses</b>	<b>13,873</b>	<b>16,990</b>	<b>3,117</b>	<b>21,489</b>	<b>4,499</b>
<b>Net Operating Income</b>	<b>\$25,531</b>	<b>\$20,774</b>	<b>(\$4,757)</b>	<b>\$19,952</b>	<b>(\$822)</b>

**Financial Highlights**

**Revenues:**

The endowment revenue budget is based on pledges, gift agreements, and other certifiable criteria likely to deliver cash. The FY 2012-13 endowment budget is primarily driven by anticipated pledge payments and gifts.

Non-endowed scholarships and programs receipts are expected at \$8.5M, \$2.4M of which are expected pledge payments on existing receivables. More than 65% of the collections are expected from the following colleges and programs (in order of greatest first): College of Medicine; Wolfsonian Museum; Athletics; College of Business Administration; College of Nursing & Health Sciences; and College of Engineering & Computing.

Building fund gifts are expected at \$1.8M with the bulk going to Hospitality Management for the new Dining Facility. Other significant projects are the Tropical Botany building and the FIU Football Stadium expansion.

Budgeted Annual Fund revenues are derived from Board of Directors dues, President's Council dues, the Annual Giving Campaign, and the 3% Foundation/Advancement Initiative.

The FIU Foundation Inc. board has determined not to budget for the change in pledged receivables. These consist of anticipated gifts that have not yet been signed, offset by the payments of current signed gifts. Cash for contributions received during the fiscal year will continue to be categorized as endowments, scholarships, building funds and annual fund.

The MARC building is currently at 100% occupancy. Rental income for FY 2012-13 is increasing by 2.7% (CPI).

The Foundation Enterprise Holding Inc., LLC is a wholly-owned subsidiary of the Foundation currently holding commercial real-estate located next to FIU Wolfsonian Museum. Revenues represent rental income.

Investment returns for FY 2012-13 are projected at 7% or \$12.8M and assume a \$175M beginning fund value and net cash flows into the portfolio of \$8.8M throughout the year.

The Administrative Fee is budgeted at \$2.6M based on expected FY 2012-13 investment returns and on a 2% Administrative Fee charged to Endowments at year's end. Funds derived from that fee will be used to support FY 2013-14 administrative operations.

**Florida International University  
Financial Summary  
FIU Foundation Inc.**

**Expenses:**

Scholarship and Program expenses are increasing by 23%. College of Business Administration, College of Medicine, Wolfsonian Museum, Athletics and First Generation Scholarship accounting for 67% of the budget.

Based on university construction schedules drawdowns for building fund proceeds are expected to be \$1.5M for the Mixed Use Auxiliary Building; \$0.8M for Stocker Astrophysics Center and \$1.0M for the Hospitality Management Dining Facility.

Budgeted MARC building expenses are slightly lower than previous year's budget due to less non-recurring repairs and maintenance and lower loan interest payments. The budget excludes the payment for the principal portion of the note payable. The balance in the MARC reserve as of April 30, 2012 is \$4.8M.

Foundation Enterprise Holdings expenses reflect customary costs related to owning commercial real-estate including management fees and a replacement reserve.

Administrative operations are funded by the Administrative Fee charged on June 30 of the prior year. As such, the FY 2011-12 Administrative Fee of \$1.5M is funding operations in FY 2012-13. This fee is based on an 1.5% investment return and a 2% fee charged to endowments in FY 2011-12.

**Florida International University  
Financial Summary  
FIU Health Care Network**

	<b>Final Budget</b>	<b>Forecast</b>	<b>Forecast vs.</b>	<b>Requested Budget</b>	<b>Forecast vs.</b>
<i>(In thousands of dollars)</i>	<b>2011-12</b>	<b>2011-12</b>	<b>Final Budget</b>	<b>2012-13</b>	<b>Requested Budget</b>
<b>Total Revenues</b>	<b>\$1,066</b>	<b>\$293</b>	<b>(773)</b>	<b>\$1,754</b>	<b>\$1,460</b>
<b><u>Expenditures</u></b>					
Salaries	-	-	-	-	-
OPS	-	-	-	-	-
Expense	1,190	1,989	799	3,402	1,413
OCO	28	-	(28)	-	-
Debt Service Interest	29	33	5	71	38
<b>Total Operating Expenses</b>	<b>1,247</b>	<b>2,022</b>	<b>776</b>	<b>3,474</b>	<b>1,451</b>
<b>Net Operating Income</b>	<b>(181)</b>	<b>(61)</b>	<b>120</b>	<b>(1,720)</b>	<b>(1,659)</b>
<b>Change in Net Assets</b>	<b>(181)</b>	<b>(61)</b>	<b>120</b>	<b>(1,720)</b>	<b>(1,659)</b>
<b><u>Net Assets</u></b>					
Beginning Net Assets	(39)	(226)		-	
Institutional Transfers	-	1,955		1,720	
Change in Net Assets	(181)	(1,729)		(1,720)	
<b>Total Net Assets</b>	<b>(219)</b>	<b>-</b>		<b>-</b>	

The FIU Health Care Network (HCN) serves as the entity for the collection and administration of income generated from the university's clinical operations. Pursuant to FL BOG Regulation 9.017 governing SUS Faculty Practices, the distribution of all faculty practice funds will be for the improvement and support of the academic mission of FIU.

The HCN has no employees. All functions related to clinical enterprises are performed by FIU personnel. Those costs are recognized in the Expense line.

The FIU College of Medicine Faculty Group Practice provides primary care and select specialty care to FIU faculty, staff and their dependents.

**Financial Highlights**

FY 2011-12 revenues are lower due to a delay in executing payer agreements and later than anticipated opening of the clinical location in PG5 Market Station. Additional revenue for practice space rental to outside physician partners has not materialized.

FY 2011-12 expenses include university employment and other costs related to clinical operations. This treatment is a means of demonstrating the combined financial performance of the faculty group practice. HCN has no actual employees.

FY 2011-12 Change in Net Assets is funded by the proceeds of a \$5.3M start-up loan provided by the University; the loan is reflected as an Institutional Transfer.

FY 2012-13 revenues are projected to increase to \$1.75M accounting for greater patient volumes, expanded hours, the full ramp-up of services offered and a full fiscal year of operations. Some space rental to external physicians is also expected to contribute to revenues.

FY 2012-13 projected expenses include reimbursements to the university for professional services, incremental startup and practice operation costs.

Florida International University  
Financial Summary  
FIU Self-Insurance Program

	Final Budget	Forecast	Forecast vs.	Requested Budget	Forecast vs.
<i>(In thousands of dollars)</i>	2011-12	2011-12	Final Budget	2012-13	Requested Budget
<b>Total Revenues</b>	<b>\$260</b>	<b>\$0</b>	<b>(\$260)</b>	<b>\$470</b>	<b>\$470</b>
<b><u>Expenditures</u></b>					
Salaries	-	-	-	-	-
OPS	-	-	-	-	-
Expense (Administrative & Overhead)	260	1	(259)	470	468
OCO	-	-	-	-	-
Incurred But Not Reported - Contingent Liability Expense	0	-	-	-	-
<b>Total Operating Expenses</b>	<b>260</b>	<b>1</b>	<b>(259)</b>	<b>470</b>	<b>468</b>
<b>Net Operating Income</b>	<b>-</b>	<b>(1)</b>	<b>(1)</b>	<b>(0)</b>	<b>2</b>
<b>Investment Earnings</b>	<b>-</b>	<b>2</b>	<b>2</b>	<b>-</b>	<b>(2)</b>
<b>Change in Net Assets</b>	<b>-</b>	<b>1</b>	<b>1</b>	<b>(0)</b>	<b>-</b>
<b><u>Fund Balance</u></b>					
Beginning Fund Balance	1,216	1,312		1,313	
Change in Net Assets	-	1		-	
Institutional Transfer	1,216	-		-	
<b>Total Fund Balance</b>	<b>\$2,433</b>	<b>\$1,313</b>		<b>\$1,313</b>	

The Self-Insurance Program has been established by the Florida Board of Governors regulation 10.001 to provide professional liability protection to the Florida Board of Governors, the FIU Board of Trustees and other authorized entities and individuals. The Self-Insurance Program (SIP) entity serves to record the activities associated with the Self-Insurance Program and is subject to oversight by the SIP Council.

The Self-Insurance Program includes coverage for the clinical activities of the Colleges of Medicine and Nursing, and University Health Services. Revenues include initial funding and the expenditures covering the cost of Risk / Claims management, annual audit and an annual actuarial report.

**Financial Highlights**

The SIP Council has not yet approved the premiums for FY 2011-12. Therefore, the FY 2012-13 Requested Budget includes two years of premium activity.

Florida International University  
Financial Summary  
FIU Research Foundation, Inc.

	Final Budget	Forecast	Forecast vs.	Requested Budget	Forecast vs.
<i>(In thousands of dollars)</i>	2011-12	2011-12	Final Budget	2012-13	Requested Budget
<b>Total Revenues</b>	<b>\$260</b>	<b>\$60</b>	<b>(\$200)</b>	<b>\$60</b>	<b>\$0</b>
<b><u>Expenditures</u></b>					
Expense	17	25	8	18	(7)
Debt Service Interest	0	0	0	0	0
<b>Total Expenditures</b>	<b>17</b>	<b>25</b>	<b>8</b>	<b>18</b>	<b>(7)</b>
<b>Net Operating Income</b>	<b>243</b>	<b>35</b>	<b>(208)</b>	<b>42</b>	<b>7</b>
Principal Payment of Debt	-	-	-	-	-
Unrealized Gains & Losses	-	-	-	-	-
<b>Change in Net Assets</b>	<b>243</b>	<b>35</b>	<b>(208)</b>	<b>42</b>	<b>7</b>
<b><u>Net Assets</u></b>					
Beginning Net Assets	188	188		223	
Change in Net Assets	243	35		42	
Institutional Transfers	0	0		0	
<b>Total Net Assets</b>	<b>\$431</b>	<b>\$223</b>		<b>\$265</b>	

The FIU Research Foundation serves as an agent with respect to the USAID Tanzania Grant awarded to the University. This activity and the entire amount of the grant is recognized by FIU in the Sponsored Research Development Trust Fund and is not in the budget above.

**Financial Highlights**

FY 2011-12 revenues are lower due to a decision not to move forward with providing seed funding for a venture capital fund through the Research Foundation.

FY 2011-12 forecast operating expenses include minor transactional and audit and accounting fees.

FY 2012-13 projected revenues are expected to come from licenses and royalties of the university's intellectual property.

FY 2012-13 budgeted operating expenses are \$18k for audit and accounting fees.

**Florida International University  
Financial Summary  
University Treasury Operations**

	<b>Final Budget</b>	<b>Forecast</b>	<b>Forecast vs.</b>	<b>Requested Budget</b>	<b>Forecast vs.</b>
<i>(In thousands of dollars)</i>	<b>2011-12</b>	<b>2011-12</b>	<b>Final Budget</b>	<b>2012-13</b>	<b>Requested Budget</b>
Investment Revenues	\$12,900	\$13,040	\$140	\$13,478	\$438
Operating Expenditures	(\$648)	(\$687)	(\$39)	(\$796)	(\$109)
<b>Net Revenues</b>	<b>\$12,252</b>	<b>\$12,353</b>	<b>\$101</b>	<b>\$12,683</b>	<b>\$329</b>
<b>Net Operating Income</b>	<b>12,252</b>	<b>12,353</b>	<b>101</b>	<b>12,683</b>	<b>329</b>
Investment Earnings Distribution	(715)	(1,968)	(1,253)	(1,337)	631
Operational Transfers	(1,400)	(3,300)	(1,900)	(900)	2,400
<b>Change in Net Assets</b>	<b>10,137</b>	<b>7,085</b>	<b>(3,052)</b>	<b>10,445</b>	<b>3,360</b>

Treasury Operations revenue consists of earnings from the University's investment portfolio. Earnings include interest income, realized gains (which are reinvested each month) and unrealized gains / losses.

**Financial Highlights**

Market returns in FY 2011-12 are expected to be in line with budget; market returns were budgeted at 4%. Treasury is projecting a normalized pattern of investment earnings for FY 2012-13 with a 4% return for the portfolio; 5.1% return from the Strategic Capital Pool and 1.9% return from the Working Capital Pool. Expenses are increasing primarily due to higher Investment Consultant, Banking and Professional fees.

Investments Earnings are distributed to E&G (Carry Forward), Auxiliary Enterprises and Financial Aid. E&G funds receive the Special Purpose Investment Account (SPIA) rate and the non-E&G funds receive the risk free rate (30-Day T-Bill). FY 2011-12 distributions are expected to be higher than plan due to a change in the distribution methodology. FY 2012-13 distributions are expected to be lower than prior year due to the continued reduction in the SPIA and risk free rates.

The Treasury Office absorbed approximately \$10.0M in HCET related payments for the Department of Research during the third quarter of FY 2011-12. In FY 2010-11, Treasury Operations entered into a working capital agreement with Athletics in which four annual disbursements will be made totaling \$5.0M. The first and second disbursements were made in June 2011 and December 2011 in the amounts of \$1.9M and \$1.8M respectively. The third disbursement in the amount of \$0.9M is expected in December 2012. In March of FY 2011-12, Treasury Operations extended a short-term loan to Athletics in the amount of \$1.5M due in one year with an option to defer payment for an additional 12 months.

Florida International University  
Financial Summary  
Fixed Capital Outlay

STATE UNIVERSITY SYSTEM PROJECTS  
2012-13

**PUBLIC EDUCATION CAPITAL OUTLAY**

**TOTAL**

\$0

**MAINTENANCE REPAIR RENOVATION AND REMODELING**

(From Public Education Capital Outlay and Debt Service Trust Fund)

Florida International University

\$ 563,500

*Notes:*

- *Capital Improvement Trust Fund (CITF): Capital Improvement and Building Fees are authorized by Florida Statutes; generally, an appropriation is requested every two or three years based on availability of funds.*
- *Alec P. Courtelis Facility Enhancement Challenge Grant Program: no funds have been allocated to the University in FY 2012-13 for this program.*

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**THE FLORIDA INTERNATIONAL UNIVERSITY  
BOARD OF TRUSTEES**

June 14, 2012

**Subject: Amendment of University Tuition Fees Schedule Regulation for the 2012-13 academic year**

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**Proposed Board Action:**

Approve the amended Regulation FIU-1101 Tuition Fees Schedule and delegate authority to the University President to approve any subsequent amendments that are based on comments to the Regulation received from the Board of Governors as a result of the regulation-making process.

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**Background Information:**

The University Regulation FIU-1101 Tuition Fees Schedule provides the tuition and associated fees charged to students attending FIU. The regulation is being amended to increase the Tuition Differential fee for undergraduate students; increase tuition for Graduate, Law and Medicine students; eliminate the Building Fee; and increase the Financial Aid fee (except for undergraduates), the Technology fee (except for undergraduates), the Activity & Service fee, the Capital Improvement Trust Fund fee, and the Athletic fee.

The increase of these fees is in accordance with 2012 HB 5001, HB 5201, Section 1009.24 of the Florida Statutes, as amended in 2012, and Board of Governors Regulations 1.001 University Board of Trustees Powers and Duties, 7.001 Tuition and Associated Fees, and 7.003 Fees, Fines and Penalties. As to the increase of the Activity & Service, Athletic and Capital Improvement Trust Fund fees, the law requires the creation of a committee comprised of students and other members of the University community. Those procedures were followed as described in the three agenda items the Florida International University Board of Trustees (the BOT) is considering separately for each of these fees.

This Regulation includes information on fees and therefore, in accordance with the Florida Board of Governors' Regulation Development Procedure, the University Administration is required to submit the regulation to the Florida Board of Governors for approval. The University President will report to the BOT at its next regularly scheduled meeting on any substantive change requested or made to the Regulation as a result of comments received from the Board of Governors as part of the regulation-making process.

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**Supporting Documentation:** Tuition Fees Schedule 2012-13, FIU-1101

**Facilitator/Presenter:** Sukrit Agrawal, *Finance and Audit Committee Chair*

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**THE FLORIDA INTERNATIONAL UNIVERSITY BOARD OF TRUSTEES  
FLORIDA BOARD OF GOVERNORS  
NOTICE OF CHANGE TO PROPOSED AMENDMENT OF REGULATION**

**REGULATION TITLE:** Tuition Fees Schedule  
**REGULATION NO.:** FIU-1101

Based on comments received, the proposed Law School tuition increase to \$507.93 has been decreased by \$22.09. Thus, the new proposed tuition will be \$485.84. Similarly, the proposed increase for Financial Aid and Technology Fee has also been lowered. The changes are reflected in yellow below.

**TEXT OF REGULATION:** The full text of the Amended Regulation can be viewed below and on the website of The Florida International University Board of Trustees, <http://regulations.fiu.edu>. If you would like a copy of the Amended Regulation, please contact Eli Deville, Chief of Staff, Office of the General Counsel, (305) 348-2103.

**AUTHORITY:** Board of Governors Regulations 1.001, 7.001, and 7.003 and the 2012 General Appropriations Act.

**NAME OF PERSON INITIATING PROPOSED REGULATION:** Kenneth Jessell, CFO and Senior Vice President

ANY PERSON SEEKING TO COMMENT ON THE PROPOSED REGULATION MUST SUBMIT COMMENTS IN WRITING TO THE CONTACT PERSON LISTED BELOW. ALL WRITTEN COMMENTS MUST BE RECEIVED BY THE CONTACT PERSON WITHIN 14 CALENDAR DAYS OF THE DATE OF PUBLICATION OF THIS NOTICE.

**THE CONTACT PERSON REGARDING THIS REGULATION IS:** Eli Deville, Chief of Staff, Office of the General Counsel, Florida International University, 11200 SW 8<sup>th</sup> Street, PC 511, Miami, FL 33199. Email: [devillee@fiu.edu](mailto:devillee@fiu.edu). Fax: (305) 348-3272. Phone: 305-348-2103.

**DATE OF PUBLICATION:** May 22, 2012

THE FULL TEXT OF THE PROPOSED AMENDED REGULATION IS PROVIDED BELOW WITH THE CHANGES HIGHLIGHTED IN YELLOW:

## FIU 1101 Tuition Fees Schedule

(1) Tuition is defined as the basic fee charged to a student for enrollment in credit courses provided by the Florida International University and the out-of-state fee assessed to non-residents. Tuition and associated fees consists of the following:

1. Tuition (basic fee);
2. Student Financial Aid Fee;
3. Capital Improvement Trust Fund Fee;
- ~~4. Building Fee;~~
- ~~54.~~ Health Fee;
- ~~65.~~ Athletic Fee;
- ~~76.~~ Activity and Service Fee; and
- ~~87.~~ Tuition Differential Fee (Undergraduates); and
- ~~98.~~ Technology Fee.

In addition to the fees set forth in 1.-~~98.~~ above, a non- resident student, as defined by the Florida Board of Governors', will pay the Out-of-State Fee and the Non-Resident Student Financial Aid Fee.

(2) Registration is defined as consisting of two components:

(a) Formal enrollment in one or more credit courses approved and scheduled by the University; and

(b) Payment of tuition and associated fees, or other appropriate arrangements for payment (installment payment, deferment, or third party billing), for the courses in which the student is enrolled.

(3) A student is liable for tuition associated with all courses for which the student is registered at the end of the drop/add period. The fee payment deadline shall be as determined by the University.

(4) The following tuition and associated fees shall be levied and collected on a per credit hour basis, effective the fall semester indicated for each student regularly enrolled, unless provided otherwise by law, or Board of Governors or University regulation.

### (a) Undergraduate – Fall ~~2011~~ 2012

Fee - Per Credit Hour	Undergraduate			
	Resident		Non Resident	
Tuition	\$103.32		\$103.32	
* Tuition Differential <sup>(1)</sup>	<del>\$32.00</del>	<u>\$52.29</u>	<del>\$32.00</del>	<u>\$52.29</u>
Out of State fee			\$393.62	
Financial Aid	\$5.16		\$5.16	
Out of State Financial Aid			\$19.68	
Capital Improvement Trust Fund	<del>\$2.44</del>	<u>\$6.76</u>	<del>\$2.44</del>	<u>\$6.76</u>
<del>Building</del>	<del>\$2.32</del>		<del>\$2.32</del>	
Activity & Service	<del>\$11.60</del>	<u>\$12.87</u>	<del>\$11.60</del>	<u>\$12.87</u>
Athletic	<del>\$15.56</del>	<u>\$16.10</u>	<del>\$15.56</del>	<u>\$16.10</u>
Technology Fee	\$5.16		\$5.16	

(b) Graduate – Fall ~~2011~~ 2012 <sup>(2)</sup>

	Graduate Students enrolled prior to Fall 2006			
	Resident		Non Resident	
<b>Fee - Per Credit Hour</b>				
Tuition	<del>\$319.41</del>	<u>\$335.38</u>	<del>\$319.41</del>	<u>\$335.38</u>
Out of State fee			\$480.08	
Financial Aid	<del>\$15.97</del>	<u>\$16.76</u>	<del>\$15.97</del>	<u>\$16.76</u>
Out of State Financial Aid			\$24.00	
Capital Improvement Trust Fund	<del>\$2.44</del>	<u>\$6.76</u>	<del>\$2.44</del>	<u>\$6.76</u>
<del>Building</del>	<del>\$2.32</del>		<del>\$2.32</del>	
Activity & Service	<del>\$11.60</del>	<u>\$12.87</u>	<del>\$11.60</del>	<u>\$12.87</u>
Athletic	<del>\$15.56</del>	<u>\$16.10</u>	<del>\$15.56</del>	<u>\$16.10</u>
Technology Fee	<del>\$15.97</del>	<u>\$16.76</u>	<del>\$15.97</del>	<u>\$16.76</u>

	Graduate Student enrolled in the Fall 06 or thereafter			
	Resident		Non Resident	
<b>Fee - Per Credit Hour</b>				
Tuition	<del>\$334.59</del>	<u>\$351.31</u>	<del>\$334.59</del>	<u>\$351.31</u>
Out of State fee			\$480.08	
Financial Aid	<del>\$16.72</del>	<u>\$17.56</u>	<del>\$16.72</del>	<u>\$17.56</u>
Out of State Financial Aid			\$24.00	
Capital Improvement Trust Fund	<del>\$2.44</del>	<u>\$6.76</u>	<del>\$2.44</del>	<u>\$6.76</u>
<del>Building</del>	<del>\$2.32</del>		<del>\$2.32</del>	
Activity & Service	<del>\$11.60</del>	<u>\$12.87</u>	<del>\$11.60</del>	<u>\$12.87</u>
Athletic	<del>\$15.56</del>	<u>\$16.10</u>	<del>\$15.56</del>	<u>\$16.10</u>
Technology Fee	<del>\$15.72</del>	<u>\$17.56</u>	<del>\$16.72</del>	<u>\$17.56</u>

(c) Law – Fall ~~2011~~ 2012 <sup>(3)</sup>

Fee - Per Credit Hour	Law Students enrolled prior to Fall 2006			
	Resident		Non Resident	
Tuition	\$441.68	<del>\$507.93</del> \$485.84	\$441.68	<del>\$507.93</del> \$485.84
Out of State fee			\$437.61	
Financial Aid	\$22.08	<del>\$25.39</del> \$24.29	\$22.08	<del>\$25.39</del> \$24.29
Out of State Financial Aid			\$21.88	
Capital Improvement Trust Fund	\$2.44	<u>\$6.76</u>	\$2.44	<u>\$6.76</u>
<del>Building</del>	<del>\$2.32</del>		<del>\$2.32</del>	
Activity & Service	\$11.60	<u>\$12.87</u>	\$11.60	<u>\$12.87</u>
Athletic	\$15.56	<u>\$16.10</u>	\$15.56	<u>\$16.10</u>
Technology Fee	\$22.08	<del>\$25.39</del> \$24.29	\$22.08	<del>\$25.39</del> \$24.29

Fee - Per Credit Hour	Law Student enrolled in the Fall 2006 or thereafter			
	Resident		Non Resident	
Tuition	\$462.70	<del>\$532.10</del> \$508.97	\$462.70	<del>\$532.10</del> \$508.97
Out of State fee			\$437.61	
Financial Aid	\$23.13	<del>\$26.60</del> \$25.44	\$23.13	<del>\$26.60</del> \$25.44
Out of State Financial Aid			\$21.88	
Capital Improvement Trust Fund	\$2.44	<u>\$6.76</u>	\$2.44	<u>\$6.76</u>
<del>Building</del>	<del>\$2.32</del>		<del>\$2.32</del>	
Activity & Service	\$11.60	<u>\$12.87</u>	\$11.60	<u>\$12.87</u>
Athletic	\$15.56	<u>\$16.10</u>	\$15.56	<u>\$16.10</u>
Technology Fee	\$23.13	<del>\$26.60</del> \$25.44	\$23.13	<del>\$26.60</del> \$25.44

<sup>(1)</sup> Tuition Differential fee shall not be charged to students who are beneficiaries of prepaid tuition contracts and exempt under the requirements as described in Florida Statutes section 1009.24 or to any student who was in attendance at FIU before July 1, 2007, and who maintains \*continuous enrollment.

