

**THE FLORIDA INTERNATIONAL UNIVERSITY
BOARD OF TRUSTEES
ACADEMIC POLICY & STUDENT AFFAIRS COMMITTEE**

TUESDAY, 6 SEPTEMBER 2005
11:00 A.M.
GL 220

MEMBERSHIP

HERBERT WERTHEIM – CHAIR
ALBERT DOTSON
BETSY ATKINS
BRUCE HAUPTLI
ALEX PRADO
NOEL GUILLAMA-ALVAREZ, FIU BOARD OF DIRECTORS

AGENDA

- | | |
|---|---------------------------------|
| I. CALL TO ORDER | HERBERT WERTHEIM |
| II. APPROVAL OF MINUTES | HERBERT WERTHEIM |
| III. CHAIR'S REMARKS | HERBERT WERTHEIM |
| IV. ITEMS FOR COMMITTEE ACTION | |
| 1. HONORARY DEGREE RECIPIENTS | MARK ROSENBERG |
| 2. TENURE AS CONDITION OF EMPLOYMENT | MARK ROSENBERG |
| 3. REVISIONS TO M.D. PROGRAM PROPOSAL | MARK ROSENBERG |
| V. ITEMS FOR COMMITTEE REVIEW <i>(NO ACTION REQUIRED)</i> | |
| 4. HMEI UPDATE | MARK ROSENBERG |
| 5. UNIVERSITY COMPLIANCE PROGRAM
REPORT 2004-2005 | LEYDA BENITEZ |
| 6. ACADEMIC AFFAIRS ENROLLMENT
UPDATE | CORINNE WEBB
DOUGLAS WARTZOK |
| 7. STUDENT AFFAIRS REPORT | ROSA JONES |
| 8. PROVOST'S REPORT | MARK ROSENBERG |
| 9. BOG STRATEGIC PLAN UPDATE | MARIE ZEGLEN |
| 10. APPROVED PROGRAMS REPORT | MARIE ZEGLEN |
| 11. PROGRAM REVIEW STATUS | MARIE ZEGLEN |
| VI. OTHER BUSINESS <i>(IF ANY)</i> | HERBERT WERTHEIM |
| VII. ADJOURNMENT | HERBERT WERTHEIM |

**THE FLORIDA INTERNATIONAL UNIVERSITY
BOARD OF TRUSTEES
ACADEMIC POLICY AND STUDENT AFFAIRS COMMITTEE**

6 SEPTEMBER 2005

**SUBJECT: ACADEMIC POLICY AND STUDENT AFFAIRS COMMITTEE MEETING
MINUTES, 8 JUNE 2005**

PROPOSED COMMITTEE ACTION:

Adopt the following Resolution:

RESOLVED that the minutes of the meeting of the Florida International University Board of Trustees' Academic Policy and Student Affairs Committee held on 8 June 2005, attached to this Resolution as Exhibit "A," are hereby approved.

EXHIBITS/SUPPORTING DOCUMENTS:

- EXHIBIT "A": 8 JUNE 2005 BOT ACADEMIC POLICY AND STUDENT AFFAIRS COMMITTEE MEETING MINUTES.

FACILITATOR/PRESENTER:

- HERBERT WERTHEIM

DRAFT

THE FLORIDA INTERNATIONAL UNIVERSITY
BOARD OF TRUSTEES



ACADEMIC POLICY AND STUDENT AFFAIRS COMMITTEE
MINUTES
8 JUNE 2005

VIA CONFERENCE CALL

I. Call to Order

The Florida International University Board of Trustees Academic Policy and Student Affairs Committee meeting was called to order on Wednesday, 8 June 2005, by Chairman David Parker at 8:35 a.m., via conference call.

The following attendance was recorded:

Present:

David Parker, *Chairperson*
Herbert Wertheim, *Vice Chair*
Bruce Hauptli
Alex Prado

Excused:

Betsy Atkins
Joan Peven Smith, *Foundation Liaison*

Cassandra Roache took a silent roll.

II. Approval of Minutes

Committee Chair David Parker asked if there were any additions or corrections to the minutes of the 31 January 2005, Academic Policy and Student Affairs Committee meeting. Hearing none, the Committee adopted the following:

RESOLVED that the minutes of the meeting of the Academic Policy and Student Affairs Committee held on 31 January 2005, attached to this Resolution as Exhibit "A," are hereby approved.

III. Chairman's Remarks

Chairman Parker welcomed Trustees and staff to the conference call meeting and thanked all for their part in ensuring the success of the meeting. He welcomes Trustee Alex Prado to his first Academic Policy and Student Affairs Committee meeting.

IV. Items for Committee Action

1. Tenure Nominations

Chairman Parker noted that he was impressed by the international diversity and educational background of the fourteen nominees for tenure. Trustee Bruce Hauptli commented that the quality of the evaluation process was equaled by the quality of the candidates who were being recommended for tenure.

Without further discussion, the Committee recommended the Board adopt the following Resolution:

WHEREAS each board of trustees is authorized to establish the personnel program for all employees of the university including tenure,

WHEREAS the University President is recommending the granting of Tenure for fourteen (14) nominees as listed in Exhibit "B",

THEREFORE BE IT RESOLVED that the Florida International University Board of Trustees approves the granting of Tenure to the seventeen individuals listed in Exhibit "B" based on the recommendations of the University President.

2. Tenure as a Condition of Employment Nominations

Chairman Parker noted that there were three nominees for tenure as a condition of their employment at FIU. Provost Rosenberg reported that all of the nominees had earned tenure at their previous institutions.

Without further discussion, the Committee recommended the Board adopt the following Resolution:

WHEREAS each board of trustees is authorized to establish the personnel program for all employees of the university including tenure,

WHEREAS the University President is recommending the granting of Tenure as a Condition of Employment for three (3) nominees listed in Exhibits "C",

THEREFORE BE IT RESOLVED that the Florida International University Board of Trustees approves the granting of Tenure to the three individuals listed in Exhibit "C" based on the recommendations of the University President.

3. Bachelor of Landscape Architecture

Provost Rosenberg requested that Assistant Professor in the School of Architecture, Marta Canaves, present the Landscape Architecture Bachelors degree program for Committee review. Professor Canaves reported that the program would feature a unique focus to complement the university's urban objectives. Trustee Hauptli reported that the Faculty Senate reviewed and approved the program on 29 April 2005.

Without further discussion, the Committee recommended the Board adopt the following Resolution:

RESOLVED that the Florida International University Board of Trustees hereby approves the Bachelor in Landscape Architecture, attached to this Resolution as Exhibit "D," and

FURTHER RESOLVED, that the Board authorizes the University President to file the proposal with the Division of Colleges and Universities and take all actions necessary to implement the program.

4. Master of Interior Design

Provost Rosenberg requested that Director and Associate Professor in the School of Architecture Janine King present the Masters of Interior Design for Committee review. She reported that interior design was among the fastest-growing professions in the country and added that demand for the undergraduate program offered at FIU had tripled over the last three years. Professor King reported that FIU's urban setting was advantageous and positioned the program well among other interior design programs in the state. Trustee Hauptli reported that the Faculty Senate reviewed and approved the program on 29 April 2005 after extensive internal review.

Without further discussion, the Committee recommended the Board adopt the following Resolution:

RESOLVED that the Florida International University Board of Trustees hereby approves the Master of Interior Design, attached to this Resolution as Exhibit "E," and

FURTHER RESOLVED, that the Board authorizes the University President to file the proposal with the Division of Colleges and Universities and take all actions necessary to implement the program.

5. M.S., International Real Estate

Graduate School of Business Dean, Jose De La Torre reported on the proposed Master of Science in International Real Estate program. He noted that currently there were 245 students enrolled in the four classes offered in the undergraduate real estate program with future demand expected to increase further. He reported that the graduate program would be offered at a new downtown center in space leased in cooperation with Macy's Department Stores. Trustee Hauptli announced that the Faculty Senate approved the program on 19 April 2005.

Without further discussion, the Committee recommended the Board adopt the following Resolution:

RESOLVED that the Florida International University Board of Trustees hereby approves the Master of Science in International Real Estate, and attached to this Resolution as Exhibit "F", and

FURTHER RESOLVED, that the Board authorizes the University President to file the proposal with the Division of Colleges and Universities and take all actions necessary to implement the program.

6. Ph.D. Materials Science and Engineering

Chairman Parker noted that he believed there was a high demand for a degree in this field. Dean of the University Graduate School Douglas Wartzok reported that there are only two other programs in the state and that this offering would be in line with both the university's and the state's plans. Trustee Hauptli requested that the program be recommended for Board approval pending Faculty Senate approval which had not yet reviewed the proposal.

Without further discussion, the Committee recommended the Board adopt the following Resolution:

RESOLVED that the Florida International University Board of Trustees approve the Doctor of Philosophy in Materials Science and Engineering proposal as a new academic program under the College of Engineering and attached to this Resolution as Exhibit "G", and

FURTHER RESOLVED, that the University file the proposal with the Division of Colleges and Universities and submit the proposal to the Florida Board of Governors for approval.

12. Performance Measures (BOG) Report

Chairman Parker requested the Accountability Measures Report be considered as an action item for the Committee's recommendation to submit it to the Board of Governors (BOG). Trustee Hauptli and Vice Provost Marie Zeglen presented the proposed targets to be considered in four areas of measurement provided in the BOG Accountability Measures. The Provost added that there had been a full discussion of the targets among the Deans and Vice-Presidents. Vice President Corinne Webb noted that the goals outlined would be a stretch for the university, but achievable. Chairman Parker requested that there be continuous reporting to the Academic Policy and Student Affairs Committee of progress made on the goals.

Without further discussion, the Committee recommended the Board adopt the following Resolution:

WHEREAS, the Florida Board of Governors ("the BOG") adopted a series of University accountability measures in January 2005, and

WHEREAS, Florida International University was asked to recommend targets for academic years 2006-2007 and 2012-2013 for measures in four areas: graduation rates, minority baccalaureate degree production, licensure pass rates, and world-class research programs,

THEREFORE, BE IT RESOLVED, that the Florida International University Board of Trustees approves the performance targets presented by the administration in each of the four measurement areas as requested by the BOG and attached to this Resolution as Exhibit "H," and

FURTHER RESOLVED, that upon approval of the performance targets, the University President shall file the plan with the Board of Governors.

V. Reports to the Committee

Chairman Parker requested, for the sake of time and because of the thoroughness of the reports provided in the agendas, that Item 7, PantherSoft Report; Item 8, Legislative Report; Item 10, Academic Affairs Report; and Item 11 Academic Learning Compact Report, be accepted as written.

13. Health and Medical Education Initiative

Chairman Parker requested that Provost Rosenberg provide a brief report on Health and Medical Education Initiative. Trustee Herbert Wertheim added that the combined strength of the School of Public Health with a School of Medicine would provide a powerful tool in preventive medicine. Trustee Wertheim reiterated his commitment to the School of Medicine Initiative and reported on his many recent contacts in the medical community.

9. Student Affairs Report

VP Rosa Jones reported that as part of Alternative Spring Break, 2005, FIU students visited 12 sites both domestic and international. One contingent went to Thailand to assist in the rebuilding efforts. She reported that Spring 2005 also marked the first time the University held six Commencement ceremonies including the historic Inaugural School of Law Commencement Ceremony, attended by the Governor and several Trustees.

VI. Other Business

Chairman Parker thanked faculty, staff and administrators for their continued commitment to the university and its mission.

VII. Adjournment

With no other business, Chairman Parker adjourned the meeting at 10:16 a.m.

Attached: Exhibits "A," "B," "C," "D," "E," "F," "G," and "H."

Trustee Requests

- 1. Trustee Wertheim requested that the department of Landscape Architecture survey the Wertheim Conservatory and report on possible improvements.*
- 2. Trustee Pino requested that Century Home Builders be considered for inclusion on the Advisory Board for International Real Estate.*
- 3. Chairman Parker requested that Accountability Measures, especially First Time in College (FTIC), numbers be reported at every Academic Policy and Student Affairs Committee meeting.*
- 4. Trustee Wertheim requested a tour of the Engineering facilities.*

CR/cr
6.15.05

**THE FLORIDA INTERNATIONAL UNIVERSITY
BOARD OF TRUSTEES
ACADEMIC POLICY AND STUDENT AFFAIRS COMMITTEE**

6 SEPTEMBER 2005

SUBJECT: HONORARY DEGREE RECIPIENTS

PROPOSED COMMITTEE ACTION:

Recommend Board adoption of the following Resolution:

RESOLVED that the Florida International University Board of Trustees endorse Dr. Ben Carson, Dr. Trevor Munroe, and Ernest E. Stempel as recipients of a doctoral degree *honoris causa* from Florida International University and whose academic and professional profiles are attached to the Resolution as Exhibit "B".

BACKGROUND INFORMATION:

The honorary degree recipients were recommended by the Faculty Senate and approved by the President and Provost to receive an honorary degree at Commencement.

EXHIBITS/SUPPORTING DOCUMENTS:

- EXHIBIT "B": ACADEMIC & PROFESSIONAL PROFILE FOR: DR. BEN CARSON, DR. TREVOR MUNROE, ERNEST E. STEMPEL

FACILITATOR/PRESENTER:

- MARK ROSENBERG

SUBJECT: Honorary Degree Recipient

Recommend Board of Trustees approval of the following candidate to receive a doctoral degree, *honoris causa* from Florida International University.

Dr. Ben Carson

BACKGROUND INFORMATION

Dr. Ben Carson is Director of Pediatric Neurosurgery at the Johns Hopkins Medical Institutions, and is Professor in the Departments of Neurosurgery, Oncology, Plastic Surgery and Pediatrics. He is the recipient of 25 honorary doctorate degrees, a member of the American Academy of Achievement, a member of the Horatio Alger Society of Distinguished Americans, and a member of Alpha Omega Alpha Honor Medical Society. In 2001, on the occasion of its 200th anniversary, the Library of Congress named Dr. Carson one of 89 "Living Legends" and Time Magazine and CNN chose him as one of America's top 20 physicians and scientists. He is the president and co-founder of the Carson Scholars Fund, which recognizes youth for exceptional academic and humanitarian accomplishments. He has written three books (*Gifted Hands*, *THINK BIG*, and *The Big Picture*), which provide inspiration and insight for leading a successful life. In 1973, Ben Carson completed his undergraduate BA degree in Psychology at Yale University, on an Academic Scholarship. He completed his M.D. degree in 1977 at the University of Michigan Medical School. In 1987, Dr. Carson, was the principal surgeon among the 70-member medical team that successfully separated the seven-month old Binder twins from Germany, who were joined at the back of their heads. This 22-hour surgical procedure was the first of its kind to be successful. In 1997, in a 28-hour surgical procedure, Dr. Carson successfully lead a team of South African and Zambian doctors that separated 11-month old twin boys joined at the top of their heads. Again, the first time that such complexly joined twins had been successfully separated. As well, he sits on the board of Directors for several organizations including the Kellogg Company, Costco Wholesale Corporation, the governing board of Yale University (Yale Corporation) and America's Promise. Dr. Carson is a highly regarded and highly sought after motivational speaker. He and his wife Cindy co-founded the Carson Scholars Fund, Inc. More than 800 students to date have been named Carson Scholars.

SUBJECT: Honorary Degree Recipient

Recommend Board approval of the following candidate to receive a doctoral degree, *honoris causa* from Florida International University.

Dr. Trevor Munroe

BACKGROUND INFORMATION

Dr. Munroe is a founder of Jamaica's University and Allied Workers Union (UAWU), which has grown from representing only service workers at the Mona campus into one of the country's leading labor unions, representing workers in all sectors of the economy. He continues to serve as president of UAWU, and he also is a director of the Jamaica Confederation of Trade Unions, a member of Jamaica's Labor Advisory Council, and a key actor in critical partnerships involving Jamaica's private sector, trade unions, and government. Further, he serves on the governing boards of several organizations, including the Sugar Industry Authority and United Way.

After completing his Bachelors degree in Economics with First Class Honors at UWI, Trevor was named a Rhodes Scholar, and he completed his doctorate at Oxford in 1969 before reaching age 25. He then began a long and distinguished academic career at UWI during which he has written and co-authored numerous scholarly works, including eight books. His 1972 book on Jamaican politics remains the authoritative work on Jamaican decolonization, while his 2002 text Introduction to Politics is the University of the West Indies Press all-time best seller. Indeed, in January of this year he received a special life-time achievement award from that publisher for being their best-selling author.

Prime Minister P. J appointed Professor Munroe to the Jamaican Senate. Patterson in 1998, and was re-appointed for a second term in 2002. During his legislative tenure, Senator Munroe has paid particular attention to issues of transparency, accountability and legislative reform in strengthening Jamaica's system of democratic governance. In this regard he has piloted and won bi-partisan support in the Senate for a wide range of matters, including closer partnership with the Jamaican Diaspora in national development, regulation of campaign financing, and the introduction of labor standards into the global trading regime.

Incredibly, Dr. Munroe's involvement in his nation's corridors of political power has not compromised his scholarly objectivity and productivity. Neither has his Senate endeavors been pursued at the expense of his civil society activism. He seems continually to be mindful of the words of philosopher George Santayana: "Skepticism is the chastity of the intellect, and it is shameful to surrender it too soon or to the first comer."

SUBJECT: Honorary Degree Recipient

Recommend Board of Trustees approval of the following candidate to receive a doctoral degree, *honoris causa* from Florida International University.

Ernest E. Stempel

BACKGROUND INFORMATION

Ernest E. Stempel is Director and Senior Advisor to the American International Group (AIG), the 17th largest company in the world, and one of the biggest players in the international insurance industry. During his distinguished career, Mr. Stempel has served as Chairman of many of AIG's life subsidiaries, and also as President of Starr International Inc. of Bermuda. Mr. Stempel continues to hold many positions, including Director of the Starr Foundation. The Foundation is named after Mr. C. V. Starr, founder of AIG. Mr. Starr donated considerable sums to education, among other causes, during his lifetime, partly because he had no children of his own. When he died, he left his estate to the Starr Foundation. Each year, foundation directors are entitled to donate funds for scholarships at institutions of their choice. The Starr Foundation has been a major benefactor in 130 countries where AIG operates, funding projects ranging widely from hospitals to schools. Mr. Stempel was born in New York in 1916 and earned three law degrees from two US universities. He joined the C V Starr organization in 1938, which was then known as AIU and later evolved into AIG. He remained with the group for his entire career, except for his service as a destroyer officer in the US Navy during the Second World War. One of his four sons, Dr. Robert R. Stempel, was an associate professor of public health at FIU for ten years, developed and taught master's level courses on AIDS, infectious disease, and social epidemiology, and was an industrious faculty member and extremely popular teacher at the time of his unfortunate death in 2002

**THE FLORIDA INTERNATIONAL UNIVERSITY
BOARD OF TRUSTEES
ACADEMIC POLICY AND STUDENT AFFAIRS COMMITTEE**

6 SEPTEMBER 2005

SUBJECT: TENURE AS CONDITION OF EMPLOYMENT

PROPOSED COMMITTEE ACTION:

Recommend Board adoption of the following Resolution:

WHEREAS each board of trustees is authorized to establish the personnel program for all employees of the university including tenure,

WHEREAS the University President is recommending the granting of tenure as a condition of employment for Dr. Benjamin Baez,

BE IT RESOLVED that the Florida International University Board of Trustees approves the granting of tenure as a condition of employment for the individuals recommended by the University President and whose academic and professional profile is attached to this Resolution as Exhibit "C."

BACKGROUND INFORMATION:

STATUTORY AUTHORITY:

Florida Statutes 1001.74, "Powers and duties of university boards of trustees," states in relevant part,

(19)(a) Each board of trustees shall establish the personnel program for all employees of the university, including the president, pursuant to the provisions of chapter 1012 and, in accordance with rules and guidelines of the State Board of Education, including: compensation and other conditions of employment, recruitment and selection, nonreappointment, standards for performance and conduct, evaluation, benefits and hours of work, leave policies, recognition and awards, inventions and works, travel, learning opportunities, exchange programs, academic freedom and responsibility, promotion, assignment, demotion, transfer, tenure and permanent status, ethical obligations and conflicts of interest, restrictive covenants, disciplinary actions, complaints, appeals and grievance procedures, and separation and termination from employment.