<sup>(2)</sup> The additional Graduate fees for students enrolled in Graduate programs in the Fall 2006 or thereafter shall not apply to a graduate student who was enrolled in a graduate program prior to Fall 2006, and such student's enrollment has not lapsed in four terms before re-enrolling in the

same graduate program. Effective Spring term 2009, the additional fees for students enrolled in the fall 2006 or thereafter shall not apply to a graduate student who was enrolled in a graduate program prior to Fall 2006, provided such student maintains \*continuous enrollment.

(3) The additional Law fees for students enrolled in the law school in the Fall 2006, or thereafter, shall not apply to a law school student who was enrolled in a law program prior to Fall 2006, and such student's enrollment has not lapsed in four terms before re-enrolling in the same law program. Effective Spring term 2009, the additional fees for students enrolled in the fall 2006, or thereafter, shall not apply to a law school student who was enrolled in a law program prior to Fall 2006, provided such student maintains \*continuous enrollment.

\*For purposes of the Tuition Differential fee, the Graduate fees, and the Law fees, "continuous enrollment" means the student has not been absent from the University for two (2) or more consecutive terms (excluding summer terms and military withdrawals.)

(5) The following College of Medicine tuition and associated fees shall be levied and collected on an academic year basis, effective the fall semester indicated for each student regularly enrolled, unless provided otherwise by law, or Board of Governor or University regulation.

**College of Medicine- Fall 2011 2012**

Fee - Per Academic Year	College of Medicine			
	Resident		Non Resident	
Tuition	\$27,772.50	<u>\$31,938.37</u>	\$27,772.50	<u>\$31,938.37</u>
Out of State Fee			\$30,000.00	
Financial Aid	\$1,388.62	<u>\$1,596.91</u>	\$1,388.62	<u>\$1,596.91</u>
Out of State Financial Aid			\$1,500.00	
Capital Improvement Trust Fund	\$122.00	<u>\$338.00</u>	\$122.00	<u>\$338.00</u>
Building	\$116.00		\$116.00	
A&S	\$580.00	<u>\$643.50</u>	\$580.00	<u>\$643.50</u>
Athletic	\$808.00	<u>\$835.00</u>	\$808.00	<u>\$835.00</u>
Technology Fee	\$1,388.62	<u>\$1,596.91</u>	\$1,388.62	<u>\$1,596.91</u>
Health	\$249.57		\$249.57	
<b>Total</b>	<b>\$32,425.31</b>	<b><u>\$37,198.26</u></b>	<b>\$63,925.31</b>	<b><u>\$68,698.26</u></b>

(6) Effective the Fall 2005 term, during any semester in which a graduate nonresident student has been appointed as a Graduate Assistant for at least .25 FT, the Out-of-State fee for the student shall be \$0.00 per credit hour.

(7) Effective the Fall 2009 term, during any semester in which a graduate nonresident is receiving a full fellowship, the Out-of-State fee for the student shall be \$0.00 per credit hour.

(8) The following fees shall be levied and collected on a per semester basis, effective the fall semester indicated for each student regularly enrolled (excluding College of Medicine students,

whose fees are charged on an annual basis), unless provided otherwise by law, or Board of Governors or University regulation.

**Fall ~~2011~~ 2012**

	<b>Resident</b>	<b>Non-Resident</b>
<b>Fee – Per Semester</b>		
Health	\$83.19	\$83.19
Athletic	\$10.00	\$10.00

(9) Effective the Fall Semester 2009, each student enrolled in the same undergraduate course more than twice shall be assessed an additional sum to cover 100 percent of the full cost of instruction as established by the Board of Governors for each such course in addition to the tuition and associated fees set forth above.

(10) Students in their last year of Law School shall pay a test preparation fee, at cost, to cover test preparation programs offered as part of the law school program of instruction, including a Florida Bar examination preparation course.

(11) Optional fees.

Orientation fee	\$ 35.00
Tuition Installment Service Charge	\$ 15.00
Identification Card (annually)	\$ 10.00
Replacement	\$ 15.00
Fee for Transcript	\$ 10.00
Fee for Diploma replacement	\$ 10.00
Late Payment fee	\$100.00
Late Registration fee	\$100.00
Off-Campus Fee	cost
Distance Learning Fee	cost
Fingerprinting	cost
Materials and Supplies fee	cost
Equipment Use Fee	cost
Convenience Fee	cost
Library Fines and Penalties	varies

(12) For students who enter a community college or university for the first time in the 2009-2010 academic year and thereafter, the University shall require the student to pay an excess hour surcharge equal to 50 percent of the tuition rate for each credit hour in excess of 120 percent of the number of credit hours required to complete the baccalaureate degree program in which the student is enrolled.

(13) For students who enter a community college or university for the first time in the 2011-2012 academic year and thereafter, the University shall require the student to pay an excess hour surcharge equal to 100 percent of the tuition rate for each credit hour in excess of 115 percent of

the number of credit hours required to complete the baccalaureate degree program in which the student is enrolled.

(14) All state university undergraduate students who enter a postsecondary undergraduate program at any Florida public institution of higher education for the first time in fall 2012 or thereafter shall pay an excess hour fee equal to 100 percent of the undergraduate tuition identified in Florida Board of Governors Regulation 7.001(3) for each credit hour in excess of 110 percent of the number of credit hours required to complete the baccalaureate degree program in which the student is enrolled.

~~(1415)~~ Throughout the FIU Regulations where the University is charging a fee or service based on cost, the University President / Designee has the authority to approve the amount of the charge.

*Authority: Florida Board of Governors Regulations 1.001, 7.001, and 7.003 and the ~~2011~~ 2012 General Appropriations Act , History- New 11-3-02, Amended 9-3-03, 8-22-04- 9-1-05, Formerly 6C8-6.010, Amended 6-20-06, 7-20-07, 11-20-07, 6-25-08, 9-29-08, 7-14-09, 8-10-10, \_\_\_\_\_ .*

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# THE FLORIDA INTERNATIONAL UNIVERSITY

## BOARD OF TRUSTEES

June 14, 2012

**Subject: Activity and Service Fee**

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### Proposed Board Action:

Approve the proposed Florida International University Activity and Service Fee increase from the current \$11.60 per student credit hour to \$12.87 per student credit hour effective the Fall term of 2012 for academic year 2012-13.

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### Background Information:

The University Fee Committee has approved an increase in the Activity and Service Fee in the amount of \$1.27 per student credit hour effective with the Fall term 2012. The University President has approved the fee increase after consultation with the Student Government presidents.

The proposed increase will raise the Activity and Service Fee from \$11.60 per student credit hour to \$12.87 per student credit hour. In accordance with Florida Statutes §1009.24(12) and Board of Governors' Regulation 7.003 Fees, Fines and Penalties, the increase was decided by a fee committee comprised of 50% (4) students and 50% (4) faculty/staff of which one served as chairperson. The following procedure was used by the committee:

1. The committee held a total of five meetings, including two public hearings.
2. The public hearings were advertised (through posters, electronic messaging and the student newspaper) and held at the Modesto A. Maidique campus and Biscayne Bay campus to hear comments and address concerns from the University community.
3. After the hearings, the fee committee convened to discuss and vote on the proposal. The vote of the committee was five in favor, two opposed.
4. The recommendation was forwarded to and approved by the University President.

This increase will be reflected, along with any other changes, in a revised FIU-1101 Tuition Fees Schedule Regulation which will be presented to the Florida International University Board of Trustees for approval. The approved Regulation will then be forwarded to the Board of Governors for its approval.

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### Supporting Documentation:

Fee Committee Recommendations to University President  
 University Fee Committee, 2011-12 Summary of Meetings and Activities  
 Calculation of Fee Cap and Allowable Fee Increase

### Facilitator/Presenter:

Sukrit Agrawal, *Finance and Audit Committee Chair*

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FLORIDA  
INTERNATIONAL  
UNIVERSITY

Undergraduate Education

March 7, 2012

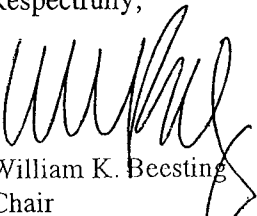
Dear Vice President Jones:

As chair of the University Fees Committee this year, I am pleased to forward our recommendations for student fees for the 2012-13 year. Specifically, the committee has recommended that the student Activities and Services Fee be increased by three and one-half (3.5) percent which amounts to \$1.27 per student credit hour. This will increase the per student credit hour A&S fee from \$11.60 to \$12.87, and if approved by the University Board of Trustees, will become effective in the Fall 2012 term.

The additional revenue from the recommended fee increase will be approximately \$1.6 million for 2012-13. The committee members recognized a strong concern from students about the rising cost of education, and in particular, student tuition. However, the committee did validate the need to sustain current initiatives and enhance others, hence, the determination of the 3.5% increase.

The committee held a total of five meetings, which included two days for an open forum public hearing at each campus. The hearings were publicized two days in the Beacon, and advertised via placards placed at strategic points in the Graham Center, Green Library, and Wolfe Center, and the hearings were announced through the new LCD displays in the Graham Center, the Wolfe Center and the academic buildings around campus. Seven of the eight committee members were present at the initial meeting. All committee members were present at the final meeting, and at least two-thirds of the committee members attended each public hearing. The vote of the committee on the fee increase was five in favor, two opposed. I attach copies of the Fee Committee membership, the 2010 Florida Statute dealing with fees, the calculation of the allowable fee increase, and a summary of the student fees at each SUS institution in 2011. If you have any questions, please contact me.

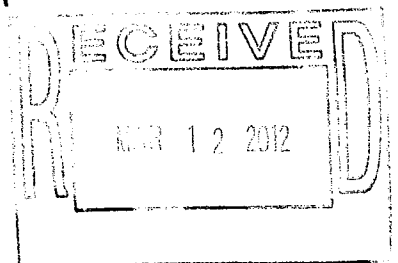
Respectfully,



William K. Beesting  
Chair

c: Matilde Gramling  
Fee Committee Members

*Approved*  
5/12/12



Office of the Associate Dean of Undergraduate Education

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**University Activity & Service Fee Committee, 2011-12  
Summary of Meetings and Activities  
February 29, 2012**

The University Activity & Service Fee Committee was appointed by President Mark B. Rosenberg's designee, Vice President of Student Affairs Rosa L. Jones, in consultation with the Student Government Association (SGA) in January of 2012. The four student voting members were Patrick O'Keefe, SGA President for the Modesto A. Maidique Campus (MMC) and Denise Halpin, SGA President for the Biscayne Bay Campus (BBC), Cristina Loreda, Comptroller SGA-MMC and Kevin Gonzalez, Comptroller SGA-BBC. The four faculty/staff voting members were Dr. Consuelo Boronat, Nancy Hernandez, Mariela Gabaroni and Dr. William Beesting who was also served as Chairperson. Other interested parties attending meetings included Silvana Rogelis, Matilde Gramling, and personnel from the Division of Student Affairs: Dr. Larry Lunsford, Jose Toscano, and Rafael Zapata. Dr. Rosa Jones attended the first meeting and gave the opening charge to the committee. Dr. Kenneth A. Jessell presented at the first meeting.

Three meetings were held by the committee, plus two public hearings:

**February 6, 2012 – Committee Meeting**

Dr. Jones provided opening remarks by thanking members for agreeing to serve on the committee and explaining the usual process of meetings, forums and discussions. She charged them with looking at the potential for an Activity & Service (A&S) Fee increase. The committee is to make a recommendation that complies with the Florida Statute limiting the maximum increase to five (5) percent. She further explained how the enrollment growth of the university is both an opportunity and a challenge. She further explained how the tenor of fee increases is to be cautious and the importance of knowing how the dollar amounts being requested are proposed to be used. She explained how many of the programs in Student Affairs depend on A&S funding. These programs include Campus Life, Leadership, Women's Center, and facilities such as the Graham Center, the Wolfe University Center, the Recreation Centers at MMC and BBC and the pool at BBC. She explained how the bulk of the programming in Student Affairs is funded by A&S Fee revenue. She explained how she has gone through a 3-year budget review/forecast with the 5 major recipients of A&S fees to ensure that the goals are in alignment with the University and Division goals.

Dr. Jones further explained the University's rotation cycle for consideration of fee increases. FIU's past precedence is to rotate the fee increases so that only one of the three areas involved, Athletics, A&S, and Health fees, is considered individually at one time and that the Activity and Service fee, under normal rotation, would not be eligible for consideration of another fee increase for another 3 years. She commented

on how the A&S fee has the most objective for students in their day to day interaction with the university.

Dr. Jones then introduced Dr. Kenneth A. Jessell, Senior Vice President of Administration and Chief Financial Officer for the University. She shared with Dr. Jessell and the committee that approximately \$5 million dollars of A&S funding is being requested. She explained how before establishing a fee committee for consideration of fees, there needs to exist sufficient justification to warrant a consideration for an increase in fees. She believes that in this case, there is sufficient justification for an A&S fee increase consideration.

Dr. Jessell stated the importance of the student's role. He stated how enrollments have increased by 10,000 students since 2007. He noted that this is a testament of how important higher education is to the community. He wants the committee to consider the importance of the level of services versus the costs. He further explained that one of the discussions he has had with Dr. Jones is that of the cycle of rotation for student fees. He wanted the committee to consider, not for this year, but for next year, that all 3 groups come up for review of local fees each year instead of focusing on one group every 3 years.

Dr. Jessell asked the committee to consider a partial deviation from past practice to consider this year, not the A&S fee only, but to consider Athletics for Athletic scholarships. He further explained how athletics is not a separate entity, they consist of student athletes, athletic events for students and stressed that without student support there would be no athletics. He stated that there is a need for effective scholarships to support students in athletics.

Dr. Jessell asked for, and received, committee feedback on the proposed process to consider the 3 (Athletics, A&S and Health) fees at one time. The Chairperson began by stating that historically, they have been considered individually because it gives the biggest dollar impact to the area to have one fee increased at a time. Dr. Jones further clarified that the normal rotational cycle has already been modified one year when consideration of the Athletics fee increase was given 2 years in a row based on an institutional need and with consultation of the committee. She explained how in the past the committee has been flexible for consideration of such requests. Patrick O'Keefe voiced that "if you are going to change the model, this is not the year, especially after last year Athletics fee was increased." Denise Halpin agreed and elaborated that there is a lot of disconnect between students putting in more money and what they see Athletics delivers.

It was clarified that A&S fee is up for the increase this year, but with consideration of a portion to provide for Athletic Scholarships.

Relevant information needed for decision-making of the fee increase was provided to the Committee members during this first meeting. This information included: the Committee members roster for 2012, Florida Statute 1009.24 (2011) relating to the Increase of local fees (Athletics fee, A&S Fee and Health fee), a comparison of FIU local fees to the other State university local fees, and a worksheet showing the fee increase at various levels with the maximum being the 5% limit which is prescribed in law. The sum of the local fees at FIU when put on a per credit hour basis (we have both credit hour fees and per student or headcount fees) is \$36.34. Included in that amount is a \$2.00 per credit hour increase passed by the Legislature in 2004 for moving from Div.IIA to Div. IA in football. This special \$2 increase is, by law, excluded from the fee cap calculation and therefore for comparison purposes the amount representing the FIU total local fees on a credit hour basis is \$34.34. The cap, which is 40% of the undergraduate matriculation fee is \$41.32 ( $\$103.32 * 40\%$ ), which provides \$6.98 of leeway to reach the cap. The allowable increase, however, is only \$1.81 per credit hour or \$18.38 per student head count since we are limited to the lesser of 5% of the existing fees or the cap, whichever is lower. The A&S Fee at FIU is considered on a per credit hour basis.

As the Chairperson distributed the Florida Statute pertaining to student fees, the composition of the Committee (4 staff, 4 students) and the SUS comparison of fees, he explained each item. The Chairperson explained that the process going forward would include another meeting to hear the requests being presented for the increase, two public hearings on the proposed fee increase, one at MMC and another at BBC, and a final meeting where the committee vote would be recorded.

### **February 16, 2012 - Committee Meeting**

This meeting was called for the purpose of presentation of the needs for a fee increase. Present was: Bill Beesting, Nancy Hernandez, Consuelo Boronat, Mariela Gabaroni, Patrick O'Keefe, Cristina Loreda and Kevin Gonzalez. Denise Halpin was present by phone. Guests were: Dr. Larry Lunsford who presented the Division of Student Affairs' needs for an A&S fee increase. Silvana Rogelis and Matilde Gramling were also present.

The Chairperson introduced Dr. Larry Lunsford to present the need for an A&S fee increase. Dr. Lunsford, Associate Vice President and University Ombudsman, represented the Division of Student Affairs, which submitted requests. He began by stating that the last time the A&S fee was considered for an increase was in 2008-09

and it was increased by 3.5%. Since then, the University has increased student enrollment substantially. He explained that if the fee was not increased now, the areas would have to wait another 3 years before it would be considered again. The Division of Student Affairs has \$5.1 million in requests for programs, facilities, and offerings. Programs and offerings in Student Affairs have grown to the point where applications are necessary. He would prefer programs and offerings that are open to all without applications being necessary. He elaborated on Leadership programs and Homecoming needs amongst other needs.

The floor was opened for questions. The discussion ranged from how the fee cap was determined, questions about E&G funding of the Division of Student Affairs, to his opinion of what he considered the big ticket items being where funds were being requested. Patrick assisted the discussion by disclosing that the Student Government Council has considered infrastructure at both campuses to be of importance for investment.

Kevin Gonzalez requested a list of what other A&S fee revenue is distributed at other SUS institutions. The committee also requested a copy of the summary of requests for A&S funding.

Since Kevin was not present at the first committee meeting, the Chairperson summarized the first meeting for him.

### **Public Hearings:**

The Public Hearings were publicized 3 days in the Beacon, advertised via placards placed at strategic points in the Graham Center, Green Library and Wolfe University Center. Electronic announcements of the Hearings were also announced through the LCD displays in the Graham Center, the Wolfe University Center and the academic buildings around campus.

### **February 22, 2012: Biscayne Bay Campus Public Hearing**

The first public hearing was held at Biscayne Bay Campus in WUC 155. Twenty individuals attended the hearing, which commenced at 3:45 p.m. and ended at 4:35 p.m.

The chairperson opened the hearing, introduced the committee members present and introduced the request for an increase for the A&S fee. He explained that the current A&S fee is \$11.60 per-student credit hour and explained that the maximum allowable increase would amount to \$1.81 per student credit hour. He explained the

importance of public opinion and feedback to the process, summarized the needs and amounts requested and opened the floor to questions from the audience.

Questions raised dealt with inquiries on priorities and how the fee increase revenue would be allocated. A question about how to increase the number of people in attendance to the public forum by way of changing the advertising process was also raised

Staff from the various departments at BBC were present and some encouraged the panel to support the increase.

One student questioned if it really mattered what he wanted and was answered with a resounding “yes” from the panel.

Several students present commented that now that they were informed of the uses for the A&S fee, it made better sense for the reason for an A&S fee, and they would support it now. They further commented to the panel to explore alternative ways to make the use of the A&S fee known more widely to students so they can identify where their A&S dollars go.

Overall, the main issue raised at the public forum was that a better job at informing students for the use of the A&S fee was needed. Although many students see programs and events, they don’t make the connection that it’s their A&S fee that provides for such things.

The panel explained that the purpose of the Student Fee Committee was not to allocate the funds but, rather, to determine if there was enough justification and need to warrant an increase to the A&S Fee. A separate group would determine the allocation of the funds.

The chairperson concluded the meeting with a statement asking the audience to e-mail the Fee Committee panel with any questions/concerns they might still have.

### **February 23, 2012: Modesto A. Maidique Campus Public Hearing**

The second public hearing was held at the MMC in GC 140. Twenty seven individuals attended the hearing which commenced at 9:24 a.m. and ended at 10:55 a.m. The chairperson introduced the committee members present and, along with Dr. Lunsford, presented the need for an A&S fee increase. The chairperson explained the fee increase process.

The chairperson explained that the A&S fee goes right back to the students in the form of the operations of student buildings, student programming and events.

Questions raised were very similar to those heard at the BBC public forum. However, students in this forum expressed their support to raise the fee to support facilities. Other students supported programming. One student expressed her choice of FIU over FAU simply because of the Campus Life programs offered at FIU. A question was raised about what would happen if there was not a fee increase. Cristine responded that "certain things (such as facilities) had to be funded, but that you wouldn't see as many functions, and events on campus." Another person added that not just programming would be affected, but that staff and operations might also be affected as additional student growth comes with additional costs.

Several administrators spoke about the need for A&S support in their areas. Mrs. Ruth Hamilton, Executive Director of the Graham Center, expressed how it not only helps the facility and its operations, but also it provides for student employment. Furthermore, she explained how the fees are able to be used to build student retention and affinity.

Further discussion revolved around where students could go to see the allocations which SGA made. Cristina responded by inviting them to the SGA web-site. The Director of Orientation also informed the audience that as a measure to assure students that the funds are being used as intended, SGA audits the use of the funds once allocated.

In both public hearings, the students in attendance expressed the need to educate the general student body to the benefits they receive from the A&S fee.

In general, students expressed that once informed of the various uses for the A&S fee, they supported a modest increase. Most did not want to see a 5% increase, but felt that something less was supported. Some support the fee increase to be used for facilities, while others supported the fee increase for programming.

### **February 28, 2012 Committee Meeting**

This meeting was called for the purpose to vote on the proposed increase. Prior to the vote, the floor was opened for discussion and any remaining questions. The Chairperson started the discussion by introducing Dr Jessell's presentation. He stated that Dr. Jessell wanted the committee to consider funding athletics from the fee in question. He did not present the committee with data about the amount that was needed. Denise stated that with the tuition possibly going up, she did not think it

was a good time to consider funding athletics and felt the committee needed to consider sticking with the current cycle, meaning consideration of only the A&S fee. Patrick moved that the committee consider the A&S fee only. Denise seconded the motion and it passed with all in favor.

The Chairperson then opened discussion related to the proposed A&S fee increase. He asked each member to state their opinions about the need for a fee increase. Each member expressed his/her view. Cristina stated that she saw Patrick's point of view that the fee should not be increased, but felt that the students really want to enhance student programs. She asked for the committee's opinion on funding an increase at less than 5%. Patrick responded that involved students would support an increase, but noted that the students who are not involved don't support an increase. Dr. Boronat believed the fee should go up but minimally. Mariela agreed with Dr. Boronat as did Nancy. Kevin wanted to vote on whether to approve an increase, then vote on the amount. Dr. Boronat moved to have an increase. Mariela seconded. The vote was 6 in favor and 2 against. The motion passed.

The committee reviewed the dollar amounts at various percentage increases such as at 1.4%, 2.8%, 4.2% and also considered possible percentages between 2.8% and 4.2%. The committee discussed the requests and the possible priorities and funding possibilities at the various levels of increases but not at the maximum allowed. After considerable discussion, it was estimated that a 3.5% increase would be appropriate. Each committee member presented their comfort level with the various percentages. Kevin moved that the proposed fee be increased by 3.5%. Cristina seconded the motion. The vote was passed with 5 in favor, and 2 opposed.

The Chairperson thanked the committee for their hard work.