EXHIBITS/SUPPORTING DOCUMENTS:

▪ EXHIBIT "C": TENURE NOMINEE PROFILE

FACILITATOR/PRESENTER:

▪ MARK ROSENBERG

**THE FLORIDA INTERNATIONAL UNIVERSITY
BOARD OF TRUSTEES**

2005-2006

**TENURE AS A CONDITION OF EMPLOYMENT NOMINATIONS
BIOGRAPHIES**

College of Education

Dr. Benjamin Baez joins the Department of Educational Leadership and Policy Studies in the College of Education as an Associate Professor in Higher Education. Dr. Baez holds a Doctor of Philosophy and Masters of Science degree in Higher Education Administration from Syracuse University and a Juris Doctor degree from the Syracuse University College of Law. Among his areas of specialization are diversity in the academy, educational policy, and politics in higher education. He comes to us from Georgia State University, where he was an Associate Professor of Higher Education. Dr. Baez has distinguished himself in the area of Higher Education as evidenced by his extensive record of scholarly publications including publishing several books, refereed articles in top tier journals, book chapters and reviews, and conference proceedings. His latest book is entitled *Affirmative Action, Hate Speech, and Tenure: Narratives about Race, Law and the Academy*. He serves on a number of editorial boards including the *Journal of Higher Education*, the *Review of Higher Education* and is currently the Associate Editor of *Higher Education: Handbook of Theory and Research*. His list of professional presentations at the international, national and state levels, include the American Educational Research Association Conference, the Association for the Study of Higher Education and the American Educational Studies Association. He presently holds leadership positions in major professional associations in his field, having been past president of the Southeast Philosophy of Education Society for two consecutive terms.

**THE FLORIDA INTERNATIONAL UNIVERSITY
BOARD OF TRUSTEES
ACADEMIC POLICY AND STUDENT AFFAIRS COMMITTEE**

6 SEPTEMBER 2005

**SUBJECT: REVISIONS TO M.D. PROGRAM PROPOSAL AND REQUEST TO ESTABLISH A
MEDICAL SCHOOL**

PROPOSED COMMITTEE ACTION:

Recommend Board adoption of the following Resolution:

WHEREAS the Florida International University Board of Trustees ("the Board") approved the program in Allopathic Medicine leading to a medical doctorate (M.D.) degree and the Request to Establish a Medical School Board as a new academic program on 17 November 2003 and filed its program request with the Board of Governors ("the BOG") on 1 July 2004; and

WHEREAS the BOG has requested that the proposal for the M.D. in Allopathic Medicine and Request to Establish a Medical School be resubmitted using the BOG's newly-developed guidelines;

THEREFORE BE IT RESOLVED that the Board approves revisions to the proposal for the M.D. degree in Allopathic Medicine and Request to Establish a Medical School (attached to this Resolution as Exhibit "D"); and

FURTHER RESOLVED that the University file the revised proposal, attached to this Resolution as Exhibit "D", and future adjustments to the proposal as required by BOG guidelines with the Division of Colleges and Universities and submit all revisions to the BOG for approval; and

FURTHER RESOLVED that the University President shall inform the Chairman of the Board of all revisions to the proposal and, in consultation with the Chairman, bring any substantive changes to the proposal to the Board for approval.

EXHIBITS/SUPPORTING DOCUMENTS:

- EXHIBIT "D": REVISED M.D. IN ALLOPATHIC MEDICINE PROPOSAL & REQUEST TO ESTABLISH A MEDICAL SCHOOL (TO BE DISTRIBUTED)

FACILITATOR/PRESENTER:

- MARK ROSENBERG

**THE FLORIDA INTERNATIONAL UNIVERSITY
BOARD OF TRUSTEES
ACADEMIC POLICY AND STUDENT AFFAIRS COMMITTEE**

6 SEPTEMBER 2005

SUBJECT: HMEI UPDATE

PROPOSED COMMITTEE ACTION:

Discussion item. No action needed.

EXHIBITS/SUPPORTING DOCUMENTS:

- EXHIBIT "E": HMEI UPDATE

FACILITATOR/PRESENTER:

- MARK ROSENBERG



Florida International University

**Report to the Board of Trustees Academic Policy and Student Affairs Committee
Health and Medical Education Initiative**

Since the last meeting of the Board's Academic Policy and Student Affairs Committee, the following has transpired:

- The Board of Governors (BOG) held their meeting at the FIU University Park Campus. Highlighting the agenda were proposals for the expansion of medical schools at UM, FSU, UF, and USF. The BOR subcommittee on Medical Education entertained discussion including expanding the programs by 217 new students, calling for \$104.7M in capital costs (not including operational costs). No action was taken on the expansion proposals.
- The BOG Chairperson Carolyn Roberts told President Maidique, FIU and UCF should be prepared to make formal presentations regarding their respective proposals at the meeting to be held on November 17, 2005 at the UNF campus located in Jacksonville. The proposals will need to be revised to meet the new format and criteria set by the BOG, and must be submitted no later than September 12, 2005.
- The BOG approved the FIU-USF Medical Partnership request for \$1.7M in the BOG LBR request.
- President Maidque, Provost Rosenberg, and Vice President Dambach visited the University of Illinois and University of Cincinnati Schools of Medicine and will meet with Dr. Jordan Cohen, President of the Association of American Medical Colleges (AAMC), including several staff meetings, in Washington, D.C., on September 7th.
- DJW Associates, Dr. Emery Wilson, Kathleen Conaboy, and FIU's Bob Donley briefed incoming member of the Board of Governors, Dr. Martha Pelaez on the medical school proposal (Dr. Pelaez will represent the SUS Faculty Senate).
- The FIU Medical School Steering Committee meeting on August 23, 2005 included a presentation and discussion led by Dr. Michael Whitcomb, Senior Vice President for Medical Education at the AAMC. Dr. Whitcomb's extensive medical experience includes, former chief of pulmonary disease services at Walter Reed General Hospital, associate dean for clinical affairs at The Ohio State University College of Medicine, medical director of the University Hospitals, and dean of the schools of medicine at the University of Missouri-Columbia and University of Washington, before joining the AAMC in 1994. Dr. Whitcomb discussed the "Clinical Education of Medical Students" with an emphasis on the 'Redesign of Clinical Education.' Whitcomb discussed important gaps such as interdisciplinary team care, quality improvement principles, and focus on patient-centered care. Whitcomb suggested, medical schools need more focus on Women's health, Chronic illness care, Geriatrics, Cultural competence, End of life care, and Biomedical ethics.

**THE FLORIDA INTERNATIONAL UNIVERSITY
BOARD OF TRUSTEES
ACADEMIC POLICY AND STUDENT AFFAIRS COMMITTEE**

6 SEPTEMBER 2005

SUBJECT: UNIVERSITY COMPLIANCE PROGRAM REPORT 2004-2005

PROPOSED COMMITTEE ACTION:

Discussion Item. No action needed.

BACKGROUND INFORMATION:

The University Compliance Office presents its first report to the Finance and Audit Committee and the Academic Policy and Student Affairs Committee of the Florida International University Board of Trustees for discussion purposes. The University Compliance Office was created by the Florida International University Board of Trustees and its President, Modesto A. Maidique, to ensure that the University creates and maintains an institutional compliance program.

Since her appointment in May of 2004, the University Compliance Officer has worked toward the implementation of a university-wide compliance program. During this first year, the University Compliance Officer focused on research compliance initiatives while at the same time developing the framework for the university-wide program.

The University Compliance Report will outline the structure of the compliance program and the action plan for its full implementation. The report will also highlight significant compliance initiatives taken to date.

EXHIBITS/SUPPORTING DOCUMENTS:

- MEMORANDUM RE: DRAFT ACTION PLAN FOR THE IMPLEMENTATION OF A UNIVERSITY-WIDE COMPLIANCE PROGRAM.
- MEMORANDUM RE: FIU COMPLIANCE MATRIX, SIGNIFICANT LAWS, RULES AND REGULATIONS.
- MEMORANDUM RE: FLORIDA INTERNATIONAL UNIVERSITY, UNIVERSITY COMPLIANCE OFFICE, PROJECTS-AT-A-GLANCE.

FACILITATOR/PRESENTER:

- LEYDA BENITEZ

To: Mark Rosenberg, Provost and Executive Vice President
 Cristina L. Mendoza, University General Counsel
 From: Leyda Benitez, University Compliance Officer
 Compliance Matrix – Draft
 8/19/05 Version

FIU COMPLIANCE MATRIX Significant Laws, Rules and Regulations

COMPLIANCE AREA	LAW, RULE OR REGULATION	EXECUTIVE/ ADMINISTRATIVE OVERSIGHT	COMPLIANCE INITIATIVES (To be provided)
I. Academic Integrity	A. Academic Freedom	Article VII, FIU Board of Trustees Operating Procedures	Mark Rosenberg
	B. Scientific Misconduct Policy	42 CFR Part 50	
	C. Conflict of Interest / Conflict of Commitment	Fla. Stat. § 112.313(7) (8)	
	D. Intellectual Property Disclosure	Bayh-Dole Amendments, Pub. L. 96-517; Fla. Stat. § 1004.23	
	E. International Students		Mark Rosenberg A. Douglas Kincaid
	1. Immigration Status	Immigration and Nationality Act, Title 8 U.S.C.; Title 8 CFR	
	2. Employment Status		
	F. Knowledge of Research Compliance Matters	Condition of award, contract or grant	Mark Rosenberg George Dambach
	1. Fiscal	OMB Circulars A-21 and A-110	
	2. IRB	45 CFR Part 46	Kelsey Downum
	3. IACUC	9 CFR Subch. A	
	4. Biosafety	Various to include 42 CFR Part 72	Marcos Perez Jennifer Mwaisela
5. Environmental Health & Safety	Various federal and state laws and		

	COMPLIANCE AREA	LAW, RULE OR REGULATION	EXECUTIVE/ ADMINISTRATIVE OVERSIGHT	COMPLIANCE INITIATIVES (To be provided)
II.	Affiliated Foundations A. Income Tax 1. Unrelated Business Income Tax 2. Charitable Contributions 3. Intermediate Sanctions Compliance B. Not For Profit Corporation Act requirements 1. Conflict of Interest C. Solicitation of Contributions Act requirements D. Financial Accounting Standards Board/Governance	regulations to include 29 CFR Parts 1910.1030, .1200 and .1450 IRC §§ 511-515 IRC § 4958 Fla. Stat. §§ 617.01011 <u>et seq.</u> Fla. Stat. §§ 617.0832 Fla. Stat. §§ 496.401 <u>et seq.</u> , Fla. Stat. § 112.311 <u>et seq.</u>	Vivian Sanchez President's Staff Foundation Board Board of Trustees Foundation Board Howard Lipman Vivian Sanchez Alexander Zyne	
III.	Affiliation Agreements A. Scope B. Coordination of requirements with host institution C. Indemnification / Insurance D. Signatory authority E. Safety assessment and notification of students	Various, including OSHA requirements, Fair Labor Standards Act, non-discrimination laws, Family Educational Rights and Privacy Act, 20 U.S.C. § 1232g; Health Insurance Portability and Accountability Act, 42 U.S.C. § 1320d	Mark Rosenberg Rosa Jones Academic Deans	

	COMPLIANCE AREA	LAW, RULE OR REGULATION	EXECUTIVE/ ADMINISTRATIVE OVERSIGHT	COMPLIANCE INITIATIVES (To be provided)
	F. Required training/education and occupational exposure classification			
IV.	Agency Action A. Centralized process B. Notification of upper management C. Coordination with Office of General Counsel, Environmental Health and Safety and other appropriate offices D. Integration with University structure/function	Various, depending upon delegated agency powers and authority	Modesto A. Maidique President's Staff	
V.	Athletics A. NCAA Rules Compliance <ol style="list-style-type: none"> 1. Eligibility certification 2. Recruiting 3. Financial Aid 4. Investigation of rules violations 5. Compliance Policies & Procedures 6. Athletics Representative <ol style="list-style-type: none"> a) Faculty - Certification of Coaches 7. Administrative Liaisons: <ol style="list-style-type: none"> a) Admissions 	NCAA Constitution, Operating Bylaws and Administrative Bylaws (updated annually)	Modesto A. Maidique Rosa Jones Marcos Perez Rick Mello Matthew Hathaway Corrine Webb Steven Fain Corrine Webb	

	COMPLIANCE AREA	LAW, RULE OR REGULATION	EXECUTIVE/ ADMINISTRATIVE OVERSIGHT	COMPLIANCE INITIATIVES (To be provided)
	b) Financial Aid c) Registrar's Office d) Academic Support e) Health & Wellness 8. Booster clubs 9. Athletic Summer Camps		Corrine Webb Corrine Webb Rosa Jones Rosa Jones Robert Dollinger Rick Mello Various including individual coaches	
VI.	Biosafety Issues A. Biosafety Committee with overall responsibility 1. Select Agents a) Registration b) Personnel requirements c) Security plan d) Transfers e) Recordkeeping	42 CFR § 72.6; Additional requirements for Facilities Transferring or Receiving Select Agents; 7 CFR Part 331; 9 CFR Part 121 and 42 CFR Part 73	Marcos Perez Jennifer Mwaisela George Dambach Kelsey Downum	

	COMPLIANCE AREA	LAW, RULE OR REGULATION	EXECUTIVE/ ADMINISTRATIVE OVERSIGHT	COMPLIANCE INITIATIVES (To be provided)
	f) Notification of theft, loss or release 2. Bioterrorism Preparedness and Response 3. Agricultural Bioterrorism Act of 2002 B. Export Control issues 1. Export Administration Regulations 2. International Traffic in Arms Regulations 3. Fundamental Research Exclusion C. USA PATRIOT Act D. Controlled Substances	Pub. L.107-188, 42 CFR Part 73 7 CFR Part 331, 9 CFR Part 121 15 CFR §§ 730-774 22 CFR §§ 120-130, implementing Section 38 of the Arms Export Control Act, 22 U.S.C. § 2778 15 CFR § 734.8(a); 22 CFR § 120.11 Pub. L. No. 107-56, 115 Stat. 272 21 CFR § 1308 <u>et seq.</u>	George Dambach Kelsey Downum Marcos Perez Jennifer Mwaisela George Dambach Kelsey Downum Marcos Perez Jennifer Mwaisela Surendra Dua	
VII.	Contracts A. Signatory Authority B. Operational implications 1. Contract Administration 2. Overall responsibility 3. Knowledge of contract terms and conditions 4. Use of resources	Various including Fla. Stat. §§ 1001.73-1001.75; 1004.22	President's Staff Modesto A. Maidique Cristina L. Mendoza	

	COMPLIANCE AREA	LAW, RULE OR REGULATION	EXECUTIVE/ ADMINISTRATIVE OVERSIGHT	COMPLIANCE INITIATIVES (To be provided)
	5. Access to University resources by outside entities and consultants C. "Apparent Authority" issues			
VIII.	Environmental Health & Safety A. Bloodborne pathogens B. Laboratory Safety/Chemical Hygiene Plan C. Hazard Communication Program D. Biomedical waste generation and disposal E. Biohazardous waste generation and disposal F. Laser Safety G. Radiation Safety H. Controlled Substances	Various federal and state laws to include: 29 CFR Part § 1910.1030 29 CFR § 1910.1450 29 CFR § 1910.1200 Fla. Adm. Code §§ 64E-16.001 <u>et seq.</u> Fla. Adm. Code §§ 62-730.001 <u>et seq.</u> Fla. Adm. Code §§ 64E-4.002 <u>et seq.</u> 41 U.S.C. Chapter 10; 45 CFR Part 76, Subpart F; Fla. Stat. Chapter 404; Fla. Adm. Code § 64 E-5 21 CFR § 1308 <u>et seq.</u>	Marcos Perez Jennifer Mwaisela Surendra Dua Surendra Dua Surendra Dua Surendra Dua	
IX.	Ethics A. Code of Ethics for Public Officers and Employees B. Restriction on employment of relatives C. Prohibition of use of federal funds for lobbying	Fla. Stat. § § 112.311-326 Fla. Stat. § 112.3135 31 U.S.C. § 1352	President's Staff Modesto A. Maidique	

**EXECUTIVE/
ADMINISTRATIVE
OVERSIGHT**

COMPLIANCE AREA

**LAW, RULE OR
REGULATION**

**COMPLIANCE INITIATIVES
(To be provided)**

	D. False Claims Act	31 U.S.C. § 3729		
	E. Procurement Integrity Act	41 U.S.C. § 423		
	F. Anti-Kickback Act	41 U.S.C. § 53		
	G. Prohibition of bribery and gratuities	18 U.S.C. § 201		
	H. Post-employment restrictions on former government employees	18 U.S.C. § 207		
X.	Finance		Vivian Sanchez	
	A. Internal Controls	Fla. Stat. §§ 1011.40-52; Fla. Adm. Code § 6C8-6; Sarbanes-Oxley Act of 2002, H.R. 3763		
	B. Unrelated Business Income Taxation	IRC §§ 511-518		
	C. Direct support organizations	Fla. Stat. § 1004.28		
	D. Use of property	Fla. Adm. Code § 6C8-6		
XI.	Human Resources			
	A. EEO Compliance	Title VII of the Civil Rights Act of 1964, Pub L. 88-352, 42 U.S.C. §§ 2000e <u>et seq.</u> ; Equal Pay Act of 1963, Pub. L. 88-38, 29 U.S.C. § 206(d) <u>et seq.</u> ; Age Discrimination in Employment Act, Pub. L. 90-102, 29 U.S.C. § 621 <u>et seq.</u> ; Fla. Adm. Code § 6C8-1.009	Mark Rosenberg Kenneth Johnson Vivian Sanchez	
	B. ADA Compliance	Americans with Disabilities Act, Pub. L. 101-336; Rehabilitation Act of 1973, Pub. L. 93-112		
	C. Immigration	Immigration and Nationality Act; Title 8 U.S.C.; Title 8 CFR		
	1. I-9 Compliance			

**EXECUTIVE/
ADMINISTRATIVE
OVERSIGHT**

COMPLIANCE AREA

**LAW, RULE OR
REGULATION**

**COMPLIANCE INITIATIVES
(To be provided)**

D. Fair Labor Standards Act Compliance	29 U.S.C. §§ 201 <u>et seq.</u> ; 29 CFR Part 500 <u>et seq.</u>		
E. Family and Medical Leave Act Compliance	Pub. L. 103-3, 29 CFR Part 825 <u>et seq.</u>		
F. Sexual Harassment Prevention	Title VII of the Civil Rights Act of 1964, Pub. L. 88-352; 29 CFR § 1604.11; Fla. Adm. Code § 6C8-1.010		
G. Whistleblower Protection and Non-Retaliation	False Claims Act, 31 U.S.C. §§ 3729-3733		
H. Drug-free Workplace Act compliance	34 CFR Part 86; 41 U.S.C. Chapter 10; 45 CFR Part 76, Subpt. F; Fla. Stat. § 112.0455		
I. OSHA 1. General duty clause	OSHA Section 5(a) (1), 29 U.S.C. § 654	Mark Rosenberg Vivian Sanchez Marcos Perez Academic Deans	
2. Required Training:			
a) Bloodborne pathogens	29 CFR § 1910.1030		
b) Laboratory Safety Standard	29 CFR § 1910.1450		
c) Chemical Hygiene Plan	29 CFR § 1910.1450		
d) Hazard Communication Program	29 CFR § 1910.1200		
e) Biohazardous waste generation and disposal	Fla. Adm. Code §§ 62-730.001 <u>et seq.</u>		
f) Laser Safety			