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**FLORIDA INTERNATIONAL UNIVERSITY  
CALCULATION OF FEE CAP AND ALLOWABLE FEE INCREASE**

**PER STUDENT CR. HR.:**

Fall 2011

Spring 2012 (Projected)

**SCH**

Athletics

Activity and Service Fee

**PER STUDENT HEADCOUNT**

Fall 2011

Spring 2012 (Projected)

**HEADCOUNT**

Athletics

Health Fee

TOTAL REVENUES (Fall:Spring)

TOTAL STUDENT CREDIT HOURS (Fall:Spring)

TOTAL CREDIT HOUR EQUIVALENT FEE

*Adjust for \$2.00 Legislative Increase not part of Cap*

ADJ. TOTAL CREDIT HOUR EQUIVALENT FEE

**40% CAP**

2011-12 Undergraduate Matriculation

**40% of Adjusted(1) Undergraduate Matriculation**

**Room under the 40% Cap**

Tuition cap

**Max Tuition Cap**

Allowable Increase per Credit Hour

Allowable Increase per Headcount

Health (HC)

Athletics (SCH)

Activity & Service (SCH)

2012-13		
2011-12	Revenues	
489,440		
437,282		
<b>926,722</b>		
\$15.56	\$14,419,794	
\$11.60	\$10,749,975	
	\$25,169,770	
47,959		
43,306		
<b>91,265</b>		
\$10.00	\$912,650	
\$83.19	\$7,592,335	
	\$8,504,985	
	\$33,674,755	
	926,722	
	\$36.34	
	<del>-\$2.00</del>	
	\$34.34	
	\$103.32	40%
	<b>\$41.32</b>	
	<b>\$6.98</b>	
	<b>5%</b>	<b>\$1.81</b>
		\$1.81
		\$18.38
	\$83.19	\$83.19
	\$15.56	\$15.56
	\$11.60	\$13.41

**15.6%**

<b>Approved Fee</b>		
Activity & Service	3.5%	\$1.27
<b>A&amp;S New Fee</b>		<b>\$12.87</b>
<b>Remaining Amount</b>		
		<b>\$0.54</b>

**BOG Regulation 7.003 4b**

(b) The sum of the activity and service, health, and athletic fees a student is required to pay to register for a course shall not exceed 40 percent of the tuition. Within the 40 percent cap, universities may not increase the aggregate sum of activity and service, health, and athletic fees more than 5 percent per year or the same percentage increase in tuition, whichever is higher..

<b>Max 5% cap</b>	<b>\$1.81</b>	<i>This is the total allowable fee increase per credit hour</i>
<b>If \$1.81 is fully applied to A&amp;S, the A&amp;S fee could increase from \$11.6 to</b>	<b>\$13.41</b>	<i>This will represent a 15.6 % increase in the A&amp;S fee</i>

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**THE FLORIDA INTERNATIONAL UNIVERSITY  
BOARD OF TRUSTEES**

June 14, 2012

**Subject: Athletic Fee**

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**Proposed Board Action:**

Approve the proposed Florida International University Athletic Fee increase from the current \$15.56 per student credit hour to \$16.10 per student credit hour effective the Fall term of 2012 for academic year 2012-13.

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**Background Information:**

The University Fee Committee has approved an increase in the Athletic Fee in the amount of \$0.54 per student credit hour effective with the Fall term of 2012. The University President has approved the fee increase after consultation with the Student Government presidents.

The proposed increase will raise the Athletic Fee from \$15.56 per student credit hour to \$16.10 per student credit hour. In accordance with Florida Statutes §1009.24(12) and Board of Governors' Regulation 7.003 Fees, Fines and Penalties, the increase was decided by a fee committee comprised of 50% (3) students and 50% (3) faculty/staff. The following procedure was used by the committee:

1. Two public hearings were advertised (through posters, electronic messaging and social media) and held at the Modesto A. Maidique campus and the Biscayne Bay campus to hear comments and address concerns from the University Community.
2. Following the hearings, the fee committee convened to discuss and vote on the proposal. The committee voted 3-3 and the chairperson cast the deciding vote in favor of the increase.
3. The recommendation was forwarded to and approved by the University President.

This increase will be reflected, along with any other changes, in a revised FIU-1101 Tuition Fees Schedule Regulation being presented to the Florida International University Board of Trustees for approval. The approved Regulation will then be forwarded to the Board of Governors for its approval.

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**Supporting Documentation:**

Fee Committee Recommendations to University President

University Fee Committee, 2011-12 Summary of Meetings and Activities

Calculation of Fee Cap and Allowable Fee Increase

**Facilitator/Presenter:**

Sukrit Agrawal, *Finance and Audit Committee Chair*

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FLORIDA  
INTERNATIONAL  
UNIVERSITY

May 11, 2012

Dear Vice President Jones:

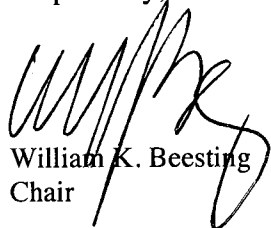
As chair of University Fee Committee this year, I am pleased to forward our recommendations for student fees for the 2012-13 year. Specifically, the committee has recommended that the Athletics fee be increased by \$.54 per student credit hour. The 1.5% increase, with the 3.5% recommended for the Activities and Services fee increase, totals the maximum allowable 5%. This will increase the per student credit hour Athletic fee from \$15.56 to \$16.10, and if approved by the University Board of Trustees, will become effective in the Fall 2012 term.

The additional revenue from the recommended fee increase will be approximately \$640,000 for 2012-13. The committee members recognized a strong concern from students about the rising cost of education, and in particular, student tuition. However, the committee did validate the need to support membership in Conference USA, hence, the determination of the increase.

The committee held a total of four meetings, which included one day for an open forum public hearing at each campus. The Vice President for Student Affairs sent a notice of the public hearing to each student's FIU e-mail. Furthermore, the public hearings were publicized via FIUSM, Facebook and social media, as well as placards that were placed at strategic points in the Graham Center and Wolfe Center. Electronic announcements of the hearings were also announced through the LCD displays in the Graham Center, the Wolfe Center and the academic buildings around campus.

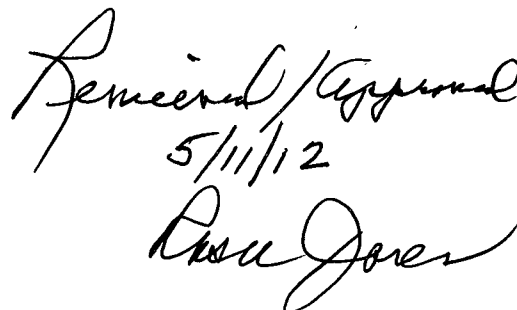
All committee members were present at the initial meeting. All committee members were present at the final meeting, and at least two-thirds of the committee members attended each public hearing. The vote of the committee on the fee increase was three in favor, three opposed. As chair, I cast the deciding vote in favor of the increase. I attach copies of the Fee Committee membership, the 2010 Florida Statute dealing with fees, the calculation of the allowable fee increase, and a summary of the student fees at each SUS institution in 2011. If you have any questions, please contact me.

Respectfully,



William K. Beesting  
Chair

c: Matilde Gramling  
Fee Committee Members



Received/Approved  
5/11/12  
Rosa Jones

Office of the Associate Dean of Undergraduate Education

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**University Athletic Fee Committee, 2011-12  
Summary of Meetings and Activities  
May 9, 2012**

The University Athletic Fee Committee was appointed by President Mark B. Rosenberg's designee, Vice President of Student Affairs Rosa L. Jones, in consultation with the Student Government Association (SGA) on April 30, 2012. The three student voting members were Alejandro Castro, Vice President of SGA at the Modesto A. Maidique Campus (MMC), Giovanni Castro, Senator at Large at MMC, and Abel Ramos-Taype, Senator at Large at the Biscayne Bay Campus (BBC). The three faculty/staff voting members were Jimmy Carmenate, Elizabeth Bejar and Felicia Townsend. Dr. William Beesting chaired the Committee. Guests and interested parties attending meetings included, Susan Himburg, Pete Garcia, Julie Berg, Heath Glick, Kenneth A. Jessell, Aime Martinez, Vojkan Dimitrijevic, and Matilde Gramling. Dr. Rosa Jones attended the first meeting and gave the opening charge to the committee.

Two meetings were held by the committee, plus two public hearings:

**May 4, 2012 – Committee Meeting**

Dr. Jones provided opening remarks by introducing members of the committee and explained the reason for the Athletics Fee Committee. She explained that the student fee can be considered for an increase of up to a 5% every year. This year, the process for a fee increase was completed earlier in the Spring, and there was a recommendation that the Activity and Service fee be increased by 3.5% (\$1.27 per student credit hour). The maximum allowable was \$1.81 per student credit hour. Therefore, there remained a \$.54 per student credit hour under the allowable maximum that was not recommended for use. A late-breaking opportunity was presented to the University which allowed consideration to use the remaining amount that was not recommended for increase. FIU was given an opportunity to change its athletic conference from the Sunbelt to Conference USA. This is a unique opportunity that is not granted to all universities, and FIU has worked hard and is fortunate to be invited to join this conference. However, joining the conference comes with a price. It will cost \$2 million to join. The cost of admission alone will be spread over 4 years. Also associated with joining the conference is an annual membership cost of \$300,000 and other associated costs.

Pete Garcia, Executive Director of Sports and Entertainment, explained the importance of going to a new conference. He stated that FIU would no longer be known just to South Florida, but instead would get national exposure. By going to this new conference, in the future, a student can expect to see increased recognition for FIU, thereby increasing the value of a student's degree, as well as the possibility of

FIU getting financial enrichments. Mr. Garcia explained that it was because of student support that the FIU Athletic Department was invited to join Conference USA. He explained that through student support by way of attending games and financial support that they were in a position to be invited. He also explained that to get an invitation to Conference USA, the Conference officials review not only the school's athletic department, but all aspects of the institution, including its academic programs. Dr. Kenneth A. Jessell, Senior Vice President of Administration and Chief Financial Officer, added that it was a testament to the students that FIU was invited to this conference so early in its athletic life. He expressed that in terms of payback, he couldn't think of anything as monumental in the life of FIU. Mr. Garcia added the names of schools associated with the Conference and noted that there are more bowls in Conference USA. They have six (6) while the Sunbelt has two (2).

Mr. Garcia explained that FIU will have more rigorous opponents but that its' team is ready to face the challenge. He said that when it comes to athletic competition, it's not only about how well you do, but also, who you play. He continued by saying that FIU has played some of the schools in Conference USA and won them, or at least given them a good challenge.

The chairperson asked how going to the new conference would affect team travel. Mr. Garcia responded by saying that the teams FIU would be playing are closer to the airports and therefore, our teams wouldn't have to go far out of the way to get to their destinations.

A committee member wanted to know more about the national exposure. Mr. Garcia responded that the television contracts were being finalized, but that Conference USA has had contracts with ESPN, NBC and CBS. He expects exposure to be at least double what FIU currently has. He reminded the committee that this is not just athletic exposure, but exposure for the entire University. It is expected that through this increased national exposure, FIU will be able to recruit both more quantity and quality of students. FIU is being transformed from a commuter school to more of a traditional school. Mr. Garcia noted that Conference USA is not just for football but for all athletic programs.

Dr. Jones explained the student fee increase process to the committee. She outlined the need for public hearings at both campuses and the fact that the committee needed to render a recommendation in quick fashion in order for the University to meet certain requirements. She explained that once the committee has heard from the student body, it could then meet to vote on a recommendation. Furthermore, if the committee were to render a positive vote and receive the appropriate Presidential and

Board of Trustee approvals, the fee increase would become effective for the Fall 2012 semester.

Dr. Jones was asked why the fee wasn't considered earlier. She responded that it was only last week that the University received the official invitation. This question was followed by an inquiry of where the increase would go after the \$2 million entry fee was paid, since this becomes a permanent increase. Dr. Jessell responded that it would continue in the Athletics department.

The committee was given the opportunity to have all questions answered by either Mr. Garcia, Dr. Jones, or Dr. Jessell.

### **Public Hearings:**

Because of timing challenges, Dr. Jones sent a notice of the public hearing to each student's FIU e-mail. Furthermore, the public hearings were publicized via FIUSM, Facebook and social media, as well as placards which were placed at strategic points in the Graham Center and the Wolfe Center. Electronic announcements of the hearings were also announced through the LCD displays in the Graham Center, the Wolfe Center, and the academic buildings around campus.

### **May 8, 2012: Biscayne Bay Campus Public Hearing**

The first public hearing was held at Biscayne Bay Campus in WUC 157. Fifteen (15) individuals attended the hearing, which commenced at 12:35 p.m. and ended at 1:25 p.m.

The chairperson opened the hearing, introduced the committee members present and named those absent. He then introduced the request for an increase for the Athletic fee. He explained the circumstances that warranted the fee increase committee to come to the students. He explained how the Activity and Service fee was being recommended for a \$1.27 per student credit hour increase. He further explained the limitation would permit consideration for \$.54 per student credit hour for the Athletic fee. The chairperson introduced Mr. Garcia. Mr. Garcia expressed his recognition of rising costs of student fees. He further explained how this fee is considered for FIU to go to Conference USA. He explained how it would bring FIU increased recognition, not only to the athletic program, but to the academic programs as well. He explained all of the points noted in the first committee meeting. A student in the audience asked when FIU would start playing in the new conference. Mr. Garcia answered that FIU would start Conference USA competition in July, 2013.

Overall, the students expressed concern over the timing of the request, which was answered by the explanation for the timing of the invitation. Furthermore, students generally expressed concern about the increasing cost to go to school. They relayed the circumstances on how tuition just went up and student fees are continually rising while financial aid continues to be reduced. Questions raised ranged from inquires if Athletics would continually be considered for future increases, the ability for the Athletics department to go into the Conference USA if the fee was not recommended for increase, and the cost differences between the Sunbelt Conference and the Conference USA.

Students voiced that if the Athletic fee were not increased, the Athletics department would still find a way to join the conference. Mr. Garcia explained that it would make it harder for our student athletes. He also explained that joining the new Conference would bring more exposure.

Students asked if FIU would get increased revenue by joining Conference USA. Mr. Garcia answered positively and that it would come from TV deals. He couldn't estimate how much revenue could be brought to FIU.

After all questions were addressed, Mr. Garcia thanked the students for attending the public hearing. He expressed his appreciation for everything they have done to make this invitation possible. He stated how their support of the sports teams makes a huge difference.

The chairperson concluded the meeting with a statement asking the audience to e-mail the Fee Committee panel or their SGA President with any questions/concerns they might still have.

#### **May 8, 2012: Modesto A. Maidique Campus Public Hearing**

The second public hearing was held at the MMC in GC 243. Twenty-three attended the hearing which commenced at 3:11 p.m. and ended at 4:05 p.m. It should be noted that a massive rainstorm may have prevented some individuals from attending the public hearing. The chairperson introduced the committee members present and again named those that were not able to attend. He presented the reason for the Athletic fee increase and explained the fee increase process. He then followed the same format as that used at the BBC campus in the morning by introducing Mr. Garcia to present the details for the request.

The questions raised were very similar to those heard at the BBC public forum. Students had the same general concern over the increased cost to attend college. The resounding statement they made was that tuition and student fee increases are

making it intolerable for students to go to college. The students were informed of the difference between the types of student fees. A student noted that every fee increase on its own has its merit, but when all are put together, it represents a significant amount. They expressed for the University to consider that and be selective about what is increased. If the Athletic fee is increased, then they need to grant more use of their facilities by the general students (not just the athletes). Concerns were raised about the need for better communication and camaraderie between the Athletic Department and the students.

Students raised the question of why a committee votes on a recommendation and why the whole student-body isn't able to vote on the increase. Additionally, students asked if there wasn't another less expensive conference that FIU could join. Mr. Garcia expressed how joining Conference USA was by invitation.

A student expressed that he thought that nobody is opposed to Conference USA, but wanted to know what students will take away besides FIU recognition. Mr. Garcia expressed all the positives: more value for your degree, future employers will recognize FIU and thus put your degree up there with other academically recognized institutions, and possible future revenue streams for the university would mean more benefits to the students and alumni.

After all questions were answered, Mr. Garcia again thanked the audience for their attendance and support.

The chairperson concluded the meeting with a statement asking the audience to e-mail the Fee Committee panel or their SGA President with any questions/concerns they might still have.

### **May 9, 2012 Committee Meeting**

This meeting was called for the purpose to vote on the proposed increase. The chairperson reminded the committee that the vote that is made represents a recommendation that is made to President Rosenberg and the Board of Trustees. All members of the committee were present. Prior to the vote, committee members were given information regarding historical Athletics fee increases and Athletics budgets for the past three years, which a committee member had requested. The committee was given time to read the information, analyze it and then ask questions to Mr. Garcia and/or Aime Martinez which were present. After all questions were asked and comments made, the chairperson read to the committee two e-mails that Dr. Jones received in response to the 46,000 Public Hearing notifications she sent to student e-mails.

After the new business was completed, the floor was opened for discussion and any remaining questions prior to the vote.

The chairperson asked the committee members who were present at the BBC forum to please share with the rest of the committee the comments which were heard. The committee noted the number of students in attendance. It was agreed that it was well represented for the time of year. The general concern that was heard was not a resounding “no” to the fee increase. It was further noted that the most outspoken student made comments about the cost of college. A committee member who attended both hearings, commented that BBC doesn’t see as much of the benefits from the Athletics fees as MMC does.

The chairperson then asked committee members who attended the MMC public hearings to share what they heard.

The committee noted that a student expressed that he wanted to take a graduation picture on the field and wasn’t permitted to do so.

Alex Castro had surveyed students on his Twitter and Facebook and said he received 51 responses. He stated that 42 of the 51 responses were negative.

Generally, the committee understood that students felt they were being hit hard with tuition and other increases.

Ms. Felecia Townsend, Director of Business Services, stated that although she was not at the hearings, she spoke to students who had come from the hearing and were at Chili’s Too. She heard that they saw the benefit of the athletics fee and how it could improve the benefits of their degree.

Alex Castro said he listened to everyone’s perspective. He sees that it is a positive move, but also sees that the timing and the hit on the students is difficult.

Abel Ramos-Taype added that he consulted with his BBC constituents. They are against the increase. They felt that another increase is not fair for students and that Athletics has already received past increases. He relayed the sentiment that students had that Athletics will go to the conference regardless of whether the fee is increased or not, and that they should try to get funding from other sources.

Giovanni Castro stated that while he personally could afford the increase, he recognizes that other people can’t, and he needed to listen and reflect the students’ opinions.

Dr. Elizabeth Bejar, Vice Provost for Academic Planning and Accountability, stated she respected the students' opinions. However, there is an opportunity cost here. This is a moment in FIU's history. She commented that we may look back on this and see that there are benefits in the future to this one action being considered today.

After discussion was completed, the committee said that they were ready to vote. Dr. Bejar motioned for an increase of the full \$.54 allowable to the Athletic Fee. Giovanni Castro seconded the motion.

The vote was tie: three (3) in favor, and three (3) against. According to Statutes, the Chairperson was required to vote. Once voted, the motion passed: four (4) in favor, three (3) against.

The Chairperson thanked the committee for their hard work.

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FLORIDA INTERNATIONAL UNIVERSITY  
CALCULATION OF FEE CAP AND ALLOWABLE FEE INCREASE

	2012-13	
PER STUDENT CR. HR.:	2011-12	Revenues
Fall 2011	489,440	
Spring 2012 (Projected)	437,282	
<b>SCH</b>	<b>926,722</b>	
Athletics	\$15.56	\$14,419,794
Activity and Service Fee	\$11.60	\$10,749,975
		\$25,169,770
PER STUDENT HEADCOUNT		
Fall 2011	47,959	
Spring 2012 (Projected)	43,306	
<b>HEADCOUNT</b>	<b>91,265</b>	
Athletics	\$10.00	\$912,650
Health Fee	\$83.19	\$7,592,335
		\$8,504,985
TOTAL REVENUES (Fall:Spring)		\$33,674,755
TOTAL STUDENT CREDIT HOURS (Fall:Spring)		926,722
TOTAL CREDIT HOUR EQUIVALENT FEE		\$36.34
<i>Adjust for \$2.00 Legislative Increase not part of Cap</i>		<i>-\$2.00</i>
ADJ. TOTAL CREDIT HOUR EQUIVALENT FEE		\$34.34
<b>40% CAP</b>		
2011-12 Undergraduate Matriculation	\$103.32	40%
<b>40% of Adjusted(1) Undergraduate Matriculation</b>		<b>\$41.32</b>
<b>Room under the 40% Cap</b>		<b>\$6.98</b>
Tuition cap	5%	\$1.81
<b>Max Tuition Cap</b>		
Allowable Increase per Credit Hour		\$1.81
Allowable Increase per Headcount		\$18.38
Health (HC)	\$83.19	\$83.19
Athletics (SCH)	\$15.56	\$15.56
Activity & Service (SCH)	\$11.60	\$13.41
		15.6%

<b>Approved Fee</b>		
Activity & Service	3.5%	\$1.27
<b>A&amp;S New Fee</b>		<b>\$12.87</b>
<b>Remaining Amount</b>		<b>\$0.54</b>

Full remaining amount is available for Athletics Fee increase

BOG Regulation 7.003 4b

(b) The sum of the activity and service, health, and athletic fees a student is required to pay to register for a course shall not exceed 40 percent of the tuition. Within the 40 percent cap, universities may not increase the aggregate sum of activity and service, health, and athletic fees more than 5 percent per year or the same percentage increase in tuition, whichever is higher..

Max 5% cap	\$1.81	This is the total allowable fee increase per credit hour
If \$1.81 is fully applied to A&S, the A&S fee could increase from \$11.6 to	\$13.41	This will represent a 15.6 % increase in the A&S fee

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**THE FLORIDA INTERNATIONAL UNIVERSITY  
BOARD OF TRUSTEES**

June 14, 2012

**Subject: Amendment of University Traffic and Parking Regulation**

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**Proposed Board Action:**

Approve the amendment of the University Traffic and Parking Regulation FIU-1105 and delegate authority to the University President to approve any subsequent amendments that are based on comments to the Regulation received from the Florida Board of Governors and as a result of the regulation-making process.

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**Background Information:**

The University Traffic and Parking Regulation FIU-1105 is being amended to reflect various changes, including: to increase the decal fees; to allow vendors and contractors to purchase staff decals; to add the “head in parking only” restriction and corresponding fine; to provide for the towing of vehicles whose owners engage in decal fraud; to revise the provisions concerning golf carts; to delete the applicability of Chapter 316 to campus, except as noted. The regulation is also being edited for clarification and to update the handling of visitor parking for departmental events.

Florida Board of Governors Regulation 1.001 (3)(k) University Board of Trustees Powers and Duties, provides that each board of trustees may govern traffic on the grounds of the university and in other areas in accordance with law and any mutual aid agreements entered into with other law enforcement agencies.

Florida Board of Governors Regulation 7.003 (9) Fees, Fines and Penalties, provides that each university board of trustees shall establish charges for parking decals, permits and parking fines.

The Regulation includes information on fees and therefore, in accordance with the Florida Board of Governors’ Regulation Development Procedure, the University Administration is required to submit the proposed amendments to the University Traffic and Parking Regulation FIU-1105 to the Florida Board of Governors for approval.

The University President will report to the Florida International University Board of Trustees at its next regularly scheduled meeting on any substantive change requested or made to the Regulation as a result of comments received from the Board of Governors pursuant to the regulation-making process.

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<b>Supporting Documentation:</b>	Proposed Amendment, University Traffic and Parking Regulation, FIU-1105
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<b>Facilitator/Presenter:</b>	Sukrit Agrawal, <i>Finance and Audit Committee Chair</i>
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**THE FLORIDA INTERNATIONAL UNIVERSITY BOARD OF TRUSTEES  
FLORIDA BOARD OF GOVERNORS**

**NOTICE OF PROPOSED AMENDMENT**

**REGULATION NO.:** FIU-1105

**REGULATION TITLE:** University Traffic and Parking Regulation

**SUMMARY:** This Regulation is being amended to reflect various changes, including: to increase the decal fees; to allow vendors and contractors to purchase staff decals; to add the “head in parking only” restriction and corresponding fine; to provide for the towing of vehicles whose owners engage in decal fraud; to revise the provisions concerning golf carts; to delete the applicability of Chapter 316 to campus, except as noted. The rule is also being edited for clarification and to update the handling of visitor parking for departmental events.

**TEXT OF REGULATION:** The full text of the Proposed Amended Regulation can be viewed below and on the website of The Florida International University Board of Trustees, <http://bot.fiu.edu/regulations/>. If you would like a copy of the Proposed Amended Regulation, please contact Eli Deville, Coordinator, Administrative Services, Office of the General Counsel, (305) 348-2103.

**AUTHORITY:** BOG Regulations 1.001(3)(k) and 7.003(9), and Section 1006.66 FS.

**NAME OF PERSON INITIATING PROPOSED REGULATION:** Kenneth Jessell, Chief Financial Officer and Senior Vice President.

ANY PERSON SEEKING TO COMMENT ON THE PROPOSED AMENDED REGULATION MUST SUBMIT COMMENTS IN WRITING TO THE CONTACT PERSON LISTED BELOW. ALL WRITTEN COMMENTS MUST BE RECEIVED BY THE CONTACT PERSON WITHIN 14 CALENDAR DAYS OF THE DATE OF PUBLICATION OF THIS NOTICE.

**CONTACT PERSON REGARDING THE PROPOSED AMENDED REGULATION:** Eli Deville, Coordinator, Administrative Services, Office of the General Counsel, Florida International University, 11200 SW 8<sup>th</sup> Street, PC 511, Miami, FL 33199. Email: [devillee@fiu.edu](mailto:devillee@fiu.edu) Fax: (305) 348-3272. Phone: 305-348-2103.