COMPLIANCE AREA	LAW, RULE OR REGULATION	EXECUTIVE/ ADMINISTRATIVE OVERSIGHT	COMPLIANCE INITIATIVES (To be provided)
<p>g) Radiation Safety</p> <p>3. Use of Personal Protective Equipment</p> <p>J. Criminal Background Checks</p> <p>K. Employee Assistance Program</p>	<p>41 U.S.C. Chapter 10; 45 CFR Part 76, Subpart F; Fla. Stat. Chapter 404; Fla. Adm. Code § 64E-5</p> <p>Various federal and state laws and regulations to include 42 CFR § 72.6, Fla. Stat. § 1012.465, The Jessica Lunsford Act, H. Bill 1877 (Ch. 2005-28)</p>		
<p>XII.</p>	<p>Information Technology</p> <p>A. Copyright Laws</p> <p>1. Fair Use</p> <p>2. Performance or display in the course of instruction</p> <p>3. Technology, Education and Copyright Harmonization (“TEACH”) Act</p> <p>B. Unlawful Access to stored information</p> <p>C. USA PATRIOT Act</p>	<p>17 U.S.C. §§ 101 <u>et seq.</u></p> <p>17 U.S.C. § 504</p> <p>17 U.S.C. § 110</p> <p>17 U.S.C. §§ 110 (2), 112</p> <p>18 U.S.C. § 2701; 18 U.S.C. § 2570 – 2522 (“The Wiretap Act”)</p> <p>Pub. L. No. 107-56, 115 Stat. 272</p>	<p>John McGowan</p> <p>Mark Rosenberg Kenneth Johnson John McGowan Academic Deans</p> <p>George Dambach Vivian Sanchez John McGowan Marcos Perez</p>

COMPLIANCE AREA	LAW, RULE OR REGULATION	EXECUTIVE/ ADMINISTRATIVE OVERSIGHT	COMPLIANCE INITIATIVES (To be provided)
D. Child Pornography Prevention Act of 1996 E. Records Retention F. Emergency Management G. E-mail use H. Password Protection and Management I. Web site content 1. Terms and conditions of use J. Hosting of web pages 1. Terms and conditions of use	18 U.S.C. § 2251 <u>et seq.</u> Fla. Stat. Chapter 119 Various including copyright laws; privacy Various including telemarketing, Fla. Stat. § 501.601; anti-spam	 John McGowan John McGowan John McGowan John McGowan	
XIII. Privacy A. Student Records 1. right to access records 2. no disclosure without student’s consent 3. internal process to address complaints B. Financial Information 1. privacy notice requirement C. Social Security Numbers D. Protected Health Information	 20 U.S.C. § 1232g, (FERPA aka the Buckley Amendment) Gramm-Leach – Bliley Act and regulations, 16 CFR Part 314 Fla. Stat. § 119.0721 HIPAA, 42 U.S.C. § 1320d, 45 C.F.R. §§ 160, 162 and 164 Subpart E (Privacy Rule); Subpart C (Security Rule)	Rosa Jones Academic Deans Rosa Jones Vivian Sanchez John McGowan Rosa Jones Robert Dollinger Academic Deans	

COMPLIANCE AREA	LAW, RULE OR REGULATION	EXECUTIVE/ ADMINISTRATIVE OVERSIGHT	COMPLIANCE INITIATIVES (To be provided)
E. Mental Health Information F. HIV/AIDS Test Results G. Genetic Test Results H. Substance Abuse Records	Fla. Stat. § 381.004 (Do not know if University maintains)—Need to inquire regarding Forensic DNA Processing Facility (Do not know if University maintains)	Rosa Jones Academic Deans Rosa Jones Robert Dollinger Academic Deans	
XIV. Procurement / Property Control A. Bidding requirements B. Conflicts of Interest C. Records Retention D. Appropriate controls	Fla. Stat. Chapter 112; Fla. Adm. Code § 6C8-7.030 Fla. Stat. Chapter 112 Various including Fla. Stat. Chapter 119 and State of Florida Records Retention Schedules Various including OMB Circulars A-21 and A-110	Vivian Sanchez Orlando Valdes	
XV. Records Retention A. University-wide policy B. Records Custodian designations C. Knowledge of retention schedules	Fla. Stat. Chapter 119	John McGowan Various including Vice Presidents Department Heads Academic Deans	

	COMPLIANCE AREA	LAW, RULE OR REGULATION	EXECUTIVE/ ADMINISTRATIVE OVERSIGHT	COMPLIANCE INITIATIVES (To be provided)
	3. IRB Training 4. Investigator Training 5. Informed consent process 6. Adverse Event Reporting 7. Confidentiality Safeguards C. Animal Care and Use 1. Institutional Assurance 2. PHS regulatory requirements 3. IACUC Training 4. Investigator Training	9 CFR Subch. A; PHS Policy for the Humane Care and Use of Laboratory Animals; Guide for the Care and Use of Animals, NCR, 1996	George Dambach Kelsey Downum	
XVII.	Security A. Physical security 1. students 2. staff 3. visitors 4. property 5. emergency preparedness	Common law principles; the Jeanne Clery Disclosure Policy and Campus Crime Statistics Act, 20 U.S.C. § 1092 (f)	Marcos Perez	

COMPLIANCE AREA	LAW, RULE OR REGULATION	EXECUTIVE/ ADMINISTRATIVE OVERSIGHT	COMPLIANCE INITIATIVES (To be provided)
<p>B. University Police</p> <ol style="list-style-type: none"> 1. emergency preparedness 2. SOP review and update 3. clear lines of communication 4. oversight responsibility 5. integration with University structure and functions <p>C. Data security</p> <ol style="list-style-type: none"> 1. financial 2. social security numbers 3. student education records 4. protected health information 5. e-mail and systems password protection and management 	<p></p> <p>Gramm-Leach – Bliley Act and regulations, 16 CFR Part 314</p> <p>20 U.S.C. § 1232g, the Buckley Amendment</p> <p>HIPAA, See above</p>	<p>Marcos Perez</p> <p>John McGowan Cheryl Grant</p>	
<p>XVIII. Student Affairs</p> <p>A. Academic Affairs</p> <ol style="list-style-type: none"> 1. Academic Misconduct 2. Process to address substitution/waiver requests 3. Review/revise catalogs 	<p>Fla. Stat. § 1006.50-51</p> <p>Americans with Disabilities Act, Pub. L. 101-336; Rehabilitation Act of 1973, Pub. L. 93-112</p>	<p>Mark Rosenberg Kenneth Johnson Rosa Jones</p> <p>Rosa Jones Julio Garcia</p> <p>Rosa Jones</p>	

**EXECUTIVE/
ADMINISTRATIVE
OVERSIGHT**

COMPLIANCE AREA

**LAW, RULE OR
REGULATION**

**COMPLIANCE INITIATIVES
(To be provided)**

4. Student ombudsman office	Fla. Stat. § 1006.51	Rosa Jones Larry Lunsford	
B. Grievance Process for Students			
1. Undergraduate Students		Academic Deans	
2. Graduate Students		Mark Rosenberg Kenneth Johnson Rosa Jones	
C. Code of Conduct / Discipline process			
D. Financial Aid Eligibility		Corrine Webb	
E. Health & Safety issues			
1. Underage drinking	Fla. Stat. § 1006.50 ; Fla. Stat. § 562.11; 34 CFR Part 86, 45 CFR Part 76, Subpt. F	Rosa Jones	
2. Addressing violence or violence threats	Various including OSHA general duty clause; ADA; Fla. Adm. Code § 6C-6.0105(6)(m)	Rosa Jones Marcos Perez	
3. ADA auxiliary aids and services	Americans with Disabilities Act, Pub. L. 101-336; 28 CFR Part 35; Rehabilitation Act of 1973, Pub. L. 93-112	Rosa Jones Julio Garcia	
F. Housing		Jim Wassenaar	
1. Contract review and updating			
2. Access/Key Management			
3. Visitor Policy			
G. Student Activities		Rosa Jones	
1. Risk assessment/Insurance requirements		Marcos Perez Academic Deans	
2. Faculty/Administrative oversight			

COMPLIANCE AREA	LAW, RULE OR REGULATION	EXECUTIVE/ ADMINISTRATIVE OVERSIGHT	COMPLIANCE INITIATIVES (To be provided)
	<p>3. Releases</p> <p>4. Travel Restrictions/Requirements</p> <p>H. Student Handbook</p> <p>I. Review/update process to ensure accuracy and conformity with University policy</p> <p>J. HIV/AIDS Committee</p> <p style="padding-left: 20px;">1. Scope</p> <p style="padding-left: 20px;">2. Individual cases</p> <p style="padding-left: 20px;">3. Confidentiality</p> <p>K. Drug-Free Schools and Campuses</p> <p>L. Student records privacy issues</p>	<p>Fla. Stat. § 1006.50</p> <p>Fla. Stat. § 381.004, non-discrimination laws; ADA; HIPAA</p> <p>34 CFR Part 86; 41 U.S.C. Chapter 10; 45 CFR Part 76, Subpt. F</p> <p>20 U.S.C. § 1232g, (FERPA aka “Buckley Amendment”)</p>	<p>Rosa Jones Charles Andrews</p> <p>Rosa Jones Robert Dollinger</p>
<p>XIX.</p>	<p>Summer Camps [Academic, Sports and Facilities Use Only]</p> <p>A. Overall responsibility / oversight</p> <p>B. Insurance requirements</p> <p>C. Use of resources</p> <p>D. Emergency notification procedures</p>	<p>Various including common law principles of duty of care; invitee liability; and care of minors’ issues</p>	<p>(Need to inquire – not centralized function)</p>

	COMPLIANCE AREA	LAW, RULE OR REGULATION	EXECUTIVE/ ADMINISTRATIVE OVERSIGHT	COMPLIANCE INITIATIVES (To be provided)
	E. ADA compliance F. Risk assessment of activities			
XX.	University Publications A. Defamation: Libel / Slander B. Invasion of Privacy C. Copyright Infringement D. First Amendment Rights vs. <ol style="list-style-type: none"> 1. obscene 2. profane 3. harassing 4. discriminatory E. Academic Freedom F. Student Publications <ol style="list-style-type: none"> 1. Training of student staff 2. Awareness of legal issues 3. Administrative/Faculty oversight 	(Funded through student activity fee)	(Need to inquire) Rosa Jones	



University Compliance Office
Memorandum

DRAFT DOCUMENT

To: Mark Rosenberg
Provost and Executive Vice President

Cristina L. Mendoza
University General Counsel

From: Leyda Benitez
University Compliance Officer

Subject: Draft Action Plan for the Implementation of a University-Wide
Compliance Program

Date: April 4, 2005
Updated: August 19, 2005

This memorandum outlines the elements of an institutional compliance program followed by a draft action plan developed for Florida International University following my assessment of the University's current infrastructure to support regulatory compliance.

Elements of an Institutional Compliance Program:

Broadly defined, an effective institutional compliance program is one that is reasonably designed, implemented, and enforced so that it will effectively prevent and detect violations of law. The program must evidence the institution's "due diligence" in seeking to prevent and detect violations of law and the institution's commitment to the promotion of a culture that encourages ethical conduct and a commitment to compliance with the law.

In order for Florida International University (FIU) to have an effective institutional compliance program, FIU must:

1. Establish compliance standards and procedures.

2. Assign high-level personnel who have overall responsibility for ensuring compliance with the standards and procedures.
3. Use care not to include within “substantial authority personnel” any individual whom the institution knew, or should have reason to know, has engaged in illegal activities. “Substantial authority personnel” are individuals who have substantial control over the institution or who have a substantial role in the making of policy within the institution.
4. Conduct effective training programs and disseminate information appropriate to the individuals’ respective roles and responsibilities.
Training/dissemination of information should be planned to cover members of the governing body, employees and, as appropriate, individuals who are affiliated with the institution such as consultants.
5. Take reasonable steps to ensure that the program is followed, including monitoring and auditing. The program must allow for employees and those individuals affiliated with the institution to report suspected instances of non-compliance without fear of retaliation.
6. Promote and enforce the program consistently through appropriate incentives or, where necessary, disciplinary measures.
7. Take reasonable steps to respond appropriately to detected offenses and to prevent future similar offenses.

(Elements derive from the U.S. Sentencing Guidelines effective as of 11/1/04).

The institutional compliance program proposed for implementation at Florida International University is patterned after widely recognized effective programs such as the ones in existence at the University of Texas System, Stanford University and the University of Minnesota. The institutional compliance program would set as its goals to be:

A. Proactive:

- It will identify key risk areas and perform a risk assessment on compliance readiness.
- It will prioritize implementation of compliance initiatives in areas of higher regulatory risk because of potential impact on health or safety, academic or fiscal integrity.
- It will provide recommendations, education and training in connection with regulatory compliance gaps.

B. Integrated:

- The program, through its Compliance Officer, will collaborate and act as a liaison to other compliance offices/functions throughout the University.

C. Transparent:

- It will serve to promote the mission and vision of FIU.

--It will promote a culture that encourages ethical conduct and a commitment to compliance with the law.

Action Plan:

1. **Step One: Strengthen the foundation that supports the institutional compliance program: the University's policies and procedures.**

The first step toward the design and implementation of an institutional compliance program at FIU is to strengthen the foundation upon which such institutional compliance program must necessarily rely: the University's policies and procedures. A review of the current environment for policies and procedures revealed that many of the institution's policies are outdated and inaccessible. Because of the difficulty in finding written standards, the University tends to rely on the way that business has been conducted through the years with a great emphasis on reliance upon the leadership of a particular unit or division in order to understand "how to get the job done." In this day of technological access, the University community as a whole would greatly benefit from its ability to self-educate and self-promote in the standards that set forth the University's expectations. The standards that are critical to the success of an institutional compliance program are those that are aimed not solely at business practices, but those that are structured in order to ensure that the University complies with applicable federal, state and local laws, rules and regulations.

In order to strengthen the University's foundation, the Office of the General Counsel and Compliance Office presented to the President's staff for approval a project that consists of the review, update and consolidation of the University's policies and procedures. The ultimate goal of this project is to house all of the University's rules, policies and procedures in a central web page that is easily searchable and accessible to the University community.

The President's staff approved of this project as of September 27, 2004 and named a Policies and Procedures Project Committee that includes representatives from the various divisions of the University. The Policies and Procedures Project Committee set itself an initial goal of March 15, 2005 to complete the initial collection, review, classification and update of mission critical policies and procedures for their respective areas. As of this date, the Committee has received, through its Compliance Officer, updated policies and procedures from numerous divisions within the University with a few remaining areas reporting that their review is almost complete and additional policies are soon to follow. In addition, a subcommittee was formed to review available web-based software content management solutions. This subcommittee will be launching a pilot phase to demonstrate a particular content management solution so that the committee may be able to determine whether the software offers

the features and functions that will make the University's policy web page accessible and easy to find.

2. **Step Two: Develop an institutional code of conduct.** The second step that FIU must undertake is to develop a code of conduct for the University. It is this document that will serve as the linchpin of the mission critical policies and procedures of the University. The code will serve to re-emphasize the obligations of FIU employees to follow the Code of Ethics for Public Officers and Employees, Sections 112.311-112.326, Florida Statutes (2003) that is binding upon FIU employees. The code of conduct will serve to identify the areas of specific compliance-related training that the University should offer to its community. The Policies and Procedures Project Committee has formulated a rough, initial draft of this document which will now be forwarded to a committee comprised of representatives from Human Resources, Academic Affairs and the Faculty Senate. Once the initial draft is completed, the document will be submitted for review and feedback to the President's staff and the Faculty Senate. The code will then be presented for approval to the University's Board of Trustees. The goal is to have the code formally reviewed and adopted during the 2005-06 academic year.
3. **Step Three: Appoint a cross-functional team that will provide oversight to the University's compliance initiatives.** The third step in the design and development of FIU's institutional compliance program is to appoint an Institutional Compliance Oversight Committee. This committee should consist of a cross-functional team from divisions/operating units with high-risk compliance areas (such as accreditation; human resources; student affairs; sponsored research; endowment; athletics; environmental health and safety; privacy and security, among others). It should also have one senior faculty representative. The committee should not be so large as to become ineffective. The committee could assist in the prioritization of compliance initiatives and to continuously review and improve upon the compliance program, as necessary or appropriate. The committee would meet at least quarterly. The Compliance Officer would chair this committee.
4. **Step Four: Agree upon the methodology and conduct a university-wide risk assessment.** The fourth step, which would run parallel to the other initiatives set forth in this action plan, is to present to the President's staff for approval the approach that will be used in order to conduct a University-wide risk assessment. Once the proposed methodology is accepted and the risk assessment is carried out, the findings will need to be analyzed, prioritized and addressed in light of the regulatory risk they present to the institution due to their potential impact on health or safety, academic or fiscal integrity.

Several interim steps have been taken in order to initiate the overall risk assessment process. These steps consist of the following:

- **Basic assessment of risks specific to each school or college.** The Compliance Officer has met with all Academic Deans on an individual basis. The Deans have provided very valuable information and insight with respect to areas of compliance risks that are specific to their schools and colleges.
 - **Development of a Compliance Matrix.** Based upon input received from the Academic Deans and other members of the University community, as well as a review of applicable laws and regulations, I have designed the “FIU Compliance Matrix” which sets forth some of the more salient risk areas; relevant laws, rules and regulations; and the executive and administrative oversight to address such risks as they present at FIU. This document will serve to track compliance initiatives that are ongoing by specific risk area and will contain reference to applicable FIU policies, procedures or guidelines that are helpful in guiding compliance efforts to address the specific risks. This document is a “living document” which, by the nature of the University’s changing regulatory risk environment and administrative structure, will need constant revision and updating.
 - **Designation of Potential Candidates to the Institutional Compliance Oversight Committee.** Finally, as part of the risk assessment strategy, the Academic Deans have named one or two individuals whom they would recommend for inclusion in the Institutional Compliance Oversight Committee. The Deans understand that not all of the potential candidates will be called to serve in this committee. The committee should not be so large that it is not able to meet regularly and work effectively in its oversight capacity. As explained previously, this committee would assist the Compliance Officer in the prioritization of risk areas and initiatives for the University and in the assessment of its compliance status. In fact, it would be a part of the regular agenda for this committee to discuss the external compliance environment and any potential or actual changes that should be made to the FIU Compliance Matrix.
5. **Step Five: Develop and deploy compliance training and education to all members of the University community.** The next step in developing an institutional compliance program is to create the necessary education and training programs that will serve to educate the University community regarding the University’s compliance standards and commitment to compliance with these standards. The Compliance Officer can assist in the development of a comprehensive compliance education and training program which will be dependent, in part, upon

updating policies and procedures and drafting a code of conduct for the University. In addition and parallel to the comprehensive training program, it would help in promoting a culture of compliance with laws to continue to find opportunities to provide education and training in areas of significant regulatory risk for the University. Specific training could be offered through the Offices of the General Counsel and Compliance addressing areas of high regulatory risk such as human subject research, student records confidentiality, public records law and privacy, among others.

6. **Step Six: Coordinate efforts of Internal Audit and Compliance regarding monitoring and auditing functions.** The next step in the development of an institutional compliance program requires the coordination of efforts with the University's Office of Internal Audit. The Office of Internal Audit, previously known as the Inspector General's Office, will perform the auditing and monitoring functions for all financial matters of the University. This Office already has in place a confidential reporting mechanism for any individual who wishes to bring an allegation of wrongdoing by a member of the University community. The Compliance Officer and Internal Auditor will need to coordinate their efforts and present an integrated approach as to how the auditing and monitoring functions may be able to support the compliance program upon implementation.
7. **Step Seven: Coordinate efforts of Human Resources, Academic Affairs, Student Affairs and Compliance regarding compliance related incentive or disciplinary measures.** Another step in the development and eventual implementation of an institutional compliance program will benefit from the coordination of efforts with the Divisions of Human Resources, Academic Affairs and Student Affairs. The institutional compliance program should not duplicate the disciplinary processes that are already in place for the University community. There needs to be a mechanism that will lead to the effective coordination of efforts with the disciplinary arms of Human Resources, Academic Affairs and Student Affairs, for employees, faculty members and students, when circumstances dictate the need for disciplinary measures. Likewise, these offices should coordinate their efforts to find incentive measures that will serve to reward the individuals' commitment to compliance with laws as demonstrated by attending training and educational opportunities, raising compliance questions and concerns, and working in a team-like fashion to set up the framework to address new regulatory compliance issues. Adherence to compliance initiatives and measures should be considered in the incentive programs administered by these offices.
8. **Step Eight: Continue to develop and strengthen the role of facilitator and coordinator of efforts when multiple University offices are involved in addressing a regulatory compliance issue.**

There are instances in which the ability to address a regulatory compliance matter will require the coordination and integration of efforts from more than one University function and office. Prior to the establishment of the University Compliance Office, there was a real and perceived gap in the University's environment to support regulatory compliance because of the absence of a central focal office or designated individual to facilitate and coordinate these efforts. In some instances, coordination of efforts regarding a regulatory compliance matter will involve the offices listed in Steps Six and Seven above, but other University offices and functions may also be involved. Some recent examples include the setting up of various task forces to address research compliance, financial controls and PantherSoft implementation issues in order to reach resolution of the HCET matter with the federal government; issues involving NCAA compliance involving Athletics, Financial Aid, Enrollment and Admissions; issues involving environmental health and safety and research compliance concerns. One aspect of the implementation of the institutional compliance program would be to continue to develop and strengthen the role of the University Compliance Officer as the individual or focal point that can assist in the coordination of these efforts as either requested by the various departments or as may be otherwise appropriate given either the urgency of the matter, severity of the risk, or efficiency that may be achieved by having a coordinator or facilitator in place.

9. **Step Nine: Continue to address specific compliance risks that are uncovered as a result of risk assessments.** Parallel to the implementation of the institutional compliance program, the Compliance Officer will continue to work on compliance initiatives for specific risk areas. As you are aware, there are a number of such initiatives currently underway which are captured in the Projects-At-A-Glance Report that I prepare specifically for your reference and use.

10. **Step Ten: Report to the Finance and Audit Committee of the Board of Trustees regarding the University's compliance program: status, challenges and achievements.** Reporting to the Finance and Audit Committee should be on an annual basis for the first year that the program is operational and thereafter on a semi-annual basis.

I welcome your guidance on this draft action plan. Please let me know when we may be able to meet to discuss it. Thank you for your kind attention and assistance and your continued support throughout this process.

**FLORIDA INTERNATIONAL UNIVERSITY
UNIVERSITY COMPLIANCE OFFICE
PROJECTS-AT-A-GLANCE**

August 19, 2005

Prepared by: Leyda Benitez
University Compliance Officer

	COMPLIANCE AREA	PROJECT NAME	PRIMARY CONTACT	DATE INITIATED	STATUS
I.	Academic Affairs	Meet individually with each Academic Dean and introduce institutional compliance program	Mark Rosenberg Cristina L. Mendoza	10/1/04	Done.
		Develop basic understanding regarding risk areas that are College/School specific as a result of meeting with Academic Deans	Mark Rosenberg Cristina Mendoza	10/1/04	Done.
		Present to Honors College students in Research Intensive Seminar	Ivelaw Griffith Irma Alonso	10/6/04	Done.
II.	Athletics	Become familiar with Athletics Compliance Office and function	Matthew Hathaway	7/12/04	Done.
		Attend meetings addressing integration of Athletics with Financial Aid, Admissions and Registrar's Office	Rosa Jones Matthew Hathaway Julie Berg Ana Sarasti	4/1/05 3/8/05 11/30/04 10/4/04	Done.
III.	Environmental Health and Safety	Review of Radiation Safety Point System of Discipline and present recommendations to Radiation Control Committee	Jennifer Mwaisela Surendra Dua	10/20/04	Done.
		Review of Controlled Substances Safety Manual	Jennifer Mwaisela Surendra Dua	1/20/05	Review done. Coordination with HR and Purchasing pending.
		Participate in Controlled Substances Safety Committee	Surendra Dua	5/13/05	On an as needed basis.
IV.	Human Resources	Assist in review of Employee Termination/Separation Procedure	Paul Michaud Grace Ulla Cheryl Grantto	12/18/04	Pending.
		Review feasibility/advisability/need to conduct criminal background checks	Iliana Ricelli Cristina L. Mendoza	6/15/05	Ongoing.
V.	Information Technology	Provide support to IT Security Council and IT Security Officer	Cheryl Lyn Grantto Maggie Barreto	6/25/04	Done.
		Review and advice regarding the General Information Technology	Cheryl Lyn Grantto Maggie Barreto	8/2/04	Done.

	COMPLIANCE AREA	PROJECT NAME	PRIMARY CONTACT	DATE INITIATED	STATUS
		Security Policy and provide lists of applicable laws and resource links to complement Policy			
		Review and revise draft e-mail policy	Cheryl Lyn Granto Maggie Barreto	8/10/04	Done.
		Assist in notification process regarding IT security breach	Cheryl Lyn Granto Maggie Barreto	4/21/05	Done.
		Assist with responses to IT Information Security Survey from State Auditors	Cheryl Granto Chad Crowell	4/20/05	Done.
		Assist with IT Audit to be conducted by State Auditors	Milly Garcia John McGowan Vivian Sanchez James Bond Cristina L. Mendoza	5/20/05	Audit will take place August, 15-19, 2005.
		Develop Compliance – IT Security “Road Show”	Cheryl Granto Cristina L. Mendoza John McGowan	7/15/05	Ongoing.
	VI. Property Control/Purchasing	Provide guidance re property control tagging and tracking, and revisions to existing policies	Vivian Sanchez Orlando Valdes Ed Vasquez David Fletcher	9/10/04	Done.
	VII. Research Administration	Review of DSRT’s Interim Guide	Diana Oliva	5/20/04	Done.
		Review / work on PI training concept and Power Point presentation	Diana Oliva	6/15/04	Done.
		Review of Compliance Overview document in bullet form prepared by Diana Oliva	Diana Oliva	6/15/04	Done.
		Prepare summary of DSRT’s Interim Guide based on input received from Provost Rosenberg	Mark Rosenberg	6/16/04	Done.
		Time & Effort Reporting Certification	George Dambach Kelsey Downum Laurianne Torres Diana Oliva Cristina L. Mendoza	6/10/04	Done.
		A. Rollout of T&E reports to faculty members (Summer B)		6/10/04	Done.

	COMPLIANCE AREA	PROJECT NAME	PRIMARY CONTACT	DATE INITIATED	STATUS
		B. Rollout of T&E reports to A&P, USPS and OPS Personnel		7/15/04	Done.
		C. Coordination of T&E reports with I & R forms		10/29/04	Ongoing.
		D. Consequences for Failure to Certify	Kelsey Downum George Dambach Mark Rosenberg Cristina L. Mendoza Research Compliance Committee	6/15/05	Ongoing.
		Review content of DSRT's web site	Diana Oliva Patricia Alvarez Margie Jimenez	6/15/04	Done.
		Review of Institutional Review Board Policies and Procedures	Chris Grayson	6/22/04	Ongoing.
		Assist DSRT and OGC with EPA site visit on 2 SERC grants	Patricia Alvarez Maggie Barreto	8/17/04	Done.
		Work on research issues identified by Sponsored Research Task Force	George Dambach Vivian Sanchez Victor Martinez Cristina L. Mendoza	9/7/04	Done.
		Review policy on engagement of consultants/ subcontractors	George Dambach Charlie Tardivo	9/7/05	Done.
		Assist in NSF investigation re Cooperative Agreement with FAMU	Charlie Tardivo Yi Deng Naphtali Riche Xudong He	1/5/05	Done.
		Review of Suspense Account Procedure	George Dambach Vivian Sanchez Cristina L. Mendoza	10/15/04	Done.
		Meet on a weekly basis with Kelsey Downum, Associate VP for Research with operational oversight over IRB, IACUC, biosafety and time and effort reporting to identify research compliance initiatives	Kelsey Downum George Dambach Cristina L. Mendoza	1/7/05	Ongoing.
		Work on development of a compliance plan for Export Control	Kelsey Downum Diana Oliva Eddie Serrano Maggie Barreto Isis Carbajal de García	2/1/05	Ongoing.

	COMPLIANCE AREA	PROJECT NAME	PRIMARY CONTACT	DATE INITIATED	STATUS
		Implement Research Compliance Committee to oversee research/financial compliance initiatives and identify best practices	George Dambach Ivon Foster Ted Guba John McGowan Victor Martinez Cristina L. Mendoza Vivian Sanchez	4/6/05	Ongoing.
		Review and advice regarding Quality Assurance Survey Tool for use in IRB approved studies	Kelsey Downum Fred Streit	7/15/05	Done.
		Review and advice regarding draft communication on OSRA tuition policy	Kelsey Downum Doug Wartzok Cristina L. Mendoza	6/21/05	Done.
		Provide guidance regarding letter from State of Florida Agency for Workforce Innovation addressing the Florida International University William F. Goodling Even Start Project	Patricia Alvarez Lisbeth Dixon-Krauss Charles Bleiker Maggie Barreto	8/5/05	Done.
VIII.	University Wide	Review/Update University Policies & Procedures	Cristina L. Mendoza Marcos Perez	6/25/04	Ongoing.
		A. Develop policy on policy			Done.
		B. Develop policy template			Done.
		C. Develop Power Point presentation for Executive Committee			Done.
		D. Meet with IT regarding feasibility of policies website			Done.
		Chair the University Policies and Procedures Project Committee to identify, update and collect mission critical policies of the University	President's Staff	9/27/04	Ongoing.
		Develop Code of Conduct of university-wide applicability	Mark Rosenberg Cristina L. Mendoza	8/20/04	Ongoing.
		Develop Compliance Matrix	Mark Rosenberg Cristina L. Mendoza	8/1/04	Done.
		Privacy: Address employee salary information available on FIU web site	Cristina L. Mendoza John McGowan	10/15/04	Done.

	COMPLIANCE AREA	PROJECT NAME	PRIMARY CONTACT	DATE INITIATED	STATUS
		Privacy: Review Social Security Numbers Policy for the University	Gerard Klonarides Cheryl Lyn Granto Vicente Tome	10/20/04	Ongoing.
		Privacy: Assess compliance with requirements of Gramm-Leach Bliley Act and regulations	Cristina L. Mendoza Isis Carbajal de Garcia	11/15/04	Assessment done. Developed action plan to implement.
		Privacy: Assist in review of HIPAA Policies for University	Cristina L. Mendoza Maggie Barreto	11/15/04	Assessment done. Developed action plan to implement.
		Draft Action Plan for the design and implementation of University Wide Compliance Program	Mark Rosenberg Cristina L. Mendoza	11/1/04	Done.
		Present compliance matrix to Operations Committee	Mark Rosenberg Cristina L. Mendoza	2/22/05	Done.
		Privacy: Respond to letter from U.S. Department of Treasury, Office of Foreign Assets Control, regarding a specially designated narcotics trafficker	Cristina L. Mendoza Corinne Webb Mercedes Muricano Donna Yff Ana Sarasti	4/21/05	Done.
		Draft disclaimer regarding Sick Building Task Force	Mark Rosenberg Cristina L. Mendoza Jennifer Mwaisela	7/19/05	Done.
		Join Committee to assess UnivMail policy and process for posting content	Howard Lipman Terry Witherell Mark Riordan Ivon Foster	8/2/05	Ongoing.
		Assess status of compliance with University's Conflict of Interest policies	Finance and Audit Committee, Board of Trustees Mark Rosenberg George Dambach Cristina L. Mendoza	7/15/05	Ongoing.

**THE FLORIDA INTERNATIONAL UNIVERSITY
BOARD OF TRUSTEES
ACADEMIC POLICY AND STUDENT AFFAIRS COMMITTEE**

6 SEPTEMBER 2005

SUBJECT: ACADEMIC AFFAIRS ENROLLMENT UPDATE

PROPOSED COMMITTEE ACTION:

Discussion Item. No action needed.

EXHIBITS/SUPPORTING DOCUMENTS:

- UNDERGRADUATE ENROLLMENT REPORT
- GRADUATE ENROLLMENT REPORT

FACILITATOR/PRESENTER:

- CORINNE WEBB
- DOUGLAS WARTZOK

Enrollment Services Update
Academic Affairs Report
August 22, 2005

I. Enrollment Update

- Summer Enrollment

FTE	Summer 2004 Final	Summer 2004 As of 8/12/04	Summer 2005 As of 8/11/05
Lower Division	1,423	1,426	1,458
Upper Division	2,242	2,254	2,180
Graduate	704	731	736
Total	4,369	4,374	4,374
Headcount	26,447	26,756	26,298

- Preliminary Fall Enrollment

FTE	Fall 2004 Final	Fall 2004* As of 8/20/04	Fall 2005 As of 8/19/05
Lower Division	3,343	2,786	3,341
Upper Division	4,241	3,541	3,900
Graduate	1,234	810	1,004
Total	8,818	7,137	8,245
Headcount	35,061	27,629	31,010

*Note: At this time last year, university was transitioning to PantherSoft system; some registration activity for Fall 2004 was maintained in legacy system until during the transition. Final 2004 reflects total enrollment captured and reported in PantherSoft

II. 2005 New FTIC and Transfer Students (see enclosed chart)

- University expects to enroll 7,664 new undergraduate FTIC and transfer students in summer and fall of 2005. This represents an 8.2% increase over last year's summer/fall enrollment goal of 7,080. As of the end of Summer B, 2,960 new FTIC and transfer students enrolled for Summer 2005; as of August 19th, 4,543 new FTIC and transfer students have enrolled for classes in Fall 2005. Given transfer student orientation and academic advising sessions are still ongoing, registration activity is expected to increase for new transfer students through the week of August 22nd.

III. Leadership change in Office of Financial Aid

- The Director of the Office of Financial Aid, Ana Sarasti, will retire from Florida International University after 32 years of service. The university will commence a national search to hire a new director as soon as possible.

IV. New Initiative

- Effective July 1, 2005, a new telephone system was installed in undergraduate admissions, financial aid, registration and student financials to track the number of calls, measure response time against national service standards and provide callers with service information and placement in the calling queue. New system holds up to 20 calls in the queue and provides callers with their placement in this service queue. During the coming year, enrollment services plans to improve service by consolidating unit centers into a central call center and providing agents with an extensive customer service and content-based cross training program during the coming months.

**New Undergraduate Student Enrollment: Preliminary Report
Week of August 22, 2005**

	Summer 2005				Fall 2005				Combined 2005 Summer/Fall			
	2004	2005	# Change	% Change	2004	2005	# Change	% Change	2004	2005	# Change	% Change
<u>Freshman</u>												
Applicants	4,064	3,717	-347	-8.54%	8,149	9,181	1,032	12.66%	12,213	12,898	685	5.6%
Admits	3,898	3,583	-315	-8.08%	3,750	4,774	1,024	27.31%	7,648	8,357	709	9.3%
Accepts: Pre-Matriculants	n/c	2,160			n/c	2,996			n/c	5,156		
Enrolled as of 8/19/05	1,994	2,084	90	4.51%	1,996	2,492	496	24.85%	3,990	4,576	586	14.7%
Goal	1,850	2,100	250	13.51%	1,900	2,100	200	10.5%	3,750	4,200	450	12%
<u>Transfer</u>												
Applicants	2,129	1,826	-303	-14.23%	6,018	5,736	-282	-4.69%	8,147	7,562	-585	-7.2%
Admits	1,397	1,459	62	4.44%	4,025	4,344	319	7.93%	5,422	5,803	381	7.0%
Accepts: Pre-Matriculants	n/c	1,027			n/c	2,733			n/c	3,760		
Enrolled as of 8/19/05	774	876	102	13.18%	2,468	2,051	-417	-16.90%	3,242	2,927	-315	-9.7%
Goal	865	900	35	4.05%	2,465	2,564	99	4.02%	3,330	3,464	134	4.0%
<u>Total</u>												
Applicants	6,193	5,543	-650	-10.50%	14,167	14,917	750	5.29%	20,360	20,460	100	0.5%
Admits	5,295	5,042	-253	-4.78%	7,775	9,118	1,343	17.27%	13,070	14,160	1,090	8.3%
Enrolled as of 8/19/05	2,768	2,960	192	6.94%	4,464	4,543	79	1.8%	7,232	7,503	271	3.7%
Goal	2,715	3,000	285	10.50%	4,365	4,664	299	6.8%	7,080	7,664	584	8.2%

n/c=number of pre-matriculants not captured in 2004

Note: Of the 2,960 enrolled students in Summer 2005, a total of 239 (65 FTICs/174 transfers) were admitted previously but enrolled for the first time in Summer 2005.

Florida International University
Board of Trustees
Academic Policy and Student Affairs Committee
Academic Affairs Report: University Graduate School
6 September 2005

I. Spring 2005 Graduate Enrollment

Graduate enrollment was up 3.3% in Spring 2005 compared to Spring 2004. Spring graduate enrollment was 39,966 funded student credit hours or 1,249 funded graduate FTEs.

II. Summer 2005 Graduate Enrollment

Graduate enrollment was up 4.5% in Summer 2005 compared to Summer 2004. Summer graduate enrollment was 23,551 funded student credit hours or 736 funded graduate FTEs.

III. Fall 2005 Graduate Applications, Admissions and Enrollment

In Fall 2005 compared to Fall 2004, there was a drop in applications from international students. In Fall 2004 International Students made up 36% of the graduate application pool. In Fall 2005 they made up 28% of the application pool. Overall, the number of international applicants declined by 27% from 1897 to 1386. Total applications were down by 5% although total admits were exactly the same as Fall 2004 at 2150.

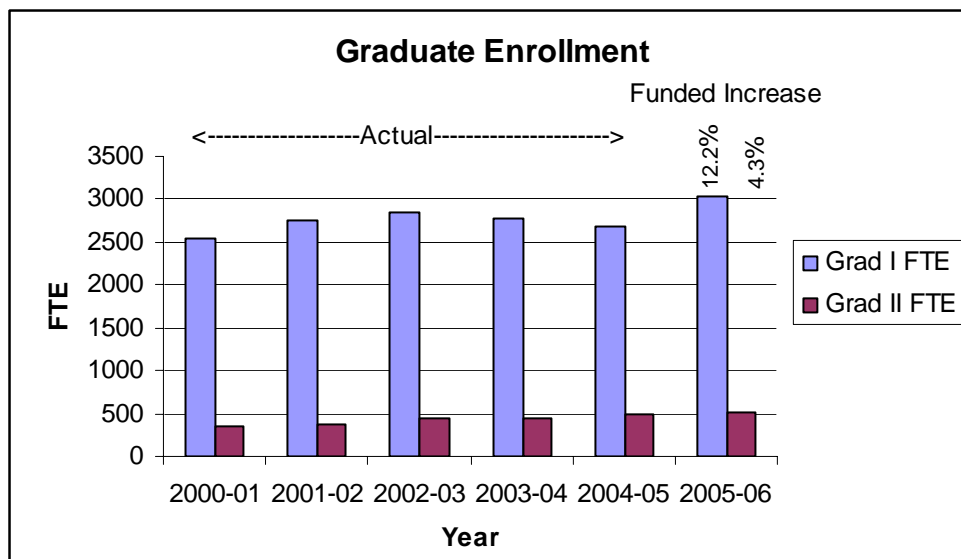
Preliminary Fall 2005 data show a year to date (22 August 2005) increase in graduate enrollment of 24.0% to 1,004 funded graduate FTE. Little reliance should be placed in these preliminary data.

IV. Graduate Enrollment Trends

The chart below shows the Grad I and Grad II enrollment trends for the past five years and the projection on which the university was funded for 2005-06. Grad I enrollments are students in master's degree programs and students in doctoral programs prior to the completion of 36 post-baccalaureate hours. There has been a steady growth in Grad II enrollments reflective of the university's emphasis on doctoral education. However, the past two years have shown a decrease in Grad I enrollment. There are multiple reasons: the decline in international students; increased competition in the local market for some programs that had generated substantial Grad I enrollment in the past, e.g., education; and a shift from funded to non-funded student credit hours (currently 11.5% of the Grad I credits taught by the university are non-funded).