**DATE OF PUBLICATION:** May 14, 2012

**THE FULL TEXT OF THE PROPOSED AMENDED REGULATION IS PROVIDED BELOW:**

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### **FIU-1105 University Traffic and Parking Regulation.**

(1) General. This regulation is applicable to all persons who operate or park a motor vehicle on the campuses of Florida International University. Every motor vehicle parked on University property must display a valid University parking decal/hang tag or parking permit. All Miami-Dade County traffic and parking ordinances which are not in conflict or inconsistent with University regulations ~~and all provisions of Chapter 316, Florida Statutes,~~ shall extend and be applicable to the University's campuses. The Department of Parking and Transportation is authorized and empowered to enforce all university traffic and parking regulations as per Florida Statutes Section 1006.66. The University Police Department is authorized and empowered to enforce University regulations, all county ordinances and state laws. Copies of the University parking and traffic regulations are available from the Department of Parking and Transportation, the University Police Department, the Visitor Information Center and through the Florida International University web site URL <http://parking.fiu.edu>. The University assumes no liability for vehicles parked or operated on University property. The issuance of a decal or permit does not guarantee a place to park.

(2) Registration of Motor Vehicles. Vehicles used by members of the faculty, staff, students, (full or part-time), concessionaire employees and others who regularly operate a vehicle on campus must be registered with the Department of Parking and Transportation during the first day the vehicle is on campus. The registration expiration date for a vehicle registered with the Department of Parking and Transportation corresponds with the expiration date of the decal or permit associated with that vehicle. All visitors must use parking meters unless a parking permit has been provided by a University representative. ~~Any motor vehicle parked on University property must display a valid University parking decal or parking permit.~~ Use of a motor vehicle on University property is a privilege, not a right and is made available only under this regulation (FIU-1105).

#### **(a) Decals.**

1. Employees: A parking decal must be obtained for each vehicle which is, or may be, parked on the University's campuses. Decals are issued according to the classifications contained in these regulations. A decal will be issued by the Department of Parking and Transportation, upon the following conditions:

a. The owner or driver registers the vehicle with the Department of Parking and Transportation.

b. The owner or driver, unless otherwise exempt, pays the appropriate fee and provides proof of the decal classification to which he or she is entitled.

c. The owner or driver settles all outstanding parking and traffic fines and fees before a current decal or permit will be issued.

d. When two or more persons who are employed by the University reside in the same household and register more than one vehicle, each is required to purchase a separate original decal according to their classification; ~~Duplicate~~ duplicate decals will not be issued for either person. except—Replacement decal will be issued upon proof of replacement of the originally registered vehicle. If two or more persons travel together in one vehicle, only one decal is required but a duplicate decal cannot be purchased except upon proof of replacement of the originally registered vehicle.

2. Students: Students ~~will~~ pay a Transportation Access Fee per semester, as part of their registration fee except for students using a tuition waiver who must purchase a

permit at the associated rate. A student decal will be issued by the Department of Parking and Transportation to each student who pays the Transportation Access Fee under the following conditions, and it is the students' responsibility to properly display the current decal in accordance with this regulation.

a. The student must be currently enrolled at the University.

b. The student must pay the Transportation Access Fee.

~~b.c.~~ The student must provide a valid vehicle registration for the vehicle on which the decal will be placed.

~~e.d.~~ The student must settle all outstanding parking and traffic fines and fees.

3. Decal Classifications: The issuance of decals is restricted to the classifications specified in these regulations. The following decal classifications are in effect:

a. Faculty/~~Staff~~ -- An "F/SFaculty" decal is available to persons currently employed as regular or adjunct faculty which includes the following categories: Faculty 9 Months, Faculty 12 Months, Faculty Summer A, Faculty Summer B and Adjunct. ~~administrative and professional staff; support staff and other personnel services employees. Individuals who are currently employed by vendors or contractors with the University, or who are otherwise required by contract to obtain a decal, are also entitled to obtain a decal in this classification.~~ A one semester or two semester decal is available during an academic school year to employees who do not wish to purchase an annual decal.

b. Staff—A "Staff" decal is available to persons currently employed as regular or temporary staff which includes the following categories: Staff, Administrative, Executive, OPS and OPS Student. Individuals who are currently employed by vendors or contractors with the University, or who are otherwise required by contract to obtain a decal, are also entitled to obtain a decal in this classification.

~~b.c.~~ Student -- An "Student" decal will be issued to those persons who are currently enrolled as students. For purposes of this regulation, a person shall be considered a student regardless of the number of hours or courses for which he or she is enrolled at the University. Students residing in the University's housing complexes are required to display a current semester housing sticker in addition to the current student decal. Students employed as faculty or staff at the University may elect to purchase a ~~faculty/staff~~ decal at ~~an the~~ additional cost according to their classification.

~~e.d.~~ Alumni -- An "ALUM" decal is available to FIU graduates who are not currently enrolled at the University and entitles the holder to park in spaces designated for student parking.

~~d.e.~~ Administrative -- An "ADMIN" decal is available to ~~only~~ those employees who desire a higher level of parking service or have special parking needs based upon work requirements. A one semester or two semester decal is available during an academic school year to employees who do not wish to purchase an annual decal.

~~e.f.~~ Executive -- An "E" decal is available to ~~only~~ those employees who desire the highest level of parking service or convenience. A one semester or two semester decal is available during an academic school year to employees who do not wish to purchase an annual decal.

f.g. Replacement Decal – A Replacement decal is available for situations where original decal must be replaced due to an accident, the re-painting of the vehicle, stolen vehicles, etc.

h. Duplicate/Replacement Decal -- A Duplicate/Replacement decal is available to persons who have purchased an original decal for that semester or academic year. This category is for additionally owned vehicles used alternately, ~~and for situations where the original decal must be replaced due to an accident, the re-painting of the vehicle, stolen vehicles, etc. The address on the vehicle registration for the second vehicle must be the same as that on the registration of the vehicle listed on the original decal application. The additional vehicle(s) must be registered to the same address as the one listed for the original decal and no other person at that address is affiliated with the University (either as a student or an employee).~~ A vehicle with a duplicate decal is not permitted on campus at the same time as the vehicle with the original decal. A violation of this provision will result in the ticketing, immobilization and/or towing of both vehicles.

4. Decal Registration Fees:

a. The following are the annual registration fees, exclusive of sales tax, for each decal classification:

	Original	Duplicate/ Replacement
<del>Executive</del>	<del>\$884.00</del> <u>972.00</u>	\$30.00
<del>Administrative</del>	<del>\$406.00</del> <u>447.00</u>	\$20.00
Faculty <del>and</del> Staff (Annual base pay over \$45,000)	<del>\$231.00</del> <u>254.00</u>	\$15.00
Faculty <del>and</del> Staff (Annual base pay over \$35,000)	<del>\$206.00</del> <u>227.00</u>	\$15.00
Faculty <del>and</del> Staff (Annual base pay over \$25,000)	<del>\$141.00</del> <u>155.00</u>	\$15.00
Faculty <del>and</del> Staff (Annual base pay \$25,000 and under)	<del>\$121.00</del> <u>133.00</u>	\$15.00
Alumni	<del>\$236.00</del> <u>260.00</u>	\$15.00
Student      Fall -	N/A	\$15.00
Spring -	N/A	\$15.00
Summer A, B, or C -	N/A	\$15.00

b. The following are the one semester registration fees, exclusive of sales tax, for each decal classification:

<del>Executive</del>	<del>\$560.00</del> <u>616.00</u>	\$30.00
<del>Administrative</del>	<del>\$260.00</del> <u>286.00</u>	\$20.00
Faculty <del>and</del> Staff (Annual base pay over \$45,000)	<del>\$127.00</del> <u>140.00</u>	\$15.00
Faculty <del>and</del> Staff (Annual base pay over \$35,000)	<del>\$113.00</del> <u>124.00</u>	\$15.00
Faculty <del>and</del> Staff (Annual base pay over \$25,000)	<del>\$91.00</del> <u>100.00</u>	\$15.00
Faculty <del>and</del> Staff (Annual base pay \$25,000 and under)	<del>\$76.00</del> <u>84.00</u>	\$15.00
Alumni	N/A	N/A
Student      Fall -	<del>\$81.00</del> <u>89.00</u>	N/A
Spring -	<del>\$81.00</del> <u>89.00</u>	N/A

Summer A, B, or C -	\$ <del>75.00</del> <u>83.00</u>	N/A
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c. The following are the two semester registration fees, exclusive of sales tax, for each decal classification:

Executive	\$ <del>722.00</del> <u>794.00</u>	\$30.00
Administrative	\$ <del>333.00</del> <u>366.00</u>	\$20.00
Faculty <del>and</del> Staff		
(Annual base pay over \$45,000)	\$ <del>179.00</del> <u>197.00</u>	\$15.00
Faculty <del>and</del> Staff		
(Annual base pay over \$35,000)	\$ <del>160.00</del> <u>176.00</u>	\$15.00
Faculty <del>and</del> Staff		
(Annual base pay over \$25,000)	\$ <del>116.00</del> <u>128.00</u>	\$15.00
Faculty <del>and</del> Staff		
(Annual base pay \$25,000 and under)	\$ <del>98.00</del> <u>108.00</u>	\$15.00
Alumni	N/A	N/A
Student		
Fall -	N/A	N/A
Spring -	N/A	N/A
Summer A, B, or C -	N/A	N/A

d. Lost or Stolen Decals. If a decal has been lost or stolen, the incident shall be reported to the Department of Parking and Transportation, and a replacement decal shall be purchased. An "FIU Parking and Transportation ~~Lost or Stolen~~ Decal Affidavit", form PT #11, Revised ~~06/2010~~ 03/2012, which is incorporated by reference into this regulation, must be filled out.

e. Change in Decal Status. Any employee requesting an upgrade in decal classification shall pay the difference between the ~~fee~~ appropriate fee according to the classification currently in effect and ~~that~~ the one being requested. The old decal or identifiable parts, including numbers, must be returned to the Department of Parking and Transportation for auditing purposes.

f. Non-Refundable Fees. All fees paid for decal registration shall be non-refundable except for instances where a person has mistakenly made a double payment and the request for refund is made within the same academic year in which the payment was made. The Transportation Access Fee paid by the students is only refunded in the same manner as other University student fees are refunded.

(b) Permits and Permit Fees.

1. Temporary permits are issued only by the Department of Parking and Transportation to those persons who require temporary parking authorization and who are not otherwise required by these regulations or contract to obtain a decal. Permits must be applied for and are issued for durations that are commensurate with their purposes. Permits may or may not include the payment of parking fees as provided below.

2. The circumstances under which a permit rather than a decal shall be issued include but are not limited to use of a temporary vehicle; parking on the University's campuses for occasional business-related purposes; parking on the University's campuses for attendance at conferences and meetings; and visitors who are not otherwise required to obtain a decal. Any person who has a current decal and needs to use temporary transportation must obtain a

temporary parking permit. These temporary parking permits are issued, free of charge, for a maximum of thirty (30) consecutive days.

3. Carpool permits. A carpool permit is available for those employees and students who register through the GreenRide carpool website at <http://www.fiu.greenride.com>. All registrants must have a current FIU decal and must be affiliated with the university. At least two carpool permits must be displayed at the same time when parked at a carpool space.

3 4. Temporary 30, 60, and 90-day permits are available to persons not otherwise required by these regulations or contract to obtain a parking decal. The following are the permit fees, **exclusive of sales tax**:

30-day permit \$27.00

60-day permit \$45.00

90-day permit \$65.00

4 5. Specific visitor, vendor and contractor, and volunteer permits will be issued by the Department of Parking and Transportation upon payment of a fee as follows:

a. Visitor fees. Metered parking is available throughout the University at a cost of 25 cents per 15 minutes except in PC Loading which are 50 cents per 15 minutes. Visitors can also park at the multi-space meters for \$1.00 per hour with a maximum of \$ 8.00 per day. University departments hosting an event can purchase garage or lot ~~visitor-guest~~ permits in advance.

b. Vendors and Contractors fees. All vendors and contractors conducting business on campus are required to purchase either a staff decal (at the lowest Staff rate listed in (1)(a)4 above) or a contractor permit. The following are the contractor permit fees, **exclusive of sales taxes**:

30-day permit \$27.00

60-day permit \$45.00

90-day permit \$65.00

c. Volunteers' fees. Upon verification, individuals outside the University who volunteer their time at either campus can purchase a volunteer permit for the following fees **exclusive of sales tax**:

30-day permit \$ 5.00

60-day permit \$10.00

90-day permit \$15.00

(c) Vehicle Registration Exemptions. The following persons shall not be required to register their vehicles with the Department of Parking & Transportation:

1. Representatives of news media on official business.

2. Members of the Florida International University Board of Trustees who are on campus to attend meetings and functions of the Board of Trustees. Trustees shall be issued a decal or hang tag which shall be prominently displayed in their vehicles.

3. Members of the FIU Foundation Board of Directors who are on campus to attend meetings and functions of the Foundation. Directors shall be issued a decal or hang tag which shall be prominently displayed in their vehicles.

(3) Decal, Traffic and Parking Regulations, Golf Cart and Garage Parking.

(a) Decal Regulations.

1. Display of Decal. Each driver who regularly parks a vehicle on campus shall display a valid decal or permit. It is the responsibility of the driver to properly display a decal or

permit so it is easily visible and readable. Failure to display it correctly may result in a violation for ~~improper display, not having a valid decal or permit.~~

2. Decals shall be permanently affixed to the outside of the vehicle on the left side either on the rear bumper, or the outside of the rear window. ~~Decals must be permanently affixed and not altered.~~ For unusually constructed vehicles, decals shall also be permanently affixed in the manner directed by the Department of Parking and Transportation. Housing and Disabled decals ~~stickers~~ shall be permanently affixed adjacent to the current student decal.

3. The entire decal or permit must be displayed unaltered.

4. It is a violation of these regulations to transfer a decal or permit from one vehicle to another; alter a decal or permit, falsify documents to obtain a decal or permit or otherwise obtain or display a decal or permit in violation of the University rules and regulations. Any such act shall constitute decal fraud and will cause the decal or permit to be revoked and vehicle is subject to immobilization and/or towing at vehicle owner's expense.

(b) Traffic Regulations:

1. Speed Limit. The speed limit on University property is 25 miles per hour on main roads unless otherwise posted. The speed limit inside the garage is 5 mph. Speed limit inside surface lots is as posted.

2. Right-of-Way. Pedestrians and wildlife have the right-of-way over motor vehicles, including golf-carts. Pedestrians must use crosswalks when crossing a roadway.

3. Barriers. Barriers may be placed by the University at any point deemed necessary for specific temporary use. Except as required for the passage of emergency vehicles, removal of any such barrier without permission is prohibited.

4. Enforcement Directives. A directive given by a police officer or parking patroller or designee supersedes the regulations posted by sign or signal.

(c) Golf-Carts:

1. Golf-carts are restricted by ~~the Florida Department of Highway Safety and Motor Vehicles and~~ Florida International University for use on facility premises only. ~~Golf-carts are used primarily for the transportation of persons or cargo, are designed and regulated to be operated at speeds of less than 25 miles per hour,~~ and are generally recognizable as a passenger or utility type cart, vehicle, club car or conveyance.

2. Any person who operates a golf-cart on University premises is deemed, by so doing, to have the knowledge, training and skill to safely operate such vehicle and shall be fully accountable for their actions and the consequences thereof.

3. Golf-cart Enforcement. Golf-carts shall be operated in accordance with the following specific regulations:

a. Golf-carts shall not be parked within 6-8 feet of the entrance or exit of any building, except at loading docks or approved designated golf-cart parking spaces.

b. Operators shall stop golf-carts at all blind intersections and sound their horns before proceeding.

c. Golf-carts shall not be parked or operated in any manner likely to obstruct or interfere with the flow of pedestrian or vehicular traffic in heavily traveled areas. Pedestrians, as used here, shall include persons in wheelchairs or mobility assistance devices.

d. Operators shall not stop for any extended period of time in the middle of roads and walkways. Golf carts shall not be parked on pedestrian crosswalks.

e. Golf-cart may be driven on sidewalks only where streets and/or parking lots are not available, and then only to the nearest street or parking lot. Safety precautions shall be taken while driving golf-carts through parking lots.

f. Golf-carts shall not be driven through buildings or breezeways except: 1) under circumstances of police or medical emergency, 2) in order to service that specific building wherein equipment and supplies, but not people, are being transported to the work site, ~~or~~ 3) in order to make a delivery of materials which cannot be otherwise transported to a specific location in a building or 4) to pick up or drop off disabled persons.

g. Where circumstances warrant operation of a golf-cart in or through any University building, as described ~~in “f.”~~ above, the operators shall must take the ~~most unobtrusive~~ least disruptive route to building occupants or pedestrians and ~~shall must~~ follow all other operating requirements.

(d) Parking Regulations for Surface and Garage Parking.

1. Posted signs, bumper blocks, and other markings designate the various parking areas on campus. Parking areas may be restricted by decal classification, time or purpose. Parking areas restricted by decal classification, time or purpose shall be considered no parking zones to those individuals who do not fall within the restriction of the decal classification. Individuals parking in areas so restricted require a decal, hang tag, or permit. The following parking restrictions are found in areas on the University’s campuses:

- |   |   |
|---|---|
| a. <del>Executive</del>                     | <u>h i.</u> State Vehicles                                  |
| b. <del>Administrative</del>                | <u>i j.</u> Time Limit Parking                              |
| c. Faculty/Staff                            | <u>j k.</u> Housing Parking                                 |
| d. Student                                  | <u>k l.</u> <del>Loading Zone</del> <u>Service/Delivery</u> |
| e. Meters                                   | <u>l m.</u> Garage Visitors                                 |
| f. Disabled                                 | <u>m n.</u> Golf-Cart                                       |
| <u>g.</u> <u>Disabled Modified Vehicles</u> | <u>n o.</u> Carpool   |
| <u>g h.</u> Motorcycle/Motorbike/Moped      | <u>p.</u> <u>Head-in Parking Only</u>                       |

2. Metered parking is for visitors and is enforced daily, from 7:00 a.m. to 10:00 p.m. including weekends and holidays. If a meter is malfunctioning, parking in that space is prohibited. A current FIU parking decal or permit does not entitle the driver to park in a metered parking space without paying the appropriate fee, unless otherwise specified on the permit.

3. Use of parking areas designated as Faculty/Staff shall be enforced between the hours of 6:00 a.m. to 7:00 p.m., Monday through Friday, unless otherwise indicated by signage. Parking in all other areas, including Executive and Administrative, shall be observed and enforced at all times.

4. Changes in designated parking areas shall become effective at such time as signage or other identifying markings are posted.

5. No motor vehicles, other than police, emergency, or golf-carts may be operated or parked at any time on the walkways, grass, service areas, driveways or other prohibited zones, except where specifically permitted by signage. No motor vehicle, motorcycle or other type of vehicle, including a bicycle, shall be parked in such a way as to create a hazard or obstruction to traffic or access. Temporary parking areas may be designated in grass areas by the placement of delineating signs, bumper blocks or other identifying marks. Parking adjacent to any University building shall be prohibited except as identified by authorized signs.

6. No person, other than a current housing resident whose vehicle is left in a housing lot, shall leave a vehicle overnight on University property without notifying the University Police Department and the Department of Parking and Transportation. Vehicles that are inoperable shall be reported immediately to the University Police Department and the Department of Parking and Transportation. Vehicles left for more than three (3) consecutive days and nights, without prior approval, or which are apparently abandoned shall be subject to towing, impoundment, and disposal at the owner's expense. The University does not assume any responsibility for motor vehicles or their contents while they are parked on campus. Vehicles registered to current housing residents may be left in housing lots during session breaks but in no event for more than three weeks, except with permission from University housing.

7. Major repairs to vehicles shall not be performed on either campus.

8. Double-parking is not allowed at any time.

9. Head-in Parking Only

10. The fact that a person may park or observe others parked in violation of the regulations without receiving a citation does not mean that the regulation is no longer in effect. Observing others illegally parked is not a valid excuse.

~~1011.~~ Parking on lawns, landscaped areas, sidewalks, or other areas not specifically designated by signs or curb markings as parking areas is a violation. The absence of a "No Parking" sign does not mean parking is permissible in an area.

~~1112.~~ Residents of housing shall abide by the parking regulations.

~~1213.~~ Parking a vehicle on campus following failure to pay or appeal any citation for a university traffic infraction within the time provided, or parking on campus with a revoked decal shall be considered illegal parking and is subject to towing.

~~1314.~~ Parking areas designated as disabled are enforced at all times and a current state issued disabled placard/hang-tag or license plate must be visible and properly displayed. In addition, all disabled employees and students of the University must obtain/purchase and display a current decal or permit and an FIU Registered Disabled sticker.

(e) Garage Parking:

1. A current FIU parking decal is required to park in the garages as restricted by decal classification.

2. A current FIU parking decal or permit does not entitle the driver to park in a metered parking space without paying the appropriate fee, unless otherwise specified on the permit.

3. Garage hours: Gold and PG5 Market Station Garages

Open 24 hours a day, 7 days per week

Blue, Red and Panther Garages

6:00 a.m. - 2:00 a.m. Mondays – Fridays

Closed weekends and holidays except for Special Events

4. No overnight parking. Vehicles must be removed from the garage prior to posted closing hours of the garage. Any vehicle left in the garage after hours will be ticketed and the vehicle is subject to towing at vehicle owner's expense.

5. Garage speed limit is 5 mph. ~~Garage speed is radar-enforced.~~

6. Skateboarding and rollerblading are prohibited in the garages.

7. Head-in Parking Only

(4) Enforcement.

(a) Violations. Failure to abide by any of the provisions of these regulations shall be considered a university parking infraction. The University may enforce university parking infractions through use of warnings, citations and fines, vehicle immobilization, towing and any other means authorized by statute.

(b) Citations. The University Police Department and the Department of Parking and Transportation are authorized to issue written citations to persons who violate this regulation. The University Police Department is also empowered to issue citations for violation of Chapter 316, Florida Statutes, and county ordinances. Only one citation per calendar day will be issued for each violation in the same location on the same vehicle.

1. Schedule of Fines. The schedule below establishes fines for the various categories of violations which are considered to be university parking infractions:

No Decal	\$ 20.00
Parking on the Grass	\$ 20.00
Hazardous Parking	\$ 25.00
Overtime Parking (meter)	\$ 20.00
All Moving Violations	\$ 25.00
Restricted/Improper Parking	\$ 25.00
Overtime Parking (garage)	\$ 25.00
Unlawfully Parked in Disabled Space	\$250.00
Decal Fraud	\$100.00
Radar/Speeding Violation	\$ 25.00
Restricted "E" or "Admin"	\$ 30.00
Golf-Cart	\$ 25.00
Decal/Permit-Improperly Displayed	\$ 20.00
<u>Head-in Parking Only</u>	<u>\$ 15.00</u>
<u>Other</u>	<u>\$15.00</u>

a. Impoundment and vehicle immobilization charges vary according to type of vehicle and/or type of tow or immobilization needed for the vehicle, but cost at a minimum \$50.00. If a third party towing company is used, the charge will be as determined by the third party towing company.

b. Any vehicle which remains in violation of the same regulation for more than one calendar day is subject to additional citations.

c. Uniform traffic citations for Vviolations of Chapter 316, Florida Statutes, and county ordinances are returnable to governed by the Miami-Dade County Court and may carry higher fines or other penalties.

2. Late Charges. If a university citation is not paid or appealed in the time provided by this regulation, a \$5.00 late charge shall be assessed in addition to the fine established for the violation. The assessment of the late charge shall not preclude the University from enforcing these regulations through alternative means such as preventing registration, withholding transcripts, receiving your diploma and/or towing, or immobilizing the vehicle. Finally, the outstanding balance will be sent to a collection agency and additional fees will be assessed.

3. Remedies for Failure to Pay Fines. In addition to the assessment of a late charge fee, and other penalties as provided in this regulation, the following remedies are available to the University:

a. Revoke parking and driving privileges on University property. A person whose parking privileges are revoked may not be issued a new parking decal until all prior outstanding citations are satisfied.

b. Prevent the person from registering as a student.

c. Withhold issuance of transcripts or degrees.

d. Use vehicle immobilizer.

e. Tow and impound the person's car.

f. Take other action as necessary to collect the outstanding fines as delinquent accounts owed to the University such as the use of a collection agency.

4. Responsibility for Citations. The person who registers a motor vehicle with the Department of Parking and Transportation assumes responsibility for all citations issued to that vehicle. If the motor vehicle has not been registered with the Department of Parking and Transportation then the person(s) in whose name the motor vehicle is registered with the State Department of Highway Safety and Motor Vehicles shall be held responsible for citations issued to the vehicle. Employees of FIU operating state university vehicles and golf-carts shall be responsible for citations issued to such vehicle(s).