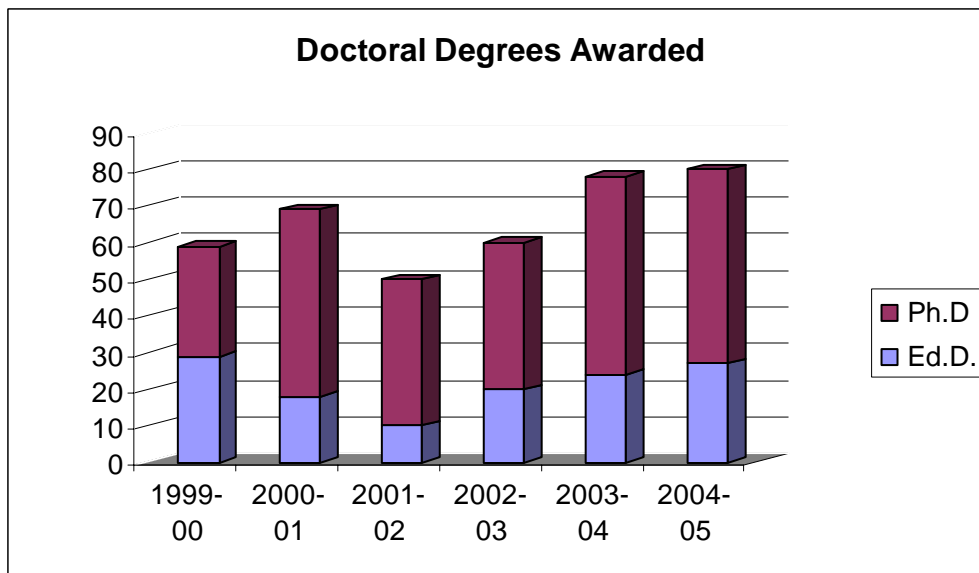
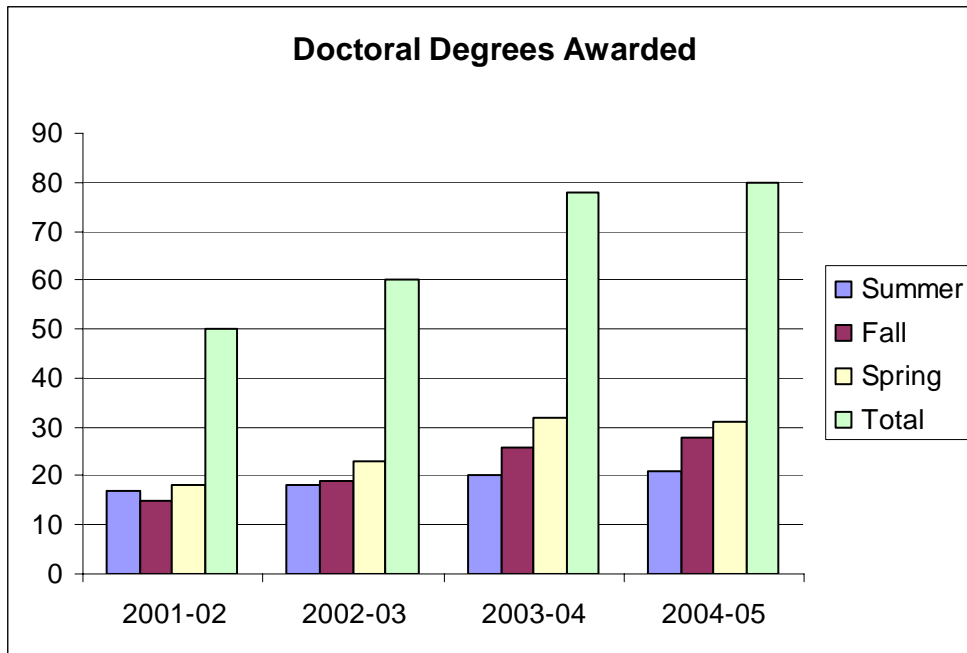
The state has adopted a new funding formula which is based on allocating positions rather than dollars per FTE at various levels. They have not provided information on how salaries for these positions will be calculated so we are still using the old figures to calculate the relative funding for a Grad I and a Grad II credit. Those values are \$11,995 per Grad I FTE and \$25,542 per Grad II FTE.

The largest projected percentage increase in enrollment for any category of undergraduate or graduate students is projected for Grad I where we have experienced the largest percentage decline in the past two years. It will certainly be a challenge for all of us to meet the funded target.



V. Doctoral Degree Production

We awarded 80 doctoral degrees in 2004-05. This was below our target of 85. The mid-term target for 2006-07 is to award 100 doctoral degrees. Because of the growth of students in the pipeline, we believe this target can be met. Our targeted growth is primarily in Ph.D. degrees.



VI. Graduate Assistant Support

The Chronicle of Higher Education recently published a survey of stipends paid to Graduate Assistants in three disciplines at 83 research universities. Five of our nine selected benchmark universities were included. Below is a comparison of the data from FIU (using Fall 2005 data) and the five benchmarks (using published Fall 2003 data).

Institution	English GTA Stipend	Biology GTA Stipend	Sociology GTA Stipend	Health Benefits
Florida International Univ	\$8,000	\$12,000	\$11,000	Yes
Arizona State University	\$14,113	\$17,106	\$14,319	Yes
Temple University	\$12,714	\$13,768	\$12,741	Yes
University of Florida	\$14,516	\$14,000	\$10,307	No
Univ Illinois-Chicago	\$12,393	\$10,737	\$11,502	No
Wayne State University	\$11,497	\$14,647	\$12,147	Yes

Our stipends are on the low end across the board (particularly considering that our data are Fall 2005 compared to the survey data of Fall 2003), but the only case in which they are significantly out of line is in English. This is explained by the fact that we only offer a master's degree in English whereas all of our benchmark universities offer a Ph.D. in English. Note that FIU is the only university in Florida providing health benefits to GTAs. With the exception of UIC, all of the benchmark universities outside of Florida provide health benefits. FIU subsidizes the health insurance premium paying 75% or \$1,083 per GTA this year.

The Deans, Department Chairs, and Graduate Program Directors are unanimous in their assessment that single most significant advancement for graduate education at FIU was the decision of the President, with the advice of the Provost and the CFO, to use the latitude granted him by the Board of Trustees to set the non-resident tuition for Graduate Assistants at \$0.00. This action allowed all graduate programs to make assistantship offers to the best students they can attract without consideration of their state of origin. This is an advantage most of our benchmark universities outside Florida have had for many years. It has been a top priority of the SUS Graduate Deans for a decade and, like the offering of Health Benefits to Graduate Assistants, FIU is the first university in the SUS to have been able to provide, within the confines of legally sanctioned actions, equivalent tuition to both resident and non-resident Graduate Assistants. This action allows expansion of our graduate programs without expansion of the waiver allocation.

VII. Quality control in doctoral education

Three years ago the Faculty Senate adopted a policy to improve the quality control of theses and dissertations. This policy created the Graduate Faculty of FIU and initiated an extended process of peer and administrative evaluation of each faculty member for their level of recent scholarship. This review focused on the subset of faculty activities that relate specifically to graduate education. All faculty credentials were evaluated at the department, school or college, University Graduate School, and Provost levels. The expectation was that those faculty who would be mentoring the generation of new knowledge or creative activity by graduate students should themselves be active participants in the development of new knowledge or creative activity and the

dissemination of that knowledge through appropriate channels—e.g., books, journal articles, performances, exhibitions.

The final stage of the development of the Graduate Faculty occurred in Spring 2005 with the establishment of the Dissertation Advisor Status. The review procedures for this status are similar to those for Graduate Faculty except that before the applications come to the University Graduate School and the Provost, they are reviewed by a university-wide committee of distinguished senior faculty. Appointment to DAS requires greater evidence of current scholarly activity and, in the disciplines where external funding is available, evidence of securing such funding to support the faculty's personal research and the research of their students. An additional consideration is the career profile of prior doctoral students. If these students have been successful in their careers, this is an excellent indicator of the quality of the mentorship provided by our faculty.

BOG/BOT Performance Accountability Report
Graduation Rates

Enrollment Services
September 2005: Quarterly Report

BOG GRADUATION TARGETS FOR FIU

(APPROVED BY FIU'S BOARD OF TRUSTEES JUNE 29,2005)

Measure One: Graduation Rates								
Four-Year for Full-Time FTIC	Performance Index	Peer Average	US Average (1997-2001)	1998-2002 Cohort	1999-2003 Cohort	2000-2004 Cohort	2003-2007 Target	2009-2013 Target
	18%	16%	34%	19%	21%	19%	20%	25%
Six-Year for Full-Time FTIC	Performance Index	Peer Average	US average (1997-2003)	1996-2002 Cohort	1997-2003 Cohort	1998-2004 Cohort	2001-2007 Target	2007-2013 Target
	45%	40%	56%	44%	47%	47%	48%	55%
AA-Transfer Two-Year	Performance Index	Peer Average	US Average	2000-2002 Cohort	2001-2003 Cohort	2002-2004 Cohort	2005-2007 Target	2011-2013 Target
	33%			39%	36%	34%	35%	40%
AA-Transfer Four-Year	Performance Index	Peer Average	US Average	1998-2002 Cohort	1999-2003 Cohort	2000-2004 Cohort	2003-2007 Target	2009-2013 Target
	72%			70%	73%	78%	78%	82%
Measure Four: Minority Baccalaureate Production								
<u>Baccalaureate Degrees</u>	Performance Index	Peer Average	US Average	2001-2002	2002-2003	2003-2004	2006-2007 Target	2012-2013 Target
Total Under-Represented Minority	66%			69%	67%	67%	67%	70%
Black, Non-Hispanic	14%			13%	13%	13%	13%	15%
Hispanic	52%			55%	54%	53%	54%	55%

BOG/BOT Graduation Accountability Plan

Objectives:

1. Monitor progress for each cohort to ensure that FIU's graduation goals as defined by the Board of Governors are met.
2. Conduct data analysis and strategic communications to promote timely student progress.

Strategic Plan:

1. Run queries to determine student status relating to specified indicators. Timing of the queries are after drop/add for each term. Queries will include the major indicators listed below plus contact and demographic information for communications and reporting purposes.
2. Use indicators to divide students into 3 categories: Fast Track, On Track and Off Track. Students will be considered off track when any single indicator is not on-track. Indicators include
 - Number of credits completed (not attempted)
 - FIU overall GPA
 - Enrollment Status (enrolled for term)
 - Current credits enrolled (in progress)
 - Major selected in timely way (Undecided Academic Plan)
 - CLAST completion
 - Change from Lower Division to Upper Division
 - Degree granted
3. Conduct data analysis to determine factors that can assist the coaching process.
4. Conduct targeted communications and coaching to bring/keep students on track.

Student Progress Tracking System

Timing and Indicators	Off Track	On Track	Fast Track
Year 1: Post Fall Term			
Number of credits completed	< 12	12-15	>15
FIU overall GPA	<2.25	≥2.25	≥2.5
Enrollment Status	Not enrolled	Enrolled	Enrolled
Current Credits Enrolled Spring	<12	12-15	>15
Major Elected		No or Yes	Yes
Year 2: Post Fall Term			
Number of credits completed	<42	42-51	>51
FIU overall GPA	<2.4	≥2.4	≥2.5
Enrollment Status	Not enrolled	Enrolled	Enrolled
Current enrolled SP	<12	12-15	>15
Major selected	No	Yes	Yes
Year 3: Post Fall Term			
Number of credits completed	<72	72-87	>87
FIU overall GPA	<2.5	≥2.5	≥2.5
Enrollment Status	Not enrolled	Enrolled	Enrolled
Current enrolled SP	<12	12-15	>15
Major selected	No	Yes	Yes
CLAST passed	No	Yes	Yes
Change to UD status	No	Yes	Yes
Year 4: Post Fall Term			
Number of credits completed	<108	108-120	Graduated
FIU overall GPA	<2.4	≥2.4	≥2.5
Enrollment Status	No	Yes	Yes
Current enrolled SP	<12	12	Yes
Major selected	No	Yes	Yes
CLAST passed	No	Yes	Yes
Change to UD status	No	Yes	Yes
Graduated	No	No	Yes

FTIC Four-Year Graduation Tracking

Cohort Year:: 2003

Cohort Size:: 1509

Board Target:: Graduate 20% (302 students) by the end of the 4th year

	Retained for Yr 2	Retained for Yr 3	Retained for Yr 4	Graduated in
	(Fall 2004)	(Fall 2005)	(Fall 2006)	4 years
Size	1299			
% of cohort	86.1%			

Status of those still enrolled but not graduated as of Summer 2005

	Total Group	On Track(60-72)	Fast Track (>72)	Off Track (<60 and/or <2.25)
Size	1299	210	552	538
% of cohort	86.1%	13.9%	36.6%	35.70%

Status of Board Target: 50.5% are currently rated as on track or fast track for 4 year graduation, 13.9% have dropped or stopped out.

FTIC Six-Year Graduation Tracking

Cohort Year:: 2001

Cohort Size:: 1297

Board Target:: Graduate 48% (623 students) by the end of the 6th year

	Retained for Yr 2 (Fall 2002)	Retained for Yr 3 (Fall 2003)	Retained for Yr 4 (Fall 2004)	Graduated by Spring 05	Retained for Yr 5 (Fall 2005)
Size	1129	963	875	234	
% of cohort	87.0%	74.2%	67.5%	18.0%	

Status of those still enrolled but not graduated as of Summer 2005

	Total Group	On Track (80-99)	Fast Track (>99)	Off Track (<80 and/or <2.25)
Size	630	97	404	129
% of cohort	48.6%	7.5%	31.1%	9.90%

Status of Board Target: Those graduated plus those on track or fast track comprise 48.5% of the total cohort. The action plan for those off track (18%) or not enrolled (32.5%) will be vital to meeting targets.

Action Plan to Meet or Exceed Graduation Targets

Drop/Stopouts:

- Identify those who have successfully transferred to another institution within the SUS.
- Review Financial Aid and other University records to identify those with financial and administrative issues that have potential resolution. Work with the student to bring resolution.
- Telecounsel students back into FIU with departing GPAs of 2.5 or above. Also use interviews to track reasons for withdrawal.

Insufficient Credits/Slow Progress to Degree:

- Identify Financial Aid status and other factors contributing to slow progress.
- Coach students through email with messages tailored to various circumstances.

Low GPA:

- Analyze/drill down data to identify types of academic problems and correlations to SAT/ACT and high school GPA. Link to Undecided major and financial aid status.
- Coach through email and refer students to tutoring, Learning Support Services, and online academic support.

Undecided Majors:

- Coach through email and refer students to Career Counseling Services. Include reminders of steps to intend and declare a major.
- Telecounsel those with no major and credits earned over 60.

Late CLAST Completion:

- Through the data base identify those who could have unfilled exemptions.
- Coaching through email on next testing dates and online and on-campus tutoring available.

Agenda Item # 7

**THE FLORIDA INTERNATIONAL UNIVERSITY
BOARD OF TRUSTEES
ACADEMIC POLICY AND STUDENT AFFAIRS COMMITTEE**

6 SEPTEMBER 2005

SUBJECT: STUDENT AFFAIRS REPORT

PROPOSED COMMITTEE ACTION:

Discussion Item. No action required.

EXHIBITS/SUPPORTING DOCUMENTS: ▪ DIVISION OF STUDENT AFFAIRS SUMMARY

FACILITATOR/PRESENTER: ▪ ROSA JONES

**THE FLORIDA INTERNATIONAL UNIVERSITY
BOARD OF TRUSTEES
ACADEMIC POLICY AND STUDENT AFFAIRS COMMITTEE**

6 SEPTEMBER 2005

SUBJECT: PROVOST'S REPORT

PROPOSED COMMITTEE ACTION:

Discussion item. No action needed.

- NEW FACULTY
- GOVERNMENTAL RELATIONS
- STUDY ABROAD PROGRAMS
- STATUS OF HOMESTEAD INITIATIVE
- STATUS OF DOWNTOWN MIAMI SITE

EXHIBITS/SUPPORTING DOCUMENTS: ▪ NONE

FACILITATOR/PRESENTER: ▪ MARK ROSENBERG

**THE FLORIDA INTERNATIONAL UNIVERSITY
BOARD OF TRUSTEES
ACADEMIC POLICY AND STUDENT AFFAIRS COMMITTEE**

6 SEPTEMBER 2005

SUBJECT: BOG STRATEGIC PLAN UPDATE

PROPOSED COMMITTEE ACTION:

None. Discussion item.

- Academic Learning Compact
- Strategic Planning
- Accountability Measures

EXHIBITS/SUPPORTING DOCUMENTS:

- MEMORANDUM RE: UPDATE ON THE ACADEMIC LEARNING COMPACTS; UPDATE ON BOG STRATEGIC PLANNING; UPDATE ON BOG ACCOUNTABILITY MEASURES

FACILITATOR/PRESENTER:

- MARIE ZEGLIN

Agenda Item # 10

**THE FLORIDA INTERNATIONAL UNIVERSITY
BOARD OF TRUSTEES
ACADEMIC POLICY AND STUDENT AFFAIRS COMMITTEE**

6 SEPTEMBER 2005

SUBJECT: APPROVED PROGRAMS REPORT

PROPOSED COMMITTEE ACTION:

None. Discussion item.

EXHIBITS/SUPPORTING DOCUMENTS:

- APPROVED PROGRAMS REPORT

FACILITATOR/PRESENTER:

- MARIE ZEGLIN

DRAFT 5/23/05
Florida Board of Governors

Request to Offer a New Degree Program

 University Submitting Proposal

 Proposed Implementation Date

 Name of College or School

 Name of Department(s)

 Academic Specialty or Field
 (Include Proposed CIP Code)

 Complete Name of Degree

The submission of this proposal constitutes a commitment by the university that, if the proposal is approved, the necessary financial commitment and the criteria for establishing new programs have been met prior to the initiation of the program.

 Date Approved by the University Board of Trustees

 President

 Date

 Signature of Chair, Board of Trustees

 Date

 Vice President for Academic Affairs

 Date

Provide headcount and full-time equivalent (FTE) student estimates of majors for years one through five. Headcount and FTE estimates should be identical to those in DCU Table One. Indicate the program costs for the first and the fifth years of implementation as shown in the appropriate columns in DCU Table Two. Calculate a cost per FTE for years one and five (Education and General Funding divided by FTE).

Implementation
 Timeframe

Projected
 Student Enrollment

Projected
 Program Costs

Headcount	FTE

Education & General Funding	Contract & Grants Funding	Cost per FTE

First Year
 Second Year
 Third Year
 Fourth Year
 Fifth Year

Note: This outline and the questions pertaining to each section must be reproduced within the body of the proposal in order to ensure that all sections have been satisfactorily addressed.

INTRODUCTION

I. Program Description and Relationship to System Level Goals

- A. Briefly describe the degree program under consideration, including its level, emphases (including tracks or specializations), and the total number of credit hours.
- B. How is the proposed program consistent with the current State University System Strategic Planning Goals? Which of the goals will the program support directly, and which goals will it support indirectly?

INSTITUTIONAL AND STATE LEVEL ACCOUNTABILITY

II. Assessment of Need and Demand

- A. What national, state, or local data support the need for more people to be prepared in this program at this level? (This should include national, state, or local plans or reports that support the need for this program; demand for the proposed program which has emanated from a perceived need by agencies or industries in your service area; and summaries of prospective student inquiries.) Indicate potential employment options for graduates for the program.
- B. If similar programs (either private or public) exist in the state, identify the institution and geographic location. Summarize the outcome of communication with such programs with regard to the potential impact on their enrollment and opportunities for possible collaboration (instruction and research). Provide data that support the need for an additional program.
- C. Use DCU Table One (A for undergraduate and B for graduate) as appropriate to indicate the number of students (headcount and FTE) you expect to major in the proposed program during each of the first five years of implementation, categorizing them according to their primary sources. In the narrative supporting Table One the rationale for enrollment projections should be provided and the estimated headcount to FTE ratio explained. If, initially, students within the institution are expected to change majors to enroll in the proposed program, describe the shifts from disciplines, which will likely occur.
- D. Indicate what steps will be taken to achieve a diverse student body in this program and identify any minority groups that will be favorably or unfavorably impacted. Please create a place for signature at the end of section (II) (C) and have your university's Equal Opportunity officer read, sign, and date this section of the proposal.

III. Budget

- A. Use DCU Table Two to display dollar estimates of both current and new resources for the proposed program for the first and the fifth years of the program. In narrative form, identify the source of both current and any new resources to be devoted to the proposed program.

- B. Use DCU Table Three to show how existing resources within the institution will be shifted to support the new program in Year One.
- C. If other programs will be negatively impacted by a reallocation of resources for the proposed program, identify the program and provide a justification. Specifically address the potential negative impacts that implementation of the proposed program will have on related undergraduate programs (i.e., shift in faculty effort, reallocation of instructional resources, reduced enrollment rates, greater use of adjunct faculty and teaching assistants) and explain what steps will be taken to mitigate any such impacts. Also discuss the potential positive impacts that the proposed program might have on related undergraduate programs (i.e., increased undergraduate research opportunities, improved quality of instruction associated with cutting edge research, improved labs and library resources).
- D. Describe any other projected impacts on related programs, such as required courses in other departments.
- E. Describe what steps have been taken to obtain information regarding resources available outside the institution (businesses, industrial organizations, governmental entities, etc.). Delineate the external resources that appear to be available to support the proposed program.

IV. Projected Return on Investment

Use information from DCU Table Two and a supporting narrative to prepare a “Return on Investment” statement that describes the projected benefit to the university and the state if the program is implemented. The projected benefit can be both quantitative and qualitative in nature, but there needs to be a clear distinction made between the two in the narrative.

V. Access and Articulation – Bachelor’s Degrees Only

- A. If the total number of credit hours to earn a degree exceeds 120, provide a justification for an exception to the policy of a 120 maximum.
- B. List any program prerequisites, and provide assurance that they are the same as the standardized prerequisites for other such degree programs within the SUS. If they are not, provide a rationale for a request for exception to the policy of standardized prerequisites. *NOTE: Typically, all lower division course requirements required for admission into the major will be considered prerequisites. The curriculum can require lower division courses that are not prerequisites for admission into the major, as long as those courses are built into the curriculum for the upper level 60 credit hours.*
- C. If the university intends to seek formal Limited Access status for the proposed program provide a rationale that includes an analysis of diversity issues with respect to such a designation. Explain how the university will ensure that community college transfer students are not disadvantaged by the limited access status. *NOTE: The policy and criteria for limited access are identified in Rule 6C-6.001 (11) (e) and (f).*
- D. Provide evidence that community college articulation has been addressed and ensured, especially with those community colleges that are direct feeder schools.

- E. If the proposed program is an AS to BS capstone, ensure that it adheres to the guidelines approved by the ACC for such programs, as set forth in Rule 6A-10.024. List the prerequisites if any, including the specific AS degrees which may transfer into the program.

INSTITUTIONAL READINESS

VI. Related Institutional Mission and Strength

- A. How do the goals of the proposed program relate to the institutional mission statement as contained in the SUS Strategic Plan and the University Strategic Plan?
- B. How does the proposed program specifically relate to existing institutional strengths such as programs of emphasis, other academic programs and/or institutes and centers?
- C. Describe the planning process leading up to submission of this proposal. Include a chronology of activities, listing the university personnel directly involved and any external individuals who participated in planning. Provide a timetable of events for the implementation of the proposed program.

VII. Program Quality Indicators - Reviews and Accreditation

If there have been program reviews, accreditation visits, or internal reviews in the discipline pertinent to the proposed program, or related disciplines, provide all the recommendations and summarize the institution's progress in implementing the recommendations.

VIII. Curriculum

- A. For all tracks within the proposed program(s), provide a sequenced course of study and list the expected specific learning outcomes and the total number of credit hours for the degree. Degree programs in the science and technology disciplines must discuss how industry-driven competencies were identified and incorporated into the curriculum, as required in FS 1001.02 (6). Also indicate as appropriate the number of credit hours for the required core courses, other courses, thesis hours, dissertation hours and the total hours for the degree.
- B. Describe the admission standards and graduation requirements for the program.
- C. For bachelor's, master's and professional programs, list the accreditation agencies and learned societies that would be concerned with the proposed program. Will the university seek accreditation for the program? If not, why? Provide a brief timeline for seeking accreditation, if appropriate.
- D. For doctoral programs, list the accreditation agencies and learned societies that would be concerned with corresponding bachelor's or master's programs associated with the proposed program. Are the programs accredited? If not, why?

- E. Provide a one or two sentence description of each required or elective course.
- F. Describe briefly the anticipated delivery system for the proposed program as it may relate to resources e.g., traditional delivery on main campus; traditional delivery at branches or centers; or nontraditional instruction such as instructional technology (distance learning), self-paced instruction, and external degrees. Include an assessment of the potential for delivery of the proposed program through collaboration with other universities, both public and private. Cite specific queries made of other institutions with respect to the feasibility of shared courses utilizing distance learning technologies, and joint-use facilities for research or internships.

IX. Assessment of Current and Anticipated Faculty

- A. Use DCU Table Four to provide information about each existing faculty member who is expected to participate in the proposed program by the fifth year. Append to the table the number of master's theses directed, number of doctoral dissertations directed, and the number and type of professional publications for each faculty member.
- B. Also, use DCU Table Four to indicate whether additional faculty will be needed to initiate the program, their faculty code (i.e., A, B, C, D, or E as detailed in the lower portion of Table Four), their areas of specialization, their proposed ranks, and when they would be hired. Provide in narrative the rationale for this plan; if there is no need for additional faculty, explain.
- C. Use DCU Table Four to estimate each existing and additional faculty member's workload (in percent person-years) that would be devoted to the proposed program by the fifth year of implementation, assuming that the program is approved. (*Note: this total will carry over to DCU Table Two's fifth year summary of faculty positions.*)
- D. For doctoral programs, in narrative form provide a peer comparison of the proposed program in its fifth year to any similar existing programs at Florida public and independent universities, and with at least three similar programs outside Florida. This narrative can be accompanied by clarifying tables and must compare the anticipated number of faculty, projected student enrollment (headcount and FTE), instructional and research costs, research productivity, and degree production. Use any national data that may be available (National Research Council Research-Doctorate Programs in the United States, National Science Foundation, or other as appropriate) to illustrate how the proposed program will compare against peer institutions at the national level. Universities may choose to provide additional peer data comparisons in support of the program such as percent of graduate students supported by contracts and grants, total contracts and grants for the most recent year, etc.
- E. Provide evidence that the academic unit(s) associated with this new degree have been productive in teaching, research, and service. Such evidence may include trends over time for average course load, FTE productivity, student headcounts in major or service courses, degrees granted, external funding attracted; as well as qualitative indicators of excellence.

X. Assessment of Current and Anticipated Resources

- A. In narrative form, assess current facilities and resources available for the proposed program in the following categories:

1. Library volumes (Provide the total number of volumes available in this discipline and related fields.)
 2. Serials (Provide the total number available in this discipline and related fields, and list those major journals which are available at your institution.)
 3. Describe classroom, teaching laboratory, research laboratory, office, and any other type of space, which is necessary and currently available for the proposed program
 4. Equipment, focusing primarily on instructional and research requirements
 5. Fellowships, scholarships, and graduate assistantships (List the number and amount allocated to the academic unit in question for the past year.)
 6. Internship sites if appropriate
- B. Describe additional facilities and resources required for the initiation of the proposed program (e.g., library volumes, serials, space, assistantships, specialized equipment, other expenses, Other Personnel Services time, etc.). If a new capital expenditure for instructional or research space is required, indicate where this item appears on the university's fixed capital outlay priority list. The provision of new resources will need to be reflected in the budget table (DCU Table Two), and the source of funding indicated. DCU Table Two only includes Instruction and Research (I&R) costs. If non-I&R costs, such as indirect costs affecting libraries and student services, are expected to increase as a result of the program, describe and estimate those expenses in narrative form. It is expected that high enrollment programs in particular would necessitate increased costs in non- I&R activities.

Revised 5/23/05

**DCU TABLE ONE-A
NUMBER OF ANTICIPATED MAJORS FROM POTENTIAL SOURCES***

BACCALAUREATE DEGREE PROGRAM

ACADEMIC YEAR	YEAR 1		YEAR 2		YEAR 3		YEAR 4		YEAR 5	

Source of Students (Non-Duplicated Count in Any Given Year)*	HC	FTE	HC	FTE	HC	FTE	HC	FTE	HC	FTE
Upper-level students who are transferring from other majors within the university***										
Students who initially entered the university as FTIC students and who are progressing from the lower to the upper level**										
Florida community college transfers to the upper level**										
Transfers to the upper level from other Florida colleges and universities**										
Transfers from out of state colleges and universities**										
Other (Explain)**										
TOTAL										

* List projected yearly cumulative ENROLLMENTS instead of admissions.
 ** Do not include individuals counted in any PRIOR category in a given COLUMN.
 *** If numbers appear in this category, they should go DOWN in later years.

Revised 5/23/05

**DCU TABLE ONE-B
NUMBER OF ANTICIPATED MAJORS FROM POTENTIAL SOURCES***

GRADUATE DEGREE PROGRAM

ACADEMIC YEAR	YEAR 1		YEAR 2		YEAR 3		YEAR 4		YEAR 5	

Source of Students (Non-Duplicated Count in Any Given Year)	HC	FTE	HC	FTE	HC	FTE	HC	FTE	HC	FTE
Individuals drawn from agencies/industries in your service area (e.g., older returning students)										
Students who transfer from other graduate programs within the university***										
Individuals who have recently graduated from preceding degree programs at this university**										
Individuals who graduated from preceding degree programs at other Florida public universities										
Individuals who graduated from preceding degree programs at non-public Florida institutions										
Additional in-state residents**										
Additional out-of-state residents**										
Additional foreign residents**										
Other (Explain)**										
TOTAL										

* List projected yearly cumulative ENROLLMENTS instead of admissions.
 ** Do not include individuals counted in any PRIOR category in a given COLUMN.
 *** If numbers appear in this category, they should go DOWN in later years.

Revised 5/23/05

HC = Headcount student FTE = Full-time equivalent student

DCU TABLE TWO

SUMMARY COSTS FOR PROPOSED PROGRAM

INSTRUCTION & RESEARCH	FIRST YEAR						FIFTH YEAR				
	Education & General Funds				Contracts & Grant	Summary	Education & General Funds			Contracts & Grants	Summary
	Reallocated Base*	Enrollment Growth	Other New Recurring	New Non-Recurring			Continuing Base	New Enrollment Growth	Other (Identify if Non-Recurring)		

POSITIONS (Person-years)	* Identify reallocation sources in Table Three										
Faculty							**	**	**	**	**
A & P											
USPS											
TOTAL											

** Cells should relate directly to faculty numbers in Table Four

I & R EXPENSES											
Faculty Salaries and Benefits											
A & P Salaries and Benefits											
USPS Salaries and Benefits											
Other Personnel Services											
Expenses											
Operating Capital Outlay											
Electronic Data Processing											
Library Resources											
Special Categories											
TOTAL I & R											

Revised 5/23/05

DCU TABLE THREE

I & R FUNDING REALLOCATION

I & R Fund Sources for Current E&G Funds Reallocations*	Base Before Reallocation	Reallocation Amount	Base After Reallocation
TOTALS			

* Identify the existing university programs and/or accounts from which current funds to support to support the proposed program will be reallocated.

05/23/05

DCU TABLE FOUR

FACULTY PARTICIPATION IN PROPOSED DEGREE PROGRAM BY FIFTH YEAR

Faculty CODE	Faculty Name or "New Hire"	Academic Discipline/Specialty	Rank	(For Existing Faculty Only)		Initial Date for Participation in Proposed Program	5 th Year Workload in Proposed Program (Portion of Person-year)
				Contract Status (Tenure status or equivalent)	Highest Degree Held		

Faculty CODE	Corresponding Faculty Position Category in TABLE 3 for the Fifth Year	Proposed Source of Funding for Faculty	TOTAL 5 th Year Workload by Budget Classification
--------------	---	--	--

A	Current General Revenue	Existing Faculty – Regular Line	
B	Current General Revenue	New Faculty – To be Hired on Existing Vacant Line	

C	New General Revenue	New Faculty – To be Hired on a New Line	
----------	---------------------	---	--

D	Contracts and Grants	Existing Faculty – Funded on Contracts and Grants	
E	Contracts and Grants	New Faculty – To Be Hired on Contracts and Grants	

Overall Total for 5th Year			
--	--	--	--

Revised 5/23/05

Florida International University
Program Inventory start date and count

Updated with each Program Inventory from BOR

CIP Code Degree Program

School of Architecture		Degree Level				Date Approved				
040201	Architecture		M				M 1996			
049999	Design in Architecture Studies	B				B 1995				
500408	Interior Design	B	M			B 1976	M 2005			
040601	Landscape Architecture	B	M			B 2005	M 1985			
	College of Arts and Sciences									
050201	African-American Studies		M				M 1999			
270301	Applied Math/Math Sciences	B	M			B 1972	M 1978			
500703	Art History	B				B 1999				
050103	Asian Studies	B	M			B 2002	M 2005			
260101	Biology	B	M	D		B 1972	M 1979	D 1988		
400501	Chemistry	B	M	D		B 1972	M 1987	D 1997		
110101	Computer & Information Science	B	M	D		B 1989	M 1980	D 1984		
230501	Creative Writing		M				M 1988			
500301	Dance	B				B 1991				
500501	Dramatic Arts	B				B 1972				
400601	Earth Sciences (change in name from Geology to Earth Sciences)	B	M	D		B 2003	M 2003	D 2003		
450601	Economics	B	M	D		B 1972	M 1980	D 1987		
230101	English, General	B	M			B 1972	M 1994			
030104	Environmental Sci.	B	M			B 1972	M 1996			
430106	Forensic Science		M				M 1999			
160901	French	B				B 1972				
450701	Geography	B				B 2000				
400601	Geology	B	M	D		B 1974	M 1987	D 1994		
160501	German	B				B 1972				
540101	History	B	M	D		B 1974	M 1983	D 1995		
240103	Humanities	B				B 1972				
110103	Information Technology	B				B 2001				

Florida International University

Program Inventory start date and count

Updated with each Program Inventory from BOR

450901	International Relations	B	M	D			B 1976	M 1981	D 1993		
160902	Italian	B					B 1976				
050107	Latin American Studies		M					M 1996			
240101	Liberal Arts & Studies	B	M				B 1974	M 2003			
160102	Linguistics		M					M 1986			
260607	Marine Biology	B					B 2001				
270101	Mathematics , General	B					B 1972				
500901	Music, General	B	M				B 1972	M 1997			
380101	Philosophy	B					B 1972				
400801	Physics	B	M	D			B 1974	M 1979	D 1998		
451001	Political Science & Government	B	M	D			B 1972	M 1994	D 1996		
160904	Portuguese	B					B 1972				
420101	Psychology	B	M	D			B 1972	M 1979	D 1984		
380201	Religious Studies	B	M				B 1972	M 1996			
451101	Sociology	B	M	D			B 1972	M 1990	D 1993		
160905	Spanish	B	M	D			B 1972	M 1993	D 1996		
270501	Statistics	B	M				B 1974	M 2000			
500702	Studio/Fine Art	B	M				B 1972	M 1997			
050207	Women's Studies	B					B 1996				
	College of Business Administration										
520301	Accounting	B	M				B 1972	M 1972			
520201	Busi Admin & Mgmt	B	M	D			B 1972	M 1972	D 1987		
520801	Finance, General	B	M				B 1972	M 1972			
521001	Human Resource Management	B					B 1974				
520805	Insurance & Risk Mgmt	B					B 1972				
521101	International Busi. Mgmt	B	M				B 1974	M 1976			
521201	Management Information Systems (from 110401)	B	M				B 1974	M 2001			
521401	Marketing Mgmt	B					B 1972				
521501	Real Estate	B	M				B 1972	M 2005			
521601	Taxation		M					M 1987			

Florida International University

Program Inventory start date and count

Updated with each Program Inventory from BOR

520203	Transportation Management	B					B 1972				
	College of Education										
131201	Adult & Continuing Teacher Ed		M	D				M 1972	D 1980		
131302	Art Teacher Ed	B	M				B 1972	M 1972			
131101	Counselor Ed/Student Counseling Guidance		M					M 1976			
130301	Curriculum & Instruction		M	D	S	PhD		M 2005	D 1984	S 1984	PhD 2002
131210	Early Childhood/ESOL	B					B 2004				
130401	Ed Admin/Leadership, General		M	D	S			M 1974	D 1978	S 1978	
131005	Ed of Emotionally Handicap - Termination October 2004						B 1972				
131011	Ed of Specific Learning Disabled - Termination October 2004						B 1972				
131006	Ed of the Mentally Handicapped - Termination October 2004						B 1972				
131202	Elementary Teacher Ed	B	M				B 1972	M 1972			
131305	English Teacher Ed	B	M				B 1972	M 1972			
131306	Foreign Languages Teacher Ed	B	M				B 1972	M 1978			
310505	Health Education (change effective Both B,M Sum 00)	B	M				B 1972	M 1986			
130406	Higher Education Administration (changed from 131295 -Fall 00)		M	D				M 2005	D 1983		
131308	Home Economics Teacher Ed (Voc)	B	M				B 1972	M 1972			
130701	International & Comparative Ed		M					M 1986			
131311	Mathematics Teacher Ed	B	M				B 1972	M 1972			
131312	Music Teacher Ed	B	M				B 1972	M 1972			
131314	Physical Ed Teaching & Coaching	B	M				B 1972	M 1972			
131210	Pre-Elem/Early Childhood Teach Ed		M					M 1972			
131315	Reading Teacher Ed		M					M 1974			
310301	Recreation, Leisure Services	B	M				B 1972	M 1976			
421701	School Psychology				S					S 1992	
131316	Science Teacher Ed	B	M				B 1972	M 1972			
131317	Social Sciences Teacher Ed	B	M				B 1972	M 1972			
131001	Special Ed, General	B	M	D			B 2005	M 1994	D 1985		
131320	Trade & Industrial Teacher Ed (Voc)	B	M				B 1972	M 1972			
130410	Urban Ed		M					M 1985			

Florida International University

Program Inventory start date and count

Updated with each Program Inventory from BOR

	College of Engineering											
140501	Biomedical Engineering	B	M	D			B 2002	M 1999	D 2004			
140701	Chemical Engineering	B					B 1998					
140801	Civil Engineering	B	M	D			B 1982	M 1985	D 1997			
140901	Computer Engineering	B	M				B 1989	M 1990				
151001	Construction/Build Tech	B	M				B 1974	M 1988				
141001	Electrical, Electronics Eng	B	M	D			B 1982	M 1985	D 1991			
143502	Engineering Management		M					M 1996				
150599	Environmental & Urban Systems	B	M				B 1976	M 1977				
141401	Environmental Health Eng		M					M 1985				
142701	Industrial & Systems Eng	B	M	D			B 1985	M 1990	D 2003			
141801	Materials Science and Engineering		M	D				M 2002	D 2005			
141901	Mechanical Engineering	B	M	D			B 1984	M 1988	D 1994			
143503	Technology Management		M					M 2002				
149999	Telecommunications and Networking		M					M 2002				
	School of Hospitality Management											
520901	Hospitality Admin/Mgmt	B	M				B 1972	M 1972				
520903	Travel and Tourism Management	B	M				B 2001	M 2001				
	College of Health and Urban Affairs											
430104	Criminal Justice Studies	B					B 1972					
430103	Criminal Justice/Law Enforcement Admin		M					M 1977				
513101	Dietetics/Nutrition Services	B	M	D			B 1972	M 1972	D 1995			
510706	Health Information Management	B					B 1978					
510000	Health Science	B					B 1972					
510701	Health Services Admin	B	M				B 1979	M 1979				
511601	Nursing	B	M				B 1972	M 1992				
511608	Nursing			D					D 2004			
512306	Occupational Therapy	B	M				B 1972	M 1988				

Florida International University
Program Inventory start date and count

Updated with each Program Inventory from BOR

512307	Orthotics/Prosthetics	B					B 1988				
512308	Physical Therapy		M				B 1972	M 1989			
440401	Public Administration	B	M	D			B 1972	M 1972	D 1983		
512201	Public Health		M					M 1984			
440701	Social Work, General	B	M	D			B 1972	M 1981	D 1991		
510204	Speech Pathology & Audiology		M					M 2000			
	School of Journalism and Mass Communication										
090102	Communications (Mass)	B	M				B 1978	M 1987			
	College of Law										
220101	Law					P				P 2002	