(c) Procedures for Payment of Fines and Appeals. A person to whom a citation has been issued shall have ten (10) business days from the date of issuance to respond to the citation either by paying the fine or by filing an appeal. If payment or request for an appeal is not received within the allotted time, a late fee shall be assessed, and the University may take any authorized action to enforce the penalty.

1. Payment of Fines. Fines may be paid by credit card through the Florida International University web site URL <http://parking.fiu.edu> or in person at the Department of Parking and Transportation by check, money order, cash payment, the FIU Panther debit card, or credit card. Alternatively, payments may be mailed to the Department of Parking and Transportation located on Modesto Maidique Campus; however, late fees shall be applied in the event payment is not received by the Department of Parking and Transportation within the time provided by these regulations. All payments sent by mail should include the payee's Panther ID number and citation number.

2. Appeals Process and Procedures. Appeals of citations for university parking infractions and towing/vehicle immobilization procedures and charges may be instituted by filing a written appeal through the Florida International University web site URL <http://parking.fiu.edu> or at the Department of Parking and Transportation ~~on-using~~ the "Florida International University Department of Parking and Transportation CITATION APPEAL FORM", Form PT #04 Appeal Form RVSD. 04/2009, ~~according to the instructions provided on the form.~~ The form is incorporated by reference into this regulation. ~~County Uniform traffic~~ citations are not open to appeal through the University appeal process. ~~County Uniform traffic~~ citations must be processed through the Miami-Dade County Court system. ~~The Citation Appeal Form PT #04 may be obtained at the Department of Parking and Transportation, the web site, and at other locations throughout the University, such as the Visitor Information Center and University Police Department at both campuses.~~ Inability to locate parking spaces or the failure of others to observe these regulations shall not be considered to be valid defenses. The completed Citation Appeal Form must include a current and accurate mailing address or email address where notices can be sent to and received by the Appellant. Complete Citation Appeal Forms will be forwarded by the Department of Parking and Transportation to an Appeal Hearing Officer for review and decision.

a. Appeal Hearing Officers. There shall be appointed on each campus a University Appeal Hearing Officer or Officers who shall be responsible to resolve appeals of citations for university parking infractions and/or towed or immobilized vehicles. The Appeal Hearing Officer(s) of each campus shall be appointed, by the appropriate vice president, to serve a two-year term, and may be appointed for additional terms. It is intended that Appeal Hearing Officers will be members of the University Community.

b. Appeal Hearing Officer Procedures. Appeal Hearing Officers will receive and evaluate written appeals. They will be guided by the Parking Regulations and shall consider any relevant circumstances, as articulated in the written appeal, in making their decision(s). Appeal Hearing Officers may request further information or interview the appellant, witnesses or the citing officer. Appeals will be reviewed and appellants notified by mail.

c. Appeal Hearing Officer Decisions. Following consideration of the grounds for an appeal, the Appeal Hearing Officer shall decide on the appeal. The Appeal Hearing Officer's decision shall contain findings of fact and be reduced to writing and a copy shall be furnished to the appellant by the Department of Parking and Transportation. The decision of the Appeal Hearing Officer is final without further right of review. Upon denial of an appeal, the fine assessed shall be paid within ten (10) business days of the date of notification to the appellant or a late fee will be assessed.

(d) Immobilization, Towing and Impoundment-Appeals. The University may immobilize, tow and/or impound any vehicle which is found to be parked illegally or in violation of these regulations. Parking after failing to pay a parking citation(s) within the allotted time constitutes illegal parking. A person whose vehicle has been immobilized, towed and/or impounded may appeal the tow or immobilization by filing a written appeal within ten (10) business days from the date of the impoundment. An Appeal Hearing Officer shall review the appeal within seventy-two (72) hours of receipt of the written appeal. The appellant shall receive notification of the appeal decision through the mail. If the immobilization or tow appeal is granted, the University shall refund the amount charged for the immobilization or tow. In lieu of the appeal, or pending such appeal, or if the appeal is denied, the owner of the vehicle or his/her authorized agent may obtain release of the vehicle by paying the citation(s), the immobilization and/or towing charges and any applicable delinquent fines.

(e) University Departmental Events. All departments hosting an event, which will include external guests, have the option of purchasing parking permits for these guests. require additional visitor parking for guests, will require visitor permits for each vehicle per day. Pre-purchased parking spaces are available by contacting the Department of Parking and Transportation no later than three (3) business days, but preferably five (5) business days before the date of the event. In addition to purchasing parking permits, parking spaces may be reserved for these guests and Departments are encouraged to post order directional signs for each event from the Department of Parking & Transportation. Signs must adhere to the signage protocol established through the Office of Finance and Administration. The Department also handles any event requests that require transportation, including campus tours.

*Specific Authority- BOG Regulations 1.001(3)(k) and 7.003(9), ~~1001.74(2)(j) and (6)~~, and 1006.66 FS. Law Implemented ~~1001.74(2)(j) and (6)~~, 1006.66 FS. History--Formerly 6P-5.06, 10-1-75, Repromulgated 12-23-76, Amended 1-15-80, 8-20-81, 4-24-83, 8-12-85, Formerly 6C8-5.06, Amended 7-6-86, 8-31-89, 7-17-90, 7-21-91, 8-25-93, 10-26-93, 8-17-94, 8-20-95, 8-11-96,*

6-12-97, 7-08-99, 5-16-00, 5-24-01, 7-25-02, 12-2-02, 8-11-03, 6-1-04, 6-1-05, Formerly 6C8-  
| 5.006, Amended 6-2-06, 7-12-07, 8-11-08, 6-29-09, 8-10-10, 7-5-11,           .

|

APPEAL DATE: \_\_\_\_\_

# Florida International University

## Department of Parking & Transportation

APPEAL# \_\_\_\_\_

## CITATION APPEAL FORM

VALID DECAL: YES ☐ or NO ☐

DATE ISSUED: \_\_\_\_\_

AN APPEAL HEARING OFFICER WILL REVIEW THIS APPEAL.

APPELLANTS DO NOT HAVE THE OPTION TO APPEAR IN PERSON.

THIS APPEAL FORM MUST BE SUBMITTED NO LATER THAN 10 BUSINESS DAYS FROM THE DATE OF CITATION

( ) STUDENT ( ) FACULTY/STAFF ( ) VISITOR ( ) VENDOR/CONTRACTOR

## PLEASE PRINT

PANTHER ID #: \_\_\_\_\_

CITATION #: \_\_\_\_\_

NAME: \_\_\_\_\_

DATE OF CITATION: \_\_\_\_\_

ADDRESS: \_\_\_\_\_

VEHICLE PLATE #: \_\_\_\_\_

CITY: \_\_\_\_\_ ST: \_\_\_\_\_ ZIP: \_\_\_\_\_

VIOLATION CODE: \_\_\_\_\_

HOME PHONE #: \_\_\_\_\_

VIOLATION DESC. : \_\_\_\_\_

ALTERNATE PHONE #: \_\_\_\_\_

LOT #/UNIT #/TIME: \_\_\_\_\_

E-MAIL: \_\_\_\_\_

I wish to appeal for the following reason (s): \_\_\_\_\_

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I certify that the statements made above are true and correct to the best of my knowledge and belief. **I understand that the decision of the appeal-hearing officer is final without further right of review.** Once I receive my appeal results, whether denied or reduced, I agree to pay the amount due within 10 business days after receiving the appeal results. If payment is not received within the allotted time, a late fee will be assessed. If appeal status notification has not been received within 2 weeks from signature date, it is the appellant's responsibility to conduct a follow up by calling any of our Parking & Transportation offices at UP Campus (305) 348-3615 or BBC (305) 919-5558.

SIGNATURE: \_\_\_\_\_

DATE: \_\_\_\_\_

\*\*\*\*\*

( ) DENIED ( ) GRANTED ( ) WARNING: NO FINE ISSUED ( ) REDUCED FINE TO \$ \_\_\_\_\_

APPEAL HEARING OFFICER COMMENTS: \_\_\_\_\_

\_\_\_\_\_

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**THE FLORIDA INTERNATIONAL UNIVERSITY  
BOARD OF TRUSTEES**

June 14, 2012

**Subject: Capital Improvement Trust Fund Fee**

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**Proposed Board Action:**

Approve the proposed Florida International University Capital Improvement Trust Fund Fee increase from the current \$4.76 per student credit hour to \$6.76 per student credit hour effective the Fall term of 2012 for academic year 2012-13.

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**Background Information:**

The Florida Legislature has included the following language in HB 5201 modifying the Capital Improvement Trust Fund Fee and thus revising paragraph (8) of Section 1009.24 of the Florida Statutes to read as follows:

*(8)(a) The Capital Improvement Trust Fund fee is established as \$4.76 per credit hour per semester.*

*(b) Beginning with the 2012 fall term, each university board of trustees may increase the Capital Improvement Trust Fund fee. Any increase in the fee must be recommended by a Capital Improvement Trust Fund committee, at least half of whom are students appointed by the student body president. The remainder of the committee shall be appointed by the university president. A chair, appointed jointly by the university president and the student body president, shall vote only in the case of a tie. The recommendations of the committee shall take effect only after approval by the university president, after consultation with the student body president, with final approval by the university board of trustees. An increase in the fee may occur only once each fiscal year and must be implemented beginning with the fall term. The Board of Governors shall adopt regulations and timetables to implement the fee.*

*(c) The fee may not exceed 10 percent of the tuition for resident students or 10 percent of the sum of tuition and out-of-state fees for nonresident students. The fee for resident students shall be limited to an increase of \$2 per credit hour over the prior year. The Capital Improvement Trust Fund fee may be used to fund any project or real property acquisition that meets the requirements of chapter 1013. The Division of Bond Finance of the State Board of Administration shall analyze any proposed reductions to the Capital Improvement Trust Fund fee to ensure consistency with prudent financial management of the bond program associated with the revenues from the fee.*

Pursuant to the above statutory amendment, Board of Governors Regulation 7.003, Fees, Fines and Penalties is being revised to reflect this amendment.

As required by Section 1009.24(8) of the Florida Statutes quoted above, the University Fee Committee has approved an increase in the Capital Improvement Trust Fund Fee in the amount of \$2.00 per student credit hour effective with the Fall term of 2012. The University President has approved the fee increase after consultation with the Student Government presidents.

The proposed increase will raise the Capital Improvement Trust Fund Fee for the 2012-13 academic year from \$4.76 per student credit hour to \$6.76 per student credit hour. In accordance with Florida Statutes §1009.24 and Board of Governors' Regulation 7.003 Fees, Fines and Penalties, the increase was decided by a fee committee half of whom were students appointed by Student Government and the other half appointed by the University President. The following procedure was used by the committee:

1. Two public hearings were advertised (through posters, electronic messaging and social media) and held at the Modesto A. Maidique campus and the Biscayne Bay campus to hear comments and address concerns from the University Community.
2. Following the hearings, the fee committee convened to discuss and vote on the proposal. The committee voted 5-1 in favor of the fee increase.
3. The recommendation was forwarded to and approved by the University President.

This increase will be reflected, along with any other changes, in a revised FIU-1101 Tuition Fees Schedule Regulation being presented to the Florida International University Board of Trustees for approval. The approved Regulation will then be forwarded to the Board of Governors for its approval.

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**Supporting Documentation:**

Fee Committee Recommendations to University President  
University Fee Committee, 2011-12 Summary of Meetings and Activities  
Calculation of fee cap

**Facilitator/Presenter:**

Sukrit Agrawal, *Finance and Audit Committee Chair*



FLORIDA  
INTERNATIONAL  
UNIVERSITY

May 10, 2012

Dear Vice President Jones:

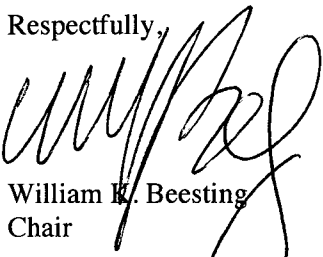
As chair of the Capital Improvement Trust Fund (CITF) Fee Committee this year, I am pleased to forward our recommendations for student fees for the 2012-13 year. Specifically, the committee has recommended that the CITF fee be increased by \$2.00 per student credit hour. This will increase the per student credit hour CITF fee from \$4.76 to \$6.76, and if approved by the University Board of Trustees, will become effective in the Fall 2012 term. No increase has been available since 1976, when the fund was first established.

It was estimated that the additional revenue from the recommended fee increase will be approximately \$2 million for 2012-13. The committee members recognized a strong concern from students about the rising cost of education, and in particular, student tuition. However, the committee did validate the need to sustain current initiatives and enhance others, hence, the determination of the increase.

The committee held a total of four meetings, which included one day for an open forum public hearing at each campus. The Vice President for Student Affairs sent a notice of the public hearing to each student's FIU e-mail. Furthermore, the public hearings were publicized via FIUSM, Facebook and social media, as well as placards that were placed at strategic points in the Graham Center and Wolfe Center. Electronic announcements of the hearings were also announced through the LCD displays in the Graham Center, the Wolfe Center and the academic buildings around campus.

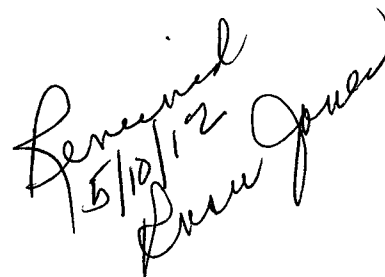
All committee members were present at the initial meeting. All committee members were present at the final meeting, one by video conferencing, and at least two-thirds of the committee members attended each public hearing. The vote of the committee on the fee increase was five in favor, one opposed. I attach copies of the Fee Committee membership, SB1156/HB5201 to establish FS 1009.24 dealing with fees and the allowable fee increase, and a summary of the student fees at each SUS institution in 2011. If you have any questions, please contact me.

Respectfully,



William K. Beesting  
Chair

c: Matilde Gramling  
Fee Committee Members



**Office of the Associate Dean of Undergraduate Education**

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**University Capital Improvement Trust Fund Fee Committee, 2011-12  
Summary of Meetings and Activities  
May 8, 2012**

The University Capital Improvement Trust Fund (CITF) Fee Committee was appointed by President Mark B. Rosenberg's designee, Vice President of Student Affairs Rosa L. Jones, in consultation with the Student Government Association (SGA) on April 30, 2012. The three student voting members were Liane Sippin, SGA Senator at Large at the Modesto A. Maidique Campus (MMC), Cristina Loreto, Student at Large MMC, and Pablo Haspel, President SGA for the Biscayne Bay Campus (BBC). The three faculty/staff voting members were Nancy Hernandez, Mariela Gabaroni, and Robert Frye. Dr. William Beesting chaired the Committee. Guests and interested parties attending meetings included, Kenneth A. Jessell, Aime Martinez, Vojkan Dimitrijevic, James Wassenaar, Michelle Castro, and Matilde Gramling. Dr. Jones attended the first meeting and gave the opening charge to the committee.

Two meetings were held by the committee, plus two public hearings:

**May 2, 2012 – Committee Meeting**

Dr. Jones provided opening remarks by thanking members for agreeing to serve on the committee and explained the usual process of meetings, forums and discussions. She defined the uses for the CITF fee, and its current amount of \$4.76 which consists of the combination of \$2.44 per credit hour per semester CITF fee and a building fee of \$2.32 per credit hour per semester. She charged the committee with consideration for the potential of a CITF fee increase. The committee is to make a recommendation that complies with Florida Senate Bill 5201 limiting the maximum increase to \$2 per credit hour. Dr. Jones then defined the uses for the fee. It is to be used to fund construction and renovations of non-academic (student) buildings. The fee has been in existence since 1976 and has not changed since then. This year is the first time that institutions have been given the opportunity to consider a change in the fee since 1976. Dr. Jones further explained how the CITF fee had been used at FIU in the past and noted that the Graham Center expansions were constructed with CITF funding. The Wolfe University Center and the Recreation Center were also facilities that were constructed using CITF funding. She clarified the differences in the types of funds that could be used for construction, stating that Residential Housing does not use CITF funds but is an auxiliary, while instructional buildings are built with PECO funds. She further explained how the funding is to be used for structural construction and not for maintenance costs of facilities.

Dr. Jones explained how in the past, students throughout the State University System (SUS) have lobbied for an increase in the CITF fee in recognition that buildings are in need of the funds to improve current structures, especially for older schools which have older buildings. Dr. Kenneth A. Jessell, Senior Vice President of Administration and Chief Financial Officer, stated that it is a real testament to the veracity of the Florida Student Association (FSA) that have supported the legislation for an increase in the CITF fee. Without their unanimous support, it would not have been considered through the legislature.

Dr. Jones explained the process for the allocation of the CITF funds. She explained how allocations are normally made every three (3) years. Each cycle typically allocates approximately \$11-13 million but the amount is dependent on investment outcomes. Dr. Jessell explained how each university's CITF fee is collected and joined with other SUS institutional CITF fees and the money is bonded collectively for efficiency. By doing it this way, administrative costs are reduced and the SUS can usually obtain better interest rates. When it comes time for an allocation, each university receives from the investment pool the amount back in proportion to what it has contributed.

Dr. Jones introduced all people at the meeting and explained the immediate and long range implications of a decision. She explained how the committee was to consider the opportunity for the use of the funds. It is to have hearings to let students voice their opinions. The committee's decision needs to be submitted to the Board of Trustees.

Mr. James Wassenaar, Executive Director of Student Affairs Operations and Auxiliary Services, explained how the growth of student enrollment requires expansion. There's no way that the university can sustain infrastructure growth without an increase in the CITF fee. Dr. Jones further noted that in the past, FIU has been fortunate in that it has been able to partner with other funded areas (auxiliaries, for one) for construction. However, with the economy being what it is, some of these opportunities are no longer available.

Dr. Beesting asked how the maintenance for new buildings is funded. Dr. Jones explained that Activity and Service fees are usually used to pay for maintenance costs associated with student buildings. Dr. Jessell further noted that if areas are able to earn revenue (through auxiliary operations), that it could be used also.

Another committee member asked if the fee would be up for increase consideration every year from now on. While we are getting clarification on this, Dr. Jessell noted

that if a fee were to be increased, it would be a permanent increase and not one used to fund a specific construction.

The committee discussed the need for additional construction. The areas discussed were the Graham Center expansion, the Recreation Center expansion and the Wolfe University Center expansion.

The committee asked for a list of historically funded projects and visuals that could also be used to present the CITF needs at the public forum. It was also noted that a list of proposed projects would be helpful.

### **Public Hearings:**

Because of timing challenges, Dr. Jones sent a notice of the public hearing to each student's FIU e-mail. Furthermore, the public hearings were publicized via FIUSM, Facebook and social media, as well as placards which were placed at strategic points in the Graham Center and Wolfe Center. Electronic announcements of the hearings were also announced through the LCD displays in the Graham Center, the Wolfe University Center and the academic buildings around campus.

### **May 7, 2012: Biscayne Bay Campus Public Hearing**

The first public hearing was held at Biscayne Bay Campus in WUC 157. Eight individuals attended the hearing, which commenced at 12:45 p.m. and ended at 1:15 p.m.

The chairperson opened the hearing, introduced the committee members present and named those absent. He then introduced the request for an increase for the CITF fee. He explained that the current CITF fee is \$4.76 per-student credit hour and explained that the maximum allowable increase would amount to \$2.00 per student credit hour. He explained the importance of public opinion and feedback to the process. He then explained the uses for CITF funds with the visual aid of a PowerPoint presentation. He explained its historical uses, as well as possible considerations for future use. The chairperson explained that the purpose of the CITF Fee Committee was not to allocate the funds but, rather, to determine if there was enough justification and need to warrant an increase to the CITF fee. The determination of where to allocate funds would be made by the University President in consultation with personnel and students.

Questions raised from the audience dealt with renovations in general, but mostly focused on how the funds could be used at the BBC campus. Discussion ranged from

possible uses in the WUC to uses for the BBC Recreation Center. There was one student who expressed concern over the escalation of costs for higher education. He expressed how tuition and fees continue to rise while financial aid does not.

The chairperson concluded the meeting with a statement asking the audience to e-mail the Fee Committee panel or their SGA President with any questions/concerns they might still have.

### **May 7, 2012: Modesto A. Maidique Campus Public Hearing**

The second public hearing was held at the MMC in GC 243. Forty-two individuals attended the hearing which commenced at 3:05 p.m. and ended at 4:20 p.m. The chairperson introduced the committee members present and presented the reason for a CITF fee. He then followed the same format as that used at the BBC campus in the morning and referred to the PowerPoint presentation for visual aid to the audience. The chairperson explained the fee increase process.

The questions raised were very similar to those heard at the BBC public forum. Concerns were raised about the need for some of the funds to be used at BBC to improve those facilities. Students questioned how much funding could be anticipated if no increase was recommended as well as how much is anticipated with the fee increase. Furthermore, they questioned if the fee could be increase less than the \$2 maximum allowable. Some students raised concerns if the university was taking into consideration this fee increase along with other fee and tuition increases. The chairperson responded that it tries to balance the cost with what is good overall. Again, concerns were raised about the increased cost to get an education. Another student raised the question of whether any of the CITF fee goes toward Athletics.

Students discussed the need for increased infrastructure with the increased enrollment growth. They explained how the student body has basically outgrown the current facilities. Mrs. Ruth Hamilton, Executive Director of the Graham Center, explained how she sees students sitting on the floor and in all areas in the Graham Center because of lack of space.

In general, the students in attendance agreed to the need for building expansion, but expressed concern over the rising cost of going to FIU, noting that optional fees such as these add to the increased cost.

### **May 8, 2012 Committee Meeting**

This meeting was called for the purpose to vote on the proposed increase. The Chairperson reminded the committee that the vote they make represents a recommendation that is made to President Rosenberg and the Board of Trustees. All members of the committee were present except for Pablo Haspel who was present from BBC via Polycom visual conference. Prior to the vote, the floor was opened for discussion and any remaining questions.

The chairperson asked the committee members who were present at the BBC forum to please share with the rest of the committee the comments which were heard. Rob Frye was at the BBC public forum and reported that students basically questioned how a fee increase would affect their campus. He stated that at the BBC forum, the students did not question alternate figures for the fee increase other than the \$2 per student credit hour. He stated that basically students just wanted to be informed about the fee. Nancy Hernandez and Pablo Haspel, who were also at the BBC forum, agreed.

The chairperson then asked about the comments heard at the MMC public forum. Rob noted that many more students were in attendance. Again, a lot of the discussion revolved around how the fee would be used. Liane said that students asked about when funds would be distributed and general information about the fee. She noted how there was some discussion about general increase of fees, including the Athletics fee consideration which was to follow. The committee discussed how the CITF fee is not printed on their tuition invoice. Rather, it is noted in the University catalog, and is harder to be seen. The committee discussed how a student who had been here for 6 years had seen the crowding and had commented that although everything is going up, this was needed. Cristina commented that as part of a student group, she was very aware that students needed to book rooms at least one year in advance in order to plan an event because of the limited space. Rob reminded the committee of Ruth Hamilton's comment on how students were seen camped in the hallways of the Graham Center because of lack of space. Cristina further noted that commuter students are noting the crowding, not just the students who lived in the residence halls. Rob gave statistics on the increase of the Recreational Center facility use. He said there were "no slow times anymore to use the gym." Nancy noted how changes are needed at the Wolfe University Center. It's noisy and you can hear activities happening outside of the offices.

Cristina moved for a yes or no vote on whether to increase the CITF fee. Mariela seconded. The vote passed with unanimous approval.

Discussion followed regarding the amount of the fee increase. It was noted that maximum impact would be achieved with a \$2 per student credit hour increase. It was also noted that FSA fought hard for an increase in the fee, therefore, the committee should consider the full amount. Committee members agreed. Pablo made two points: First, that the way the legislative language is written, an increase of this fee may be considered every year until the limit. Second, that he would like to know exactly where this fee would be used. Pablo also asked when the next distribution of CITF funding is scheduled and Ms. Aime Martinez, Associate Vice President of Business and Finance, noted that it would be in 2013-14.

Nancy motioned to raise the CITF fee \$2 per student credit hour. Cristina seconded the motion. The vote was passed with 5 in favor, and 1 opposed.

The Chairperson thanked the committee for their hard work.