85 84 28 3 1

Last updated 5/25/05
 under Survey: DEG PROG BY COLLEGE COUNT

**New Academic Programs Approved by the
Board of Trustees
Florida International University
July 2001 through June 2005**

Date Program Approved by BOT	Level	Program Name	Students in Major	Degrees Awarded
			Spring 2003 Headcount*	July 2001 through May 2003
July 17, 2001	M.S.	Management Information Systems	66	139
Oct. 8, 2001	B.S.	Marine Biology	3	1
Oct. 8, 2001	B.S.	Travel and Tourism	70	8
Oct. 8, 2001	M.S.	Tourism Studies	16	1
Feb. 18, 2002	B.A.	Asian Studies	9**	3
May 21, 2002	B.S.	Biomedical Engineering	22	0
May 21, 2002	M.S.	Telecommunications and Networking	19	1
May 21, 2002	M.S.	Materials Science and Engineering	5	0
May 21, 2002	M.S.	Technology Management	1	0
Sept. 10, 2002	Ph.D.	Industrial and Systems Engineering	5	0
Nov. 13, 2002	M.A.	Liberal Studies	0	0
March 12, 2003	Ph.D.	Nursing	0	0
May 19, 2003	Ph.D.	Biomedical Engineering	0	0

Source: Student Datacourse File
 *Majors Admitted to the College/School
 **Second Major

Florida International University

Program Inventory with program start date

Updated with each Program Inventory from BOR

Last updated 7/16/01

CIP Code	Degree Program	Level	Date Began	Intro. Catalog	From Catalogs	Term Date
030102	Environmental Sci.	B M	M-1996	B		
040201	Architecture	M	M-1996			
040295	Design in Architecture Studies	B	B-1995			
040601	Landscape Architecture	M	M-1985			
050107	Latin American Studies	M	M-1996			
050201	African-American Studies	M	M-1999			
050207	Women's Studies	B	B-1996			
090101	Communications (Mass)	B M	B-1978, M-1987			
110101	Computer & Information Science	B M D	B-1989, M-1980, D-1984			
111095	Information Technology	B	Fall 2001			
130301	Curriculum & Instruction	D S	D-1984, S-1984			
130401	Ed Admin/Leadership, General	M D S	S-1978, D-1978		M-1974	
130406	Higher Education Administration (changed from 131295 effective Fall 00)	D	D-1983			
130701	International & Comparative Ed	M	M-1986			
131001	Specil Ed, General	M D	M-1994, D-1985			
131001	Special Education	M				1990
131005	Ed of Emotionally Handicap	B		B		
131005	Ed. Of the Emotionally Handicapped	M				1994
131006	Ed of the Mentally Handicapped	B		B		
131006	Ed. Of the Mentally Handicapped	M				1994
131011	Ed of Specific Learning Disabled	B		B		
131011	Ed. Of Specific Learning Disabled	M				1994
131101	Counselor Ed/Student Counseling Guidance	M			M-1976	
131201	Adult & Continuing Teacher Ed	M D	D - 1980	M		
131202	Elementary Teacher Ed	B M		B M		
131204	Pre-Elem/Early Childhood Teach Ed	M		M		
131207	Urban Ed	M	M-1985			
131295	Jr. Coll Teaching (Old CIP)	D				2000
131302	Art Teacher Ed	B M		B M		
131305	English Teacher Ed	B M		B M		

Program Inventory with program start date

Updated with each Program Inventory from BOR

Last updated 7/16/01

CIP Code	Degree Program	Level	Date Began	Intro. Catalog	From Catalogs	Term Date
131306	Foreign Languages Teacher Ed	B M	M-1978	B		
131308	Home Economics Teacher Ed (Voc)	B M		B M		
131311	Mathematics Teacher Ed	B M		B M		
131312	Music Teacher Ed	B M		B M		
131314	Physical Ed Teaching & Coaching	B M		B M		
131315	Reading Teacher Ed	M			M-1974	
131316	Science Teacher Ed	B M		B M		
131317	Social Sciences Teacher Ed	B M		B M		
131320	Trade & Industrial Teacher Ed (Voc)	B M		B M		
140501	Biomedical Engineering	M	M-1999			
140701	Chemical Engineering	B	B-1998			
140801	Civil Engineering	B M D	B-1982, M-1985, D -1997			
140901	Computer Engineering	B M	B-1989, M-1990			
141001	Electrical, Electronics Eng	B M D	B-1982, M-1985, D-1991			
141401	Environmental Health Eng	M	M-1985			
141701	Industrial/Manufacturing Eng	M	M-1990			
141701	Industrial & MFG Technology	B				1992
141901	Mechanical Engineering	B M D	B-1984, M-1988, D-1994			
142701	Industrial & Systems Eng	B	B-1985			
143001	Engineering Management	M	M-1996			
150103	Building Construction	B				1990
150104	Construction Eng Tech	B				1992
150201	Civil Eng Tech	B				1983
150303	Elect Eng Tech	B				1983
150504	Environmental & Urban Systems	B M			B-1976 M-1977	
150603	Industrial Technology	B				1992
150805	Mechanical Eng Technology	B				1992
151001	Construction/Build Tech	B M	M-1988		B-1974	
159901	Engineering Technology	B				1990
160102	Linguistics	M	M-1986			
160501	German	B		B		

Program Inventory with program start date

Updated with each Program Inventory from BOR

Last updated 7/16/01

CIP Code	Degree Program	Level	Date Began	Intro. Catalog	From Catalogs	Term Date
160901	French	B		B		
160902	Italian	B			B-1976	
160904	Portuguese	B		B		
160905	Spanish	B M D	M-1993 D-1996	B		
190101	Home Ec	B				1985
190503	Dietetics/Nutrition Services	B M D	D-1995	B M		
230101	English, General	B M	M-1994	B M		
230501	Creative Writing	M	M-1988			
240101	Liberal Arts & Studies	B			B-1974	
240103	Humanities	B		B		
260101	Biology	B M D	M-1979, D -1988	B		
270101	Mathematics , General	B		B		
270301	Applied Math/Math Sciences	B M		B		
270501	Statistics	B M	M - Summer 2000		B-1974	
310301	Recreation, Leisure Services	B M		B	M-1976	
310505	Health Education (change effective both B,M Sum 00)	B M	M-1986	B		
380101	Philosophy	B		B		
380201	Religious Studies	B M	M-1996	B		
400501	Chemistry	B M D	M-1987, D-1997	B		
400601	Geology	B M D	M-1987, D-1994		B-1974	
400801	Physics	B M D	M-1979, D - 1998		B-1974	
420101	Psychology	B M D	M-1979, D-1984	B		
421701	School Psychology		S-1992			
430103	Criminal Justice/Law Enforcement Admin	M			M-1977	
430104	Criminal Justice Studies	B		B		
430106	Forensic Science	M	M-1999			
430202	Fire Science	B				1986
440401	Public Administration	B M D	D-1983	B M		
440701	Social Work, General	B M D	M-1981, D-1991	B		
450601	Economics	B M D	M-1980, D-1987	B		
450701	Geography	B	B-2000			

Program Inventory with program start date

Updated with each Program Inventory from BOR

Last updated 7/16/01

CIP Code	Degree Program	Level	Date Began	Intro. Catalog	From Catalogs	Term Date
450801	History	B M D	M - 1983, D-1995		B-1974	
450901	International Relations	B M D	M-1981, D-1993		B-1976	
451001	Political Science & Government	B M D	M-1994, D -1996	B		
451101	Sociology	B M D	M-1990, D-1993	B		
500301	Dance	B	B-1991			
500408	Interior Design	B			B-1976	
500501	Dramatic Arts	B		B		
500702	Studio/Fine Art	B M	M -1997	B		
500703	Art History	B	B-1999			
500901	Music, General	B M	M-1997	B		
510204	Speech Pathology & Audiology	M	M-2000			
510701	Health Services Admin	B M			B-1979 M-1979	
510706	Health Information Management	B	B-1978			
511005	Medical Lab Science	B M				1999
511601	Nursing	B M	B-1982, M-1992	B		
512201	Public Health	M	M-1984			
512306	Occupational Therapy	B M	M-1988	B		
512307	Orthotics/Prosthetics	B	B-1988			
512308	Physical Therapy	M	M-1989	B		
512795	Health Science	B		B		
520101	Business, General	M				1990
520101	General Business	B				1992
520201	Busi Admin & Mgmt	B M D	D-1987	B M		
520301	Accounting	B M		B M		
520801	Finance, General	B M		B M		
520805	Insurance & Risk Mgmt	B		B		
520901	Hospitality Admin/Mgmt	B M		B M		
521001	Human Resource Management	B			B-1974	
521101	International Busi. Mgmt	B M			B-1974 M-1976	
521201	Management Information Systems (from 110401)	B	Change effective Sum 00		B-1974	
521401	Marketing Mgmt	B		B		

Program Inventory with program start date

Updated with each Program Inventory from BOR

Last updated 7/16/01

CIP Code Degree Program

		Level	Date Began	Intro. Catalog	From Catalogs	Term Date
521501	Real Estate	B		B		
521501	Real Estate	M				1990
521601	Taxation	M	M-1987			
529995	Transportation Management	B		B		

under Survey:Degree Programs First Catalog

Florida International University
Program Inventory start date and count

Updated with each Program Inventory from BOR

CIP Code Degree Program

School of Architecture		Degree Level				Date Approved				
040201	Architecture		M				M 1996			
049999	Design in Architecture Studies	B				B 1995				
500408	Interior Design	B				B 1976				
040601	Landscape Architecture		M				M 1985			
	College of Arts and Sciences									
050201	African-American Studies		M				M 1999			
270301	Applied Math/Math Sciences	B	M			B 1972	M 1978			
500703	Art History	B				B 1999				
050103	Asian Studies	B	M			B 2002	M 2005			
260101	Biology	B	M	D		B 1972	M 1979	D 1988		
400501	Chemistry	B	M	D		B 1972	M 1987	D 1997		
110101	Computer & Information Science	B	M	D		B 1989	M 1980	D 1984		
230501	Creative Writing		M				M 1988			
500301	Dance	B				B 1991				
500501	Dramatic Arts	B				B 1972				
400601	Earth Sciences (change in name from Geology to Earth Sciences)	B	M	D		B 2003	M 2003	D 2003		
450601	Economics	B	M	D		B 1972	M 1980	D 1987		
230101	English, General	B	M			B 1972	M 1994			
030104	Environmental Sci.	B	M			B 1972	M 1996			
430106	Forensic Science		M				M 1999			
160901	French	B				B 1972				
450701	Geography	B				B 2000				
400601	Geology	B	M	D		B 1974	M 1987	D 1994		
160501	German	B				B 1972				
540101	History	B	M	D		B 1974	M 1983	D 1995		
240103	Humanities	B				B 1972				
110103	Information Technology	B				B 2001				

Florida International University

Program Inventory start date and count

Updated with each Program Inventory from BOR

450901	International Relations	B	M	D			B 1976	M 1981	D 1993		
160902	Italian	B					B 1976				
050107	Latin American Studies		M					M 1996			
240101	Liberal Arts & Studies	B	M				B 1974	M 2003			
160102	Linguistics		M					M 1986			
260607	Marine Biology	B					B 2001				
270101	Mathematics , General	B					B 1972				
500901	Music, General	B	M				B 1972	M 1997			
380101	Philosophy	B					B 1972				
400801	Physics	B	M	D			B 1974	M 1979	D 1998		
451001	Political Science & Government	B	M	D			B 1972	M 1994	D 1996		
160904	Portuguese	B					B 1972				
420101	Psychology	B	M	D			B 1972	M 1979	D 1984		
380201	Religious Studies	B	M				B 1972	M 1996			
451101	Sociology	B	M	D			B 1972	M 1990	D 1993		
160905	Spanish	B	M	D			B 1972	M 1993	D 1996		
270501	Statistics	B	M				B 1974	M 2000			
500702	Studio/Fine Art	B	M				B 1972	M 1997			
050207	Women's Studies	B					B 1996				
	College of Business Administration										
520301	Accounting	B	M				B 1972	M 1972			
520201	Busi Admin & Mgmt	B	M	D			B 1972	M 1972	D 1987		
520801	Finance, General	B	M				B 1972	M 1972			
521001	Human Resource Management	B					B 1974				
520805	Insurance & Risk Mgmt	B					B 1972				
521101	International Busi. Mgmt	B	M				B 1974	M 1976			
521201	Management Information Systems (from 110401)	B	M				B 1974	M 2001			
521401	Marketing Mgmt	B					B 1972				
521501	Real Estate	B					B 1972				
521601	Taxation		M					M 1987			

Florida International University

Program Inventory start date and count

Updated with each Program Inventory from BOR

520203	Transportation Management	B					B 1972				
	College of Education										
131201	Adult & Continuing Teacher Ed		M	D				M 1972	D 1980		
131302	Art Teacher Ed	B	M				B 1972	M 1972			
131101	Counselor Ed/Student Counseling Guidance		M					M 1976			
130301	Curriculum & Instruction		M	D	S	PhD		M 2005	D 1984	S 1984	PhD 2002
131210	Early Childhood/ESOL	B					B 2004				
130401	Ed Admin/Leadership, General		M	D	S			M 1974	D 1978	S 1978	
131005	Ed of Emotionally Handicap - Termination October 2004						B 1972				
131011	Ed of Specific Learning Disabled - Termination October 2004						B 1972				
131006	Ed of the Mentally Handicapped - Termination October 2004						B 1972				
131202	Elementary Teacher Ed	B	M				B 1972	M 1972			
131305	English Teacher Ed	B	M				B 1972	M 1972			
131306	Foreign Languages Teacher Ed	B	M				B 1972	M 1978			
310505	Health Education (change effective Both B,M Sum 00)	B	M				B 1972	M 1986			
130406	Higher Education Administration (changed from 131295 -Fall 00)		M	D				M 2005	D 1983		
131308	Home Economics Teacher Ed (Voc)	B	M				B 1972	M 1972			
130701	International & Comparative Ed		M					M 1986			
131311	Mathematics Teacher Ed	B	M				B 1972	M 1972			
131312	Music Teacher Ed	B	M				B 1972	M 1972			
131314	Physical Ed Teaching & Coaching	B	M				B 1972	M 1972			
131210	Pre-Elem/Early Childhood Teach Ed		M					M 1972			
131315	Reading Teacher Ed		M					M 1974			
310301	Recreation, Leisure Services	B	M				B 1972	M 1976			
421701	School Psychology				S					S 1992	
131316	Science Teacher Ed	B	M				B 1972	M 1972			
131317	Social Sciences Teacher Ed	B	M				B 1972	M 1972			
131001	Special Ed, General	B	M	D			B 2005	M 1994	D 1985		
131320	Trade & Industrial Teacher Ed (Voc)	B	M				B 1972	M 1972			
130410	Urban Ed		M					M 1985			

Florida International University

Program Inventory start date and count

Updated with each Program Inventory from BOR

	College of Engineering											
140501	Biomedical Engineering	B	M	D			B 2002	M 1999	D 2004			
140701	Chemical Engineering	B					B 1998					
140801	Civil Engineering	B	M	D			B 1982	M 1985	D 1997			
140901	Computer Engineering	B	M				B 1989	M 1990				
151001	Construction/Build Tech	B	M				B 1974	M 1988				
141001	Electrical, Electronics Eng	B	M	D			B 1982	M 1985	D 1991			
143502	Engineering Management		M					M 1996				
150599	Environmental & Urban Systems	B	M				B 1976	M 1977				
141401	Environmental Health Eng		M					M 1985				
142701	Industrial & Systems Eng	B	M	D			B 1985	M 1990	D 2003			
141801	Materials Science and Engineering		M					M 2002				
141901	Mechanical Engineering	B	M	D			B 1984	M 1988	D 1994			
143503	Technology Management		M					M 2002				
149999	Telecommunications and Networking		M					M 2002				
	School of Hospitality Management											
520901	Hospitality Admin/Mgmt	B	M				B 1972	M 1972				
520903	Travel and Tourism Management	B	M				B 2001	M 2001				
	College of Health and Urban Affairs											
430104	Criminal Justice Studies	B					B 1972					
430103	Criminal Justice/Law Enforcement Admin		M					M 1977				
513101	Dietetics/Nutrition Services	B	M	D			B 1972	M 1972	D 1995			
510706	Health Information Management	B					B 1978					
510000	Health Science	B					B 1972					
510701	Health Services Admin	B	M				B 1979	M 1979				
511601	Nursing	B	M				B 1972	M 1992				
511608	Nursing			D					D 2004			
512306	Occupational Therapy	B	M				B 1972	M 1988				

Florida International University

Program Inventory start date and count

Updated with each Program Inventory from BOR

512307	Orthotics/Prosthetics	B					B 1988				
512308	Physical Therapy		M				B 1972	M 1989			
440401	Public Administration	B	M	D			B 1972	M 1972	D 1983		
512201	Public Health		M					M 1984			
440701	Social Work, General	B	M	D			B 1972	M 1981	D 1991		
510204	Speech Pathology & Audiology		M					M 2000			
	School of Journalism and Mass Communication										
090102	Communications (Mass)	B	M				B 1978	M 1987			
	College of Law										
220101	Law					P				P 2002	

85 84 28 3 1

Last updated 5/25/05

under Survey: DEG PROG BY COLLEGE COUNT

SEVEN YEAR PROGRAM REVIEW SCHEDULE

FLORIDA INTERNATIONAL UNIVERSITY

2001 to 2008

CIP	Degree Programs	Degree	Year Report Submitted to the Florida Board of Governors						
			X = Year Submitted, S = Scheduled						
			2001-02	2002-03	2003-04	2004-05	2005-06	2006-07	2007-08
03.	<u>NATURAL RESOURCES AND CONSERVATION</u>								
03.0104 (03.0102)	Environmental Science	B M					S		
04.	<u>ARCHITECTURE AND RELATED SERVICES</u>								
04.0201	Architecture	M			X				
04.0601	Landscape Architecture	M			X				
04.9999 (04.0295)	Design in Architecture Studies	B			X				
05.	<u>AREA, ETHNIC, CULTURAL, AND GENDER STUDIES</u>								
05.0103	Asian Studies	B		NEW					S
05.0107	Latin American Studies	M		X					S
05.0201	African-American (Black) Studies	M				S			
05.0207	Women's Studies	B				S			
09.	<u>COMMUNICATION, JOURNALISM AND RELATED PROGRAMS</u>								
09.0102 (09.0101)	Communication (Mass)	B M		X					S
11.	<u>COMPUTER AND INFORMATION SCIENCES AND SUPPORT SERVICES</u>								
11.0101	Computer & Information Sciences	B M D			X				
11.0103 (11.1095)	Information Technology	B			X				
13.	<u>EDUCATION</u>								
13.0301	Curriculum & Instruction	D* S			X				*S

SEVEN YEAR PROGRAM REVIEW SCHEDULE

FLORIDA INTERNATIONAL UNIVERSITY

2001 to 2008

CIP	Degree Programs	Degree	Year Report Submitted to the Florida Board of Governors						
			X = Year Submitted, S = Scheduled						
			2001-02	2002-03	2003-04	2004-05	2005-06	2006-07	2007-08
13.0401	Ed Admin/ Leadership, General	M D S		X					S
13.0406	Higher Ed Administration	D		X					S
13.0410 (13.1207)	Urban Ed	M		X					S
13.0701	International & Comparative Ed	M		X					S
13.1001	Special Ed, General	M D		X					S
13.1005	Ed of the Emotionally Handicapped	B		X					S
13.1006	Ed of the Mentally Handicapped	B		X					S
13.1011	Ed of the Specific Learning Disabled	B		X					S
13.1101	Counselor Ed/Student Counseling/ Guidance	M		X					S
13.1201	Adult & Continuing Teacher Ed	M D		X					S
13.1202	Elementary Teacher Ed	B*M**		*X	**X				*S
13.1210 (13.1204)	Pre-Elem/Early Childhood Teacher Ed	B*M**			**X,*Sp04 Impl				*S
13.1302	Art Teacher Ed	B*M**		*X	**X				*S
13.1305	English Teacher Ed	B*M**		*X	**X				*S

SEVEN YEAR PROGRAM REVIEW SCHEDULE

FLORIDA INTERNATIONAL UNIVERSITY

2001 to 2008

CIP	Degree Programs	Degree	Year Report Submitted to the Florida Board of Governors						
			X = Year Submitted, S = Scheduled						
			2001-02	2002-03	2003-04	2004-05	2005-06	2006-07	2007-08
13.1306	Foreign Languages Teacher Ed	B*M**		*X	**X				*S
13.1308	Home Economics Teacher Ed (Voc)	B M		X					S
13.1311	Mathematics Teacher Ed	B*M**		*X	**X				*S
13.1312	Music Teacher Ed	B*M**		*X		S**			*S
13.1314	Physical Ed Teaching & Coaching	B M			X				
13.1315	Reading Teacher Ed	M		X					S
13.1316	Science Teacher Ed	B*M**		*X	**X				*S
13.1317	Social Sciences Teacher Ed (13.1318)	B*M**		*X	**X				*S
13.1320	Trade and Industrial Ed	B M		X					S
14.	ENGINEERING								
14.0501	Biomedical Eng	B M D*		X		**Fa 04 impl			S
14.0701	Chemical Eng	B		X					S
14.0801	Civil Engineering	B M D		X					S
14.0901	Computer Eng	B M		X					S
14.1001	Electrical, Electronics Eng	B M D		X					S
14.1401	Environmental Health Engineering	M		X					S
14.1801	Materials Eng (14.2001)	M		X					S
14.1901	Mechanical Eng	B M D		X					S
14.2701	Industrial & Systems Engineering	B M D		X					S

SEVEN YEAR PROGRAM REVIEW SCHEDULE

FLORIDA INTERNATIONAL UNIVERSITY

2001 to 2008

CIP	Degree Programs	Degree	Year Report Submitted to the Florida Board of Governors						
			X = Year Submitted, S = Scheduled						
			2001-02	2002-03	2003-04	2004-05	2005-06	2006-07	2007-08
14.3502 (14.3001)	Engineering Management (14.9901)	M		X					S
14.3503 (14.3590)	Technology Management	M		NEW					S
14.9999 (14.1090)	Telecommunications/ Networking	M		NEW					S
15.	<u>ENGINEERING TECHNOLOGIES/TECHNICIANS</u>								
15.0599 (15.0504)	Environmental & Urban Systems	B M		X					S
15.1001	Construction/Build Tech(15.0103/ 15.0104)	B M		X					S
16.	<u>FOREIGN LANGUAGES, LITERATURES, AND LINGUISTICS</u>								
16.0102	Linguistics	M				S			
16.0501	German	B				S			
16.0901	French	B				S			
16.0902	Italian	B				S			
16.0904	Portuguese	B				S			
16.0905	Spanish	B M D				S			
22.	<u>LEGAL PROFESSIONS AND STUDIES</u>								
22.0101	Law	P		NEW					S
23.	<u>ENGLISH LANGUAGE AND LITERATURE/LETTERS</u>								
23.0101	English, General	B M				S			
23.0501	Creative Writing	M				S			
24.	<u>LIBERAL ARTS AND SCIENCES, GENERAL STUDIES AND HUMANITIES</u>								
24.0101	Liberal Arts & Studies	B M*			*NEW	S			
24.0103	Humanities(24.0102/ 24.0198/30.0401)	B				S			

SEVEN YEAR PROGRAM REVIEW SCHEDULE

FLORIDA INTERNATIONAL UNIVERSITY

2001 to 2008

CIP	Degree Programs	Degree	Year Report Submitted to the Florida Board of Governors						
			X = Year Submitted, S = Scheduled						
			2001-02	2002-03	2003-04	2004-05	2005-06	2006-07	2007-08
26.	<u>BIOLOGICAL AND BIOMEDICAL SCIENCES</u>								
26.0101	Biology, General	B M D	X					S	
26.1302 (26.0607)	Marine/Aquatic Biology	B		NEW					S
27.	<u>MATHEMATICS AND STATISTICS</u>								
27.0101	Mathematics, General	B				S			
27.0301	Applied Math/ Math Sciences	B M				S			
27.0501	Statistics	B M				S			
31.	<u>PARKS, RECREATION, LEISURE & FITNESS</u>								
31.0301	Recreation, Leisure Services	B M			X				
31.0505	Exercise Sci/ Physiol/ Mvmnt Studies	B M			X				
38.	<u>PHILOSOPHY AND RELIGIOUS STUDIES</u>								
38.0101	Philosophy	B				S			
38.0201	Religious Studies	B M			X				
40.	<u>PHYSICAL SCIENCES</u>								
40.0501	Chemistry	B M D	X					S	
40.0601	Geology	B M D	X					S	
40.0801	Physics	B M D	X					S	
42.	<u>PSYCHOLOGY</u>								
42.0101	Psychology, General	B M D				S			
42.1701	School Psychology	S		X					S
43.	<u>SECURITY AND PROTECTIVE SERVICES</u>								
43.0103	Criminal Justice/ Law Enforcement Administration	M				S			

SEVEN YEAR PROGRAM REVIEW SCHEDULE

FLORIDA INTERNATIONAL UNIVERSITY

2001 to 2008

CIP	Degree Programs	Degree	Year Report Submitted to the Florida Board of Governors						
			X = Year Submitted, S = Scheduled						
			2001-02	2002-03	2003-04	2004-05	2005-06	2006-07	2007-08
43.0104	Criminal Justice Studies	B				S			
43.0106	Forensic Science	M	X					S	
44.	<u>PUBLIC ADMINISTRATION AND SOCIAL SERVICES PROFESSIONS</u>								
44.0401	Public Administration	B M D					S		
44.0701	Social Work, Gen	B M D					S		
45.	<u>SOCIAL SCIENCES</u>								
45.0601	Economics	B M D			X				
45.0701	Geography	B		X					S
45.0901	International Relations	B M D		X					S
45.1001	Political Science & Government	B M D			X				
45.1101	Sociology	B M D				S			
50.	<u>VISUAL AND PERFORMING ARTS</u>								
50.0301	Dance	B				S			
50.0408	Interior Design	B			X				
50.0501	Dramatic Arts	B				S			
50.0702	Studio/Fine Arts (50.0101/50.0701)	B M			X				
50.0703	Art History & Appreciation	B			X				
50.0901	Music, General	B M				S			
51.	<u>HEALTH PROFESSIONS AND RELATED CLINICAL STUDIES</u>								
51.0000 (51.2795)	Health Science	B				S			
51.0204	Speech Pathology and Audiology	M					S		

SEVEN YEAR PROGRAM REVIEW SCHEDULE

FLORIDA INTERNATIONAL UNIVERSITY

2001 to 2008

CIP	Degree Programs	Degree	Year Report Submitted to the Florida Board of Governors						
			X = Year Submitted, S = Scheduled						
			2001-02	2002-03	2003-04	2004-05	2005-06	2006-07	2007-08
51.0701	Health Services Administration	B M				S			
51.0706	Health Information Management	B		X					S
51.1601	Nursing/Registered Nurse	B M					S		
51.1608	Nursing Science	D*			*Sp 04 Impl				
51.2201	Public Health	M D							
51.2306	Occupational Therapy	B M		X					S
51.2308	Physical Therapy	M				S			
51.3101 (19.0503)	Dietetics/Nutritional Services	B M D				S			
52.	BUSINESS, MANAGEMENT, MARKETING, AND RELATED SUPPORT SERVICES								
52.0201	Business Admin & Mgmt	B M D				S			
52.0203 (52.9995)	Logistics & Materials Mgmt	B				S			
52.0301	Accounting	B M				S			
52.0801	Finance, General	B M				S			
52.0901	Hospitality Admin/ Mgmt	B M				S			
52.0903	Travel and Tourism Management	B M				S			
52.1001	Human Resources Management	B				S			
52.1101	International Busi Mgmt	B M				S			
52.1201	Mgmt Info Systems/ Busi Data Proc.	B M				S			
52.1401	Marketing Mgmt	B				S			

SEVEN YEAR PROGRAM REVIEW SCHEDULE

FLORIDA INTERNATIONAL UNIVERSITY

2001 to 2008

CIP	Degree Programs	Degree	Year Report Submitted to the Florida Board of Governors						
			X = Year Submitted, S = Scheduled						
			2001-02	2002-03	2003-04	2004-05	2005-06	2006-07	2007-08
52.1501	Real Estate	B				S			
52.1601	Taxation	M				S			
52.1701 (52.0805)	Insurance & Risk Mgmt	B				S			
54.	<u>HISTORY</u>								
54.0101 (45.0801)	History	B M D		X					S

Florida International University
Program Inventory start date and count

Updated with each Program Inventory from BOR

CIP Code Degree Program

School of Architecture		Degree Level				Date Approved				
040201	Architecture		M				M 1996			
049999	Design in Architecture Studies	B				B 1995				
500408	Interior Design	B	M			B 1976	M 2005			
040601	Landscape Architecture	B	M			B 2005	M 1985			
	College of Arts and Sciences									
050201	African-American Studies		M				M 1999			
270301	Applied Math/Math Sciences	B	M			B 1972	M 1978			
500703	Art History	B				B 1999				
050103	Asian Studies	B	M			B 2002	M 2005			
260101	Biology	B	M	D		B 1972	M 1979	D 1988		
400501	Chemistry	B	M	D		B 1972	M 1987	D 1997		
110101	Computer & Information Science	B	M	D		B 1989	M 1980	D 1984		
230501	Creative Writing		M				M 1988			
500301	Dance	B				B 1991				
500501	Dramatic Arts	B				B 1972				
400601	Earth Sciences (change in name from Geology to Earth Sciences)	B	M	D		B 2003	M 2003	D 2003		
450601	Economics	B	M	D		B 1972	M 1980	D 1987		
230101	English, General	B	M			B 1972	M 1994			
030104	Environmental Sci.	B	M			B 1972	M 1996			
430106	Forensic Science		M				M 1999			
160901	French	B				B 1972				
450701	Geography	B				B 2000				
400601	Geology	B	M	D		B 1974	M 1987	D 1994		
160501	German	B				B 1972				
540101	History	B	M	D		B 1974	M 1983	D 1995		
240103	Humanities	B				B 1972				
110103	Information Technology	B				B 2001				

Florida International University

Program Inventory start date and count

Updated with each Program Inventory from BOR

450901	International Relations	B	M	D			B 1976	M 1981	D 1993		
160902	Italian	B					B 1976				
050107	Latin American Studies		M					M 1996			
240101	Liberal Arts & Studies	B	M				B 1974	M 2003			
160102	Linguistics		M					M 1986			
260607	Marine Biology	B					B 2001				
270101	Mathematics , General	B					B 1972				
500901	Music, General	B	M				B 1972	M 1997			
380101	Philosophy	B					B 1972				
400801	Physics	B	M	D			B 1974	M 1979	D 1998		
451001	Political Science & Government	B	M	D			B 1972	M 1994	D 1996		
160904	Portuguese	B					B 1972				
420101	Psychology	B	M	D			B 1972	M 1979	D 1984		
380201	Religious Studies	B	M				B 1972	M 1996			
451101	Sociology	B	M	D			B 1972	M 1990	D 1993		
160905	Spanish	B	M	D			B 1972	M 1993	D 1996		
270501	Statistics	B	M				B 1974	M 2000			
500702	Studio/Fine Art	B	M				B 1972	M 1997			
050207	Women's Studies	B					B 1996				
	College of Business Administration										
520301	Accounting	B	M				B 1972	M 1972			
520201	Busi Admin & Mgmt	B	M	D			B 1972	M 1972	D 1987		
520801	Finance, General	B	M				B 1972	M 1972			
521001	Human Resource Management	B					B 1974				
520805	Insurance & Risk Mgmt	B					B 1972				
521101	International Busi. Mgmt	B	M				B 1974	M 1976			
521201	Management Information Systems (from 110401)	B	M				B 1974	M 2001			
521401	Marketing Mgmt	B					B 1972				
521501	Real Estate	B	M				B 1972	M 2005			
521601	Taxation		M					M 1987			

Florida International University

Program Inventory start date and count

Updated with each Program Inventory from BOR

520203	Transportation Management	B					B 1972				
	College of Education										
131201	Adult & Continuing Teacher Ed		M	D				M 1972	D 1980		
131302	Art Teacher Ed	B	M				B 1972	M 1972			
131101	Counselor Ed/Student Counseling Guidance		M					M 1976			
130301	Curriculum & Instruction		M	D	S	PhD		M 2005	D 1984	S 1984	PhD 2002
131210	Early Childhood/ESOL	B					B 2004				
130401	Ed Admin/Leadership, General		M	D	S			M 1974	D 1978	S 1978	
131005	Ed of Emotionally Handicap - Termination October 2004						B 1972				
131011	Ed of Specific Learning Disabled - Termination October 2004						B 1972				
131006	Ed of the Mentally Handicapped - Termination October 2004						B 1972				
131202	Elementary Teacher Ed	B	M				B 1972	M 1972			
131305	English Teacher Ed	B	M				B 1972	M 1972			
131306	Foreign Languages Teacher Ed	B	M				B 1972	M 1978			
310505	Health Education (change effective Both B,M Sum 00)	B	M				B 1972	M 1986			
130406	Higher Education Administration (changed from 131295 -Fall 00)		M	D				M 2005	D 1983		
131308	Home Economics Teacher Ed (Voc)	B	M				B 1972	M 1972			
130701	International & Comparative Ed		M					M 1986			
131311	Mathematics Teacher Ed	B	M				B 1972	M 1972			
131312	Music Teacher Ed	B	M				B 1972	M 1972			
131314	Physical Ed Teaching & Coaching	B	M				B 1972	M 1972			
131210	Pre-Elem/Early Childhood Teach Ed		M					M 1972			
131315	Reading Teacher Ed		M					M 1974			
310301	Recreation, Leisure Services	B	M				B 1972	M 1976			
421701	School Psychology				S					S 1992	
131316	Science Teacher Ed	B	M				B 1972	M 1972			
131317	Social Sciences Teacher Ed	B	M				B 1972	M 1972			
131001	Special Ed, General	B	M	D			B 2005	M 1994	D 1985		
131320	Trade & Industrial Teacher Ed (Voc)	B	M				B 1972	M 1972			
130410	Urban Ed		M					M 1985			

Florida International University

Program Inventory start date and count

Updated with each Program Inventory from BOR

	College of Engineering											
140501	Biomedical Engineering	B	M	D			B 2002	M 1999	D 2004			
140701	Chemical Engineering	B					B 1998					
140801	Civil Engineering	B	M	D			B 1982	M 1985	D 1997			
140901	Computer Engineering	B	M				B 1989	M 1990				
151001	Construction/Build Tech	B	M				B 1974	M 1988				
141001	Electrical, Electronics Eng	B	M	D			B 1982	M 1985	D 1991			
143502	Engineering Management		M					M 1996				
150599	Environmental & Urban Systems	B	M				B 1976	M 1977				
141401	Environmental Health Eng		M					M 1985				
142701	Industrial & Systems Eng	B	M	D			B 1985	M 1990	D 2003			
141801	Materials Science and Engineering		M	D				M 2002	D 2005			
141901	Mechanical Engineering	B	M	D			B 1984	M 1988	D 1994			
143503	Technology Management		M					M 2002				
149999	Telecommunications and Networking		M					M 2002				
	School of Hospitality Management											
520901	Hospitality Admin/Mgmt	B	M				B 1972	M 1972				
520903	Travel and Tourism Management	B	M				B 2001	M 2001				
	College of Health and Urban Affairs											
430104	Criminal Justice Studies	B					B 1972					
430103	Criminal Justice/Law Enforcement Admin		M					M 1977				
513101	Dietetics/Nutrition Services	B	M	D			B 1972	M 1972	D 1995			
510706	Health Information Management	B					B 1978					
510000	Health Science	B					B 1972					
510701	Health Services Admin	B	M				B 1979	M 1979				
511601	Nursing	B	M				B 1972	M 1992				
511608	Nursing			D					D 2004			
512306	Occupational Therapy	B	M				B 1972	M 1988				

Florida International University
Program Inventory start date and count

Updated with each Program Inventory from BOR

512307	Orthotics/Prosthetics	B					B 1988				
512308	Physical Therapy		M				B 1972	M 1989			
440401	Public Administration	B	M	D			B 1972	M 1972	D 1983		
512201	Public Health		M					M 1984			
440701	Social Work, General	B	M	D			B 1972	M 1981	D 1991		
510204	Speech Pathology & Audiology		M					M 2000			
	School of Journalism and Mass Communication										
090102	Communications (Mass)	B	M				B 1978	M 1987			
	College of Law										
220101	Law					P				P 2002	

85 84 28 3 1

Last updated 5/25/05
 under Survey: DEG PROG BY COLLEGE COUNT

**THE FLORIDA INTERNATIONAL UNIVERSITY
BOARD OF TRUSTEES
ACADEMIC POLICY AND STUDENT AFFAIRS COMMITTEE**

6 SEPTEMBER 2005

SUBJECT: PROGRAM REVIEW UPDATE

PROPOSED COMMITTEE ACTION:

Discussion Only. No action needed.

EXHIBITS/SUPPORTING DOCUMENTS:

- PROGRAM REVIEW UPDATE

FACILITATOR/PRESENTER:

- MARIE ZEGLIN

MEMORANDUM

TO: Herbert Wertheim, Chairperson
Academic Policy and Student Affairs Committee
FIU Board of Trustees

FROM: Mark B. Rosenberg
Provost and Executive Vice President for Academic Affairs

DATE: August 19, 2005

SUBJECT: An Update on Program Review

The attached update on Program Review provides information on the following:

- The Board of Governors mandate for cyclic program review
- The components of the FIU program review process
- A report on program review during the past academic year
- Plans for 2005-06 program review

If you need additional information on the FIU program review process, please let me know.

Attachments

Attachment A
Board of Trustees: Update on Program Review
August 19, 2005

Background

The Board of Governors mandates the cyclic review of all academic programs in state universities at least every seven years. Program reviews document how individual academic programs are achieving state student learning and program objectives within the context of the institution's mission. The results of the program reviews inform strategic planning, program development, and budgeting decisions at the institutional level.

Each institution is required to submit and maintain a proposed seven-year University Program Review Plan to the Office of Academic and Student Affairs in the Division of Colleges and Universities (DCU). Each University Program Review Plan must include a proposed schedule within the seven-year cycle for the submission of summary program review reports for every degree program, unless otherwise approved by DCU staff.

The FIU program review process is composed of four elements:

- A Self Study
- An External Consultant
- A Public Forum
- The Provost's Recommendations

The Self Study is a comprehensive report on the total academic program for each of the degree programs. It contains the department's vision, mission, goals, and recommendations and also includes an analysis of program information and measurable indicators that place the program within the context of the University mission and the discipline.

An external consultant and/or a specialized accreditation visit provides an objective outsider's view on the quality of the program.

The Public Forum provides an opportunity for the faculty to present their Self Study findings and express their vision and goals for the future. The Public Forum is open to students, faculty, the University community, and the Executive Review Committee whose membership includes the University leadership.

Program Review Report

During the past academic year, twenty-two self study documents were in edit; ten self-study reports were submitted to the Florida Department of Education; five public forums were conducted; two public forums were scheduled for July; six external reviewers were brought to campus; two external reviewers have been scheduled to visit campus during

September; and four program review orientation meets were conducted for the program officers and faculty of the programs scheduled for review during 2005-2006.

Currently, there are plans to complete the program review process that was begun in 2004-2005 for the following degree programs:

- African New World Studies
- Humanities
- Modern Languages
- Women's Studies
- Philosophy
- Decision Sciences and Information Sciences
- Management and International Business
- Health Sciences
- Mathematics
- English
- Liberal Studies
- Psychology
- School of Music
- Statistics
- Accounting
- Finance
- Marketing
- Dietetics and Nutrition
- Physical Therapy
- Public Health

The following degree program is currently scheduled for review during the 2005-06 academic year:

- Public Administration

For your information, you will find attached a copy of the seven-year program review schedule that was submitted to the Florida Department of Education in January 2005.