**FLORIDA INTERNATIONAL UNIVERSITY**  
**CITF Fee cap calculation**

	Tuition (per credit hour)	Out-of-State Fee (per credit hour)	Total (per credit hour)	<u>Max CITF Fee</u>	Current CITF fee	Allowable increase
Undergraduate Resident	\$103.32		\$103.32	\$10.33	\$4.76	\$2.00
Undergraduate Non Resident	\$103.32	\$393.62	\$496.94	\$49.69	\$4.76	\$44.93
Graduate Resident prior to Fall 06	\$319.41		\$319.41	\$31.94	\$4.76	\$2.00
Graduate Non Resident prior to Fall 06	\$319.41	\$480.08	\$799.49	\$79.95	\$4.76	\$75.19
Graduate Resident	\$334.59		\$334.59	\$33.46	\$4.76	\$2.00
Graduate Non Resident	\$334.59	\$480.08	\$814.67	\$81.47	\$4.76	\$76.71
Law Resident prior to Fall 06	\$441.68		\$441.68	\$44.17	\$4.76	\$2.00
Law Non Resident prior to Fall 06	\$441.68	\$437.61	\$879.29	\$87.93	\$4.76	\$83.17
Law Resident	\$462.70		\$462.70	\$46.27	\$4.76	\$2.00
Law Non Resident	\$462.70	\$437.61	\$900.31	\$90.03	\$4.76	\$85.27

	Tuition (per Academic Year)	Out-of-State Fee (per Academic Year)	Total (per Academic Year)	<u>Max CITF Fee</u>	Current CITF fee	Allowable increase
College of Medicine Resident	\$27,772.50		\$27,772.50	\$2,777.25	\$238.00	\$100.00
College of Medicine Non Resident	\$27,772.50	\$30,000.00	\$57,772.50	\$5,777.25	\$238.00	\$5,539.25

HB 5201:

(8)(a) *The Capital Improvement Trust Fund fee is established as \$4.76 per credit hour per semester.*

(b) *Beginning with the 2012 fall term, each university board of trustees may increase the Capital Improvement Trust Fund fee. Any increase in the fee must be recommended by a Capital Improvement Trust Fund committee, at least half of whom are students appointed by the student body president. The remainder of the committee shall be appointed by the university president. A chair, appointed jointly by the university president and the student body president, shall vote only in the case of a tie. The recommendations of the committee shall take effect only after approval by the university president, after consultation with the student body president, with final approval by the university board of trustees. An increase in the fee may occur only once each fiscal year and must be implemented beginning with the fall term. The Board of Governors shall adopt regulations and timetables to implement the fee.*

(c) *The fee may not exceed 10 percent of the tuition for resident students or 10 percent of the sum of tuition and out-of-state fees for nonresident students. The fee for resident students shall be limited to an increase of \$2 per credit hour over the prior year. The Capital Improvement Trust Fund fee may be used to fund any project or real property acquisition that meets the requirements of chapter 1013. The Division of Bond Finance of the State Board of Administration shall analyze any proposed reductions to the Capital Improvement Trust Fund fee to ensure consistency with prudent financial management of the bond program associated with the revenues from the fee.*

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**THE FLORIDA INTERNATIONAL UNIVERSITY  
BOARD OF TRUSTEES**

June 14, 2012

**Subject: Tuition for Market Rate Programs, 2013-14 Academic Year**

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**Proposed Board Action:**

Approve market rate tuition for the Master of Science in Management Information Systems, Executive Master of Science in Public Administration and Master of Science in Hospitality and Tourism Management programs offered through continuing education beginning academic year 2013-14.

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**Background Information:**

According to Florida Statutes §(15)(a):

*The Board of Governors may approve:*

3. *A proposal from a university board of trustees to implement flexible tuition policies, such as ... market tuition rates for graduate-level online courses or graduate-level courses offered through a university's continuing education program...*

*(b) A proposal developed pursuant to paragraph (a) shall be submitted in accordance with guidelines established by the Board of Governors. Approval by the Board of Governors of such proposal must be made in accordance with the provisions of this subsection.*

Pursuant to Florida Board of Governors Regulation 7.001(15) Tuition and Associated Fees, university boards of trustees may submit proposals for market tuition rates for graduate-level courses offered online or through the university's continuing education unit. The proposals must be submitted to the Board of Governors for consideration by the budget committee during the November meeting.

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**Supporting Documentation:**

Proposal for Master of Science in Management Information Systems

Proposal for Executive Master of Science in Public Administration

Proposal for Master of Science in Hospitality and Tourism Management

FIU Market Rate Tuition Proposals FY 2013-14, Summary Table

**Facilitator/Presenter:**

Sukrit Agrawal, *Finance and Audit Committee Chair*

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**State University System  
Florida Board of Governors  
Request to Establish Market Tuition Rates - Regulation 7.001(15)**

**University: Florida International University**

<b>Date</b>	
University Board of Trustees approval date:	June 14, 2012
Proposed Implementation Date (month/year):	Fall 2013
<b>Market Tuition Rate Process</b>	
<p>Explain the process used to determine market tuition.</p> <p>The market tuition for the Master of Science in Management Information Systems was determined by benchmarking against other professional management programs nationally and throughout the State of Florida and incorporating all associated costs of managing this program.</p> <p>The Master of Science in Management Information Systems is a one-year program that provides a strong foundation with the guidance of IT and management professionals to prepare students with the analytical skills required to pursue a career in the IT field.</p> <p>Currently, there are 71 students enrolled in the program which is offered in multiple locations in a classroom setting. Future plans are to offer an online version. The proposal is for all delivery modes in this degree program to be offered at market tuition rates.</p> <p>For 2013-14, the resident market tuition rate for the Master of Science in Management Information Systems degree program offered in a regular classroom setting for residents will be \$30,000. For non-residents, the market tuition rate will be \$33,000. All of these may be adjusted by up to 15% for 2013-14 and each year thereafter.</p>	
<b>Mission Alignment</b>	
<p>Describe how offering the proposed program at market tuition aligns with the mission of the university and the Board strategic plan:</p> <p>The market rate tuition will enable the Master of Science in Management Information Systems program to provide the students with better support such as career services, greater number of graduate teaching assistants, and professional development for faculty enhancing FIU's commitment to providing quality learning, state-of-the-art research and creative activity, and problem-solving engagement.</p>	

**State University System  
Florida Board of Governors  
Request to Establish Market Tuition Rates - Regulation 7.001(15)**

**Declaratory Statement**

Provide a declaratory statement that the policy will not increase the state's fiscal liability or obligation:

The policy will not increase the state's fiscal liability or obligation for this program.

**Restrictions / Limitations**

Identify any proposed restrictions, limitations, or conditions to be placed on the policy:

There are not any proposed restrictions, limitations or conditions on the policy.

**Accountability Measures**

Indicate how the university will monitor the success of the policy. Provide specific metrics that will be used.

The university will monitor success of the policy using two metrics: 1) Increase in the number of students enrolled and 2) Increase student satisfaction levels. Retention and graduation rates are currently accountability measures for all programs. An end of the program survey will be used to measure student satisfaction.

**Course Availability**

Explain how the university will ensure that sufficient courses are available to meet student demand and facilitate completion of each program submitted for consideration.

The program will be managed in a lock-step cohort format which will ensure that sufficient courses are available to meet student demand and facilitate completion of the program. All instruction and program administration will be performed by University faculty and staff.

**Economic Impact**

Provide economic impact that this proposal will have on the university and the student, anticipated revenue collection, how the revenue will be spent, whether any private vendors will be used, and which budget entity the funds will be budgeted.

This proposal will allow the University to offer the program and provide a needed service to the community. It is expected that the program will generate total revenue of approximately \$1.8 million during the one year program. The revenue will be spent to cover the direct and indirect instructional costs, program administration, enhance student, career and academic services, marketing, professional development, facility rental, and university and college initiatives. A vendor will not be used. The funds will be budgeted in the auxiliary enterprise.

**State University System  
Florida Board of Governors  
Request to Establish Market Tuition Rates - Regulation 7.001(15)**

<b>Other Information</b>
See Attached Supplemental Form

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**State University System  
Florida Board of Governors  
Request to Establish Market Tuition Rates - Regulation 7.001(15)**

**University: Florida International University**

<b>Date</b>	
University Board of Trustees approval date:	June 14, 2012
Proposed Implementation Date (month/year):	Fall 2013
<b>Market Tuition Rate Process</b>	
<p>Explain the process used to determine market tuition.</p> <p>The market tuition for the Executive Master of Science in Public Administration (EMPA) was determined by benchmarking against other professional management programs nationally and throughout the State of Florida and incorporating all associated costs of managing this program.</p> <p>This major enhances the Master of Public Administration (MPA) program to provide graduate professional training to executive and upper management public service professionals. It provides a hands-on and in-depth exposure to the leadership, managerial and analytic skills critical to advanced public sector professionals and encourages students to actively engage their professional responsibilities with the course content. Traditional MPA programs focus on the education needs of pre-service professionals and individuals early in their public sector career.</p> <p>For 13/14, the market tuition rate for the executive major in the Master of Public Administration program will be \$32,000. This fee may be adjusted by up to 15% for 2013-14 and each year thereafter.</p>	
<b>Mission Alignment</b>	
<p>Describe how offering the proposed program at market tuition aligns with the mission of the university and the Board strategic plan:</p> <p>The market rate tuition will enable the Executive Master of Public Administration to provide the students with better support such as career services, greater number of graduate teaching assistants, and professional development for faculty enhancing FIU's commitment to providing quality learning, state-of-the-art research and creative activity, and problem-solving engagement.</p>	

**State University System  
Florida Board of Governors  
Request to Establish Market Tuition Rates - Regulation 7.001(15)**

**Declaratory Statement**

Provide a declaratory statement that the policy will not increase the state's fiscal liability or obligation:

The policy will not increase the state's fiscal liability or obligation for this program.

**Restrictions / Limitations**

Identify any proposed restrictions, limitations, or conditions to be placed on the policy:

There are not any proposed restrictions, limitations or conditions on the policy.

**Accountability Measures**

Indicate how the university will monitor the success of the policy. Provide specific metrics that will be used.

The university will monitor success of the policy using two metrics: 1) Increase in the number of students enrolled and 2) Increase student satisfaction levels. Retention and graduation rates are currently accountability measures for all programs. An end of the program survey will be used to measure student satisfaction.

**Course Availability**

Explain how the university will ensure that sufficient courses are available to meet student demand and facilitate completion of each program submitted for consideration.

The program will be managed in a lock-step cohort format which will ensure that sufficient courses are available to meet student demand and facilitate completion of the program. All instruction and program administration will be performed by University faculty and staff.

**Economic Impact**

Provide economic impact that this proposal will have on the university and the student, anticipated revenue collection, how the revenue will be spent, whether any private vendors will be used, and which budget entity the funds will be budgeted.

This proposal will allow the University to offer the program and provide a needed service to the community. It is expected that the program will generate total revenue of approximately \$960,000 during the program. The revenue will be spent to cover the direct and indirect instructional costs, program administration, enhance student, career and academic services, marketing, professional development, facility rental, and university and college initiatives. A vendor will not be used. The funds will be budgeted in the auxiliary enterprise.

**State University System  
Florida Board of Governors  
Request to Establish Market Tuition Rates - Regulation 7.001(15)**

<b>Other Information</b>
See Attached Supplemental Form

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**State University System  
Florida Board of Governors  
Request to Establish Market Tuition Rates - Regulation 7.001(15)**

**University: Florida International University**

Date	
University Board of Trustees approval date:	June 14, 2012
Proposed Implementation Date (month/year):	Fall 2013
Market Tuition Rate and Process	
<p>Explain the process used to determine market tuition.</p> <p>The market rate for the Master of Science in Hospitality and Tourism Management (MSHTM) was determined by benchmarking against other professional management programs nationally and throughout the State of Florida and incorporating all associated costs of managing this program.</p> <p>There are two majors for the MSHTM. One major consists of 42 credits and provides additional educational and skills development; while the other major is 30 credits and it is intended for professionals with pertinent work experience. Ultimately, both majors provide advanced knowledge and necessary skills to be successful as a manager and/or executive. Additionally, the program is designed to accommodate graduates from other disciplines.</p> <p>Of the top 25 domestic hospitality and tourism management programs, only five offer graduate online programs. Of these, two are specializations in Dietetics not Hospitality and Tourism Management.</p> <p>Currently, there are 53 students enrolled in the fully on-line program. Out of this total, 11 are residents and 42 are nonresidents. The proposal is for all on-line and off-campus delivery modes in this degree program to be offered at market tuition rates.</p> <p>The market tuition rate will depend on the location and delivery mode and will include periodic inflationary increases. For 2013-14, the market tuition rate for programs offered will be \$3,000 per course or \$48,000 and \$30,000 for the executive major. This may be adjusted by up to 15% for 2013-14 and each year thereafter.</p>	

**State University System  
Florida Board of Governors  
Request to Establish Market Tuition Rates - Regulation 7.001(15)**

**Mission Alignment**

Describe how offering the proposed program at market tuition aligns with the mission of the university and the Board strategic plan:

The market rate tuition will enable the Master of Science in Hospitality and Tourism Management (MSHTM) program to provide the students with better support such as career services, greater number of graduate teaching assistants, and professional development for faculty enhancing FIU's commitment to providing quality learning, state-of-the-art research and creative activity, and problem-solving engagement.

**Declaratory Statement**

Provide a declaratory statement that the policy will not increase the state's fiscal liability or obligation:

The policy will not increase the state's fiscal liability or obligation for this program.

**Restrictions / Limitations**

Identify any proposed restrictions, limitations, or conditions to be placed on the policy:

There are not any proposed restrictions, limitations or conditions on the policy.

**Accountability Measures**

Indicate how the university will monitor the success of the policy. Provide specific metrics that will be used.

The university will monitor success of the policy using two metrics: 1) Increase in the number of students enrolled and 2) Increase student satisfaction levels. Retention and graduation rates are currently accountability measures for all programs. An end of the program survey will be used to measure student satisfaction.

**Course Availability**

Explain how the university will ensure that sufficient courses are available to meet student demand and facilitate completion of each program submitted for consideration.

The program will be managed in a lock-step cohort format which will ensure that sufficient courses are available to meet student demand and facilitate completion of the program. All instruction and program administration will be performed by University faculty and staff.

**State University System  
Florida Board of Governors  
Request to Establish Market Tuition Rates - Regulation 7.001(15)**

**Economic Impact**

Provide economic impact that this proposal will have on the university and the student, anticipated revenue collection, how the revenue will be spent, whether any private vendors will be used, and which budget entity the funds will be budgeted.

This proposal will allow the University to offer the program and provide a needed service to the community. It is expected that the program will generate total revenue of approximately \$1 million annually. The revenue will be spent to cover the direct and indirect instructional costs, program administration, enhance student, career and academic services, marketing, professional development, facility rental, and university and college initiatives. A vendor will not be used. The funds will be budgeted in the auxiliary enterprise.

**Other Information**

See Attached Supplemental Form

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**State University System  
Market Tuition Proposals**

**Florida International University - Market Rate Proposals - Summary Table - FY 13-14**

	Proposal 1	Proposal 2	Proposal 3
<b>1 Degree Program</b>	Master of Science in Management Information Systems	Executive Master of Science in Public Administration	Master of Science in Hospitality and Tourism Management
<b>2 Has the program been approved pursuant to Regulation 8.011?</b>	Yes	Yes	Yes
<b>3 Does the program lead to initial licensing or certification?</b>	No	No	No
<b>4 Is the program identified as a state critical workforce need?</b>	No	No	No
<b>5 Are the program's admission &amp; graduation requirements the same as other programs?</b>	Yes	Yes	Yes
<b>6 Current Tuition Rate</b>	\$25,798 R/ \$29,398 NR	New major	\$20,000 (30 credits)/ \$32,000 (42 credits)
<b>7 Proposed Market Tuition Rate</b>	up to \$30,000 R and \$33,000 plus up to 15% increase each year thereafter	up to \$32,000 with up to 15% increase each year thereafter	\$30,000 (30 credit hour program) to \$48,000 (42 credit hour program) with up to 15% increase each year thereafter
<b>8 5 Other Public/Private Rates for Similar Program:</b>			
<b>9 University name and rate:</b>	Drexel -\$45,000	FAU - \$21,123R/\$48,381NR	University of Nevada, Las Vegas - \$25,850R/ \$28,518 NR
<b>10 University name and rate:</b>	USF - \$14,000R/\$28,000 NR	UM - \$59,100R/\$60,100NR	Kansas State University - \$17,460
<b>11 University name and rate:</b>	UF \$36,000	NOVA SE U - \$28,500	Drexel University - \$45,000
<b>12 University name and rate:</b>	UIS - \$15,100 R/ \$30,300 NR	Barry U - \$35,080	Auburn University - \$10,828
<b>13 University name and rate:</b>	OKS - \$9,500 R/ \$26,000 NR	University of Washington - \$40,750	University of North Texas -
<b>14 Length of Program (SCH)</b>	36	42	30-42
<b>15 Student Enrollment (Headcount):</b>			
<b>16 Resident</b>	71	0	11
<b>17 Non-Resident</b>	0	0	42
<b>18 Total</b>	71	0	53
<b>19 Similar Program at other SUS Institutions (if yes, provide university and program name)</b>	Yes	Yes	No
<b>20 University and program name:</b>	UF - Master of Science in Information Systems & Operations Management	FAU - Master of Science in Public Administration	n/a
<b>21 University and program name:</b>	USF - Master of Science in Information Systems	n/a	n/a
<b>22 University and program name:</b>	n/a	n/a	n/a
<b>23 University and program name:</b>	n/a	n/a	n/a
<b>24 Different Rate for Resident vs. Non-Resident (NR)?</b>	\$25,798 R plus up to 15% increase; \$29,398 NR plus up to 15% increase; and up to 15% each year thereafter	No	No

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**THE FLORIDA INTERNATIONAL UNIVERSITY  
BOARD OF TRUSTEES**

June 14, 2012

**Subject: 2012-13 University Work Plan to the Florida Board of Governors**

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**Proposed Board Action:**

Approve the 2012-13 University Work Plan.

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**Background Information:**

The Florida Board of Governors requires that all State University System institutions submit an annual work plan.

Florida Board of Governors Regulation 2.002(3), University Work Plans and Annual Reports, provides that each board of trustees shall prepare a work plan and submit updates on an annual basis for consideration by the Board of Governors. The work plan shall outline the university's top priorities, strategic directions, and specific actions and financial plans for achieving those priorities, as well as performance expectations and outcomes on institutional and System-wide goals.

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**Supporting Documentation:** 2012-13 University Work Plan

**Facilitator/Presenter:** Cesar L. Alvarez, *Academic Policy and Student Affairs Committee Chair*

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# FIU 2012-13 Work Plan



**Florida International University**

*Work Plan Presentation for 2012-13 Board of Governors Review*

STATE UNIVERSITY SYSTEM of FLORIDA | **Board of Governors**



## INTRODUCTION

*The State University System of Florida has developed three tools that aid in guiding the System's future.*

- 1) The Board of Governors' new Strategic Plan 2012-2025 is driven by goals and associated metrics that stake out where the System is headed;*
- 2) The Board's Annual Accountability Report provides yearly tracking for how the System is progressing toward its goals;*
- 3) Institutional Work Plans connect the two and create an opportunity for greater dialogue relative to how each institution contributes to the System's overall vision.*

*These three documents assist the Board with strategic planning and with setting short-, mid- and long-term goals. They also enhance the System's commitment to accountability and driving improvements in three primary areas of focus: 1) academic quality, 2) operational efficiency; and, 3) return on investment.*

*The Board will use these documents to help advocate for all System institutions and foster even greater coordination with the institutions and their Boards of Trustees.*

*Once a Work Plan is approved by each institution's respective Boards of Trustees, the Board of Governors will review and consider the plan for potential acceptance of 2012-13 components. Longer-term components will inform future agendas of the Board's Strategic Planning Committee. The Board's acceptance of a work plan does not constitute approval of any particular component, nor does it supersede any necessary approval processes that may be required for each component.*



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- a. Fiscal Information (*includes Tuition Differential Fee Request*)
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- c. Academic Program Coordination

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## MISSION STATEMENT (What is your purpose?)

Florida International University is an urban, multi-campus, public research university serving its students and the diverse population of South Florida. We are committed to high-quality teaching, state-of-the-art research and creative activity, and collaborative engagement with our local and global communities.

## VISION STATEMENT (What do you aspire to?)

Florida International University will be a leading urban public research university focused on student learning, innovation, and collaboration.

## STATEMENT OF STRATEGY (How will you get there?)

*Given your mission, vision, strengths and available resources, provide a brief description of your market and your strategy for addressing and leading it.*

As our state digs out of the prolonged economic recession, the South Florida market is experiencing a rebound that bodes well for Greater Miami (employment up 1.6% compared to 0.7% statewide). Miami-Dade County Public Schools (MDCPS) continue to exceed expectations in student achievement, with a growing number of college ready students, particularly place bound minorities who are academically qualified to attend FIU (advanced placement examination pass rate increased 2.3% in MDCPS compared to 0.1% for US).

On the heels of these positive trends, the Beacon Council has just released One Community One Goal (OCOG), a jobs initiative targeted to growing industries and strengthening the local economy. The new plan pivots around education as the foundation for Miami-Dade County's economic development and calls for a new ecosystem of growth. FIU responded with a report showing how our current and planned initiatives are addressing job growth in six targeted industry clusters.

As the business community places a greater emphasis on the role of education in job creation, FIU is focusing on results-oriented initiatives to improve market related responsiveness. Our mission, vision and strategy for the 2012-13 academic year is geared to improving early employment-related matching of student interest and aptitudes with available majors and jobs to ensure a more efficient progression to degree and timely employment after. We are also directing additional support to new more performance oriented instruction in math, writing, and global learning—consistent with our transnational business location and multinational demographics. We intend to deepen our role as the nation's leading producer of STEM degrees for minority students through expanded science offerings, more peer-led learning groups, and progressive faculty-led curricular and applied market-based research. Consistent with our collaboration logic and our desire to be a solutions center for the community, we also plan to provide hundreds of new internships for students through expanded partnerships with both for-profit and not-for-profit businesses and organizations in Greater Miami. Finally, we will drive business and cost efficiencies through expanded use of on- and off-campus weekend classes (FIU has the highest classroom space utilization rate in the SUS at 176% of statutory requirements), reduced energy costs (FIU led the SUS in energy conservation for four consecutive years, 2007-2011), and new revenue through expanded market based, adult learner, degree programs.



## STRENGTHS AND OPPORTUNITIES *(within 3 years)*

*What are your core capabilities, opportunities and challenges for improvement?*

FIU's greatest strength is its close linkage to the community. As noted above, FIU provides the education and research to prepare our students for careers in the target industries identified in One Community One Goal. FIU reflects the community in its access and diversity. FIU serves the nation as a demonstration that diversity and excellence can be coterminous. We graduate more Hispanic STEM bachelor's and master's degree recipients than any other university in the country. A greater percentage of our doctoral degree recipients obtain faculty positions in universities than the national median. Our graduates lead the SUS in having jobs after graduation and in having high paying jobs. Our 6-year graduation rate for Hispanics is better than that of any other large Hispanic Serving Institution. That notable statement notwithstanding, we know we can do substantially better so our greatest opportunity in the next three years is to improve our six-year graduation rate.

## KEY INITIATIVES & INVESTMENTS *(within 3 years)*

*Describe your top three key initiatives for the next three years that will drive improvement in Academic Quality, Operational Efficiency, and Return on Investment.*

**1 Graduation Success Initiative (GSI):** GSI is a comprehensive system for improving retention and graduation rates at FIU. FIU has used tuition differential dollars to hire 65 new faculty, advisors, and academic support staff for 2012-13; build an in-house degree audit system; launch MyMajorMatch, a career selection tool; and establish Major Maps for each undergraduate major. Recognizing mathematics as a major hurdle for many of our students, we re-designed College Algebra to marry high tech (computer-assisted teaching algebra) with high touch (individualized instruction using Learning Assistants). In the first year pass rate for College Algebra increased from 33% to 48%. Writing is equally as important as math. FIU has launched both Writing Across the Curriculum and Writing in the Discipline projects with faculty in many departments designing effective writing assignments and improving students' critical thinking through writing assisted by 55 writing fellows.

**2 Enhancing STEM Success:** FIU recognizes the importance of producing STEM graduates to grow the economy of Miami and Florida. STEM education starts in K-12 and FIU has partnered with Miami-Dade County Public Schools (MDCPS) to increase the number of STEM graduates teaching in the MDCPS system. The goal is 40 new STEM teachers per year by 2013 with degrees in a STEM discipline and an Education minor. This initiative is led by 17 faculty in 6 departments supported by over \$20M in active grants. Over the past five years, FIU has hired 45 new faculty in STEM disciplines, the number of physics majors has increased 400%, and STEM enrollments overall have doubled. FIU is the leader in Life Sciences South Florida, a consortium of 16 universities, colleges, economic development agencies, and research institutes developing the full potential of South Florida in the life sciences.

**3 Preparing Students for the Workforce through Internships:** FIU is increasing the number of internships available to students to gain practical workforce experience. During the last academic year 664 students were placed in internships and 1,326 took a course requiring an internship. FPL provides internships in its call center located on the FIU campus. Out of the first 22 interns, 16 became full-time employees with 9 of these in management positions. Other internship opportunities exist with Sotheby's International Realty, Jackson Health System, and the office of the Miami-Dade County Mayor. Our strong internship program is one of the reasons FIU has the highest percentage of graduates employed in the first year after graduation of any SUS institution.



## KEY PERFORMANCE INDICATORS

The Board of Governors has selected the following Key Performance Indicators, from its 2012-2025 System Strategic Plan and from accountability metrics identified by the Florida Legislature. The Key Performance Indicators emphasize three primary areas of focus: **Academic Quality, Operational Efficiency, and Return on Investment**. The indicators address common goals across all universities while also providing flexibility to address institution-specific goals from a list of metrics in the 2012-2025 System Strategic Plan.

The Goals Specific to Research Universities apply only to those universities classified by the Carnegie Foundation for the Advancement of Teaching as being a 'Research University'<sup>1</sup>, which includes Florida A&M University (by university request), Florida Atlantic University, Florida International University, Florida State University, University of Central Florida, University of Florida, and the University of South Florida.

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<sup>1</sup> The Carnegie Foundation for the Advancement of Teaching has developed a well-respected system of categorizing postsecondary institutions that includes consideration of each doctorate-granting university's research activities – for more information see [link](#).



## KEY PERFORMANCE INDICATORS

### Goals Common to All Universities

	5 YEAR TREND (05-06 to 10-11)	2010-11 ACTUAL	2011-12 ESTIMATES	2012-13 GOALS	3 YEAR GOALS (2014-15)
<b>Academic Quality</b>					
National Ranking for University and Programs					
FIU has developed a five-year enrollment management plan that allows for significant growth in students, advisors and faculty. The faculty growth will be in strategic areas that enhance external funding and doctoral degree production. These are the primary metrics of national preeminence.					
Avg. SAT Score	-1.7% <sup>1</sup>	1700	1699	1699	1699
Avg. High School GPA (on 4.0 scale)	3%	3.7	3.7	3.7	3.8
Professional/Licensure Exam First-time Pass Rates <sup>2</sup>					
Exams Above National/State Benchmark	n/a	2	2	3	4
Exams Below National/State Benchmark	n/a	2	2	1	0
Percent of Undergraduate Seniors Participating in a Research Course	n/a	A system-wide definition will be determined during the Summer of 2012.			
SUBTOTAL OF IMPROVING METRICS	1	0	1	2	
<b>Operational Efficiency</b>					
Freshman Retention Rate	4%	82%	82%	82%	83%
FTIC Graduation Rates					
In 4 years (or less)	0%	19%	19%	20%	21%
In 6 years (or less)	-5%	41%	43%	44%	46%
AA Transfer Graduation Rates					
In 2 years (or less)	-3%	20%	20%	20%	21%
In 4 years (or less)	-2%	59%	59%	59%	60%
Percent of Bachelor's Degrees Without Excess Hours	n/a	Board staff is currently updating the methodology for this metric.			
SUBTOTAL OF IMPROVING METRICS	1	1	2	5	
<b>Return on Investment</b>					
Bachelor's Degrees Awarded	31%	6,637	6,940	7,257	7,934
Percent of Bachelor's Degrees in STEM	-1.6%	17%	17%	17.5%	18%
Graduate Degrees Awarded	65%	2,971	3,321	3,513	4,154
Percent of Graduate Degrees in STEM	-6.2%	16%	16%	16.5%	17%
Percent of Baccalaureate Graduates Employed in Florida	-7%	66% <sup>3</sup>	66%	66%	66%
Percent of Baccalaureate Graduates Continuing their Education (in FL)	0%	21% <sup>3</sup>	21%	21%	21%
Annual Gifts Received (\$M)	192%	\$40.5	\$14.9	\$24.1	\$114.6
Endowment (\$M)	70%	\$136.2	\$140.9	\$163.4	\$210.5
SUBTOTAL OF IMPROVING METRICS	4	3	6	6	
TOTAL OF IMPROVING METRICS	6	4	9	13	

Notes: (1) SAT trends are based on 3 year average, (2) Professional licensure pass rates are based on the 2010-11 Annual Accountability Report with data that spans multiple time periods, (3) Percent of graduates employed and continuing their education is based on 2009-10 data from FETPIP.



## KEY PERFORMANCE INDICATORS

### Goals Specific to Research Universities

	5 YEAR TREND (2005-06 to 2010-11)	2010-11 ACTUAL	2011-12 ESTIMATES	2012-13 GOALS	3 YEAR GOALS (2014-15)
Academic Quality					
Faculty Awards	450%	11	11	11	12
National Academy Members	100%	2	2	2	2
Number of Post-Doctoral Appointees	60%	40	57	62	66
Number of Science & Engineering Disciplines Nationally Ranked in Top 100 for Research Expenditures (based on 8 broad discipline areas, and includes private universities)	n/a	2 <sup>1</sup>	2	2	2
SUBTOTAL OF IMPROVING METRICS	3		1	1	2
Operational Efficiency					
To Be Determined		The Board of Governors will work with Universities to develop metrics associated with Operational Efficiencies.			
Return on Investment					
Total Research Expenditures (\$M) (includes non-Science & Engineering disciplines)	29.9%	\$110	\$114	\$120	\$139
Science & Engineering Research Expenditures (\$M)	48.6%	\$97.8	\$103	\$109	\$126
Percent of Research Expenditures funded from External Sources	15.6%	68.6%	68%	68%	68%
Patents Issued	-25 %	3	1	2	8
Licenses/Options Executed	0%	0	1	2	8
Licensing Income Received (\$M)	-68%	\$ .012	\$ 0.01	\$ 0.03	\$ 0.1
Number of Start-up Companies	0%	0	1	2	6
Science & Engineering R&D Expenditures in Non-Medical/Health Sciences	20.5%	\$61,803,000	\$63,500,000	\$69,000,000	\$80,000,000
National Rank is Higher than Predicted by the Financial Resources Ranking (based on U.S. News & World Report)	n/a	NR	n/a	n/a	n/a
Research Doctoral Degrees Awarded	68%	148	151	159	177
Professional Doctoral Degrees Awarded	79%	226	232	256	305
SUBTOTAL OF IMPROVING METRICS	6		6	9	9
TOTAL OF IMPROVING METRICS	9		7	10	11

Notes: (1) The most recent Number of Science & Engineering Disciplines Nationally Ranked in Top 100 for Research Expenditures data is based on 2009-10 data.



## KEY PERFORMANCE INDICATORS

### Institution Specific Goals

Each university will select three metric goals from the following list of metrics included in the 2012-2025 System Strategic Plan:

Freshman in Top 10% of Graduating High School Class	Bachelor's Degrees in Areas of Strategic Emphasis
Percentage of Eligible Programs with Specialized Accreditation	Graduate Degrees in Areas of Strategic Emphasis
Average Time to Degree for FTICs	Number of Faculty Designated a Highly Cited Scholar
Bachelor's Degrees Awarded to Minorities	Seek and/or Maintain Carnegie's Community Engagement Classification (narrative goal)
Number of Adult (age 25+) Undergraduates Enrolled	Percentage of Students Participating in Identified Community and Business Engagement Activities
Percent of Course Sections Offered via Distance and Blended Learning	Enrollment in Professional Training and Continuing Education Courses

	5 YEAR TREND (2005-06 to 2010-11)	2010-11 ACTUAL	2011-12 ESTIMATES	2012-13 GOALS	3 YEAR GOALS (2014-15)
Metric #1 Bachelor's Degrees Awarded to Minorities	39%	5,169	5,459	5,764	6,428
Metric #2 Bachelor's Degrees in Areas of Strategic Emphasis	32%	4,269	4,474	4,688	5,148
Metric #3 Graduate Degrees in Areas of Strategic Emphasis	72%	2,068	2,264	2,478	2,969

To further distinguish the university's distinctive mission, the university may choose to provide two additional narrative and metric goals that are based on the university's own strategic plan.

**Goal 1.** *The 2010-15 Worlds Ahead Strategic Plan encourages interdisciplinary teaching, advanced pedagogical approaches in the classroom, and expanded state-of-the-art online learning. Therefore, FIU plans to increase fully online student credit hours offered to 20% by year 2015. This will bring technology innovation to the classroom and provide current and prospective students additional access to higher education.*

Metric: Increase Percentage of Student Credit Hours Offered Fully Online	10%	16.4%	19%	19.25%	19.75%
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**Goal 2.** *The Strategic plan calls for increasing the percentage of full-time students at the lower, upper, GRAD 1 and GRAD 2 levels by 2 percent for year 2015. This goal is a building block in the University's effort to increase its graduation rate. The expectation is that increasing full-time enrollment as well as expanding student-support services will have a positive correlation with the number of students who complete their degrees within six years.*

Metric: Gradual Shift to a Higher Percentage of Full-time Students	2%	64%	65%	65.5%	66.5%
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## OPERATIONS



## FISCAL INFORMATION

### University Revenues *(in Millions of Dollars)*

	2007-08 Actual	2008-09 Actual	2009-10 Actual	2010-11 Actual	2011-12 Actual	2012-13 Appropriations
<b>Education &amp; General – Main Operations</b>						
State Funds	\$234.0	\$215.6	\$196.0	\$202.5	\$168.8	\$142.4
Tuition	\$124.7	\$133.6	\$138.4	\$165.2	\$188.3	n/a
<b>TOTAL MAIN OPERATIONS</b>	<b>\$358.6</b>	<b>\$349.2</b>	<b>\$334.4</b>	<b>\$367.7</b>	<b>\$357.1</b>	<b>n/a</b>
State Funds	\$5.3	\$11.5	\$22.3	\$26.1	\$26.3	\$26.9
Tuition	\$0.0	\$0.0	\$1.2	\$2.5	\$5.4	n/a
<b>TOTAL HSC</b>	<b>\$5.3</b>	<b>\$11.5</b>	<b>\$23.5</b>	<b>\$28.6</b>	<b>\$31.7</b>	<b>n/a</b>
<b>Education &amp; General – Institute of Food &amp; Agricultural Sciences (IFAS)</b>						
State Funds	n/a	n/a	n/a	n/a	n/a	n/a
Tuition	n/a	n/a	n/a	n/a	n/a	n/a
<b>TOTAL IFAS</b>	<b>n/a</b>	<b>n/a</b>	<b>n/a</b>	<b>n/a</b>	<b>n/a</b>	<b>n/a</b>
<b>EDUCATION &amp; GENERAL TOTAL REVENUES</b>	<b>\$ 363.9</b>	<b>\$ 360.7</b>	<b>\$ 357.9</b>	<b>\$ 396.2</b>	<b>\$388.8</b>	<b>n/a</b>

Note: State funds include General Revenue funds, Lottery funds, Federal Stimulus funds, and Phosphate Research funds (for Polytechnic) appropriated by the Florida Legislature (as reported in the Annual Accountability Report). Actual tuition includes base tuition and tuition differential fee revenues for resident and non-resident undergraduate and graduate students net of waivers (as reported in the Annual Accountability Report). Actual tuition revenues are not yet available for the 2012-13 year.

### OTHER BUDGET ENTITIES

#### Auxiliary Enterprises

Resources associated with auxiliary units that are self supporting through fees, payments and charges. Examples include housing, food services, bookstores, parking services, health centers.

Revenues	\$111.7	\$108.9	\$148.4	\$163.4	\$167.6	n/a
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#### Contracts & Grants

Resources received from federal, state or private sources for the purposes of conducting research and public service activities.

Revenues	\$80.7	\$80.8	\$88.9	\$91.2	\$94.8	n/a
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#### Local Funds

Resources associated with student activity (supported by the student activity fee), student financial aid, concessions, intercollegiate athletics, technology fee, green fee, and student life & services fee.

Revenues	\$103.5	\$108.1	\$135.3	\$175.8	\$190.7	n/a
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#### Faculty Practice Plans

Revenues/receipts are funds generated from faculty practice plan activities.

Revenues	\$ 0	\$ 0	\$ 0	\$ 0	\$0.3	n/a
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<b>OTHER BUDGET ENTITY TOTAL REVENUES</b>	<b>\$295.9</b>	<b>\$297.8</b>	<b>\$372.6</b>	<b>\$430.4</b>	<b>\$453.4</b>	<b>n/a</b>
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<b>UNIVERSITY REVENUES GRAND TOTAL</b>	<b>\$659.8</b>	<b>\$658.5</b>	<b>\$730.5</b>	<b>\$826.7</b>	<b>\$842.2</b>	<b>n/a</b>
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## FISCAL INFORMATION (continued)

### Undergraduate Resident Tuition Summary *(for 30 credit hours)*

	FY 2010-11 ACTUAL	FY 2011-12 ACTUAL	FY 2012-13 REQUEST	FY 2013-14 PLANNED	FY 2014-15 PLANNED
Base Tuition	\$2,870	\$3,100	\$3,100	\$3,100	\$3,100
Tuition Differential Fee	\$660	\$960	\$1,569	\$2,269	\$3,074
Percent Increase	15%	15%	15%	15%	15%
Required Fees <sup>1</sup>	\$1,561	\$1,615	\$1,745	\$1,802	\$1,862
<b>TOTAL TUITION AND FEES</b>	<b>\$5,091</b>	<b>\$5,675</b>	<b>\$6,414</b>	<b>\$7,171</b>	<b>\$8,036</b>

Note 1: For more information regarding required fees see list of per credit hour fees and block fees on page 16.

### Student Debt Summary

	2007-08 ACTUAL	2008-09 ACTUAL	2009-10 ACTUAL	2010-11 ACTUAL	2011-12 ESTIMATE
Percent of Bachelor's Recipients with Debt	27.62%	39%	44.07%	45.88%	49.58%
Average Amount of Debt <i>for Bachelor's who have graduated with debt</i>	\$10,361	\$13,597	\$14,873	\$16,419	\$16,978
Student Loan Cohort Default Rate (2nd Year)	4.3%	4.8%	5.8%	6.5%	7%
Student Loan Cohort Default Rate (3rd Year)	n/a	n/a	9.7%*	n/a	n/a

Note: Student Loan cohort default data includes undergraduate and graduate students. \*2009-2010 is the first draft 3-year default rate available.

### Cost of Attendance *(for Full-Time Undergraduate Florida Residents in the Fall and Spring of 2011-12)*

	TUITION & FEES*	BOOKS & SUPPLIES	ROOM & BOARD	TRANSPORTATION	OTHER EXPENSES	TOTAL
<b>ON-CAMPUS</b>	\$5,675	\$1,160	\$11,330	\$1,942	\$2,310	<b>\$22,417</b>
<b>AT HOME</b>	\$5,675	\$1,160	\$3,584	\$2,726	\$2,148	<b>\$15,293</b>

\*Based on 30 credit hours.

### Estimated Net Cost by Family Income *(for Full-Time Undergraduate Florida Residents in the Fall and Spring of 2011-12)*

FAMILY INCOME GROUPS	FULL-TIME RESIDENT UNDERGRADUATES HEADCOUNT	PERCENT	AVG. NET COST OF ATTENDANCE	AVG. NET TUITION & FEES	AVERAGE GIFT AID AMOUNT	AVERAGE LOAN AMOUNT
Below \$40,000	8,023	51%	\$11,699	-\$2,753	\$7,717	\$7,230
\$40,000-\$59,999	1,557	10%	\$13,543	\$94	\$4,857	\$6,122
\$60,000-\$79,999	918	6%	\$14,432	\$1,467	\$3,521	\$6,286
\$80,000-\$99,999	607	4%	\$14,857	\$1,959	\$3,038	\$6,523
\$100,000 Above	1,721	11%	\$14,495	\$1,985	\$2,907	\$6,648
Missing	2,871	18%	n/a	n/a	n/a	n/a
<b>TOTAL</b>	<b>15,697</b>	<b>100%</b>	<b>AVERAGE</b>	<b>\$13,805</b>	<b>\$550.40</b>	<b>\$4,408</b>
						<b>\$6,562</b>

Notes: This data only represents Fall and Spring financial aid data, and is accurate as of March 31, 2012. Please note that small changes to Spring 2012 awards are possible before the data is finalized. **Family Income Groups** are based on the Total Family Income (including untaxed income) as reported on student FAFSA records. **Full-time Students** is a headcount based on at least 24 credit hours during Fall and Spring terms. **Average Gift Aid** includes all grants and scholarships from Federal, State, University and other private sources administered by the Financial Aid Office. Student waivers are also included in the Gift Aid amount. Gift Aid does not include the parental contribution towards EFC. **Net Cost of Attendance** is the actual average of the total Costs of Attendance (which will vary by income group due to the diversity of students living on- & off- campus) *minus* the average Gift Aid amount. **Net Tuition & Fees** is the actual average of the total costs of tuition and fees (which will vary by income group due to the amount of credit hours students are enrolled) *minus* the average Gift Aid amount (see page 16 for list of fees that are included). **Average Loan Amount** includes Federal (Perkins, Stafford, Ford Direct, and PLUS loans) and all private loans. The bottom-line **Average** represents the average of all full-time undergraduate Florida residents.



## TUITION DIFFERENTIAL FEE REQUEST FOR 2012-13

Effective Date	
University Board of Trustees Approval Date:	June 14 <sup>th</sup> , 2012
Implementation Date (month/year):	08/2012
Campus or Center Location	
Campus or Center Location to which the Tuition Differential fee will apply (If the entire university, indicate as such):	Entire University
Undergraduate Course(s)	
Course(s). (If the tuition differential fee applies to all university undergraduate courses, indicate as such. If not, also provide a rationale for the differentiation among courses):	All Undergraduate Courses
Current and Proposed Increase in the Tuition Differential Fee	
Current Undergraduate Tuition Differential per credit hour:	\$32.00
Percentage tuition differential fee increase (calculated as a percentage of the sum of base tuition plus tuition differential):	15%
\$ Increase in tuition differential per credit hour:	\$20.29
\$ Increase in tuition differential for 30 credit hours:	\$52.29
Projected Differential Revenue Generated	
Incremental differential fee revenue generated in 2012-13 (projected) :	\$15.6M
Total differential fee revenue generated in 2012-13 (projected):	\$40.5M
Intended Uses	
<p>\$13.3M Undergraduate Faculty hires to improve graduation and retention rates</p> <p>\$0.3M Disability Services to increase resources for deaf and hearing impaired students</p> <p>\$0.6M Undergraduate Studies Office to strengthen undergraduate experience and academic components.</p> <p>\$0.7M Undergraduate Tutoring Support - Writing Center - to strengthen students communications skills</p> <p>\$2.9M Summer Courses - Instructional Funding - Increase courses offered for the summer term.</p> <p>\$3.7M Student Support Advisors Services - Hire advisors to support Undergraduate Students.</p> <p>\$2.2M Library Inflationary - Inflation for Undergraduate Scholarly Journals and Database.</p> <p>\$4.2M Enrollment services and Retention – Improve enrollment management, course offerings and Student Success.</p> <p>\$0.3M Student Centered Learning environments</p> <p>\$12.3M Financial Aid</p>	
Describe the Impact to the Institution if Tuition Differential is Not Approved	
<p>In anticipation of the expected student growth and incremental differential tuition, for the next academic year FIU has hired an additional 38 faculty, 15 advisors, 2 writing laboratory instructors, and 10 academic support staff, all focused on undergraduate learning and success.</p>	
Request to Modify or Waive Tuition Differential Uses	
<p>(this section is applicable only if HB 7135 is signed by the Governor and the university wishes to request a change to the 70% / 30% intended uses criteria identified in Regulation 7.001(14))</p>	



## FISCAL INFORMATION (continued)

### TUITION DIFFERENTIAL SUPPLEMENTAL INFORMATION

Provide the following information for the 2011-12 academic year.

2011-2012 - 70% Initiatives (list the initiatives provided in the 2011-12 tuition differential request)	University Update on Each Initiative
Undergraduate Faculty Hires	Continue to improve quality of instruction and minimize impact of budget reduction to course offerings and maintain enrollments.
Undergraduate Student Advisors	Continue to improve advisor to student ratios
Undergraduate Scholarly Journals and Database	Continue to maintain subscriptions and offset increased costs
Undergraduate Academic Support	Continue to improve writing center, resources for disabled students and security.
Additional Detail, where applicable:	
Total Number of Faculty Hired or Retained (funded by tuition differential):	208
Total Number of Advisors Hired or Retained (funded by tuition differential):	32
Total Number of Course Sections Added or Saved (funded by tuition differential):	992
2011-2012 - 30% Initiatives (list the initiatives provided in the 2011-12 tuition differential request)	University Update on Each Initiative
FIU Tuition Differential Grants	Continue to provide aid to the neediest undergraduate students with Estimated Family Contribution = 0
Additional Information (estimates as of April 30, 2012):	
Unduplicated Count of Students Receiving at least one Tuition Differential-Funded Award:	5,688
\$ Mean (per student receiving an award) of Tuition Differential-Funded Awards:	\$642.42
\$ Minimum (per student receiving an award) of Tuition Differential-Funded Awards:	\$93.75
\$ Maximum (per student receiving an award) of Tuition Differential-Funded Awards:	\$750.00



## TUITION DIFFERENTIAL COLLECTIONS, EXPENDITURES, & AVAILABLE BALANCES - FISCAL YEAR 2011-12 AND 2012-13

**University Tuition Differential**

Budget Entity: 48900100 (Educational &amp; General)

SF/Fund: 2 164xxx (Student and Other Fees Trust Fund)

	Estimated Actual* 2011-12 -----	Estimated 2012-13 -----
<b><u>FTE Positions:</u></b>		
Faculty	95.31	149.81
Advisors	32.00	48.00
Staff	32.00	48.50
Total FTE Positions:	159.31	246.31
<b><u>Balance Forward from Prior Periods</u></b>		
Balance Forward	\$ --	\$343,625
Less: Prior-Year Encumbrances	--	--
Beginning Balance Available:	\$ --	\$343,625
<b><u>Receipts / Revenues</u></b>		
Tuition Differential Collections	\$24,825,490	\$40,458,753
Interest Revenue - Current Year	--	--
Interest Revenue - From Carryforward Balance	--	--
Total Receipts / Revenues:	\$24,825,490	\$40,458,753
<b><u>Expenditures</u></b>		
Salaries & Benefits	\$13,303,356	\$22,238,189
Other Personal Services	727,164	1,067,883
Expenses	1,340,802	3,066,277
Operating Capital Outlay	1,865,528	2,163,606
Student Financial Assistance	7,245,015	12,266,423
Expended From Carryforward Balance	--	--
**Other Category Expenditures	--	--
Total Expenditures:	\$24,481,865	\$40,802,378
<b>Ending Balance Available:</b>	<b>\$343,625</b>	<b>\$ (0)</b>

\*Since the 2011-12 year has not been completed, provide an estimated actual.

\*\*Provide details for "Other Categories" used.



## FISCAL INFORMATION (continued)

### UNIVERSITY TUITION, FEES AND HOUSING PROJECTIONS

<u>Undergraduate Students</u>	<u>Actual</u>			<u>Projected</u>			
	2009-10	2010-11	2011-12	2012-13	2013-14	2014-15	2015-16
<b><u>Tuition:</u></b>							
Base Tuition - (0% inc. for 2013-14 to 2015-16)	\$88.59	\$95.67	\$103.32	\$103.32	\$103.32	\$103.32	\$103.32
Tuition Differential (no more than 15%)	13.74	\$22.00	\$32.00	\$52.29	\$75.64	\$102.48	\$133.35
<b>Total Base Tuition &amp; Differential per Credit Hour</b>	<b>\$102.33</b>	<b>\$117.67</b>	<b>\$135.32</b>	<b>\$155.62</b>	<b>\$178.96</b>	<b>\$205.80</b>	<b>\$236.67</b>
% Change		15.0%	15.0%	15.0%	15.0%	15.0%	15.0%
<b><u>Fees (per credit hour):</u></b>							
Student Financial Aid <sup>1</sup>	\$4.42	\$4.78	\$5.16	\$5.16	\$5.16	\$5.16	\$5.16
Building/Capital Improvement <sup>2</sup>	\$4.76	\$4.76	\$4.76	\$6.76	\$6.76	\$6.76	\$6.76
Activity & Service	\$11.60	\$11.60	\$11.60	\$12.87	\$12.87	\$12.87	\$14.25
Health							
Athletic	\$14.51	\$14.51	\$15.56	\$16.10	\$16.10	\$18.10	\$18.10
Transportation Access							
Technology <sup>1</sup>	\$4.42	\$4.78	\$5.16	\$5.16	\$5.16	\$5.16	\$5.16
Green Fee (USF, NCF, UWF only)							
Student Life & Services Fee (UNF only)							
Marshall Center Fee (USF only)							
Student Affairs Facility Use Fee (FSU only)							
<b>Total Fees</b>	<b>\$39.71</b>	<b>\$40.43</b>	<b>\$42.24</b>	<b>\$46.05</b>	<b>\$46.05</b>	<b>\$48.05</b>	<b>\$49.43</b>
<b>Total Tuition and Fees per Credit Hour</b>	<b>\$142.04</b>	<b>\$158.10</b>	<b>\$177.56</b>	<b>\$201.67</b>	<b>\$225.01</b>	<b>\$253.85</b>	<b>\$286.10</b>
% Change		11.3%	12.3%	13.6%	11.6%	12.8%	12.7%
<b><u>Fees (block per term):</u></b>							
Activity & Service							
Health	\$67.20	\$83.19	\$83.19	\$83.19	\$102.68	\$102.68	\$102.68
Athletic	\$10.00	\$10.00	\$10.00	\$10.00	\$10.00	\$10.00	\$10.00
Transportation Access	\$77.00	\$81.00	\$81.00	\$88.94	\$98.00	\$98.00	\$98.00
Marshall Center Fee (USF only)							
Student Affairs Facility Use Fee (FSU only)							
<b>Total Block Fees per term</b>	<b>\$154.20</b>	<b>\$174.19</b>	<b>\$174.19</b>	<b>\$182.13</b>	<b>\$210.68</b>	<b>\$210.68</b>	<b>\$210.68</b>
% Change		13.0%	0.0%	4.6%	15.7%	0.0%	0.0%
<b>Total Tuition for 30 Credit Hours</b>	<b>\$3,069.90</b>	<b>\$3,530.07</b>	<b>\$4,059.58</b>	<b>\$4,668.52</b>	<b>\$5,368.80</b>	<b>\$6,174.12</b>	<b>\$7,100.23</b>
<b>Total Fees for 30 Credit Hours</b>	<b>\$1,499.70</b>	<b>\$1,561.28</b>	<b>\$1,615.58</b>	<b>\$1,745.76</b>	<b>\$1,802.86</b>	<b>\$1,862.86</b>	<b>\$1,904.26</b>
<b>Total Tuition and Fees for 30 Credit Hours</b>	<b>\$4,569.60</b>	<b>\$5,091.35</b>	<b>\$5,675.16</b>	<b>\$6,414.28</b>	<b>\$7,171.66</b>	<b>\$8,036.98</b>	<b>\$9,004.49</b>
\$ Change		\$521.75	\$583.81	\$739.12	\$757.38	\$865.32	\$967.52
% Change		11.4%	11.5%	13.0%	11.8%	12.1%	12.0%
<b><u>Out-of-State Fees</u></b>							
Out-of-State Undergraduate Fee	\$393.62	\$393.62	\$393.62	\$393.62	\$393.62	\$393.62	\$393.62
Out-of-State Undergraduate Student Financial Aid <sup>3</sup>	\$19.68	\$19.68	\$19.68	\$19.68	\$19.68	\$19.68	\$19.68
<b>Total per credit hour</b>	<b>\$413.30</b>	<b>\$413.30</b>	<b>\$413.30</b>	<b>\$413.30</b>	<b>\$413.30</b>	<b>\$413.30</b>	<b>\$413.30</b>
% Change		0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
<b>Total Tuition for 30 Credit Hours</b>	<b>\$15,468.90</b>	<b>\$15,929.07</b>	<b>\$16,458.61</b>	<b>\$17,067.55</b>	<b>\$17,767.83</b>	<b>\$18,573.15</b>	<b>\$19,499.26</b>
<b>Total Fees for 30 Credit Hours</b>	<b>\$2,090.10</b>	<b>\$2,151.68</b>	<b>\$2,206.01</b>	<b>\$2,336.19</b>	<b>\$2,393.29</b>	<b>\$2,453.29</b>	<b>\$2,494.69</b>
<b>Total Tuition and Fees for 30 Credit Hours</b>	<b>\$17,559.00</b>	<b>\$18,080.75</b>	<b>\$18,664.62</b>	<b>\$19,403.74</b>	<b>\$20,161.12</b>	<b>\$21,026.44</b>	<b>\$21,993.95</b>
\$ Change		\$460.17	\$529.54	\$608.94	\$700.28	\$805.32	\$926.12
% Change		3.0%	3.3%	3.7%	4.1%	4.5%	5.0%
<b><u>Housing/Dining<sup>4</sup></u></b>							
	\$9,713.48	\$9,983.97	\$10,123.97	\$9,995.72	\$10,525.45	\$10,732.36	\$11,341.81
\$ Change	\$0.00	\$270.49	\$140.00	-\$128.25	\$529.73	\$206.91	\$609.45
% Change		2.8%	1.4%	-1.3%	5.3%	2.0%	5.7%

<sup>1</sup> can be no more than 5% of tuition.<sup>3</sup> can be no more than 5% of tuition and the out-of-state fee.<sup>2</sup> capped in statute.<sup>4</sup> combine the most popular housing and dining plans provided to students



## ENROLLMENT PLANNING

### Planned Growth by Student Type *(for E&G students at all campuses)*

	5 YEAR TREND (2005-06 to 2010-11)	2010-11 ACTUAL HEADCOUNT		2012-13 PLANNED HEADCOUNT		2013-14 PLANNED HEADCOUNT		3 YEAR (2014-15) PLANNED HEADCOUNT	
UNDERGRADUATE									
FTIC (Regular Admit)	1.7%	15,078	45.9%	16,973	47.9%	16,973	48.0%	17,285	47.9%
FTIC (Profile Admit)	-41.4%	224	0.7%	169	0.5%	169	0.5%	172	0.5%
AA Transfers*	52.7%	13,008	39.6%	13,623	38.5%	13,623	38.6%	13,874	38.5%
Other Transfers	32.3%	4,564	13.9%	4,637	13.1%	4,559	12.9%	4,723	13.1%
Subtotal	20.9%	32,874	100%	35,402	100.0%	35,324	100.0%	36,054	100.0%
GRADUATE STUDENTS									
Master's	6.9%	5,907	75.5%	7,573	79.4%	7,572	79.4%	7,711	79.5%
Research Doctoral	32.8%	1,175	15.0%	1,193	12.5%	1,193	12.5%	1,205	12.4%
Professional Doctoral	123.3%	737	9.4%	771	8.1%	771	8.1%	785	8.1%
Subtotal	16.0%	7,819	100%	9,537	100.0%	9,536	100.0%	9,701	100.0%
NOT-DEGREE SEEKING	103.3%	3,232		4,781		4,779		4,805	
MEDICAL	N/A	85		280		360		440	
TOTAL	17.6%	44,010		50,000		50,000		51,000	

Note\*: AA transfers refer only to transfers from the Florida College System.

### Planned Growth by Method of Instruction *(for E&G students at all campuses)*

	5 YEAR TREND (2005-06 to 2010-11)	2010-11		2012-13		2013-14		3 YEAR (2014-15)	
		ACTUAL FTE	% of TOTAL	PLANNED FTE	% of TOTAL	PLANNED FTE	% of TOTAL	PLANNED FTE	% of TOTAL
<b>UNDERGRADUATE</b>									
DISTANCE (>80%)	196.7%	3,872	17.3%	4,859	19.3%	5,313	21.1%	5,968	23.0%
HYBRID (50%-79%)	-83.4%	108	0.5%	327	1.3%	227	0.9%	116	0.4%
TRADITIONAL (<50%)	3.0%	18,401	82.2%	19,992	79.4%	19,638	78.0%	19,903	76.6%
<b>TOTAL</b>	<b>12.9%</b>	<b>22,381</b>	<b>100%</b>	<b>25,178</b>	<b>100%</b>	<b>25,178</b>	<b>100%</b>	<b>25,987</b>	<b>100%</b>
<b>GRADUATE</b>									
DISTANCE (80%)	295.2%	429	8.4%	390	8.4%	427	9.2%	423	10.0%
HYBRID (50%-79%)	46.3%	36	0.7%	42	0.9%	28	0.6%	13	0.3%
TRADITIONAL (<50%)	38.7%	4,658	90.9%	4,213	90.7%	4,190	90.2%	3,791	89.7%
<b>TOTAL</b>	<b>46.7%</b>	<b>5,123</b>	<b>100%</b>	<b>4,645</b>	<b>100%</b>	<b>4,645</b>	<b>100%</b>	<b>4,227</b>	<b>100%</b>

Note: Full-time Equivalent (FTE) student is a measure of instructional effort (and student activity) that is based on the number of credit hours that students enroll. FTE is based on the Florida definition, which divides undergraduate credit hours by 40 and graduate credit hours by 32. **Distance Learning** is a course in which at least 80 percent of the direct instruction of the course is delivered using some form of technology when the student and instructor are separated by time or space, or both (per 1009.24(17), F.S.). **Hybrid** is a course where 50% to 79% of the instruction is delivered using some form of technology, when the student and instructor are separated by time or space, or both (per SUDS data element 2052). **Traditional (and Technology Enhanced)** refers to primarily face to face instruction utilizing some form of technology for delivery of supplemental course materials for *no more* than 49% of instruction (per SUDS data element 2052).



## ENROLLMENT PLANNING (continued)

### Statutorily Required Enrollment Plan *(Based on State-Fundable Florida FTE)*

	Funded 2011-12	Estimated 2011-12	Funded 2012-13	Planned 2012-13	Planned 2013-14	3 Year Planned 2014-15	5 Year Planned 2016-17	5-Year Projected Average Annual Growth Rate
<b><i>Florida Resident</i></b>								
LOWER DIVISION	7,860	9,208	7,860	9,884	9,884	10,269	10,671	2.99%
UPPER DIVISION	11,682	13,891	11,682	13,953	13,953	14,330	14,892	1.40%
GRAD I	2,588	2,713	2,588	2,613	2,613	2,665	2,770	0.42%
GRAD II	818	945	818	1,052	1,052	587	610	-8.38%
<b>TOTAL</b>	<b>22,948</b>	<b>26,757</b>	<b>22,948</b>	<b>27,502</b>	<b>27,502</b>	<b>27,851</b>	<b>28,943</b>	<b>1.58%</b>
<b><i>Not a Florida Resident</i></b>								
LOWER DIVISION		565		596	596	620	644	2.65%
UPPER DIVISION		756		745	745	768	798	1.09%
GRAD I		580		546	546	557	579	-0.03%
GRAD II		424		434	434	418	435	0.51%
<b>TOTAL</b>	<b>2,138</b>	<b>2,324</b>	<b>2,138</b>	<b>2,321</b>	<b>2,321</b>	<b>2,363</b>	<b>2,456</b>	<b>1.10%</b>
LOWER DIVISION		9,774		10,480	10,480	10,889	11,315	2.97%
UPPER DIVISION		14,647		14,698	14,698	15,098	15,690	1.39%
GRAD I		3,292		3,159	3,159	3,222	3,349	0.34%
GRAD II		1,369		1,486	1,486	1,005	1,045	-5.26%
<b>TOTAL</b>	<b>25,086</b>	<b>29,082</b>	<b>25,086</b>	<b>29,823</b>	<b>29,823</b>	<b>30,214</b>	<b>31,399</b>	<b>1.54%</b>
<b>TOTAL (US FTE)</b>	<b>33,448</b>	<b>38,776</b>	<b>33,448</b>	<b>39,764</b>	<b>39,764</b>	<b>40,285</b>	<b>41,865</b>	<b>1.54%</b>

Note: Full-time Equivalent (FTE) student is a measure of instructional effort (and student activity) that is based on the number of credit hours that students enroll. FTE is based on the Florida definition, which divides undergraduate credit hours by 40 and graduate credit hours by 32.

### Medical Student Headcounts (FTE does not apply)

<b><i>Medicine Headcounts</i></b>								
FLORIDA RESIDENT	80	141	248	250	320	385	420	24.4%
NON-RESIDENT		26	32	30	40	55	60	18.2%
<b>TOTAL</b>	<b>80</b>	<b>167</b>	<b>280</b>	<b>280</b>	<b>360</b>	<b>440</b>	<b>480</b>	<b>23.5%</b>
<b><i>Dentistry Headcounts</i></b>								
FLORIDA RESIDENT	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a
NON-RESIDENT	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a
<b>TOTAL</b>	<b>n/a</b>	<b>n/a</b>	<b>n/a</b>	<b>n/a</b>	<b>n/a</b>	<b>n/a</b>	<b>n/a</b>	<b>n/a</b>
<b><i>Veterinary Headcounts</i></b>								
FLORIDA RESIDENT	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a
NON-RESIDENT	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a
<b>TOTAL</b>	<b>n/a</b>	<b>n/a</b>	<b>n/a</b>	<b>n/a</b>	<b>n/a</b>	<b>n/a</b>	<b>n/a</b>	<b>n/a</b>



## ACADEMIC PROGRAM COORDINATION

### New Programs To Be Considered by University in 2012-13 for Implementation

PROGRAM TITLES	CIP CODE 6-digit	AREA OF STRATEGIC EMPHASIS	OTHER UNIVERSITIES WITH SAME PROGRAM	OFFERED VIA DISTANCE LEARNING IN SYSTEM	PROJECTED ENROLLMENT <i>in 5th year</i>	PROPOSED DATE OF SUBMISSION TO UBOT
<b>BACHELOR'S PROGRAMS</b>						
<b>MASTER'S, SPECIALIST AND OTHER ADVANCED MASTER'S PROGRAMS</b>						
Environmental Policy & Management	03.0104	STEM	FAMU		32	2012
Law (LLM)	22.0202		FSU		24	2012
Forensic Science	43.0106	SECURITY	UF, UCF		50	2012
<b>DOCTORAL PROGRAMS</b>						

### New Programs To Be Considered by University in 2013-15 for Implementation

PROGRAM TITLES	CIP CODE 6-digit	AREA OF STRATEGIC EMPHASIS	OTHER UNIVERSITIES WITH SAME PROGRAM	OFFERED VIA DISTANCE LEARNING IN SYSTEM	PROJECTED ENROLLMENT <i>in 5th year</i>	PROPOSED DATE OF SUBMISSION TO UBOT
<b>BACHELOR'S PROGRAMS</b>						
Anthropology	45.0201	GLOBAL	FAU, FGCU, FSU, UF, UCF, USF, UNF		35	2013
Interdisciplinary Studies	30.0000		UCF, UNF		100	2014
Biochemistry	26.0202	STEM	FSU		15	2015
<b>MASTER'S, SPECIALIST AND OTHER ADVANCED MASTER'S PROGRAMS</b>						
Physician Assistant	51.0912	HEALTH	UF		30	2013
<b>DOCTORAL PROGRAMS</b>						
Administration of Justice	43.0104	SECURITY	FSU		35	2013
Creative Writing	23.1302	GLOBAL	none		20	2014
Linguistics	16.0102	GLOBAL	UF		15	2015
Mathematical Science	27.0101	STEM	UF, FSU, FAU, USF		25	2013
Pharmacy	51.2001	HEALTH	FAMU, UF, USF		400	2015



## KEY PERFORMANCE INDICATOR DEFINITIONS

Goals Common to All Universities	
Academic Quality	
National Ranking for University and Program(s)	Describe plans for increasing national preeminence of University and select programs.
Avg. SAT Score	The average SAT score for all three subtests (reading, mathematics and writing) for Admitted and Registered FTIC (B,E) students.
Avg. HS GPA (on 4.0 scale)	The average HS GPA for Admitted and Registered FTIC (B,E) students.
Professional/Licensure Exam First-time Pass Rates Exams Above National/State Benchmark Exams Below National/State Benchmark	The number of exams with first-time pass rates above and below the national or state average, as reported in the 2010-11 Accountability report, including: Nursing, Law, Medicine (3 subtests), Veterinary, Pharmacy, Dental (2 subtests), Physical Therapy, and Occupational Therapy.
Percent of Undergraduate Seniors Participating in a Research Course	This metric represents the percentage of seniors who enrolled in a Research course during their last year. Board staff will work with University officials during the summer of 2012 to determine a system-wide definition of 'a research course'.
Operational Efficiency	
Freshman Retention Rate	The percentage of a full-time, first-time-in-college (FTIC) undergraduate cohort (entering in fall term or summer continuing to fall) that is still enrolled or has graduated from the <u>same</u> institution in the following fall term.
FTIC Graduation Rates In 4 years (or less) In 6 years (or less)	First-time-in-college (FTIC) cohort is defined as undergraduates entering in fall term (or summer continuing to fall) with fewer than 12 hours earned since high school graduation. The rate is the percentage of the initial cohort that has either graduated or is still enrolled in the fourth or sixth academic year. Both full-time and part-time students are used in the calculation. Note: Students of degree programs longer than four years are included in the cohorts. The initial cohort is revised to remove students, who have allowable exclusions as defined by IPEDS, from the cohort. Students with unreported gender are included.
AA Transfer Graduation Rates In 2 years (or less) In 4 years (or less)	AA Transfer cohort is defined as undergraduates entering in the fall term (or summer continuing to fall) and having earned an AA degree from an institution in the Florida College System. The rate is the percentage of the initial cohort that has either graduated or is still enrolled in the second or fourth academic year. Both full-time and part-time students are used in the calculation. Note: Students of degree programs longer than four years are included in the cohorts. The initial cohort is revised to remove students, who have allowable exclusions as defined by IPEDS, from the cohort.
Percent of Bachelor's Degrees Without Excess Hours	The percentage of baccalaureate degrees awarded within 110% of the hours required for a degree. Excluding students with dual majors, this metric computes total academic credit as a percentage of catalog hours required for the students major (excluding remedial coursework). For the purposes of calculating excess hours, remedial credit hours includes up to 10 foreign language credit hours that are excluded for transfer students in Florida.



Return on Investment	
Bachelor's Degrees Awarded	This is a count of baccalaureate degrees granted. Students who earn two distinct degrees in the same term are counted twice – whether their degrees are from the same six-digit CIP code or different CIP codes. Students who earn only one degree are counted once – even if they completed multiple majors or tracks.
Percent of Bachelor's Degrees in STEM	The percentage of baccalaureate degrees that are classified as STEM by the Board of Governors in the SUS program inventory.
Graduate Degrees Awarded	This is a count of graduate degrees granted.
Percent of Graduate Degrees in STEM	The percentage of graduate degrees that are classified as STEM by the Board of Governors in the SUS program inventory.
Percent of Baccalaureate Graduates Employed in Florida	This is the percentage of baccalaureate graduates with valid social security numbers that are employed in Florida during the Oct-Dec fiscal quarter based on FETPIP data.
Percent of Baccalaureate Graduates Continuing their Education (in FL)	This is the percentage of baccalaureate graduates with valid social security numbers that are continuing their education in Florida during the Oct-Dec fiscal quarter based on FETPIP data.
Annual Gifts Received (\$M)	As reported in the Council for Aid to Education's Voluntary Support of Education (VSE) survey in the section entitled "Gift Income Summary," this is the sum of the present value of all gifts (including outright and deferred gifts) received for any purpose and from all sources during the fiscal year, excluding pledges and bequests. (There's a deferred gift calculator at <a href="http://www.cae.org/vse">www.cae.org/vse</a> .) The present value of non-cash gifts is defined as the tax deduction to the donor as allowed by the IRS.
Endowment (\$M)	Endowment value at the end of the fiscal year, as reported in the annual NACUBO Endowment Study (changed to the NACUBO-Common Fund Study of Endowments in 2009).
Goals Specific to Research Universities	
Academic Quality	
Faculty Awards	Awards include: American Council of Learned Societies (ACLS) Fellows, Beckman Young Investigators, Burroughs Wellcome Fund Career Awards, Cottrell Scholars, Fulbright American Scholars, Getty Scholars in Residence, Guggenheim Fellows, Howard Hughes Medical Institute Investigators, Lasker Medical Research Awards, MacArthur Foundation Fellows, Andrew W. Mellon Foundation Distinguished Achievement Awards, National Endowment for the Humanities (NEH) Fellows, National Humanities Center Fellows, National Institutes of Health (NIH) MERIT, National Medal of Science and National Medal of Technology, NSF CAREER awards (excluding those who are also PECASE winners), Newberry Library Long-term Fellows, Pew Scholars in Biomedicine, Presidential Early Career Awards for Scientists and Engineers (PECASE), Robert Wood Johnson Policy Fellows, Searle Scholars, Sloan Research Fellows, Woodrow Wilson Fellows. As reported by the Top American Research Universities – see <a href="#">link</a> .
National Academy Members	The number of National Academy members included in the National Academy of Sciences, National Academy of Engineering, and the Institute of Medicine.
Number of Post-Doctoral appointees	As submitted to the National Science Foundation Survey of Graduate Students and Postdoctorates in Science & Engineering (also known as the GSS).



<b>Number of Science &amp; Engineering Disciplines nationally ranked in Top 100 for research expenditures</b>	The number of Science & Engineering disciplines the university ranks in the top 100 (for public and private universities) based on the National Science Foundation's annual survey for R&D expenditures, which identifies 8 broad disciplines within Science & Engineering (Computer Science, Engineering, Environmental Science, Life Science, Mathematical Sciences, Physical Sciences, Psychology, and Social Sciences).
<b>Return on Investment</b>	
<b>Total Research Expenditures (\$M)</b>	Total expenditures for all research activities (including non-science and engineering activities) as reported on the NSF annual survey.
<b>Percent of R&amp;D Expenditures funded from External Sources</b>	The percentage of total R&D expenditures that come from Federal, Private Industry and Other sources (does not include State or Institutional funds).
<b>Patents Issued</b>	The number of patents issued in the fiscal year as reported to AUTM.
<b>Licenses/Options Executed</b>	Licenses/options executed in the fiscal year for all technologies as reported annually to the AUTM Licensing Survey. Each agreement is counted separately.
<b>Licensing Income Received (\$M)</b>	License issue fees, payments under options, annual minimums, running royalties, termination payments, amount of equity received when cashed-in, and software and biological material end-user license fees of \$1,000 or more, but not research funding, patent expense reimbursement, valuation of equity not cashed-in, software and biological material end-user license fees of less than \$1,000, or trademark licensing royalties from university insignia.
<b>Number of Start-up Companies</b>	The number of start-up companies that were dependent upon the licensing of University technology for initiation as reported in the AUTM Licensing Survey.
<b>Science &amp; Engineering Research Expenditures in non-medical/health sciences</b>	This metric reports the Science & Engineering total R&D expenditures minus the research expenditures for medical sciences as reported by the National Science Foundation (see <a href="#">link</a> , table 36 minus table 52).
<b>National rank is higher than predicted by Financial Resources Ranking</b> <i>based on US News &amp; World Report</i>	This metric compares the overall national university ranking to the financial resources rank as reported by the US News and World report.
<b>Research Doctoral Degrees Awarded</b>	The number of research doctoral degrees awarded annually.
<b>Professional Doctoral Degrees Awarded</b>	The number of professional doctoral degrees awarded annually.

**THE FLORIDA INTERNATIONAL UNIVERSITY  
BOARD OF TRUSTEES**

June 14, 2012

**Subject: Status Reports, Board Committees**

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**Proposed Board Action:**

None. Information only.

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**Background Information:**

These Committees met prior to the regular Board meeting: Finance and Audit; Academic Policy and Student Affairs; Personnel; and Athletics. The Committee Chairs will present status reports.

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**Supporting Documentation:** N/A

**Facilitator/Presenter:** Sukrit Agrawal, *Finance and Audit Committee Chair*  
Cesar L. Alvarez, *Academic Policy and Student Affairs Committee Chair*  
Michael M. Adler, *Personnel Committee Chair*  
Jorge L. Arrizurieta, *Athletics Committee Chair*

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**THE FLORIDA INTERNATIONAL UNIVERSITY  
BOARD OF TRUSTEES**

June 14, 2012

**Subject: New Business**

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**Proposed Board Action:**

Information. Action, as necessary.

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**Background Information:**

Board members will raise new business, if any.

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**Supporting Documentation:** N/A

**Facilitators/Presenters:** Albert Maury

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**THE FLORIDA INTERNATIONAL UNIVERSITY  
BOARD OF TRUSTEES**

June 14, 2012

**Subject: Concluding Remarks and Adjournment**

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**Proposed Board Action:**

None. Information only.

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**Background Information:**

Albert Maury, Chair, FIU Board of Trustees will adjourn the meeting with closing remarks.

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**Supporting Documentation:** N/A

**Facilitators/Presenters:** Albert Maury, *Chair, FIU Board of Trustees*

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